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Zigbee Specification

Revision 23

Zigbee Document 05-3474-23	
March 15, 2023	
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Accepted by	This document has been accepted for release by the Alliance Board of Directors.
Abstract	The Zigbee Specification describes the infrastructure and services available to applications operating on the Zigbee platform.
Keywords	Zigbee, Stack, Network, Application, Profile, Framework, Device Description, Binding, Security

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83 **Document History**84 **Zigbee Specification History**

Revision	Date	Description
	December 14, 2004	Zigbee v.1.0 draft ratified
r06	February 17, 2006	Zigbee Specification (Zigbee document number 053474r06/07) incorporating errata and clarifications: Zigbee document numbers 053920r02, 053954r02, 06084r00, and 053474r07
r07	April 28, 2006	Changes made per Editorial comments on spreadsheet
r13	October 9, 2006	Zigbee-2006 Specification (see letter ballot comments and resolution in Zigbee document 064112)
r14	November 3, 2006	Zigbee-2007 Specification (adds features described in 064270, 064269, 064268, 064281, 064319, and 064293)
r15	December 12, 2006	Zigbee-2007 Specification incorporating errata and clarifications: 074746
r16	May 31, 2007	Zigbee-2007 Specification incorporating errata and clarifications: 07819
r17	October 19, 2007	Zigbee-2007 specification incorporating errata: 075318, 075053, 075164, 075098
r18	June 16, 2009	Zigbee-2007 specification incorporating errata: 08012
r19	September 28, 2010	Zigbee-2007 specification incorporating errata described in document 105413r04
r20	September 18, 2012	Zigbee-2007 specification incorporating errata described in 11-53778-r13 and 12-0030-01
r21	August 5, 2015	Zigbee specification incorporating large updates as follows: <ol style="list-style-type: none"> 1. Chapter 2 – Application Layer <ol style="list-style-type: none"> a. Addition of Parent Announce ZDO message b. Addition of over-the-air mechanism for detecting device’s implemented specification version. 2. Chapter 3 – Network Layer <ol style="list-style-type: none"> a. Add End device timeout protocol and aging mechanism 3. Chapter 4 – Security <ol style="list-style-type: none"> a. Removal of High Security b. Addition of Trust Center Link Key update services c. Cleanup of frame counter handling, d. Addition of Distributed Trust Center mode 4. Annex D – MAC And PHY Sub-layer Clarifications <ol style="list-style-type: none"> a. Update to IEEE Std 802.15.4-2011 5. Annex G – Inter-PAN <ol style="list-style-type: none"> a. Formalization of Inter-PAN frame formats and service handling.

Revision	Date	Description
		6. Annex H – Inter-PAN Test Vectors of Green Power Inter-PAN test vectors.
r22 0.7	July 25 2016	Additional functionality to support sub GHz FSK PHY/MAC 0.7 Reballot comments included
R22 0.9	Sep 30 2016	R21 errata and other critical CCBs added. PHY/MAC spec integrated.
R22 0.9	December 1 st 2016	Updated with comments and issued for recirculation ballot.
R22 1.0	March 20, 2017	Updated with reballot comments and issues for draft rev 1.0 release.
R23 0.5	July 4, 2018	Chapter 3: Clean-up
R23 0.5	September 28, 2018	Added Dynamic Link Key NFR text (up through chapter 3).
R23 0.5	October 8, 2018	Included Curve25519 text, Low-power (CSL) changes. Included most of the WWAH items.
R23 0.5	November 25, 2018	Integrated ZDO deprecation and remove all references to caches.
R23 0.5	December 03, 2018	Removed the User descriptor and complex descriptor. Update the use of Allocated Address bit.
R23 0.5	January 11, 2019	Integrated Sub Gig routing and regional Sub Gig annex.
R23 0.5	January 15, 2019	Integrated Routing updates.
R23 0.5	January 28, 2019	Joining/Rejoining/Dynamic Link key negotiation updates
R23 0.7	May 29, 2019	Comment resolution from R23 0.5 ballot
R23 0.6	January 22, 2020 Rev 9	Merged changes from a separate 0.8 document into this. Updated Clear All Bindings and Security Decommission Req. Updated Security Key Negotiation Req and Security Key Negotiation Rsp. Added section 1.2.6.
R23 0.6	January 24, 2020 Rev 10	Updated Annex I based on changes from Sheffield Face-to-face. Merged from conflicted document on Causeway. Reinstated Symmetric Passphrase, Next Channel Change, and Next PAN ID Global TLV. Updated rules on malformed TLVs and various other minor edits proposed in Sheffield.
R23 0.6	January 30, 2020 Revision 11	Comment fixes: 2554, 2555, 2621, 2561, 2623, 3111
R23 0.6	February 4, 2020 Revision 12	Updated SEC_Get_Authentication_token_req to use TLVs. Updated SEC_Get_Authentication_Level_req to use TLVs. Updated Security_Get_Authentication_Level_rsp to use TLVs.
R23 0.6	February 20, 2020 Revision 13	Updated Annex J (aligned KDF between J.1 and J.2, added H*(x), Curve25519 Private Key Clamping, endianness clarifications).

Revision	Date	Description
R23 0.6	February 27, 2020 Revision 14	Addressed comments for sections 1.1.5, 1.2.5 and added in APS Frame Counter Synchronization.
R23 0.6	March 8, 2020 Revision 15	Addressed comments for sections 2.3.2.4 and 2.4. Removed Beacon Appendix version from Beacon Payload. Added Router Information TLV.
R23 0.6	March 16, 2020 Revision 16	Made Annex I changes. Added Fragmentation Parameters Global TLV, Joiner Encapsulation Global TLV, Beacon Appendix Encapsulation Global TLV. Section 1.2.6, 2.4.3.4
R23 0.6	March 22, 2020 Revision 17	Added the Beacon Survey Configuration TLV to Mgmt_NWK_Beacon_Survey_req. Updated sections 2.4.2.8.3, 2.4.3.4, 4.7.3.12.
R23 0.6	April 6, 2020 Revision 18	Added Annex C.7 with test vectors for the key agreement schemes defined in Annex J: <ul style="list-style-type: none"> • ECDHE-PSK/P-256/SHA-256/HMAC-SHA-256-128 (C.7.1) • SPEKE/Curve25519/AES-MMO-128/HMAC-AES-MMO-128 (C.7.2)
R23 0.6	April 6, 2020 Revision 19	Updated Security_Decommission_Req, Security_Start_Key_Negotiation_Req and Security_Get_Authentication-Token_Req. Removed 2.5.4.6 (Device and Service Discovery), 2.5.4.7 (Security Manager) and 2.5.4.8 (Binding Manager).
R23 0.6	April 15, 2020 Revision 20	Updated Key negotiation before joining diagram (Section 1.1.5.4). Updated sections 3.2.2, 3.4.
R23 0.6	April 30, 2020 Revision 21	Updated sections 3.4, 3.6.1.4, 3.6.1.5, 3.6.4. CCB 3190
R23 0.6	May 15, 2020 Revision 22	Updated section 3.6.1.4. Updated sections 4.4.2, 4.4.9, 4.4.12, 4.6.
R23 0.6	May 21, 2020 Revision 23	Updated section 2.4.3.3.12, 3.6.9.2. Updated the Beacon Survey Configuration TLV. Added in Multi-hop Dynamic Link Key Changes. Updated section 2.4.3.4.1.3 Effect on receipt (of ZDO Security_Key_Negotiation_req). Updated section 2.4.4.5.1.6 Effect on Receipt [ZDO Security_Start_Key_Negotiation_rsp]. Updated section 3.4.14.3.2 TLV [NWK Commissioning Request Command]. Added section 4.4.10 Key Negotiation Services. Added section 4.4.12.9 Relay Message Downstream. Added section 4.4.12.10 Relay Message Upstream. Added sections 4.6.3.8.4 – 4.6.3.8.12.

Revision	Date	Description
R23 0.6	June 19,2020 Revision 24	Removed section 2.4.4.1.1. Added in CCB 2673. Updated sections 2.4.3.3.7, 4.9.
R23 0.6	June 26,2020 Revision 25	Updated session identifier for SPEKE simplified (Annex J) and test vector (Annex C). Added PSK capabilities and selection to key negotiation. PSK enumerations for Z3BLE allocated Clarified usage of APS acknowledgments in APS commands. Added section 4.9.7. Addressed comments 2802, 2988,2989,3042,3080. Fixed endian issue for the Test Vectors (Curve25519 and P-256).
R23 0.7	August 28, 2020 Revision 26	Updated based on the 0.5 ballot editorial comments.
R23 0.9	June 8, 2022	Various integrations of 0.9 ballot comments: ZPC-1181 ZPC-1055 ZPC-1036 ZPC-1031 ZPC-1027 ZPC-1022 ZPC-1014 ZPC-1013 ZPC-1012 ZPC-1009 ZPC-1004 ZPC-1003 ZPC-1002 ZPC-1001 ZPC-1000 ZPC-999 ZPC-998 ZPC-996 ZPC-995 ZPC-994 ZPC-993 ZPC-992 ZPC-991 ZPC-990 ZPC-975 ZPC-974 ZPC-972 ZPC-969 ZPC-968 ZPC-967 ZPC-966 ZPC-965 ZPC-964 ZPC-963 ZPC-962 ZPC-961 ZPC-960 ZPC-956 ZPC-955 ZPC-954 ZPC-953 ZPC-952 ZPC-948 ZPC-947 ZPC-946 ZPC-945 ZPC-944 ZPC-943 ZPC-942 ZPC-941 ZPC-940 ZPC-939 ZPC-938 ZPC-937 ZPC-936 ZPC-935 ZPC-934 ZPC-933 ZPC-932 ZPC-931 ZPC-929 ZPC-928 ZPC-927 ZPC-923 ZPC-922 ZPC-920 ZPC-919 ZPC-918 ZPC-917 ZPC-913 ZPC-912 ZPC-911 ZPC-910 ZPC-909 ZPC-908 ZPC-905 ZPC-904 ZPC-903 ZPC-901 ZPC-900 ZPC-898 ZPC-897 ZPC-896 ZPC-895 ZPC-894 ZPC-893 ZPC-892 ZPC-891 ZPC-890 ZPC-889 ZPC-888 ZPC-885

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		ZPC-468 ZPC-330 ZPC-315 ZPC-295
		ZPC-264 ZPC-249 ZPC-180 ZPC-12
		ZPC-10 ZPC-4
R23 0.95	August 15, 2022	Various integrations of 0.95 ballot comments: ZPC-1302 ZPC-1301 ZPC-1300 ZPC-1299 ZPC-1298 ZPC-1297 ZPC-1267 ZPC-1214 ZPC-1259 ZPC-1247 ZPC-1242 ZPC-1234 ZPC-1231 ZPC-1224 ZPC-1220 ZPC-1218 ZPC-1217 ZPC-1181 ZPC-1180 ZPC-1178 ZPC-1170 ZPC-1156 ZPC-1155 ZPC-1154 ZPC-1153 ZPC-1152 ZPC-1151 ZPC-1150 ZPC-1149 ZPC-1148 ZPC-1147 ZPC-1146 ZPC-1145 ZPC-1144 ZPC-1143 ZPC-1142 ZPC-1141 ZPC-1140 ZPC-1139 ZPC-1138 ZPC-1137 ZPC-1136 ZPC-1135 ZPC-1134 ZPC-1133 ZPC-1132 ZPC-1131 ZPC-1130 ZPC-1129 ZPC-1128 ZPC-1127 ZPC-1126 ZPC-1125 ZPC-1124 ZPC-1123 ZPC-1122 ZPC-1121 ZPC-1120 ZPC-1119 ZPC-1118 ZPC-1117 ZPC-1116 ZPC-1115 ZPC-1114 ZPC-1113 ZPC-1112 ZPC-1111 ZPC-1110 ZPC-1109 ZPC-1108 ZPC-1107 ZPC-1106 ZPC-1105 ZPC-1104 ZPC-1102 ZPC-1101 ZPC-1100 ZPC-1099 ZPC-1098 ZPC-1097

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		ZPC-1032 ZPC-1011 ZPC-835
r23	11 Jan 2023	NCR review completed and Security audit completed.

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CHAPTER 1. ZIGBEE PROTOCOL OVERVIEW

1034

1.1 Protocol Description

1035 The Connectivity Standards Alliance has developed a very low-cost, very low-power-consumption, two-way, wireless
1036 communications standard. Solutions adopting the Zigbee standard will be embedded in consumer electronics, home
1037 and building automation, industrial controls, PC peripherals, medical sensor applications, toys, and games.

1038

1.1.1 Scope

1039 This document contains specifications, interface descriptions, object descriptions, protocols and algorithms pertaining
1040 to the Zigbee protocol standard, including the application support sub-layer (APS), the Zigbee device objects (ZDO),
1041 Zigbee device profile (ZDP), the application framework, the network layer (NWK), and Zigbee security services.

1042

1.1.2 Purpose

1043 The purpose of this document is to provide a definitive description of the Zigbee protocol standard as a basis for future
1044 implementations, such that any number of companies incorporating the Zigbee standard into platforms and devices on
1045 the basis of this document will produce interoperable, low-cost, and highly usable products for the burgeoning wireless
1046 marketplace.

1047

1.1.3 Stack Architecture

1048 The Zigbee stack architecture is made up of a set of blocks called layers. Each layer performs a specific set of services
1049 for the layer above. A data entity provides a data transmission service and a management entity provides all other
1050 services. Each service entity exposes an interface to the upper layer through a service access point (SAP), and each
1051 SAP supports a number of service primitives to achieve the required functionality.

1052 The IEEE Std 802.15.4 defines the two lower layers: the physical (PHY) layer and the medium access control (MAC)
1053 sub-layer. The Connectivity Standards Alliance builds on this foundation by providing the network (NWK) layer and
1054 the framework for the application layer. The application layer framework consists of the application support sub-layer
1055 (APS) and the Zigbee device objects (ZDO). Manufacturer-defined application objects use the framework and share
1056 APS and security services with the ZDO.

1057 The PHY layer operates in two separate frequency ranges: 868/915 MHz and 2.4 GHz. The lower frequency PHY
1058 layer covers both the 868 MHz European band and the 915 MHz band, used in countries such as the United States and
1059 Australia. The higher frequency PHY layer is used virtually worldwide. A complete description of the PHY layers can
1060 be found in [B1].

1061 The MAC sub-layer controls access to the radio channel using either a CSMA-CA or LBT mechanism, depending on
1062 the underlying MAC/PHY. Its responsibilities may also include transmitting beacon frames, synchronization, and
1063 providing a reliable transmission mechanism. A complete description of the IEEE Std 802.15.4 MAC sub-layer can
1064 be found in [B1]. Figure 1-1 represents the outline of the Zigbee Stack Architecture.

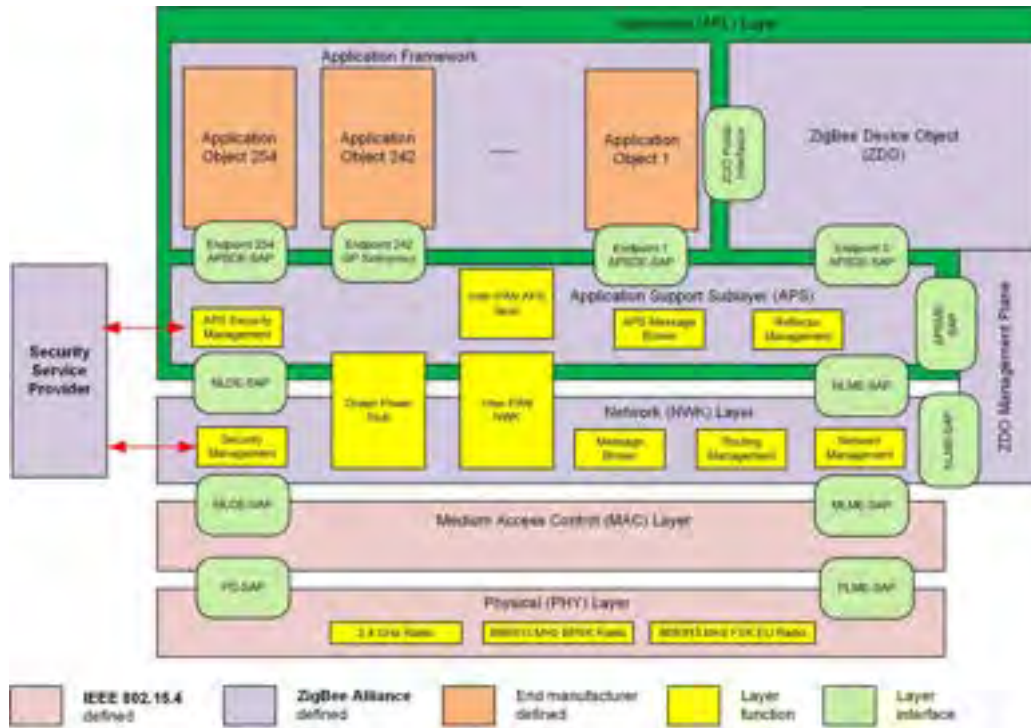


Figure 1-1. Outline of the Zigbee Stack Architecture

1065

1066

1.1.3.1 Non-Certifiable Features

1067

1068 Some Zigbee functions are not certifiable on every combination of MAC/PHY. These are listed below:

- 1069 a) Green Power is certifiable only on the 2.4GHz O-QPSK PHY
- 1070 b) Inter-PAN is certifiable only on the 2.4GHz O-QPSK PHY

1.1.4 Network Topology

1071

1072 The Zigbee network layer (NWK) supports star and mesh topologies. In a star topology, the network is controlled by
 1073 one single device called the Zigbee coordinator. The Zigbee coordinator is responsible for initiating and maintaining
 1074 the devices on the network. All other devices, known as end devices, directly communicate with the Zigbee coordina-
 1075 tor. In mesh topologies, the Zigbee coordinator is responsible for starting the network and for choosing certain key
 1076 network parameters, but the network may be extended through the use of Zigbee routers. Mesh networks allow full
 1077 peer-to-peer communication. Zigbee routers in mesh networks do not currently emit regular IEEE Std 802.15.4 bea-
 1078 cons. This specification describes only intra-PAN networks, that is, networks in which communications begin and
 1079 terminate within the same network. An exception is the inter-PAN feature which allows the Zigbee stack to be by-
 1080 passed, for example to initialize Zigbee network settings out of band.

1081

1082 **1.1.5 Overall Joining Flow**

1083 This section will describe the high-level flow for a device joining the network. This flow varies due to changes over
1084 time in the specification and is highlighted below.

1085 For a device to operate as a fully authorized device on the Zigbee mesh network it must have the current network
1086 key. In centralized networks the role of the Trust Center acts as gatekeeper to determine when and who is authorized
1087 to join the network. The joining flows below show a multi-hop join with three devices: joiner, router, and trust cen-
1088 ter. When only two devices are present, Trust Center and joiner, the roles of Trust center and Router are collapsed
1089 together, and communication is handled internal to the device.

1090 Instead of the centralized security model, which requires a trust center and coordinator to form and manage the net-
1091 work, a decentralized approach is also available called a Distributed Security Network. This allows any router to
1092 authorize a new device by sending the network key directly to it without consulting a centralized authority.

1093 In Revision 21 and earlier all Zigbee devices joining the network are pre-configured with a Trust Center Link Key.
1094 This may either be a well-known default link key or a secret, device-specific key known as an Install Code derived
1095 Key. Joining with the well-known Trust Center link key represents a moment of insecurity that may be acceptable to
1096 the Trust Center managing the network's security. Alternatively, the Trust Center may be configured to require use
1097 of the Install Code derived key when joining the network. In that case all devices must pass their Install Code de-
1098 rived key out-of-band to the Trust Center prior to joining.

1099 Revision 23 introduces a new mechanism that utilizes public key cryptography to securely negotiate a key before
1100 receiving the network key.

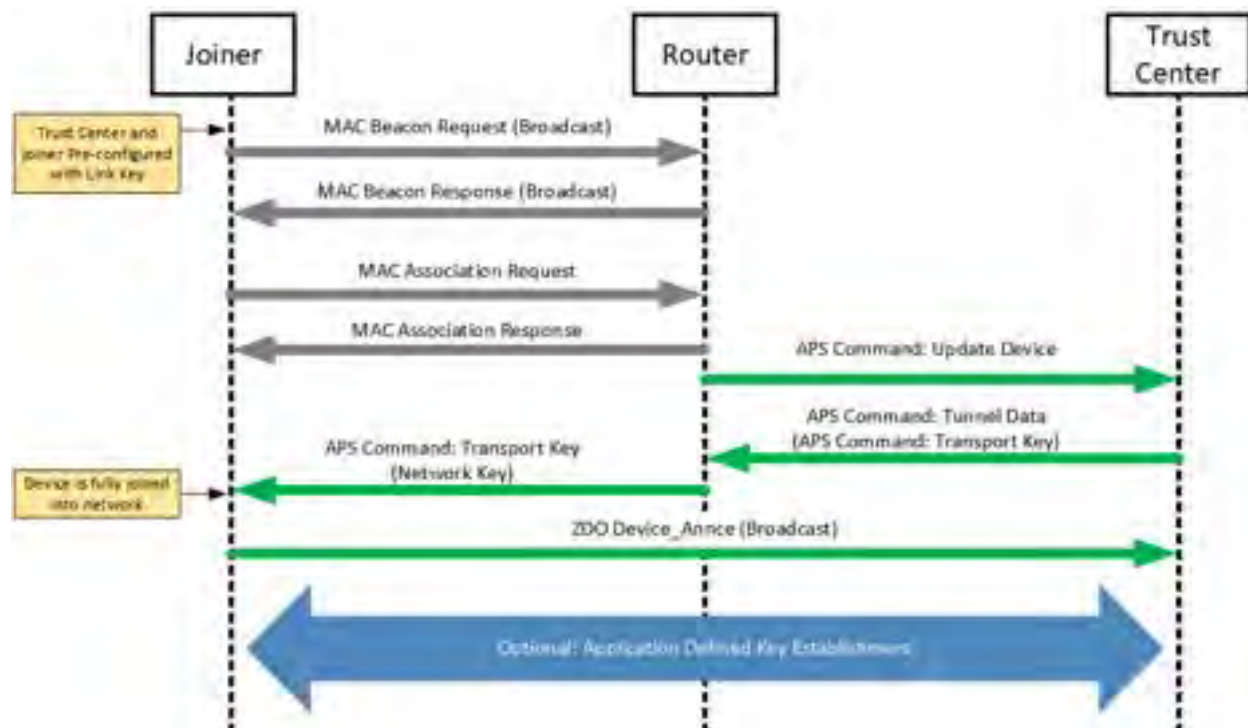
1101 Note that all diagrams in this section do not show the APS Acks but they are present on the ZDO messages. Also,
1102 fragmentation may occur but that is not shown in these diagrams.

1103 **1.1.5.1 Static Key Joining**

1104 Static Key Joining is when both the joining device and Trust Center have been configured with a fixed link key. This
1105 key can be one of several standardized values listed below.

- 1106 1. Default Global Trust Center link key (ZigBeeAlliance09)
- 1107 2. Install code derived pre-configured link key
- 1108 3. Distributed Security Global Link Key
- 1109 4. Touchlink Preconfigured Link Key

1110 Zigbee devices in Revision 20 and earlier had only a joining flow that involved the following sequence. This is
1111 shown in Figure 1-2.



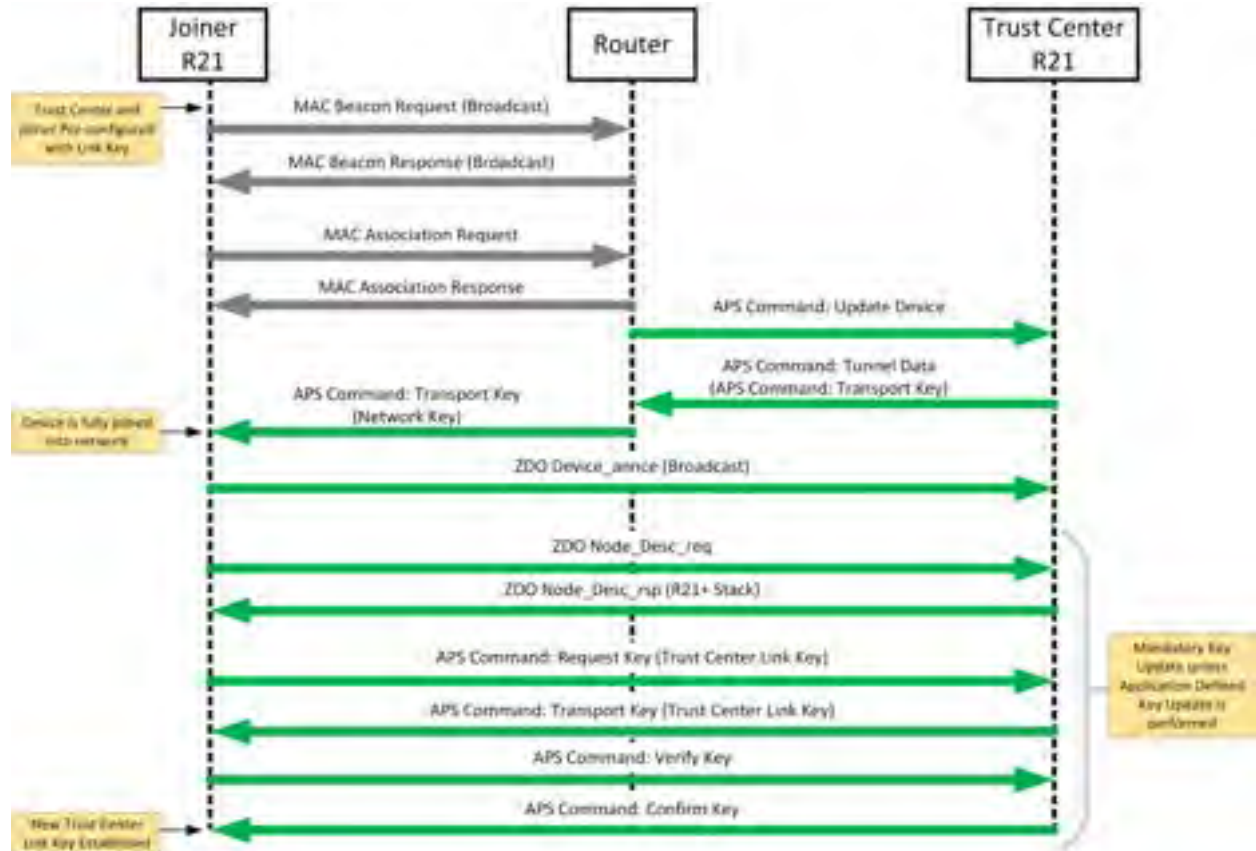
1112
1113 **Figure 1-2. Static Key Joining without Updating Trust Center Link Key**

1114 Devices in Revision 20 and earlier only updated their pre-configured link key when an application defined key es-
1115 tablishment protocol was used, such as the Key Establishment Cluster in the Zigbee Smart Energy Specification.

1116 1.1.5.2 Joining and Using Key Assignment

1117 With Revision 21 of the specification and beyond, the joiner is required to replace its initial trust center link key
1118 with an updated key. The joiner uses Static Key Joining to initially gain access to the network, but then performs a
1119 Trust Center Link Key Update. The mechanism for updating the trust center link key can utilize stack primitives or
1120 use a higher layer protocol. If no application defined protocol is performed, devices can use the Key Assignment
1121 Mechanism.

1122 In Key Assignment a new symmetric link key is requested by the joiner and chosen by the Trust Center and the ex-
1123 change is shown in Figure 1-3. This update occurs regardless of whether the initial trust center link key was a well-
1124 known or Install Code derived key. This prevents an attacker that obtains the trust center link key after the join from
1125 using it to gain access to the network. This joining flow was very similar to the previous flow but added additional
1126 steps after receiving the network key to request a trust center link key from the trust center.

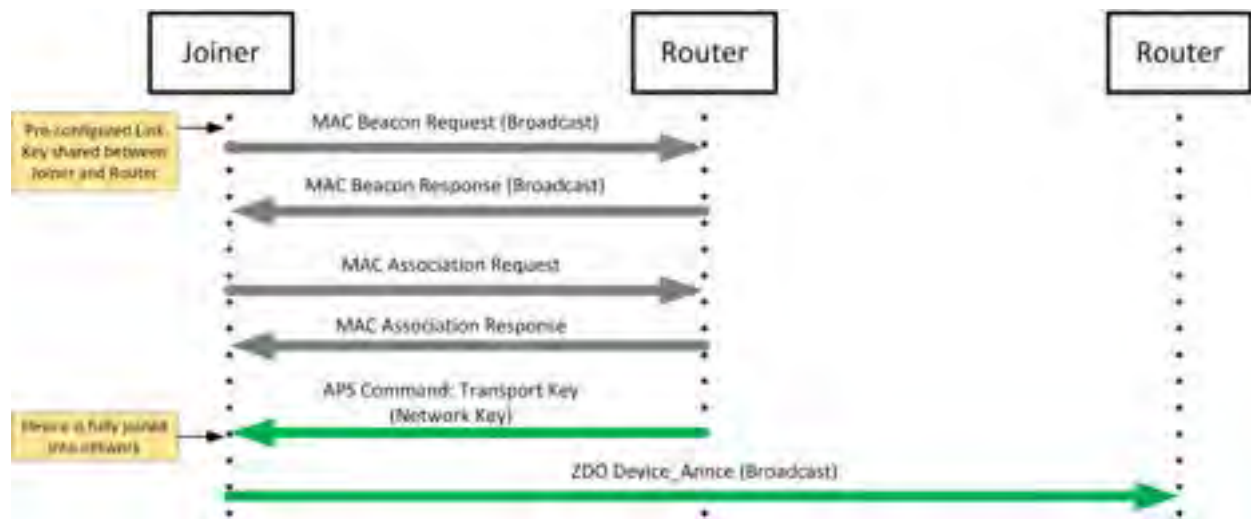


1127
 1128

Figure 1-3. Joining in Revision 21

1129 **1.1.5.3 Joining in a distributed security network**

1130 With Revision 21 a lightweight distributed security model was added that allowed for a network to operate without a
 1131 trust center such that every router can choose to allow a device on the network. This joining flow is shown in Figure
 1132 1-4.



1133
 1134
 1135

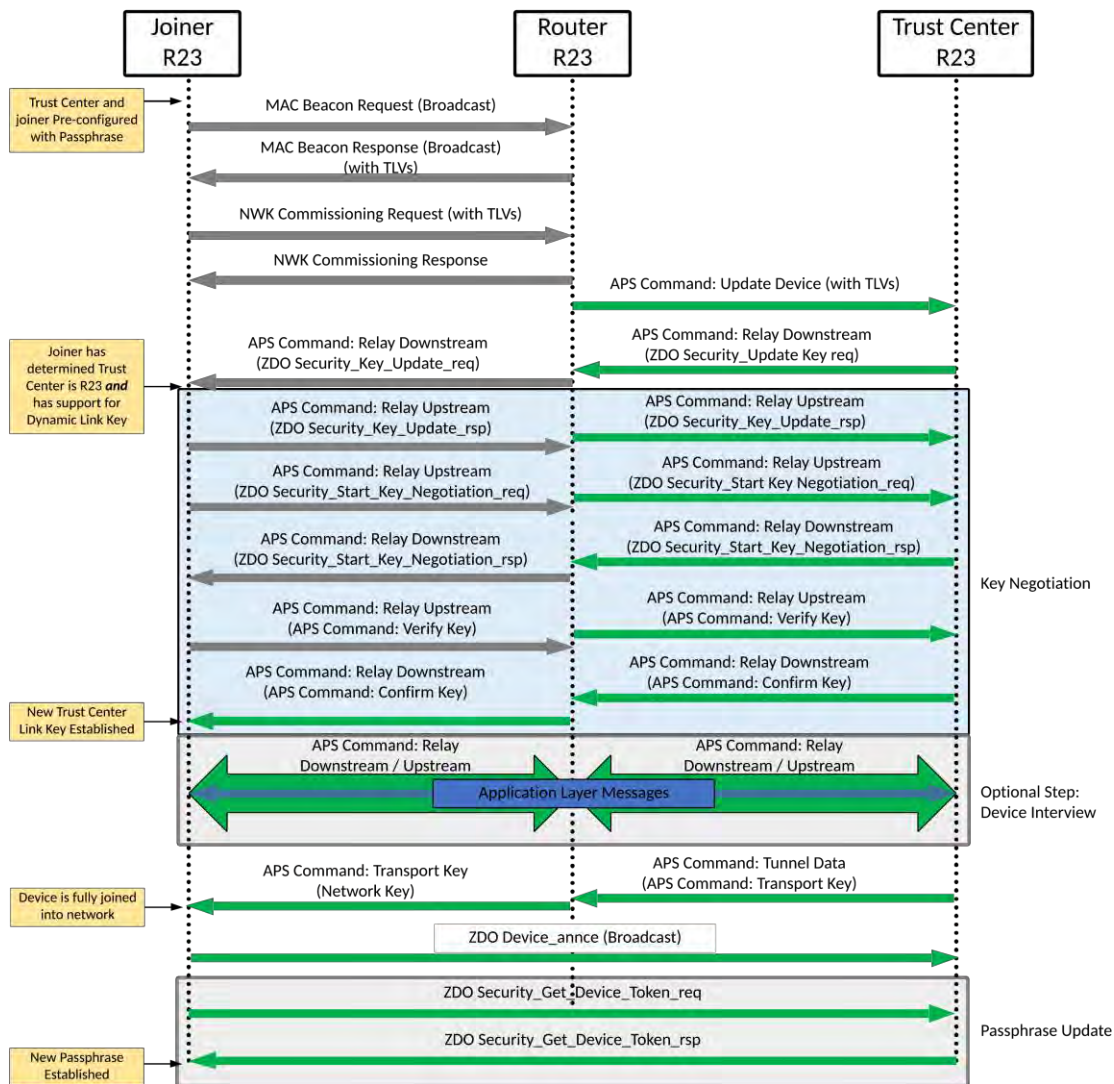
Figure 1-4. Joining a Distributed Network in Revision 21

In distributed networks no Link Key Update is performed.

1136 **1.1.5.4 Dynamic Key negotiation before joining**

1137 Revision 23 of this specification introduces a mechanism to negotiate a dynamic link key before the device joins the
 1138 network and receives the network key. This mechanism utilizes Elliptic Curve Diffie-Hellman Ephemeral (ECDHE)
 1139 or Simple Password Exponential Key Exchange (SPEKE) as the basis for negotiating a key. The negotiation MAY
 1140 be done anonymously with a well-known passphrase, where the Trust Center has no prior knowledge of the device
 1141 joining the network, or it MAY be done by using install codes or a secret passphrase to authenticate both sides dur-
 1142 ing key negotiation. This scenario requires that all involved devices (including the parent router) are Revision 23 or
 1143 later. This is shown in Figure 1-5.

1144 After joining, the device is not required to replace its link key immediately. Instead, it acquires a token that it can
 1145 use to perform authenticated re-negotiation of its link key in the future.



1146
 1147 **Figure 1-5. Joining in Revision 23 with Dynamic Key Negotiation before Receiving the Network Key**

1148 **1.1.5.5 Optional Step: Device Interview**

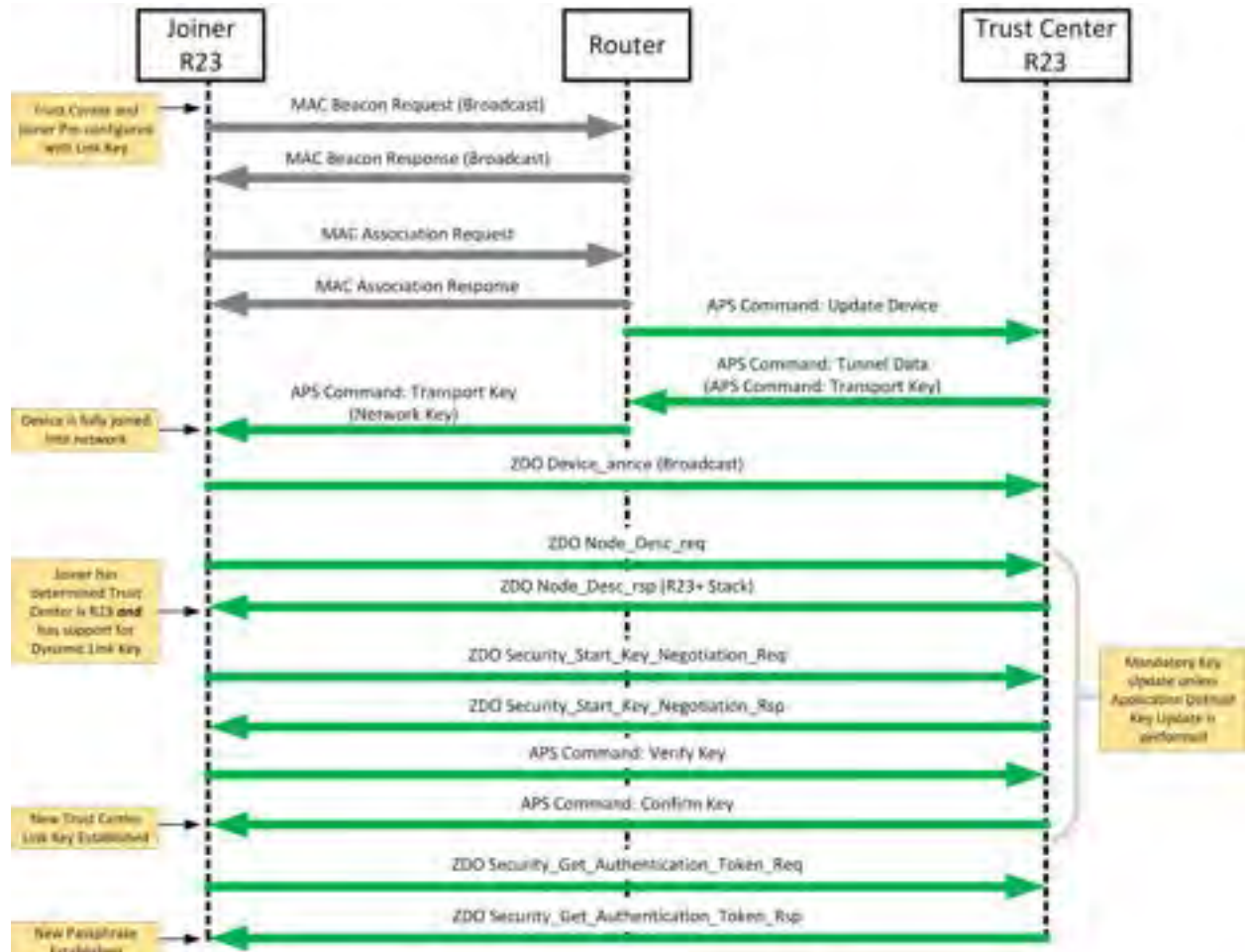
1149 Once network commissioning has begun and a dynamic link key has been established the Trust Center Application
 1150 can opt to query the joining device or an application endpoint with APSData requests encrypted with the Dynamic
 1151 Link Key prior to authorizing the device on the network.. This period of message exchange is known as the Device

1152 Interview. During this process the joining timeout will be extended until either the TC application allows the device
 1153 to come on to network by sending the Network Key via Transport Key Mechanism.

1154 **1.1.5.6 Dynamic Key negotiation after joining**

1155 A device that supports Dynamic Key Negotiation before joining might still join using Static Link Key Joining. This
 1156 could occur because the parent router is not R23 (and cannot relay key negotiation frames) or the Trust Center does
 1157 not support Key Negotiation.

1158 After initially joining the network with a static link key the device is required to update that key. If both Trust Center
 1159 and the device support Dynamic Key Negotiation this SHALL be used as the mechanism to update a link key after
 1160 the device has joined the network. This is shown in Figure 1-6.



1161
 1162 **Figure 1-6. Joining in Revision 23 with Dynamic Key Negotiation after Receiving the Network Key**

1163 **1.1.5.7 Summary of Joining and Link Key Update Mechanisms**

1164 Table 1-1 summarizes the Join mechanism and the subsequent link key update after joining for Centralized net-
 1165 works.

1166

1167

Table 1-1. Summary of Join and Key Update Mechanisms for Centralized Networks

Specification Revision	Join Mechanisms	Post Joining Link Key Update
20 and earlier	Static Key Joining	None
	Static Key Joining	Application Defined
21	Static Key Joining	Key Assignment
	Static Key Joining	Application Defined
22	Static Key Joining	Key Assignment
	Static Key Joining	Application Defined
23	Static Key Joining	Key Assignment
	Static Key Joining	Application Defined
	Static Key Joining	Dynamic Key Negotiation Update
	Dynamic Key Negotiation Joining	Dynamic Key Negotiation Update*
	Dynamic Key Negotiation Joining	Application Defined

1168 * Note: A Link Key Update is not required immediately after joining if Dynamic Key Negotiation was used. How-
 1169 ever, devices can update their link key later using Dynamic Key Negotiation.

1170 Table 1-2 summarizes the Join mechanisms and subsequent link key update after joining.

1171

Table 1-2. Summary of Join and Key Update Mechanisms for Distributed Networks

Specification Revision	Join Mechanisms	Post Joining Link Key Update
All	Static Key Joining	None

1172

1.2 Conventions and Abbreviations

1173

1.2.1 Symbols and Notation

1174

Notation follows from ANSI X9.63-2001, §2.2 [B7].

1175

1.2.2 Integers, Octets, and Their Representation

1176 Throughout Annexes A through D, the representation of integers as octet strings and of octet strings as binary strings
 1177 SHALL be fixed. All integers SHALL be represented as octet strings in most-significant-octet first order. This repre-
 1178 sentation conforms to the convention in section 4.3 of [B7]. All octets SHALL be represented as binary strings in
 1179 most-significant-bit first order.

1180

1.2.3 Transmission Order

1181 Unless otherwise indicated, the transmission order of all frames in this specification follows the conventions used in
 1182 [B1]:

- 1183 1. Frame formats are depicted in the order in which they are transmitted by the PHY layer—from left to right—
 1184 where the leftmost bit is transmitted first in time.

- 1185 2. Bits within each field are numbered from 0 (leftmost, and least significant) to k-1 (rightmost, and most signifi-
1186 cant), where the length of the field is k bits.
- 1187 3. Fields that are longer than a single octet are sent to the PHY in order from the octet containing the lowest num-
1188 bered bits to the octet containing the highest- numbered bits.

1189 1.2.4 Strings and String Operations

1190 A string is a sequence of symbols over a specific set (for example, the binary alphabet {0,1} or the set of all octets).
1191 The length of a string is the number of symbols it contains (over the same alphabet). The empty string has length 0.
1192 The right-concatenation of two strings x and y of length m and n respectively (notation: $x // y$), is the string z of length
1193 $m+n$ that coincides with x on its leftmost m symbols and with y on its rightmost n symbols. An octet is a symbol string
1194 of length 8. In our context, all octets are strings over the binary alphabet.

1195 1.2.5 Handling Malformed Zigbee and IEEE Std 802.15.4 1196 Frames

1197 If Zigbee messages are received that have mandatory fields missing, the entire message SHALL be ignored. This
1198 includes the MAC layer, NWK layer, APS layer, and ZDO layers. The handling of malformed higher layer messages
1199 is up to the application layer.

1200 If NWK Commands, APS Commands, or ZDO frames are received that have additional fields over those expected,
1201 the expected parts of the field SHALL be processed and the additional fields ignored.

1202 1.2.6 Type Length Value (TLV) Data

1203 Revision 23 of this specification has introduced Type Length Value formatted fields that are appended as new fields
1204 in existing commands or present in new commands.

1205 Commands introduced before R23 have a byte packed format that SHOULD NOT be changed due to interoperability
1206 considerations. However, it is EXPECTED that those existing commands can be extended without impacting that.
1207 Any extensions to existing commands or introduction of new ones will use TLVs.

1208 All Zigbee messages MAY be extended in a future specification. Thus, devices SHALL NOT discard frames when
1209 they have additional data beyond defined fields.

1210 1.3 Acronyms

1211 The acronyms used this specification are included in Table 1-3.

1212 **Table 1-3. Acronyms Used in this Specification**

Acronym	Definition
AIB	Application support sub-layer information base
AF	Application framework
APDU	Application support sub-layer protocol data unit
APL	Application layer
APS	Application support sub-layer
APSDE	Application support sub-layer data entity

Acronym	Definition
APSDE-SAP	Application support sub-layer data entity – service access point
APSME	Application support sub-layer management entity
APSME-SAP	Application support sub-layer management entity – service access point
ASDU	APS service data unit
BRT	Broadcast retry timer
BT	(Filter) Bandwidth (Symbol) Time Product
BTR	Broadcast transaction record
BTT	Broadcast transaction table
CCM*	Counter with CBC-MAC, a cryptographic block cipher mode
CSMA-CA	Carrier sense multiple access – collision avoidance.
CRC	Cyclic Redundancy Check
ED	Energy Detection
ECDHE	Elliptic Curve Diffie-Helman Ephemeral
EPID	Extended PAN ID
FCS	Frame Check Sequence
FEC	Forward Error Correction
FFD	Full function device
FSK	Frequency Shift Keying
GB	Great Britain
GHz	Gigahertz
GPD	Green Power Device
GPDF	Green Power Device Frame
GPEP	Green Power Endpoint
HDR	Header
IB	Information base
IE	Information Element
IEEE	Institute of Electrical and Electronics Engineers
kHz	Kilohertz
LBT	Listen Before Talk. ETSI defined channel access mechanism
LQI	Link quality indicator
LR-WPAN	Low rate wireless personal area network
MAC	Medium access control
MCPS-SAP	Medium access control common part sub-layer service access point

Acronym	Definition
MHz	Megahertz
MIC	Message integrity code
MLME-SAP	Medium access control sub-layer management entity service access point
MSC	Message sequence chart
MSDU	Medium access control sub-layer service data unit
MSG	Message service type
MTU	Maximum Transmission Unit
NBDT	Network broadcast delivery time
NHLE	Next higher layer entity
NIB	Network layer information base
NLDE	Network layer data entity
NLDE-SAP	Network layer data entity – service access point
NLME	Network layer management entity
NLME-SAP	Network layer management entity – service access point
NPDU	Network layer protocol data unit
NSDU	Network service data unit
NWK	Network
OSI	Open systems interconnection
PAN	Personal area network
PD-SAP	Physical layer data service access point
PDU	Protocol data unit
PHR	PHY Header
PHY	Physical layer
PIB	Personal area network information base
PLME-SAP	Physical layer management entity – service access point
POS	Personal operating space
PPDU	PHY Protocol Data Unit
PSDU	PHY Service Data Unit
QOS	Quality of service
RFD	Reduced function device
RREP	Route reply
RREQ	Route request
SAP	Service access point

Acronym	Definition
SFD	Start of Frame Delimiter
SHR	Synchronization Header
SKG	Secret key generation
SSP	Security services provider
SSS	Security services specification
TRD	Technical Requirements Document
WPAN	Wireless personal area network
ZB	Zigbee
ZDO	Zigbee device object

1213 1.4 Glossary

1214 1.4.1 Conformance Language

1215 The key words in Table 1-4 are capitalized in this specification.

1216 **Table 1-4. Key Words Used in this Specification**

Key Word	Description
EXPECTED	Used to describe the behavior <i>assumed</i> by this specification. Other behaviors may also be implemented.
MAY	Indicates flexibility of choice with <i>no implied preference</i> .
NOT	Describes that the requirement is the inverse of the behavior specified (that is, SHALL NOT, MAY NOT, etc.)
SHALL	Indicates a mandatory requirement. Designers are required to implement all such mandatory requirements.
SHOULD	Indicates flexibility of choice with a strongly preferred alternative. Equivalent to the phrase <i>is recommended</i> .

1217 1.4.2 Conformance Requirements

1218 **Reserved Codes:** A set of codes that are defined in this specification, but not otherwise used. Future specifications
1219 may implement the use of these codes. A product implementing this specification SHALL NOT generate these codes.

1220 **Reserved Fields:** A set of fields that are defined in this specification, but are not otherwise used. Products that imple-
1221 ment this specification SHALL zero these fields and SHALL make no further assumptions about these fields nor
1222 perform processing based on their content.

1223 **Zigbee Protocol Version:** The name of the Zigbee protocol version governed by this specification. The protocol
1224 version sub-field of the frame control field in the NWK header of all Zigbee Protocol Stack frames conforming to this
1225 specification SHALL have a value of 0x02 for all Zigbee frames, and a value of 0x03 for the Zigbee Green Power
1226 frames. The protocol version support required by various Zigbee specification revisions appears in Table 1-5.

1227

Table 1-5. Zigbee Protocol Versions

Specification	Protocol Version	Comment
Zigbee Green Power	0x03	Zigbee Green Power feature. See Annex G.
Zigbee Pro Zigbee 2006	0x02	Backwards compatibility not required. Zigbee Pro and Zigbee 2006 compatibility required.
Zigbee 2004	0x01	Original Zigbee version.

1228 A Zigbee device that conforms to this version of the specification may elect to provide backward compatibility with
 1229 the 2004 Revision of the specification. If it so elects, it SHALL do so by supporting, in addition to the frame formats
 1230 and features described in this specification version, all frame formats and features as specified in the older version.
 1231 (All devices in an operating network, regardless of which revisions of the Zigbee specification they support internally,
 1232 shall, with respect to their external, observable behavior, consistently conform to a single Zigbee protocol version.) A
 1233 single Zigbee network SHALL NOT contain devices that conform, in terms of their external behavior, to multiple
 1234 Zigbee protocol versions. [The protocol version of the network to join SHALL be determined by a backwardly com-
 1235 patible device in examining the beacon payload prior to deciding to join the network; or SHALL be established by the
 1236 application if the device is a Zigbee coordinator.] A Zigbee device conforming to this specification may elect to sup-
 1237 port only protocol version 0x02, whereby it SHALL join only networks that advertise commensurate beacon payload
 1238 support. A Zigbee device that conforms to this specification SHALL discard all frames carrying a protocol version
 1239 sub-field value other than 0x01, 0x02, or 0x03. It SHALL process only protocol versions of 0x01 or 0x02, consistent
 1240 with the protocol version of the network that the device participates within. A Zigbee device that conforms to this
 1241 specification SHALL pass the frames carrying the protocol version sub-field value 0x03 to the Interpan APS (see
 1242 Annex G), if it supports the Zigbee Green Power, otherwise it SHALL drop them.

1243 1.4.3 Zigbee Definitions

1244 For the purposes of this standard, the following terms and definitions apply. Terms not defined in this section can be
 1245 found in [B1].

1246 **Access control list:** This is a table used by a device to determine which devices are authorized to perform a specific
 1247 function. This table MAY also store the security material (for example, cryptographic keys, frame counts, key counts,
 1248 security level information) used for securely communicating with other devices.

1249 **Active network key:** This is the key used by a Zigbee device to secure outgoing NWK frames and that is available
 1250 for use to process incoming NWK frames.

1251 **Alternate network key:** This is a key available to process incoming NWK frames in lieu of the active network key.

1252 **Application domain:** This describes a broad area of applications, such as building automation.

1253 **Application key:** This is a link key transported by the Trust center to a device for the purpose of securing end-to-end
 1254 communication.

1255 **Application object:** This is a component of the top portion of the application layer defined by the manufacturer that
 1256 actually implements the application.

1257 **Application profile:** This is a collection of device descriptions, which together form a cooperative application. For
 1258 instance, a thermostat on one node communicates with a furnace on another node. Together, they cooperatively form
 1259 a heating application profile.

1260 **Application support sub-layer protocol data unit:** This is a unit of data that is exchanged between the application
 1261 support sub-layers of two peer entities.

1262 **APS command frame:** This is a command frame from the APSME on a device addressed to the peer entity on another
 1263 device.

1264 **Association:** This is the service provided by the IEEE Std 802.15.4 MAC sub-layer that is used to establish member-
 1265 ship in a network.

- 1266 **Attribute:** This is a data entity which represents a physical quantity or state. This data is communicated to other
1267 devices using commands.
- 1268 **Binding:** This is the creation of a unidirectional logical link between a source endpoint/cluster identifier pair and a
1269 destination endpoint, which MAY exist on one or more devices.
- 1270 **Broadcast:** This is the transmission of a message to every device in a particular PAN belonging to one of a small
1271 number of statically defined broadcast groups, for example all routers, and within a given transmission radius meas-
1272 ured in hops.
- 1273 **Broadcast jitter:** This is a random delay time introduced by a device before relaying a broadcast transaction.
- 1274 **Broadcast transaction record:** This is a local receipt of a broadcast message that was either initiated or relayed by a
1275 device.
- 1276 **Broadcast transaction table:** This is a collection of broadcast transaction records.
- 1277 **Cluster:** This is an application message, which MAY be a container for one or more attributes. As an example, the
1278 Zigbee Device Profile defines commands and responses. These are contained in Clusters with the cluster identifiers
1279 enumerated for each command and response. Each Zigbee Device Profile message is then defined as a cluster. Alter-
1280 natively, an application profile MAY create sub-types within the cluster known as attributes. In this case, the cluster
1281 is a collection of attributes specified to accompany a specific cluster identifier (sub-type messages.)
- 1282 **Cluster identifier:** This is a reference to an enumeration of clusters within a specific application profile or collection
1283 of application profiles. The cluster identifier is a 16-bit number unique within the scope of each application profile
1284 and identifies a specific cluster. Conventions MAY be established across application profiles for common definitions
1285 of cluster identifiers whereby each application profile defines a set of cluster identifiers identically. Cluster identifiers
1286 are designated as inputs or outputs in the simple descriptor for use in creating a binding table.
- 1287 **Coordinator:** This is an IEEE Std 802.15.4 device responsible for associating and disassociating devices into its PAN.
1288 A coordinator SHALL be a full-function device (FFD).
- 1289 **Data integrity:** This is assurance that the data has not been modified from its original form.
- 1290 **Data key:** This is a key derived from a link key used to protect data messages.
- 1291 **Device:** This is any entity that contains an implementation of the Zigbee protocol stack.
- 1292 **Device application:** This is a special application that is responsible for Device operation. The device application
1293 resides on endpoint 0 by convention and contains logic to manage the device's networking and general maintenance
1294 features. Endpoints 241-254 are reserved for use by the Device application or common application function agreed
1295 within the Connectivity Standards Alliance.
- 1296 **Device description:** This is a description of a specific device within an application profile. For example, the light
1297 sensor device description is a member of the home automation application profile. The device description also has a
1298 unique identifier that is exchanged as part of the discovery process.
- 1299 **Direct addressing:** This is a mode of addressing in which the destination of a frame is completely specified in the
1300 frame itself.
- 1301 **Direct transmission:** This is a frame transmission using direct addressing.
- 1302 **End application:** This is for applications that reside on endpoints 1 through 254 on a Device. The end applications
1303 implement features that are non-networking and Zigbee protocol related. Endpoints 241 through 254 SHALL only be
1304 used by the End application with approval from the Connectivity Standards Alliance. The Green Power cluster, if
1305 implemented, SHALL use endpoint 242.
- 1306 **Endpoint:** This is a particular component within a unit. Each Zigbee device MAY support up to 254 such components.
- 1307 **Extended PAN ID:** This is the globally unique 64-bit PAN identifier of the network. This identifier SHOULD be
1308 unique among the PAN overlapping in a given area. This identifier is used to avoid PAN ID conflicts between distinct
1309 networks.
- 1310 **Information base:** This is a collection of variables that define certain behavior in a layer. These variables can be
1311 specified or obtained from a layer through its management service.

- 1312 **Key establishment:** This is a mechanism that involves the execution of a protocol by two devices to derive a mutually
1313 shared secret key.
- 1314 **Key-load key:** This is a key derived from a link key used to protect key transport messages carrying a link key.
- 1315 **Key transport:** This is a mechanism for communicating a key from one device to another device or other devices.
- 1316 **Key-transport key:** This is a key derived from a link key used to protect key transport messages carrying a key.
- 1317 **Key update:** This is a mechanism implementing the replacement of a key shared amongst two or more devices by
1318 means of another key available to that same group.
- 1319 **Local device:** This is the initiator of a ZDP command.
- 1320 **Link key:** This is a key that is shared exclusively between two, and only two, peer application-layer entities within a
1321 PAN.
- 1322 **Maximum Transmission Unit (MTU):** The maximum size message that can be sent according to the layer it is being
1323 generated at.
- 1324 **Mesh network:** This is a network in which the routing of messages is performed as a decentralized, cooperative
1325 process involving many peer devices routing on each other's behalf.
- 1326 **Multicast:** This is a transmission to every device in a particular PAN belonging to a dynamically defined multicast
1327 group, and within a given transmission radius measured in hops.
- 1328 **Multihop network:** This is a network, in particular a wireless network, in which there is no guarantee that the trans-
1329 mitter and the receiver of a given message are connected or linked to each other. This implies that intermediate devices
1330 SHALL be used as routers.
- 1331 **Non-beacon-enabled personal area network:** This is a personal area network that does not contain any devices that
1332 transmit beacon frames at a regular interval.
- 1333 **Neighbor table:** This is a table used by a Zigbee device to keep track of other devices within the POS.
- 1334 **Network address:** This is the address assigned to a device by the network layer and used by the network layer for
1335 routing messages between devices.
- 1336 **Network broadcast delivery time:** This is the time required by a broadcast transaction to reach every device of a
1337 given network.
- 1338 **Network manager:** This is a Zigbee device that implements network management functions as described in Chapter
1339 3, including PAN ID conflict resolution and frequency agility measures in the face of interference.
- 1340 **Network protocol data unit:** This is a unit of data that is exchanged between the network layers of two peer entities.
- 1341 **Network service data unit:** This is the information that is delivered as a unit through a network service access point.
- 1342 **Node:** This is a collection of independent device descriptions and applications residing in a single unit and sharing a
1343 common 802.15.4 radio.
- 1344 **Normal operating state:** This is the processing which occurs after all startup and initialization processing has oc-
1345 curred and prior to initiation of shutdown processing.
- 1346 **NULL:** a parameter or variable value that means unspecified, undefined, or unknown. The exact value of NULL is
1347 implementation-specific, and SHALL NOT conflict with any other parameters or values.
- 1348 **Octet:** eight bits of data, used as a synonym for a byte.
- 1349 **OctetDuration:** transmission time (in seconds) of an octet on PHY layer. This time is calculated as $8/\text{phyBitRate}$
1350 where phyBitRate can be found in Table 1 of [B1]. To get milliseconds from N OctetDurations for 2.4 GHz the follow
1351 formula has to be used: $N*(8/250000)*1000$ where 250000 bit rate on 2.4 GHz and 8 number of bits in one octet.
- 1352 **One-way function:** a function whose forward computation is much easier to perform than its inverse.
- 1353 **Orphaned device:** a device, typically a Zigbee end device that has lost communication with the Zigbee device through
1354 which it has its PAN membership.

- 1355 **PAN coordinator:** the principal controller of an IEEE Std 802.15.4-based network that is responsible for network
1356 formation. The PAN coordinator SHALL be a full function device (FFD).
- 1357 **PAN information base:** a collection of variables in the IEEE Std 802.15.4 standard that are passed between layers,
1358 in order to exchange information. This database MAY include the access control list, which stores the security mate-
1359 rial.
- 1360 **Passphrase:** A symmetric secret used as part of a key negotiation protocol.
- 1361 **Personal operating space:** the area within reception range of a single device.
- 1362 **Private method:** attributes and commands which are accessible to Zigbee device objects only and unavailable to the
1363 end applications.
- 1364 **Protocol data unit:** the unit of data that is exchanged between two peer entities.
- 1365 **Public method:** attributes and commands which are accessible to end applications.
- 1366 **Radio:** the IEEE Std 802.15.4 radio that is part of every Zigbee device.
- 1367 **Remote device:** the target of a ZDP command.
- 1368 **Route discovery:** an operation in which a Zigbee coordinator or Zigbee router attempts to discover a route to a remote
1369 device by issuing a route request command frame.
- 1370 **Route discovery table:** a table used by a Zigbee coordinator or Zigbee router to store temporary information used
1371 during route discovery.
- 1372 **Route reply:** a Zigbee network layer command frame used to reply to route requests.
- 1373 **Route request:** a Zigbee network layer command frame used to discover paths through the network over which sub-
1374 sequent messages MAY be delivered.
- 1375 **Routing table:** a table in which a Zigbee coordinator or Zigbee router stores information required to participate in the
1376 routing of frames.
- 1377 **Security token:** A term for a generic security item that is given to a device and to verify the identity of a device when
1378 negotiating a security key.
- 1379 **Service discovery:** the ability of a device to locate services of interest.
- 1380 **Stack profile:** an agreement by convention outside the scope of the Zigbee specification on a set of additional re-
1381 strictions with respect to features declared optional by the specification itself.
- 1382 **Trust center:** the device trusted by devices within a Zigbee network to distribute keys for the purpose of network and
1383 end-to-end application configuration management.
- 1384 **Unicast:** the transmission of a message to a single device in a network.
- 1385 **Zigbee coordinator:** an IEEE Std 802.15.4 PAN coordinator.
- 1386 **Zigbee device object:** the portion of the application layer responsible for defining the role of the device within the
1387 network (for example, Zigbee coordinator or end device), initiating and/or responding to binding and discovery re-
1388 quests, and establishing a secure relationship between network devices.
- 1389 **Zigbee end device:** an IEEE Std 802.15.4 RFD or FFD participating in a Zigbee network, which is neither the Zigbee
1390 coordinator nor a Zigbee router.
- 1391 **Zigbee router:** an IEEE Std 802.15.4 FFD participating in a Zigbee network, which is not the Zigbee coordinator but
1392 MAY act as an IEEE Std 802.15.4 coordinator within its personal operating space, that is capable of routing messages
1393 between devices and supporting associations.
- 1394 **Zigbee 2.4 GHz Coordinator:** An IEEE Std 802.15.4-2020 PAN coordinator operating in a Zigbee 2.4 GHz network.
- 1395 **Zigbee 2.4 GHz End Device:** An IEEE Std 802.15.4-2020 RFD participating in a Zigbee 2.4 GHz network, which is
1396 neither the Zigbee coordinator nor a Zigbee router.

- 1397 **Zigbee 2.4 GHz Router:** An IEEE Std 802.15.4-2020 FFD participating in a Zigbee 2.4 GHz network, which is not
1398 the Zigbee coordinator but MAY act as an IEEE Std 802.15.4-2020 coordinator within its personal operating space,
1399 that is capable of routing messages between devices and supporting associations
- 1400 **Zigbee Sub-GHz Router:** An IEEE Std 802.15.4-2020 FFD participating in a Zigbee Sub-GHz network, which is
1401 not the Zigbee coordinator but MAY act as an IEEE Std 802.15.4-2020 coordinator within its personal operating
1402 space, that is capable of routing messages between devices and supporting associations. Zigbee Sub-GHz Router
1403 (ZSR) is supported in R22 with power control on end device to routers and end devices to coordinators links. There
1404 is no power control for router to router, and router to coordinator links.
- 1405 **Zigbee Multi-MAC Selection Router:** An IEEE Std 802.15.4-2020 FFD participating in a Zigbee Sub-GHz or 2.4
1406 GHz network but **not** in both bands. Power control only on Sub-GHz interface and not on the 2.4 GHz interface.
1407 Router in Sub-GHz mode in R22 will support power control on end device to routers and end devices to coordina-
1408 tors links. There is no power control for router to router, and router to coordinator links.
- 1409 **Zigbee Multi-MAC Switch Router:** An IEEE Std 802.15.4-2020 FFD participating in a Zigbee Sub-GHz and 2.4
1410 GHz network. In R22 only allows a single Zigbee Multi-MAC Switch Router in the network integrated into the
1411 Zigbee Multi-MAC Switch Coordinator
- 1412 **Zigbee Multi-MAC Switch Coordinator:** An IEEE Std 802.15.4-2020 PAN coordinator operating in a Zigbee 2.4
1413 GHz network **and** in Sub-GHz band.
- 1414 **Zigbee Multi-MAC Selection End Device:** An IEEE Std 802.15.4-2020 RFD participating in a Zigbee 2.4 GHz
1415 network **or** the Sub-GHz network which is neither the Zigbee coordinator nor a Zigbee router.
- 1416 **Zigbee Sub-GHz End Device:** An IEEE Std 802.15.4-2020 RFD participating in a Zigbee Sub-GHz network which
1417 is neither the Zigbee coordinator nor a Zigbee router.

1418 **1.5 References**

1419 The following standards contain provisions, which, through reference in this document, constitute provisions of this
1420 standard. Normative references are given in and and informative references are given in At the time of publication,
1421 the editions indicated were valid. All standards are subject to Revision, and parties to agreements based on this stand-
1422 ard are encouraged to investigate the possibility of applying the most recent editions of the references, as indicated in
1423 this section.

1424 **1.5.1 Zigbee/IEEE References**

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1426 Personal Area Networks (LR-WPANs)
- 1427 [B2] Document 20-27688-032: Zigbee Direct specification
- 1428 [B3] Document 03-285r00: Suggestions for the Improvement of the IEEE 802.15.4 Standard, July 2003.
- 1429 [B4] Document 09-5499r26: Green Power specification
- 1430 [B5] Document 14-0563-16: Zigbee PRO Green Power feature specification Basic functionality set

1431 **1.5.2 Normative References**

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1447 Matters (ERM); Short Range Devices (SRD); Radio equipment to be used in the 25 MHz to 1000 MHz fre-
1448 quency range with power levels ranging up to 500 mW; Part 1: Technical characteristics and test methods
- 1449 [B13] [EN 300-220] ETSI EN 300 220-1 V3.1.1 (2017-07) – Draft / unpublished) Electromagnetic compatibility
1450 and Radio spectrum Matters (ERM); Short Range Devices (SRD); Radio equipment to be used in the 25
1451 MHz to 1000 MHz frequency range with power levels ranging up to 500 mW; Part 1: Technical character-
1452 istics and test methods
- 1453 [B14] [EN 303-204] ETSI EN 303 204-1 V1.1.0 (2014-06) Electromagnetic compatibility and Radio spectrum
1454 Matters (ERM); Network Based Short Range Devices (SRD); Radio equipment to be used in the 870 MHz
1455 to 876 MHz frequency range with power levels ranging up to 500 mW; Part 1: Technical characteristics and
1456 test methods

1457 **1.5.3 Informative References**

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1459 ence model: The basic model.
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CHAPTER 2. APPLICATION LAYER SPECIFICATION

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2.1 General Description

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The Zigbee stack architecture includes a number of layered components including the IEEE Std 802.15.4 Medium Access Control (MAC) layer, Physical (PHY) layer, and the Zigbee Network (NWK) layer. Each component provides an application with its own set of services and capabilities. Although this chapter may refer to other components within the Zigbee stack architecture, its primary purpose is to describe the component labeled Application (APL) Layer shown in Figure 1-1.

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As shown in Figure 1-1, the Zigbee application layer consists of the APS sub-layer, the ZDO (containing the ZDO management plane), and the manufacturer-defined application objects.

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2.1.1 Application Support Sub-Layer (APS)

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The application support sub-layer (APS) provides an interface between the network layer (NWK) and the application layer (APL) through a general set of services that are used by both the ZDO and the manufacturer-defined application objects. The services are provided by two entities:

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1. The APS data entity (APSDE) through the APSDE service access point (APSDE-SAP).
2. The APS management entity (APSME) through the APSME service access point (APSME-SAP).

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The APSDE provides the data transmission service between two or more application entities located on the same network.

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The APSME provides a variety of services to application objects including security services and binding of devices. It also maintains a database of managed objects, known as the APS information base (AIB).

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2.1.2 Application Framework

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The application framework in Zigbee is the environment in which application objects are hosted on Zigbee devices. Up to 254 distinct application objects can be defined, each identified by an endpoint from 1 to 254. Two additional endpoints are defined for APSDE-SAP usage: endpoint 0 is reserved for the data interface to the Zigbee Device Object (ZDO) and endpoint 255 is reserved for the data interface function to broadcast data to all application objects. Endpoints 241-254 are assigned by the Connectivity Standards Alliance and SHALL NOT be used without approval. The Green Power cluster, if implemented, SHALL use endpoint 242.

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2.1.2.1 Application Profiles

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Application profiles are agreements for messages, message formats, and processing actions that enable developers to create an interoperable, distributed application employing application entities that reside on separate devices. These application profiles enable applications to send commands, request data, and process commands and requests.

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2.1.2.2 Clusters

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Clusters are identified by a cluster identifier, which is associated with data flowing out of, or into, the device. Cluster identifiers are unique within the scope of a particular application profile.

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2.1.3 Zigbee Device Objects

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The Zigbee device objects (ZDO), represent a base class of functionality that provides an interface between the application objects, the device profile, and the APS. The ZDO is located between the application framework and the

1516 application support sub-layer. It satisfies common requirements of all applications operating in a Zigbee protocol
1517 stack. The ZDO is responsible for the following:

- 1518 1. Initializing the APS, NWK, and the Security Service Provider.
- 1519 2. Assembling configuration information from the end applications to determine and implement discovery, secu-
1520 rity management, network management, and binding management.

1521 The ZDO presents public interfaces to the application objects in the application framework layer for control of device
1522 and network functions by the application objects. The ZDO interfaces with the lower portions of the Zigbee protocol
1523 stack, on endpoint 0, through the APSDE-SAP for data, and through the APSME-SAP and NLME-SAP for control
1524 messages. The public interface provides address management of the device, discovery, binding, and security functions
1525 within the application framework layer of the Zigbee protocol stack. The ZDO is fully described in section 2.5.

1526 **2.1.3.1 Device Discovery**

1527 Device discovery is the process whereby a Zigbee device can discover other Zigbee devices. There are two forms of
1528 device discovery requests: IEEE address requests and NWK address requests. The IEEE address request is unicast to
1529 a particular device and assumes the NWK address is known. The NWK address request is broadcast and carries the
1530 known IEEE address as data payload.

1531 **2.1.3.2 Service Discovery**

1532 Service discovery is the process whereby the capabilities of a given device are discovered by other devices. Service
1533 discovery can be accomplished by issuing a query for each endpoint on a given device or by using a match service
1534 feature (either broadcast or unicast). The service discovery facility defines and utilizes various descriptors to outline
1535 the capabilities of a device.

1536 **2.2 Zigbee Application Support Sub-Layer**

1537 **2.2.1 Scope**

1538 This section specifies the portion of the application layer providing the service specification and interface to both the
1539 manufacturer-defined application objects and the Zigbee device objects. The specification defines a data service to
1540 allow the application objects to transport data, and a management service providing mechanisms for binding. In addi-
1541 tion, it also defines the application support sub-layer frame format and frame-type specifications.

1542 **2.2.2 Purpose**

1543 The purpose of this section is to define the functionality of the Zigbee APS. This functionality is based on both the
1544 driver functionality necessary to enable correct operation of the Zigbee network layer and the functionality required
1545 by the manufacturer-defined application objects.

1546 **2.2.3 Application Support Sub-Layer Overview**

1547 The application support sub-layer provides the interface between the network layer and the application layer through
1548 a general set of services for use by both the ZDO and the manufacturer-defined application objects. These services are
1549 offered via two entities: the data service and the management service. The APS data entity (APSDE) provides the data
1550 transmission service via its associated SAP, the APSDE-SAP. The APS management entity (APSME) provides the
1551 management service via its associated SAP, the APSME-SAP, and maintains a database of managed objects known
1552 as the AIB.

1553 **2.2.3.1 Application Support Sub-Layer Data Entity (APSDE)**

1554 The APSDE SHALL provide a data service to the network layer and both ZDO and application objects to enable the
1555 transport of application PDUs between two or more devices. The devices themselves SHALL be located on the same
1556 network.

1557 The APSDE will provide the following services:

- 1558 • **Generation of the application level PDU (APDU):** The APSDE SHALL take an application PDU and gener-
1559 ate an APS PDU by adding the appropriate protocol overhead.
- 1560 • **Binding:** Once two devices are bound, the APSDE SHALL be able to transfer a message from one bound de-
1561 vice to the second device.
- 1562 • **Group address filtering:** The ability to filter group-addressed messages based on endpoint group membership.
- 1563 • **Reliable transport:** Increases the reliability of transactions above that available from the NWK layer alone by
1564 employing end-to-end retries.
- 1565 • **Duplicate rejection:** Messages offered for transmission will not be received more than once.
- 1566 • **Fragmentation:** Enables segmentation and reassembly of messages longer than the payload of a single NWK
1567 layer frame.

1568 **2.2.3.2 Application Support Sub-Layer Management Entity (APSME)**

1569 The APSME SHALL provide a management service to allow an application to interact with the stack.

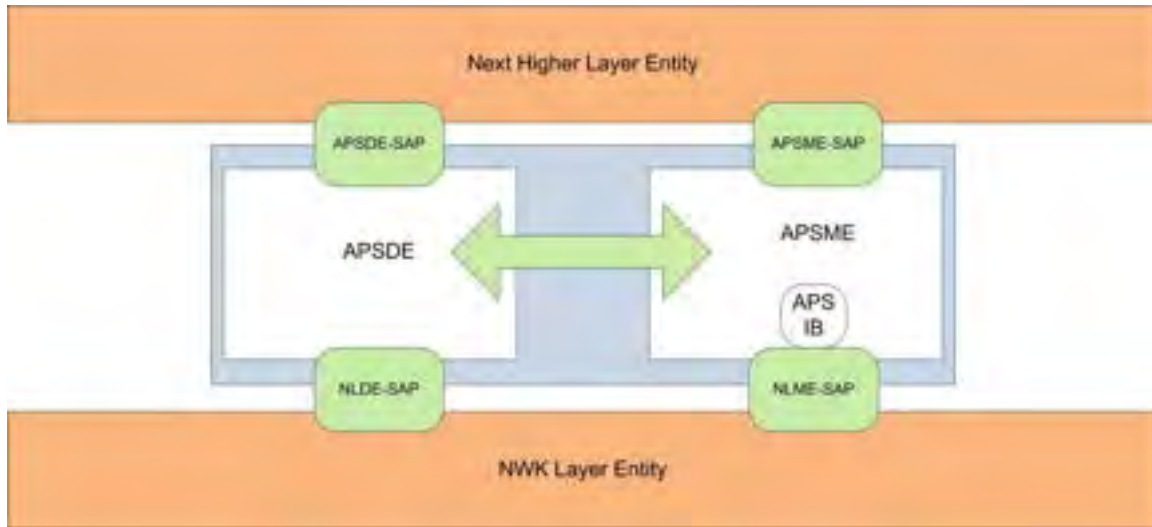
1570 The APSME SHALL provide the ability to match two devices together based on their services and their needs. This
1571 service is called the binding service, and the APSME SHALL be able to construct and maintain a table to store this
1572 information.

1573 In addition, the APSME will provide the following services:

- 1574 • **Binding management:** The ability to match two devices together based on their services and their needs.
- 1575 • **AIB management:** The ability to get and set attributes in the device's AIB.
- 1576 • **Security:** The ability to set up authentic relationships with other devices through the use of secure keys.
- 1577 • **Group management:** The ability to declare a single address shared by multiple devices, to add devices to the
1578 group, and to remove devices from the group.

1579 **2.2.4 Service Specification**

1580 The APS sub-layer provides an interface between a next higher layer entity (NHLE) and the NWK layer. The APS
1581 sub-layer conceptually includes a management entity called the APS sub-layer management entity (APSME). This
1582 entity provides the service interfaces through which sub-layer management functions MAY be invoked. The APSME
1583 is also responsible for maintaining a database of managed objects pertaining to the APS sub-layer. This database is
1584 referred to as the APS sub-layer information base (AIB). Figure 2-1 depicts the components and interfaces of the APS
1585 sub-layer.



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Figure 2-1. The APS Sub-Layer Reference Model

1588 The APS sub-layer provides two services, accessed through two service access points (SAPs). These are the APS data
 1589 service, accessed through the APS sub-layer data entity SAP (APSDE-SAP), and the APS management service, ac-
 1590 cessed through the APS sub-layer management entity SAP (APSME-SAP). These two services provide the interface
 1591 between the NHLE and the NWK layer, via the NLDE-SAP and, to a limited extent, NLME-SAP interfaces (see
 1592 section 3.1). The NLME-SAP interface between the NWK layer and the APS sub-layer supports only the NLME-GET
 1593 and NLME-SET primitives; all other NLME-SAP primitives are available only via the ZDO (see section 2.5). In
 1594 addition to these external interfaces, there is also an implicit interface between the APSME and the APSDE that allows
 1595 the APSME to use the APS data service.

1596 **2.2.4.1 APS Data Service**

1597 The APS sub-layer data entity SAP (APSDE-SAP) supports the transport of application protocol data units between
 1598 peer application entities. Table 2-1 lists the primitives supported by the APSDE-SAP. Each of these primitives will
 1599 be discussed in the following sections.

1600

Table 2-1. APSDE-SAP Primitives

APSDE-SAP Primitive	Request	Confirm	Indication
APSDE-DATA	2.2.4.1.1	2.2.4.1.2	2.2.4.1.3

1601 **2.2.4.1.1 APSDE-DATA.request**

1602 This primitive requests the transfer of a NHLE PDU (ASDU) from the local NHLE to one or more peer NHLE entities.

1603

1604 2.2.4.1.1.1 **Semantics of the Service Primitive**

1605 The semantics of this primitive are as follows:

```

1606     APSDE-DATA.request      {
1607                             DstAddrMode,
1608                             DstAddress,
1609                             DstEndpoint,
1610                             ProfileId,
1611                             ClusterId,
1612                             SrcEndpoint,
1613                             ASDULength,
1614                             ASDU,
1615                             TxOptions,
1616                             UseAlias,
1617                             AliasSrcAddr,
1618                             AliasSeqNumber,
1619                             RadiusCounter
1620                             nwkBroadcastAddress
1621     }
    
```

1622 Table 2-2 specifies the parameters for the APSDE-DATA.request primitive. Support of the parameters UseAlias,
 1623 AliasSrcAddr, and AliasSeqNumb in the APSDE-DATA.request primitive is required if Green Power feature is sup-
 1624 ported by the implementation.

1625 **Table 2-2. APSDE-DATA.request Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive and of the APDU to be transferred. This parameter can take one of the non-reserved values from the following list: 0x00 = DstAddress and DstEndpoint not present 0x01 = 16-bit group address for DstAddress; DstEndpoint not present 0x02 = 16-bit address for DstAddress and DstEndpoint present 0x03 = 64-bit extended address for DstAddress and DstEndpoint present 0x04 – 0xff = reserved
DstAddress	Address	As specified by the DstAddrMode parameter	The individual device address or group address of the entity to which the ASDU is being transferred.

Name	Type	Valid Range	Description
DstEndpoint	Integer	0x00 – 0xff	This parameter SHALL be present if, and only if, the DstAddrMode parameter has a value of 0x02 or 0x03 and, if present, shall be either the number of the individual endpoint of the entity to which the ASDU is being transferred or the broadcast endpoint (0xff).
ProfileId	Integer	0x0000 – 0xffff	The identifier of the profile for which this frame is intended.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the object for which this frame is intended.
SrcEndpoint	Integer	0x00 – 0xfe	The individual endpoint of the entity from which the ASDU is being transferred.
ASDULength	Integer	0x00 – 256 * (NsdLength - apscMinHeaderOverhead)	The number of octets comprising the ASDU to be transferred. The maximum length of an individual APS frame payload is given as NsdLength - <i>apscMinHeaderOverhead</i> . Assuming fragmentation is used, there can be 256 such blocks comprising a single maximum sized ASDU.
ASDU	Set of octets	-	The set of octets comprising the ASDU to be transferred.
TxOptions	Bitmap	0000 0000 – 0001 1111	The transmission options for the ASDU to be transferred. These are a bitwise OR of one or more of the following: 0x01 = Security enabled transmission 0x02 = Use NWK key 0x04 = Acknowledged transmission 0x08 = Fragmentation permitted 0x10 = Include extended nonce in APS security frame.
UseAlias	Boolean	TRUE or FALSE	The next higher layer may use the UseAlias parameter to request alias usage by NWK layer for the current frame. If the <i>UseAlias</i> parameter has a value of FALSE, meaning no alias usage, then the parameters <i>AliasSrcAddr</i> and <i>AliasSeqNumb</i> will be ignored. Otherwise, a value of TRUE denotes that the values supplied in <i>AliasSrcAddr</i> and <i>AliasSeqNumb</i> are to be used.
AliasSrcAddr	16-bit address	Any valid device address except a broadcast address	The source address to be used for this NSDU. If the <i>UseAlias</i> parameter has a value of FALSE, the <i>AliasSrcAddr</i> parameter is ignored.

Name	Type	Valid Range	Description
AliasSeqNumb	integer	0x00-0xff	The sequence number to be used for this NSDU. If the <i>UseAlias</i> parameter has a value of FALSE, the <i>AliasSeqNumb</i> parameter is ignored.
RadiusCounter	Un-signed integer	0x00-0xff	The distance, in hops, that a transmitted frame will be allowed to travel through the network.
nwkBroadcastAddress	16-bit address	0xFFFC - 0xFFFF	This indicates the broadcast address used for multicast messages (DstAddrMode = 0x01).

1626 2.2.4.1.1.2 **When Generated**

1627 This primitive is generated by a local NHLE whenever a data PDU (ASDU) is to be transferred to one or more peer
1628 NHLEs.

1629 2.2.4.1.1.3 **Effect on Receipt**

1630 On receipt of this primitive, the APS sub-layer entity begins the transmission of the supplied ASDU.

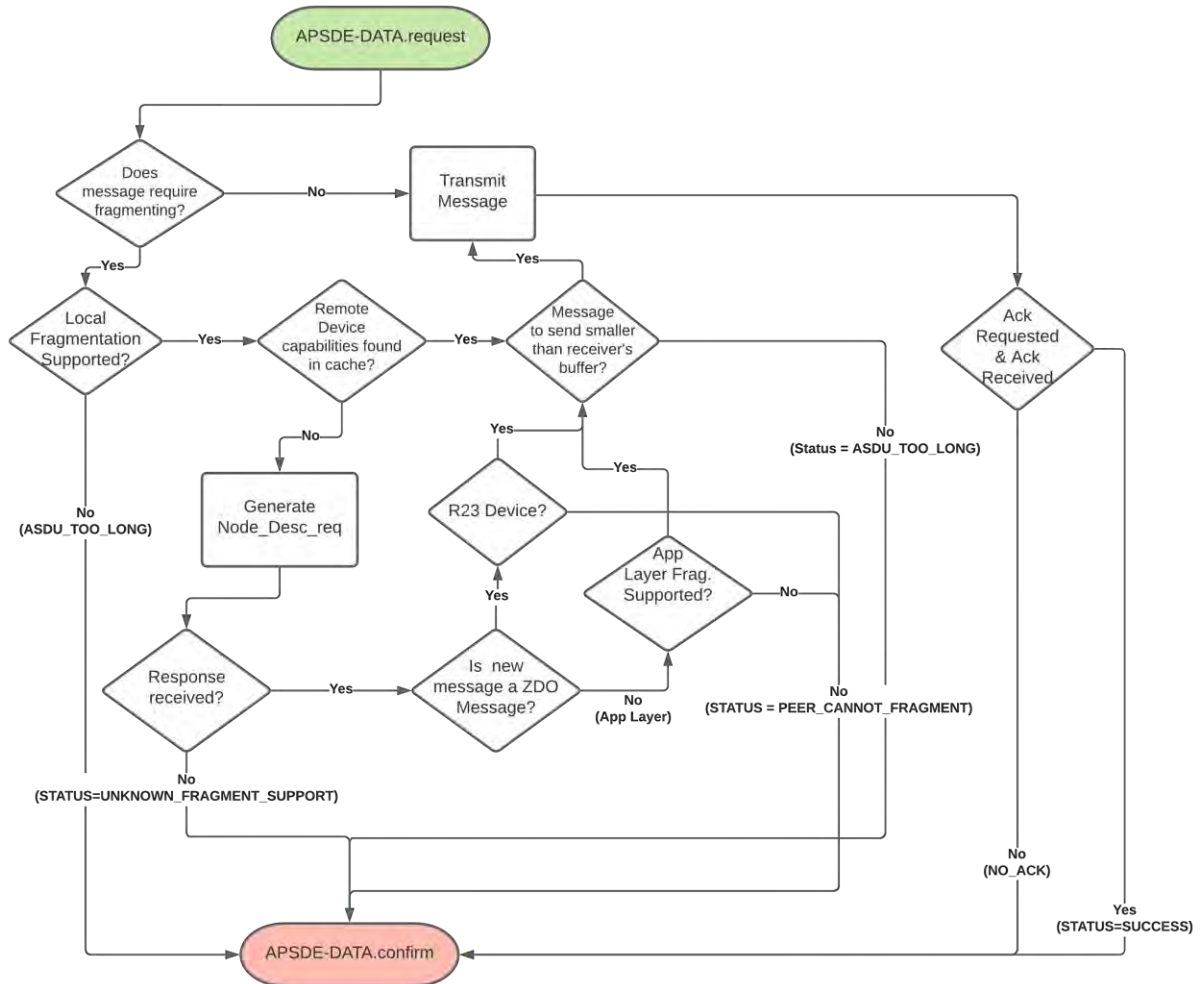
1631 If the DstAddrMode parameter is set to 0x00 and this primitive was received by the APSDE of a device supporting a
1632 binding table, a search is made in the binding table with the endpoint and cluster identifiers specified in the SrcEnd-
1633 point and ClusterId parameters, respectively, for associated binding table entries. If no binding table entries are found,
1634 the APSDE issues the APSDE-DATA.confirm primitive with a status of NO_BOUND_DEVICE. If one or more
1635 binding table entries are found, then the APSDE examines the destination address information in each binding table
1636 entry. If this indicates a device itself, then the APSDE SHALL issue an APSDE-DATA.indication primitive to the
1637 next higher layer with the DstEndpoint parameter set to the destination endpoint identifier in the binding table entry.
1638 If UseAlias parameter has the value of TRUE, the supplied value of the AliasSrcAddr SHALL be used for the
1639 SrcAddress parameter of the APSDE-DATA.indication primitive. Otherwise if the binding table entries do not indi-
1640 cate the device itself, the APSDE constructs the APDU with the endpoint information from the binding table entry, if
1641 present, and uses the destination address information from the binding table entry when transmitting the frame via the
1642 NWK layer. If more than one binding table entry is present, then the APSDE processes each binding table entry as
1643 described above; until no more binding table entries remain. If this primitive was received by the APSDE of a device
1644 that does not support a binding table, the APSDE issues the APSDE-DATA.confirm primitive with a status of
1645 NOT_SUPPORTED.

1646 If the DstAddrMode parameter is set to 0x03, the DstAddress parameter contains an extended 64-bit IEEE address
1647 and SHALL first be mapped to a corresponding 16-bit NWK address by using the nwkAddressMap attribute of the
1648 NIB (see Table 3-46). If a corresponding 16-bit NWK address could not be found, the APSDE issues the APSDE-
1649 DATA.confirm primitive with a status of NO_SHORT_ADDRESS. If a corresponding 16-bit NWK address is found,
1650 it will be used in the invocation of the NLDE-DATA.request primitive and the value of the DstEndpoint parameter
1651 will be placed in the resulting APDU. The delivery mode sub-field of the frame control field of the APS header
1652 SHALL have a value of 0x00 in this case.

1653 If the DstAddrMode parameter has a value of 0x01, indicating group addressing, the DstAddress parameter will be
1654 interpreted as a 16-bit group address. This address will be placed in the group address field of the APS header, the
1655 DstEndpoint parameter will be ignored, and the destination endpoint field will be omitted from the APS header. The
1656 delivery mode sub-field of the frame control field of the APS header SHALL have a value of 0x03 in this case. The
1657 nwkBroadcastAddress passed to the APSDE-DATA.request primitive SHALL be passed to the NLDE-DATA.request
1658 primitive.

1659 If the DstAddrMode parameter is set to 0x02, the DstAddress parameter contains a 16-bit NWK address, and the
1660 DstEndpoint parameter is supplied. The next higher layer SHOULD only employ DstAddrMode of 0x02 in cases

- 1661 where the destination NWK address is employed for immediate application responses and the NWK address is not
1662 retained for later data transmission requests.
- 1663 The application MAY limit the number of hops a transmitted frame is allowed to travel through the network by setting
1664 the RadiusCounter parameter of the NLDE-DATA.request primitive to a non-zero value.
- 1665 The parameters UseAlias, AliasSrcAddr and AliasSeqNumb SHALL be used in the invocation of the NLDE-
1666 DATA.request primitive. If UseAlias is set to TRUE, the AliasSeqNumb value SHALL be copied into the APS Coun-
1667 ter field instead of using the device's own value.
- 1668 If the UseAlias parameter has the value of TRUE, and the Acknowledged transmission field of the TxOptions param-
1669 eter is set to 0b1, then the APSDE issues the APSDE-DATA.confirm primitive with a status of NOT_SUPPORTED.
- 1670 If the TxOptions parameter specifies that secured transmission is required, the APS sub-layer SHALL use the security
1671 service provider (see section 4.2.3) to secure the ASDU. The security processing SHALL always be performed using
1672 device's own extended 64-bit IEEE address and the OutgoingFrameCounter attribute as stored in apsDeviceKeyPair-
1673 Set attribute of the AIB for the entity indicated by the DstAddress parameter, and those values SHALL be put into the
1674 auxiliary APS header of the frame, even if UseAlias parameter has a value of TRUE. If the security processing fails,
1675 the APSDE SHALL issue the APSDE-DATA.confirm primitive with a status of SECURITY_FAIL.
- 1676 The APSDE transmits the constructed frame by issuing the NLDE-DATA.request primitive to the NWK layer. When
1677 the APSDE has completed all operations related to this transmission request, including transmitting frames as required,
1678 any retransmissions, and the receipt or timeout of any acknowledgements, then the APSDE SHALL issue the APSDE-
1679 DATA.confirm primitive (see section 2.2.4.1.2). If one or more NLDE-DATA.confirm primitives failed, then the
1680 Status parameter SHALL be set to that received from the NWK layer. Otherwise, if one or more APS acknowledge-
1681 ments were not correctly received, then the Status parameter SHALL be set to NO_ACK. If the ASDU was success-
1682 fully transferred to all intended targets, then the Status parameter SHALL be set to SUCCESS.
- 1683 The APSDE will ensure that route discovery is always enabled at the network layer by setting the DiscoverRoute
1684 parameter of the NLDE-DATA.request primitive to 0x01, each time it is issued.
- 1685 If the ASDU to be transmitted is large than will fit in a single frame and the destination is a broadcast address then the
1686 APSDE SHALL return a status of ASDU_TOO_LONG error via the APSDE-DATA.confirm.
- 1687 If the ASDU to be transmitted is larger than will fit in a single frame and the destination is not a broadcast address,,
1688 then the device SHALL first determine whether the destination supports fragmentation and the size of the maximum
1689 incoming transfer size of the destination. This is done by initiating a ZDO Node_Desc_req to the device including the
1690 Fragmentation Parameters Global TLV of the local device. The ZDO Node_Desc_rsp will include the support and the
1691 maximum buffer size the destination can support. The sender of the message SHALL wait apsZdoResponseTimeout
1692 seconds for the response. If no response is received a status of NO_ACK is returned to the application via the APSDE-
1693 DATA.confirm.
- 1694 If the ASDU to be transmitted is larger than will fit in a single frame, an acknowledged transmission is requested, and
1695 the fragmentation permitted flag of the TxOptions field is set to 1, and the ASDU is not too large to be handled by the
1696 APSDE, then the ASDU SHALL be fragmented across multiple APDUs, as described in section 2.2.8.4.5. Transmis-
1697 sion and security processing where requested, SHALL be carried out for each individual APDU independently. Note
1698 that fragmentation SHALL NOT be used unless relevant higher-layer interactions explicitly indicate that fragmenta-
1699 tion is permitted for the frame being sent, and that the other end is able to receive the fragmented transmission, both
1700 in terms of number of blocks and total transmission size.
- 1701 Figure 2-2 indicates the overall flow of how the APSDE state machine will manage message for fragmentation.



1702

1703

Figure 2-2. APSDE-DATA.request Process Flow

1704 **2.2.4.1.2 APSDE-DATA.confirm**

1705 The primitive reports the results of a request to transfer a data PDU (ASDU) from a local NHLE to one or more peer
 1706 NHLEs.

1707 **2.2.4.1.2.1 Semantics of the Service Primitive**

1708 This semantics of this primitive are as follows:

1709	APSDE-DATA.confirm	{
1710		DstAddrMode,
1711		DstAddress,
1712		DstEndpoint,
1713		SrcEndpoint,
1714		Status,
1715		TxTime
1716		}

1717 Table 2-3 specifies the parameters for the APSDE-DATA.confirm primitive.

1718

Table 2-3. APSDE-DATA.confirm Parameters

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive and of the APDU to be transferred. This parameter can take one of the non-reserved values from the following list: 0x00 = DstAddress and DstEndpoint not present 0x01 = 16-bit group address for DstAddress; DstEndpoint not present 0x02 = 16-bit address for DstAddress and DstEndpoint present 0x03= 64-bit extended address for DstAddress and DstEndpoint present 0x04 – 0xff = reserved
DstAddress	Address	As specified by the DstAddrMode parameter	The individual device address or group address of the entity to which the ASDU is being transferred.
DstEndpoint	Integer	0x00 – 0xff	This parameter SHALL be present if, and only if, the DstAddrMode parameter has a value of 0x02 or 0x03 and, if present, shall be the number of the individual endpoint of the entity to which the ASDU is being transferred.
SrcEndpoint	Integer	0x00 – 0xfe	The individual endpoint of the entity from which the ASDU is being transferred.
Status	Enumeration	SUCCESS, NO_SHORT_ADDRESS, NO_BOUND_DEVICE, SECURITY_FAIL, NO_ACK, ASDU_TOO_LONG, PEER_CANNOT_FRAGMENT, UN-KNOWN_FRAGMENT_SUPPORT or any status values returned from the NLDE-DATA.confirm primitive.	The status of the corresponding request.
TxTime	Integer	Implementation specific	A time indication for the transmitted packet based on the local clock, as provided by the NWK layer.

1719 2.2.4.1.2.2 **When Generated**

1720 This primitive is generated by the local APS sub-layer entity in response to an APSDE-DATA.request primitive. This
 1721 primitive returns a status of either SUCCESS, indicating that the request to transmit was successful, or an error code
 1722 of NO_SHORT_ADDRESS, NO_BOUND_DEVICE, SECURITY_FAIL, ASDU_TOO_LONG, or any status values

1723 returned from the NLDE-DATA.confirm primitive. The reasons for these status values are fully described in section
 1724 2.2.4.1.1.3.

1725 2.2.4.1.2.3 **Effect on Receipt**

1726 On receipt of this primitive, the next higher layer of the initiating device is notified of the result of its request to
 1727 transmit. If the transmission attempt was successful, the Status parameter will be set to SUCCESS. Otherwise, the
 1728 Status parameter will indicate the error.

1729 **2.2.4.1.3 APSDE-DATA.indication**

1730 This primitive indicates the transfer of a data PDU (ASDU) from the APS sub-layer to the local application entity.

1731 2.2.4.1.3.1 **Semantics of the Service Primitive**

1732 The semantics of this primitive are as follows:

1733	APSDE-DATA.indication	{
1734		DstAddrMode,
1735		DstAddress,
1736		DstEndpoint,
1737		SrcAddrMode,
1738		SrcAddress,
1739		SrcEndpoint,
1740		ProfileId,
1741		ClusterId,
1742		asduLength,
1743		asdu,
1744		Status,
1745		SecurityStatus,
1746		LinkQuality,
1747		KeyIndex,
1748		RxTime,
1749		DeviceKeyPairEntry
1750		}

1751 Table 2-4 specifies the parameters for the APSDE-DATA.indication primitive.

1752 **Table 2-4. APSDE-DATA.indication Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 - 0xff	The addressing mode for the destination address used in this primitive and of the APDU that has been received. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddress; DstEndpoint not present

Name	Type	Valid Range	Description
			<p>0x02 = 16-bit address for DstAddress and DstEndpoint present</p> <p>0x03 = 64-bit extended address for DstAddress and DstEndpoint present.</p> <p>0x04 = 64-bit extended address for DstAddress, but DstEndpoint NOT present.</p> <p>0x05 – 0xff = reserved</p>
DstAddress	Address	As specified by the DstAddr-Mode parameter	The individual device address or group address to which the ASDU is directed.
DstEndpoint	Integer	0x00 – 0xfe	The target endpoint on the local entity to which the ASDU is directed.
SrcAddrMode	Integer	0x00 – 0xff	<p>The addressing mode for the source address used in this primitive and of the APDU that has been received. This parameter can take one of the non-reserved values from the following list:</p> <p>0x00 = reserved</p> <p>0x01 = reserved</p> <p>0x02 = 16-bit short address for SrcAddress and SrcEndpoint present</p> <p>0x03 = 64-bit extended address for SrcAddress and SrcEndpoint present</p> <p>0x04 = 64-bit extended address for SrcAddress, but SrcEndpoint NOT present.</p> <p>0x05 – 0xff = reserved</p>
SrcAddress	Address	As specified by the SrcAddr-Mode parameter	The individual device address of the entity from which the ASDU has been received.
SrcEndpoint	Integer	0x00 – 0xfe	The number of the individual endpoint of the entity from which the ASDU has been received.
ProfileId	Integer	0x0000 – 0xffff	The identifier of the profile from which this frame originated.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the received object.

Name	Type	Valid Range	Description
asduLength	Integer	-	The number of octets comprising the ASDU being indicated by the APSDE.
asdu	Set of octets	-	The set of octets comprising the ASDU being indicated by the APSDE.
Status	Enumeration	SUCCESS, DEFRAG_UNSUPPORTED, DEFRAG_DEFERRED or any status returned from the security processing of the frame	The status of the incoming frame processing.
SecurityStatus	Enumeration	UNSECURED, SECURED_NWK_KEY, or SECURED_LINK_KEY	UNSECURED if the ASDU was received without any security. SECURED_NWK_KEY if the received ASDU was secured with the NWK key. SECURED_LINK_KEY if the ASDU was secured with a link key.
LinkQuality	Integer	0x00 - 0xff	The link quality indication delivered by the NLDE.
KeyIndex	Integer	0 – 255	This value is only valid when SecurityStatus is set to SECURED_LINK_KEY. This indicates the index in the <i>apsDeviceKeyPairSet</i> table that was used to decrypt the incoming packet. The application may use this index to obtain all the details about the key via an APSME-GET.request.
RxTime	Integer	Implementation-specific	A time indication for the received packet based on the local clock, as provided by the NWK layer.
DeviceKeyPairEntry	Handle	NULL or pointer	If SecurityStatus indicates SECURED_LINK_KEY this will be a handle to the DeviceKeyPairEntry that was used during APS decryption. Otherwise, it will be NULL. This can be used by the application to determine the security parameters of the link key associated with the sending device and thus apply any application layer policies to the message.

1753 2.2.4.1.3.2 **When Generated**

1754 This primitive is generated by the APS sub-layer and issued to the next higher layer on receipt of an appropriately
1755 addressed data frame from the local NWK layer entity or following receipt of an APSDE-DATA.
1756 request in which the DstAddrMode parameter was set to 0x00 and the binding table entry has directed the frame to
1757 the device itself. If the frame control field of the ASDU header indicates that the frame is secured, security processing
1758 SHALL be done as specified in section 4.4.1.

1759 This primitive is generated by the APS sub-layer entity and issued to the next higher layer entity on receipt of an
1760 appropriately addressed data frame from the local network layer entity, via the NLDE-DATA.indication primitive.

1761 If the frame control field of the APDU header indicates that the frame is secured, then security processing SHALL be
1762 undertaken as specified in section 4.4.1. If the security processing fails, the APSDE sets the Status parameter to the
1763 security error code returned from the security processing.

1764 If the frame is not secured or the security processing was successful, the APSDE SHALL check for the frame being
1765 fragmented. If the extended header is included in the APDU header and the fragmentation sub-field of the extended
1766 frame control field indicates that the frame is fragmented but this device does not support fragmentation, the APSDE
1767 sets the Status parameter to DEFrag_UNSUPPORTED. If the extended header is included in the APDU header, the
1768 fragmentation sub-field of the extended frame control field indicates that the frame is fragmented and the device
1769 supports fragmentation, but is not currently able to defragment the frame, the APSDE sets the Status parameter to
1770 DEFrag_DEFERRED.

1771 Under all other circumstances, the APSDE sets the Status parameter to SUCCESS.

1772 If the Status parameter is not set to SUCCESS, the APSDE sets the ASDULength parameter to 0 and the ASDU
1773 parameter to the null set of bytes.

1774 The APS sub-layer entity SHALL attempt to map the source address from the received frame to its corresponding
1775 extended 64-bit IEEE address by using the nwkAddressMap attribute of the NIB (see Table 3-46). If a corresponding
1776 64-bit IEEE address was found, the APSDE issues this primitive with the SrcAddrMode parameter set to 0x03 and
1777 the SrcAddress parameter set to the corresponding 64-bit IEEE address. If a corresponding 64-bit IEEE address was
1778 not found, the APSDE issues this primitive with the SrcAddrMode parameter set to 0x02, and the SrcAddress param-
1779 eter set to the 16-bit source address as contained in the received frame.

1780 2.2.4.1.3.3 **Effect on Receipt**

1781 On receipt of this primitive, the next higher layer is notified of the arrival of data at the device.

1782 **2.2.4.2 APS Management Service**

1783 The APS management entity SAP (APSME-SAP) supports the transport of management commands between the next
1784 higher layer and the APSME. Table 2-5 summarizes the primitives supported by the APSME through the APSME-
1785 SAP interface. See the following sections for more details on the individual primitives.

1786

1787

Table 2-5. Summary of the Primitives Accessed Through the APSME-SAP

Name	Request	Indication	Response	Confirm
APSME-BIND	2.2.4.3.1			2.2.4.3.2
APSME-UNBIND	2.2.4.3.3			2.2.4.3.4
APSME-GET	2.2.4.4.1			2.2.4.4.2
APSME-SET	2.2.4.4.3			2.2.4.4.4
APSME-ADD-GROUP	2.2.4.5.1			2.2.4.5.2
APSME-REMOVE-GROUP	2.2.4.5.3			2.2.4.5.4
APSME-REMOVE-ALL-GROUPS	2.2.4.5.5			2.2.4.5.6

1788

2.2.4.3 Binding Primitives

1789

This set of primitives defines how the next higher layer of a device can add (commit) a binding record to its local binding table or remove a binding record from its local binding table.

1790

1791

Only a device supporting a binding table MAY process these primitives. If any other device receives these primitives from their next higher layer, the primitives SHOULD be rejected.

1792

1793

2.2.4.3.1 APSME-BIND.request

1794

This primitive allows the next higher layer to request to bind two devices together, or to bind a device to a group, by creating an entry in its local binding table, if supported.

1795

1796

2.2.4.3.1.1 Semantics of the Service Primitive

1797

The semantics of this primitive are as follows:

1798

```

APSME-BIND.request      {
1799                      SrcAddr,
1800                      SrcEndpoint,
1801                      ClusterId,
1802                      DstAddrMode,
1803                      DstAddr,
1804                      DstEndpoint
1805                      }

```

1806

Table 2-6 specifies the parameters for the APSME-BIND.request primitive.

1807

Table 2-6. APSME-BIND.request Parameters

Name	Type	Valid Range	Description
SrcAddr	IEEE address	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is to be bound to the destination device.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndpoint present 0x04 – 0xff = reserved
DstAddr	Address	As specified by the DstAddrMode parameter	The destination address for the binding entry.
DstEndpoint	Integer	0x01 – 0xff	This parameter will be present only if the DstAddrMode parameter has a value of 0x03 and, if present, will be the destination endpoint for the binding entry.

1808 2.2.4.3.1.2 **When Generated**

1809 This primitive is generated by the next higher layer and issued to the APS sub-layer in order to instigate a binding
1810 operation on a device that supports a binding table.

1811 2.2.4.3.1.3 **Effect on Receipt**

1812 On receipt of this primitive by a device that is not currently joined to a network, or by a device that does not support
1813 a binding table, or if any of the parameters has a value which is out of range, the APSME issues the APSME-
1814 BIND.confirm primitive with the Status parameter set to ILLEGAL_REQUEST.

1815 If the APS sub-layer on a device that supports a binding table receives this primitive from the NHLE, the APSME
1816 attempts to create the specified entry directly in its binding table. If the entry could be created, the APSME issues the
1817 APSME-BIND.confirm primitive with the Status parameter set to SUCCESS. If the entry could not be created due to
1818 a lack of capacity in the binding table, the APSME issues the APSME-BIND.confirm primitive with the Status pa-
1819 rameter set to TABLE_FULL.

1820 2.2.4.3.2 **APSME-BIND.confirm**

1821 This primitive allows the next higher layer to be notified of the results of its request to bind two devices together, or
1822 to bind a device to a group.

1823 2.2.4.3.2.1 **Semantics of the Service Primitive**

1824 The semantics of this primitive are as follows:

1825	APSME-BIND.confirm	{
1826		Status,
1827		SrcAddr,
1828		SrcEndpoint,
1829		ClusterId,
1830		DstAddrMode,
1831		DstAddr,
1832		DstEndpoint
1833		}

1834 Table 2-7 specifies the parameters for the APSME-BIND.confirm primitive.

1835 **Table 2-7. APSME-BIND.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, ILLEGAL_REQUEST, TABLE_FULL, or NOT_SUPPORTED	The results of the binding request.
SrcAddr	IEEE address	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is to be bound to the destination device.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndpoint present 0x04 – 0xff = reserved
DstAddr	Address	As specified by the DstAddr-Mode parameter	The destination address for the binding entry.

Name	Type	Valid Range	Description
DstEndpoint	Integer	0x01 – 0xff	This parameter will be present only if the DstAddrMode parameter has a value of 0x03 and, if present, will be the destination endpoint for the binding entry.

1836 2.2.4.3.2.2 **When Generated**

1837 This primitive is generated by the APSME and issued to its NHLE in response to an APSME-BIND.request primitive.
 1838 If the request was successful, the Status parameter will indicate a successful bind request. Otherwise, the Status pa-
 1839 rameter indicates an error code of NOT_SUPPORTED, ILLEGAL_REQUEST or TABLE_FULL.

1840 2.2.4.3.2.3 **Effect on Receipt**

1841 On receipt of this primitive, the next higher layer is notified of the results of its bind request. If the bind request was
 1842 successful, the Status parameter is set to SUCCESS. Otherwise, the Status parameter indicates the error.

1843 2.2.4.3.3 **APSME-UNBIND.request**

1844 This primitive allows the next higher layer to request to unbind two devices, or to unbind a device from a group, by
 1845 removing an entry in its local binding table, if supported.

1846 2.2.4.3.3.1 **Semantics of the Service Primitive**

1847 The semantics of this primitive are as follows:

1848	APSME-UNBIND.request	{
1849		SrcAddr,
1850		SrcEndpoint,
1851		ClusterId,
1852		DstAddrMode,
1853		DstAddr,
1854		DstEndpoint
1855		}

1856 Table 2-8 specifies the parameters for the APSME-UNBIND.request primitive.

1857 **Table 2-8. APSME-UNBIND.request Parameters**

Name	Type	Valid Range	Description
SrcAddr	IEEE ad- dress	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination device.

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndpoint present 0x04 – 0xff = reserved
DstAddr	Address	As specified by the DstAddrMode parameter.	The destination address for the binding entry.
DstEndpoint	Integer	0x01 – 0xff	This parameter will be present only if the DstAddrMode parameter has a value of 0x03 and, if present, will be the destination endpoint for the binding entry.

1858 2.2.4.3.3.2 **When Generated**

1859 This primitive is generated by the next higher layer and issued to the APS sub-layer in order to instigate an unbind
1860 operation on a device that supports a binding table.

1861 2.2.4.3.3.3 **Effect on Receipt**

1862 On receipt of this primitive by a device that is not currently joined to a network, or by a device that does not support
1863 a binding table, or if any of the parameters has a value which is out of range, the APSME issues the APSME-UN-
1864 BIND.confirm primitive with the Status parameter set to ILLEGAL_REQUEST.

1865 If the APS on a device that supports a binding table receives this primitive from the NHLE, the APSME searches for
1866 the specified entry in its binding table. If the entry exists, the APSME removes the entry and issues the APSME-
1867 UNBIND.confirm (see section 2.2.4.3.4) primitive with the Status parameter set to SUCCESS. If the entry could not
1868 be found, the APSME issues the APSME-UNBIND.confirm primitive with the Status parameter set to INVA-
1869 LID_BINDING.

1870 2.2.4.3.4 **APSME-UNBIND.confirm**

1871 This primitive allows the next higher layer to be notified of the results of its request to unbind two devices, or to
1872 unbind a device from a group.

1873

1874 2.2.4.3.4.1 **Semantics of the Service Primitive**

1875 The semantics of this primitive are as follows:

1876	APSME-UNBIND.confirm	{
1877		Status,
1878		SrcAddr,
1879		SrcEndpoint,
1880		ClusterId,
1881		DstAddrMode,
1882		DstAddr,
1883		DstEndpoint
1884		}

1885 Table 2-9 specifies the parameters for the APSME-UNBIND.confirm primitive.

1886 **Table 2-9. APSME-UNBIND.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, ILLEGAL_REQUEST, or INVALID_BINDING	The results of the unbind request.
SrcAddr	IEEE address	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination device.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this primitive. This parameter can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndpoint present 0x04 – 0xff = reserved
DstAddr	Address	As specified by the DstAddr-Mode parameter	The destination address for the binding entry.
DstEndpoint	Integer	0x01 – 0xff	The destination endpoint for the binding entry.

1887 2.2.4.3.4.2 **When Generated**

1888 This primitive is generated by the APSME and issued to its NHLE in response to an APSME-UNBIND.request prim-
 1889 itive. If the request was successful, the Status parameter will indicate a successful unbind request. Otherwise, the
 1890 Status parameter indicates an error code of ILLEGAL_REQUEST, or INVALID_BINDING.

1891 2.2.4.3.4.3 **Effect on Receipt**

1892 On receipt of this primitive, the next higher layer is notified of the results of its unbind request. If the unbind request
 1893 was successful, the Status parameter is set to SUCCESS. Otherwise, the Status parameter indicates the error.

1894 **2.2.4.4 Information Base Maintenance**

1895 This set of primitives defines how the next higher layer of a device can read and write attributes in the AIB.APSME-
 1896 GET.request.

1897 **2.2.4.4.1 APSME-GET.request**

1898 This primitive allows the next higher layer to read the value of an attribute from the AIB.

1899 2.2.4.4.1.1 **Semantics of the Service Primitive**

1900 The semantics of this primitive are as follows:

1901	APSME-GET.request	{
1902		AIBAttribute
1903		}

1904 Table 2-10 specifies the parameters for this primitive.

1905 **Table 2-10. APSME-GET.request Parameters**

Name	Type	Valid Range	Description
AIBAttribute	Integer	See Table 2-24.	The identifier of the AIB attribute to read.

1906 2.2.4.4.1.2 **When Generated**

1907 This primitive is generated by the next higher layer and issued to its APSME in order to read an attribute from the
 1908 AIB.

1909 2.2.4.4.1.3 **Effect on Receipt**

1910 On receipt of this primitive, the APSME attempts to retrieve the requested AIB attribute from its database. If the
 1911 identifier of the AIB attribute is not found in the database, the APSME issues the APSME-GET.confirm primitive
 1912 with a status of UNSUPPORTED_ATTRIBUTE.

1913 If the requested AIB attribute is successfully retrieved, the APSME issues the APSME-GET.confirm primitive with a
 1914 status of SUCCESS such that it contains the AIB attribute identifier and value.

1915 **2.2.4.4.2 APSME-GET.confirm**

1916 This primitive reports the results of an attempt to read the value of an attribute from the AIB.

1917

1918 2.2.4.4.2.1 **Semantics of the Service Primitive**

1919 The semantics of this primitive are as follows:

1920	APSME-GET.confirm	{
1921		Status,
1922		AIBAttribute,
1923		AIBAttributeLength,
1924		AIBAttributeValue
1925		}

1926 Table 2-11 specifies the parameters for this primitive.

1927 **Table 2-11. APSME-GET.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS or UNSUPPORTED_ATTRIBUTE	The results of the request to read an AIB attribute value.
AIBAttribute	Integer	See Table 2-24.	The identifier of the AIB attribute that was read.
AIBAttributeLength	Integer	0x0001 – 0xffff	The length, in octets, of the attribute value being returned.
AIBAttributeValue	Various	Attribute-specific (see Table 2-24)	The value of the AIB attribute that was read.

1928 2.2.4.4.2.2 **When Generated**

1929 This primitive is generated by the APSME and issued to its next higher layer in response to an APSME-GET.request primitive. This primitive returns a status of SUCCESS, indicating that the request to read an AIB attribute was successful, or an error code of UNSUPPORTED_ATTRIBUTE. The reasons for these status values are fully described in Table 2-29.

1933 2.2.4.4.2.3 **Effect on Receipt**

1934 On receipt of this primitive, the next higher layer is notified of the results of its request to read an AIB attribute. If the request to read an AIB attribute was successful, the Status parameter will be set to SUCCESS. Otherwise, the Status parameter indicates the error.

1937 **2.2.4.4.3 APSME-SET.request**

1938 This primitive allows the next higher layer to write the value of an attribute into the AIB.

1939 2.2.4.4.3.1 **Semantics of the Service Primitive**

1940 The semantics of this primitive are as follows:

1941	APSME-SET.request	{
1942		AIBAttribute,
1943		AIBAttributeLength,
1944		AIBAttributeValue
1945		}

1946 Table 2-12 specifies the parameters for this primitive.

1947 **Table 2-12. APSME-SET.request Parameters**

Name	Type	Valid Range	Description
AIBAttribute	Integer	See Table 2-24	The identifier of the AIB attribute to be written.
AIBAttributeLength	Integer	0x0000 - 0xffff	The length, in octets, of the attribute value being set.
AIBAttributeValue	Various	Attribute-specific (see Table 2-24).	The value of the AIB attribute that SHOULD be written.

1948 **2.2.4.4.3.2 When Generated**

1949 This primitive is to be generated by the next higher layer and issued to its APSME in order to write the value of an
 1950 attribute in the AIB.

1951 **2.2.4.4.3.3 Effect on Receipt**

1952 On receipt of this primitive, the APSME attempts to write the given value to the indicated AIB attribute in its database.
 1953 If the AIBAttribute parameter specifies an attribute that is not found in the database, the APSME issues the APSME-
 1954 SET.confirm primitive with a status of UNSUPPORTED_ATTRIBUTE. If the AIBAttributeValue parameter speci-
 1955 fies a value that is outside the valid range for the given attribute, the APSME issues the APSME-SET.confirm primi-
 1956 tive with a status of INVALID_PARAMETER.

1957 If the requested AIB attribute is successfully written, the APSME issues the APSME-SET.confirm primitive with a
 1958 status of SUCCESS.

1959 **2.2.4.4.4 APSME-SET.confirm**

1960 This primitive reports the results of an attempt to write a value to an AIB attribute.

1961 **2.2.4.4.4.1 Semantics of the Service Primitive**

1962 The semantics of this primitive are as follows:

1963 APSME-SET.confirm	{
1964	Status,
1965	AIBAttribute
1966	}

1967 Table 2-13 specifies the parameters for this primitive.

1968 **Table 2-13. APSME-SET.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, INVALID_PARAMETER, or UNSUPPORTED_ATTRIBUTE	The result of the request to write the AIB Attribute.
AIBAttribute	Integer	See Table 2-24.	The identifier of the AIB attribute that was written.

1969 2.2.4.4.4.2 **When Generated**

1970 This primitive is generated by the APSME and issued to its next higher layer in response to an APSME-SET.request
 1971 primitive. This primitive returns a status of either SUCCESS, indicating that the requested value was written to the
 1972 indicated AIB attribute, or an error code of INVALID_PARAMETER or UNSUPPORTED_ATTRIBUTE. The rea-
 1973 sons for these status values are completely described in Table 2-29.

1974 2.2.4.4.4.3 **Effect on Receipt**

1975 On receipt of this primitive, the next higher layer is notified of the results of its request to write the value of a AIB
 1976 attribute. If the requested value was written to the indicated AIB attribute, the Status parameter will be set to SUC-
 1977 CESS. Otherwise, the Status parameter indicates the error.

1978 **2.2.4.5 Group Management**

1979 This set of primitives allows the next higher layer to manage group membership for endpoints on the current device
 1980 by adding and removing entries in the group table.

1981 **2.2.4.5.1 APSME-ADD-GROUP.request**

1982 This primitive allows the next higher layer to request that group membership for a particular group be added for a
 1983 particular endpoint.

1984 2.2.4.5.1.1 **Semantics of the Service Primitive**

1985 The semantics of this primitive are as follows:

1986	APSME-ADD-GROUP.request	{
1987		GroupAddress,
1988		Endpoint
1989		}

1990 Table 2-14 specifies the parameters for this primitive.

1991 **Table 2-14. APSME-ADD-GROUP.request Parameters**

Name	Type	Valid Range	Description
GroupAddress	16-bit group address	0x0000 – 0xffff	The 16-bit address of the group being added.
Endpoint	Integer	0x01 – 0xfe	The endpoint to which the given group is being added.

1992 2.2.4.5.1.2 **When Generated**

1993 This primitive is generated by the next higher layer when it wants to add membership in a particular group to an
 1994 endpoint, so that frames addressed to the group will be delivered to that endpoint in the future.

1995 2.2.4.5.1.3 **Effect on Receipt**

1996 If, on receipt of this primitive, the GroupAddress parameter is found to be outside the valid range, then the APSME
 1997 will issue the APSME-ADD-GROUP.confirm primitive to the next higher layer with a status value of
 1998 INVALID_PARAMETER. Similarly, if the Endpoint parameter has a value which is out of range or else enumerates
 1999 an endpoint that is not implemented on the current device, the APSME will issue the APSME-ADD-GROUP.confirm
 2000 primitive with a Status of INVALID_PARAMETER.

2001 After checking the parameters as described above, the APSME will check the group table to see if an entry already
 2002 exists containing the values given by the GroupAddress and Endpoint parameters. If such an entry already exists in
 2003 the table then the APSME will issue the APSME-ADD-GROUP.confirm primitive to the next higher layer with a

2004 status value of SUCCESS. If there is no such entry and there is space in the table for another entry then the APSME
 2005 will add a new entry to the group table with the values given by the GroupAddress and Endpoint parameters. The
 2006 APSME then issues the APSME-ADD-GROUP.confirm primitive to the next higher layer with a status value of SUC-
 2007 CESS. If no entry for the given GroupAddress and Endpoint is present but there is no room in the group table for
 2008 another entry, then the APSME will issue the APSME-ADD-GROUP.confirm primitive to the next higher layer with
 2009 a status value of TABLE_FULL.

2010 2.2.4.5.2 APSME-ADD-GROUP.confirm

2011 This primitive allows the next higher layer to be informed of the results of its request to add a group to an endpoint.

2012 2.2.4.5.2.1 Semantics of the Service Primitive

2013 The semantics of the service primitive are as follows:

2014	APSME-ADD-GROUP.confirm	{
2015		Status,
2016		GroupAddress,
2017		Endpoint
2018		}

2019 Table 2-15 specifies the parameters for this primitive.

2020 **Table 2-15. APSME-ADD-GROUP.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, INVALID_PARAMETER, or TABLE_FULL	The status of the request to add a group.
GroupAddress	16-bit group address	0x0000 - 0xffff	The 16-bit address of the group being added.
Endpoint	Integer	0x01 - 0xfe	The endpoint to which the given group is being added.

2021 2.2.4.5.2.2 When Generated

2022 This primitive is generated by the APSME and issued to the next higher layer in response to an
 2023 APMSE-ADD-GROUP.request primitive. If the APSME-ADD-GROUP.request was successful, then the Status pa-
 2024 rameter value will be SUCCESS. If one of the parameters of the APMSE-ADD-GROUP.request primitive had an
 2025 invalid value, then the status value will be set to INVALID_PARAMETER. If the APMSE attempted to add a group
 2026 table entry but there was no room in the table for another entry, then the status value will be TABLE_FULL.

2027 2.2.4.5.2.3 Effect on Receipt

2028 On receipt of this primitive, the next higher layer is informed of the status of its request to add a group. The Status
 2029 parameter values will be as described above.

2030 2.2.4.5.3 APSME-REMOVE-GROUP.request

2031 This primitive allows the next higher layer to request that group membership in a particular group for a particular
 2032 endpoint be removed.

2033

2034 2.2.4.5.3.1 **Semantics of the Service Primitive**

2035 The semantics of the service primitive are as follows:

```

2036     APSME-REMOVE-GROUP.request      {
2037                                     GroupAddress,
2038                                     Endpoint
2039     }

```

2040 Table 2-16 specifies the parameters for this primitive.

2041 **Table 2-16. APSME-REMOVE-GROUP.request Parameters**

Name	Type	Valid Range	Description
GroupAddress	16-bit group address	0x0000 – 0xffff	The 16-bit address of the group being removed.
Endpoint	Integer	0x01 – 0xfe	The endpoint to which the given group is being removed.

2042 2.2.4.5.3.2 **When Generated**

2043 This primitive is generated by the next higher layer when it wants to remove membership in a particular group from
 2044 an endpoint so that frames addressed to the group will no longer be delivered to that endpoint.

2045 2.2.4.5.3.3 **Effect on Receipt**

2046 If, on receipt of this primitive, the GroupAddress parameter is found to be outside the valid range, then the APSME
 2047 will issue the APSME-REMOVE-GROUP.confirm primitive to the next higher layer with a status value of
 2048 INVALID_PARAMETER. Similarly, if the Endpoint parameter has a value which is out of range or else enumerates
 2049 an endpoint that is not implemented on the current device, the APSME will issue the
 2050 APSME-REMOVE-GROUP.confirm primitive with a Status of INVALID_PARAMETER.

2051 After checking the parameters as described above, the APSME will check the group table to see if an entry exists
 2052 containing the values given by the GroupAddress and Endpoint parameters. If such an entry already exists in the table,
 2053 then that entry will be removed. Then, the APSME issues the APSME-REMOVE-GROUP.confirm primitive to the
 2054 next higher layer with a status value of SUCCESS. If there is no such entry, the APSME will issue the
 2055 APSME-REMOVE-GROUP.confirm primitive to the next higher layer with a status value of INVALID_GROUP.

2056 2.2.4.5.4 **APSME-REMOVE-GROUP.confirm**

2057 This primitive allows the next higher layer to be informed of the results of its request to remove a group from an
 2058 endpoint.

2059 2.2.4.5.4.1 **Semantics of the Service Primitive**

2060 The semantics of the service primitive are as follows:

```

2061     APSME-REMOVE-GROUP.confirm      {
2062                                     Status,
2063                                     GroupAddress,
2064                                     Endpoint
2065     }

```

2066 Table 2-17 specifies the parameters for this primitive.

2067

Table 2-17. APSME-REMOVE-GROUP.confirm Parameters

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, INVALID_GROUP, or INVALID_PARAMETER	The status of the request to remove a group.
GroupAddress	16-bit group address	0x0000 – 0xffff	The 16-bit address of the group being removed.
Endpoint	Integer	0x01 – 0xfe	The endpoint which is to be removed from the group.

2068 2.2.4.5.4.2 **When Generated**

2069 This primitive is generated by the APSME and issued to the next higher layer in response to an
 2070 APMSE-REMOVE-GROUP.request primitive. If the APSME-REMOVE-GROUP.request was successful, the Status
 2071 parameter value will be SUCCESS. If the APSME-REMOVE-GROUP.request was not successful because an entry
 2072 containing the values given by the GroupAddress and Endpoint parameters did not exist, then the status value will be
 2073 INVALID_GROUP. If one of the parameters of the APMSE-REMOVE-GROUP.request primitive had an invalid
 2074 value, then the status value will be INVALID_PARAMETER.

2075 2.2.4.5.4.3 **Effect on Receipt**

2076 On receipt of this primitive, the next higher layer is informed of the status of its request to remove a group. The Status
 2077 parameter values will be as described above.

2078 2.2.4.5.5 **APSME-REMOVE-ALL-GROUPS.request**

2079 This primitive is generated by the next higher layer when it wants to remove membership in all groups from an end-
 2080 point, so that no group-addressed frames will be delivered to that endpoint.

2081 2.2.4.5.5.1 **Semantics of the Service Primitive**

2082 The semantics of the service primitive are as follows:

2083 APSME-REMOVE-ALL-GROUPS.request	{
2084	Endpoint
2085	}

2086 Table 2-18 specifies the parameters for this primitive.

2087 **Table 2-18. APSME-REMOVE-ALL-GROUPS.request Parameters**

Name	Type	Valid Range	Description
Endpoint	Integer	0x01 – 0xfe	The endpoint to which the given group is being removed.

2088 2.2.4.5.5.2 **When Generated**

2089 This primitive is generated by the next higher layer when it wants to remove membership in all groups from an end-
 2090 point so that no group addressed frames will be delivered to that endpoint.

2091 2.2.4.5.5.3 **Effect on Receipt**

2092 If, on receipt of this primitive, the Endpoint parameter has a value which is out of range or else enumerates an endpoint
2093 that is not implemented on the current device the APSME will issue the APSME-REMOVE-ALL-GROUPS.confirm
2094 primitive with a Status of INVALID_PARAMETER.

2095 After checking the Endpoint parameter as described above, the APSME will remove all entries related to this endpoint
2096 from the group table. Then, the APSME issues the APSME-REMOVE-ALL-GROUPS.confirm primitive to the next
2097 higher layer with a status value of SUCCESS.

2098 2.2.4.5.6 **APSME-REMOVE-ALL-GROUPS.confirm**

2099 This primitive allows the next higher layer to be informed of the results of its request to remove all groups from an
2100 endpoint.

2101 2.2.4.5.6.1 **Semantics of the Service Primitive**

2102 The semantics of the service primitive are as follows:

2103	APSME-REMOVE-ALL-GROUPS.confirm	{
2104		Status,
2105		Endpoint
2106		}

2107 Table 2-19 specifies the parameters for this primitive.

2108 **Table 2-19. APSME-REMOVE-ALL-GROUPS.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS or INVALID_PARAMETER	The status of the request to remove all groups.
Endpoint	Integer	0x01 - 0xfe	The endpoint which is to be removed from all groups.

2109 2.2.4.5.6.2 **When Generated**

2110 This primitive is generated by the APSME and issued to the next higher layer in response to an
2111 APSME-REMOVE-ALL-GROUPS.request primitive. If the APSME-REMOVE-ALL-GROUPS.request was suc-
2112 cessful, then the Status parameter value will be SUCCESS. If the Endpoint parameter of the
2113 APSME-REMOVE-ALL-GROUPS.request primitive had an invalid value, then the status value will be
2114 INVALID_PARAMETER.

2115 2.2.4.5.6.3 **Effect on Receipt**

2116 On receipt of this primitive, the next higher layer is informed of the status of its request to remove all groups from an
2117 endpoint. The Status parameter values will be as described above.

2118 **2.2.5 Frame Formats**

2119 This section specifies the format of the APS frame (APDU). Each APS frame consists of the following basic compo-
2120 nents:

- 2121 • An APS header, which comprises frame control and addressing information.
- 2122 • An APS payload, of variable length, which contains information specific to the frame type.

2123 The frames in the APS sub-layer are described as a sequence of fields in a specific order. All frame formats in this
2124 section are depicted in the order in which they are transmitted by the NWK layer, from left to right, where the leftmost

2125 bit is transmitted first in time. Bits within each field are numbered from 0 (leftmost and least significant) to k-1 (right-
 2126 most and most significant), where the length of the field is k bits. Fields that are longer than a single octet are sent to
 2127 the NWK layer in order from the octet containing the lowest-numbered bits to the octet containing the highest-num-
 2128 bered bits.

2129 On transmission, all fields marked as reserved SHALL be set to zero. On reception, all fields marked as reserved in
 2130 this version of the specification SHALL be checked for being equal to zero. If such a reserved field is not equal to
 2131 zero, no further processing SHALL be applied to the frame and the frame SHALL be discarded.

2132 **2.2.5.1 General APDU Frame Format**

2133 The APS frame format is composed of an APS header and an APS payload. The fields of the APS header appear in a
 2134 fixed order, however, the addressing fields may not be included in all frames. The general APS frame SHALL be
 2135 formatted as illustrated in Figure 2-3.

Octets: 1	0/1	0/2	0/2	0/2	0/1	1	0/ Variable	Variable
Frame control	Destina- tion end- point	Group address	Cluster identifier	Profile identifier	Source endpoint	APS counter	Extended header	Frame pay- load
	Addressing fields							
APS header								APS pay- load

2136 **Figure 2-3. General APS Frame Format**

2137 **2.2.5.1.1 Frame Control Field**

2138 The frame control field is 8 bits in length and contains information defining the frame type, addressing fields, and
 2139 other control flags. The frame control field SHALL be formatted as illustrated in Figure 2-4.

Bits: 0-1	2-3	4	5	6	7
Frame type	Delivery mode	ACK. format	Security	ACK. request	Extended header pre- sent

2140 **Figure 2-4. Format of the Frame Control Field**

2141 **2.2.5.1.1.1 Frame Type Sub-Field**

2142 The frame type sub-field is two bits in length and SHALL be set to one of the non-reserved values listed in Table
 2143 2-20.

2144

2145

Table 2-20. Values of the Frame Type Sub-Field

Frame Type Value b ₁ b ₀	Frame Type Name
00	Data
01	Command
10	Acknowledgement
11	Inter-PAN APS

2146 2.2.5.1.1.2 **Delivery Mode Sub-Field**

2147 The delivery mode sub-field is two bits in length and SHALL be set to one of the non-reserved values from Table
2148 2-21.

2149

Table 2-21. Values of the Delivery Mode Sub-Field

Delivery Mode Value b ₁ b ₀	Delivery Mode Name
00	Normal unicast delivery
01	Reserved
10	Broadcast
11	Group addressing

2150 If the value is 0b00, the frame will be delivered to a given endpoint on the receiving device.

2151 If the value is 0b10, the message is a broadcast. In this case, the message will go to all devices defined for the selected
2152 broadcast address in use as defined in section 3.6.6. The destination endpoint field SHALL be set to a value between
2153 0x01-0xfe (for broadcasts to specific endpoints) or to 0xff (for broadcasts to all active endpoints).

2154 If the value is 0b11, then group addressing is in use and that frame will only be delivered to device endpoints that
2155 express group membership in the group identified by the group address field in the APS header. Note that other end-
2156 points on the source device MAY be members of the group addressed by the outgoing frame. The frame SHALL be
2157 delivered to any member of the group, including other endpoints on the source device that are members of the specified
2158 group.

2159 2.2.5.1.1.3 **ACK Format Field**

2160 This bit indicates if the destination endpoint, cluster identifier, profile identifier and source endpoint fields SHALL be
2161 present in the acknowledgement frame. This is set to 0 for data frame acknowledgement and 1 for APS command
2162 frame acknowledgement.

2163 2.2.5.1.1.4 **Security Sub-Field**

2164 The Security Services Provider (see Chapter 4) manages the security sub-field.

2165 2.2.5.1.1.5 **Acknowledgement Request Sub-Field**

2166 The acknowledgement request sub-field is one bit in length and specifies whether the current transmission requires an
2167 acknowledgement frame to be sent to the originator on receipt of the frame. If this sub-field is set to 1, the recipient

2168 SHALL construct and send an acknowledgement frame back to the originator after determining that the frame is valid.
2169 If this sub-field is set to 0, the recipient SHALL NOT send an acknowledgement frame back to the originator.

2170 This sub-field SHALL be set to 0 for all frames that are broadcast or multicast.

2171 2.2.5.1.1.6 **Extended Header Present**

2172 The extended header present sub-field is one bit in length and specifies whether the extended header SHALL be
2173 included in the frame. If this sub-field is set to 1, then the extended header SHALL be included in the frame. Otherwise,
2174 it SHALL NOT be included in the frame.

2175 2.2.5.1.2 **Destination Endpoint Field**

2176 The destination endpoint field is 8-bits in length and specifies the endpoint of the final recipient of the frame. This
2177 frame SHALL be included in the frame only if the delivery mode subfield is set to 0b00 (normal unicast delivery), or
2178 0b10 (broadcast delivery). In the case of broadcast delivery, the frame SHALL be delivered to the destination endpoint
2179 specified within the range 0x01-0xfe or to all active endpoints if specified as 0xff.

2180 A destination endpoint value of 0x00 addresses the frame to the Zigbee device object (ZDO), resident in each device.
2181 A destination endpoint value of 0x01-0xfe addresses the frame to an application operating on that endpoint. A desti-
2182 nation endpoint value of 0xff addresses the frame to all active endpoints except endpoint 0x00.

2183 2.2.5.1.3 **Group Address Field**

2184 The group address field is 16 bits in length and will only be present if the delivery mode sub-field of the frame control
2185 has a value of 0b11. In this case, the destination endpoint SHALL NOT be present. If the APS header of a frame
2186 contains a group address field, the frame will be delivered to all endpoints for which the group table in the device
2187 contains an association between that endpoint and the group identified by the contents of the group address field.

2188 2.2.5.1.4 **Cluster Identifier Field**

2189 The cluster identifier field is 16 bits in length and specifies the identifier of the cluster to which the frame relates and
2190 which SHALL be made available for filtering and interpretation of messages at each device that takes delivery of the
2191 frame. This field SHALL be present only for data or acknowledgement frames.

2192 2.2.5.1.5 **Profile Identifier Field**

2193 The profile identifier is two octets in length and specifies the Zigbee profile identifier for which the frame is intended
2194 and SHALL be used during the filtering of messages at each device that takes delivery of the frame. This field SHALL
2195 be present only for data or acknowledgement frames.

2196 2.2.5.1.6 **Source Endpoint Field**

2197 The source endpoint field is eight-bits in length and specifies the endpoint of the initial originator of the frame. A
2198 source endpoint value of 0x00 indicates that the frame originated from the ZDO resident in each device. A source
2199 endpoint value of 0x01-0xfe indicates that the frame originated from an application operating on that endpoint.

2200 2.2.5.1.7 **APS Counter**

2201 This field is eight bits in length and is used as described in section 2.2.8.4.2 to prevent the reception of duplicate
2202 frames. This value SHALL be incremented by one for each new transmission.

2203 2.2.5.1.8 **Extended Header Sub-Frame**

2204 The extended header sub-frame contains further sub-fields and SHALL be formatted as illustrated in Figure 2-5.
2205

Octets: 1	0/1	0/1
Extended frame control	Block number	ACK bitfield

Figure 2-5. Format of the Extended Header Sub-Frame

2206

2207 2.2.5.1.8.1 **Extended Frame Control Field**

2208 The extended frame control field is eight bits in length and contains information defining the use of fragmentation.
 2209 The extended frame control field SHALL be formatted as illustrated in Figure 2-6.

Bits: 0-1	2-7
Fragmentation	Reserved

Figure 2-6. Format of the Extended Frame Control Field

2210

2211 The fragmentation sub-field is two bits in length and SHALL be set to one of the non-reserved values listed in Table
 2212 2-22.

Table 2-22. Values of the Fragmentation Sub-Field

2213

Fragmentation Value $b_1 b_0$	Description
00	Transmission is not fragmented.
01	Frame is first fragment of a fragmented transmission.
10	Frame is part of a fragmented transmission but not the first part.
11	Reserved

2214 2.2.5.1.8.2 **Block Number**

2215 The block number field is one octet in length and is used for fragmentation control as follows: If the fragmentation
 2216 sub-field is set to indicate that the transmission is not fragmented then the block number field SHALL NOT be in-
 2217 cluded in the sub-frame. If the fragmentation sub-field is set to 01, then the block number field SHALL be included
 2218 in the sub-frame and SHALL indicate the number of blocks in the fragmented transmission. If the fragmentation sub-
 2219 field is set to 10, then the block number field SHALL be included in the sub-frame and SHALL indicate which block
 2220 number of the transmission the current frame represents, taking the value 0x01 for the second fragment, 0x02 for the
 2221 third, etc.

2222 2.2.5.1.8.3 **ACK Bitfield**

2223 The ACK bitfield field is one octet in length and is used in an APS acknowledgement as described in section 2.2.8.4.3
 2224 to indicate which blocks of a fragmented ASDU have been successfully received. This field is only present if the
 2225 frame type sub-field indicates an acknowledgement and the fragmentation sub-field indicates a fragmented transmis-
 2226 sion.

2227 **2.2.5.1.9 Frame Payload Field**

2228 The frame payload field has a variable length and contains information specific to individual frame types.

2229 **2.2.5.2 Format of Individual Frame Types**2230 There are three defined frame types: data, APS command, and acknowledgement. Each of these frame types is dis-
2231 cussed in the following sections.2232 **2.2.5.2.1 Data Frame Format**

2233 The data frame SHALL be formatted as illustrated in Figure 2-7.

Octets: 1	0/1	0/2	2	2	1	1	0/ Variable	Variable
Frame control	Destina- tion end- point	Group address	Cluster identifier	Profile Identifier	Source endpoint	APS counter	Extended header	Frame pay- load
	Addressing fields							
APS header								APS pay- load

2234 **Figure 2-7. Data Frame Format**2235 The order of the fields of the data frame SHALL conform to the order of the general APS frame as illustrated in Figure
2236 2-8.2237 The APS header field for a data frame SHALL contain the frame control, cluster identifier, profile identifier, source
2238 endpoint and APS counter fields. The destination endpoint, group address and extended header fields SHALL be
2239 included in a data frame according to the values of the delivery mode and extended header present sub-fields of the
2240 frame control field.2241 In the frame control field, the frame type sub-field SHALL contain the value that indicates a data frame, as shown in
2242 Table 2-20. All other sub-fields SHALL be set appropriately according to the intended use of the data frame.2243 **2.2.5.2.1.1 Data Payload Field**2244 For an outgoing data frame, the data payload field SHALL contain part or all of the sequence of octets that the next
2245 higher layer has requested the APS data service to transmit. For an incoming data frame, the data payload field SHALL
2246 contain all or part of the sequence of octets that has been received by the APS data service and that is to be delivered
2247 to the next higher layer.

2248

2249 2.2.5.2.2 APS Command Frame Format

2250 The APS command frame SHALL be formatted as illustrated in Figure 2-8.

Octets: 1	1	1	Variable
Frame control	APS counter	APS command identifier	APS command payload
APS header		APS payload	

2251 **Figure 2-8. APS Command Frame Format**

2252 The order of the fields of the APS command frame SHALL conform to the order of the general APS frame as illustrated
2253 in .

2254 2.2.5.2.2.1 APS Command Frame APS Header Fields

2255 The APS header field for an APS command frame SHALL contain the frame control and APS counter fields. In this
2256 version of the specification, the APS command frame SHALL NOT be fragmented and the extended header field
2257 SHALL NOT be present.

2258 In the frame control field, the frame type sub-field SHALL contain the value that indicates an APS command frame,
2259 as shown in Table 2-20. The APS Command Payload SHALL be set appropriately according to the intended use of
2260 the APS command frame.

2261 2.2.5.2.2.2 APS Command Identifier Field

2262 The APS command identifier field identifies the APS command being used.

2263 2.2.5.2.2.3 APS Command Payload Field

2264 The APS command payload field of an APS command frame SHALL contain the APS command itself.

2265 2.2.5.2.3 Acknowledgement Frame Format

2266 The acknowledgement frame SHALL be formatted as illustrated in Figure 2-9.

Octets: 1	0/1	0/2	0/2	0/1	1	0/Variable
Frame control	Destination endpoint	Cluster identifier	Profile identifier	Source endpoint	APS counter	Extended header
APS header						

2267 **Figure 2-9. Acknowledgement Frame Format**

2268 The order of the fields of the acknowledgement frame SHALL conform to the order of the general APS frame as
2269 illustrated in Figure 2-3.

2270 2.2.5.2.3.1 Acknowledgement Frame APS Header Fields

2271 If the ACK format field is not set in the frame control field, the destination endpoint, cluster identifier, profile identifier
2272 and source endpoint SHALL be present. This is not set for data frame acknowledgement. The extended header field
2273 SHALL be included in a data frame according to the value of the extended header present sub-field of the frame
2274 control field.

2275 In the frame control field, the frame type sub-field SHALL contain the value that indicates an acknowledgement
 2276 frame, as shown in Table 2-20. The extended header present sub-field SHALL contain the same value as in the frame
 2277 to which this frame is an acknowledgement. All other sub-fields shall be set appropriately according to the intended
 2278 use of the acknowledgement frame.

2279 If the ACK format field is set in the frame control field, the frame is an APS command frame acknowledgement and
 2280 the destination endpoint, cluster identifier, profile identifier and source endpoint fields SHALL NOT be included.
 2281 Alternatively, if an APS data frame is being acknowledged, the source endpoint field SHALL reflect the value in the
 2282 destination endpoint field of the frame that is being acknowledged. Similarly, the destination endpoint field SHALL
 2283 reflect the value in the source endpoint field of the frame that is being acknowledged. And the Cluster identifier and
 2284 Profile identifier fields SHALL contain the same values as in the frame to which this frame is an acknowledgement.

2285 The APS counter field SHALL contain the same value as the frame to which this frame is an acknowledgment.

2286 Where the extended header is present, the fragmentation sub-field of the extended frame control field SHALL contain
 2287 the same value as in the frame to which this frame is an acknowledgement. If fragmentation is in use for this frame,
 2288 then the block number and ACK bitfield fields SHALL be present. Where present, the block number field SHALL
 2289 contain block number to which this frame is an acknowledgement. If fragmentation is in use, the acknowledgement
 2290 frames SHALL be issued according to section 2.2.8.4.5.4 and not for each received frame unless the transmission
 2291 window size is set to request acknowledgement of each frame.

2292 2.2.6 Command Frames

2293 This specification defines no command frames. Refer to section 4.4.11 for a thorough description of the APS command
 2294 frames and primitives related to security.

2295 2.2.7 Constants and PIB Attributes

2296 2.2.7.1 APS Constants

2297 The constants that define the characteristics of the APS sub-layer are presented in Table 2-23.

2298 **Table 2-23. APS Sub-Layer Constants**

Constant	Description	Value
apscMaxDescriptorSize	The maximum number of octets contained in a non-complex descriptor.	64
apscMaxFrameRetries	The maximum number of retries allowed after a transmission failure.	3
apscAckWaitDuration	The maximum number of seconds to wait for an acknowledgement to a transmitted frame.	1.6 seconds $0.05 * (2 * nwkMaxDepth) + (\text{security encrypt/decrypt delay})$ where the (security encrypt/decrypt delay) = 0.1 (assume 0.05 per encrypt or decrypt cycle)
apscMinDuplicateRejectionTableSize	The minimum required size of the APS duplicate rejection table.	1

Constant	Description	Value
apsMinHeaderOverhead	The minimum number of octets added by the APS sub-layer to an ASDU.	12
apsParentAnnounceBaseTimer	The base amount of delay, in seconds, before each broadcast parent announce is sent.	10 seconds
apsParentAnnounceJitterMax	The max amount of jitter that is added to the apsParentAnnounceBaseTimer before each broadcast parent announce is sent.	10 seconds.
apsJoinerTLVsUnfragmentedMaxSize	The max amount of TLV payload that an parent router can pass from the Joiner to the Trust Center. This value only applies to the TLV payload of the APS Command: Update Device.	79
apsMaxWindowSize	The stack-wide window size supported by all endpoints used by the application.	1
apsInterframeDelay	Fragmentation parameter—the standard delay, in milliseconds, between sending two blocks of a fragmented transmission (see section 2.2.8.4.5). This parameter is global to the stack across all endpoints.	0 (Not used for Window Size 1)

2299 2.2.7.2 APS Information Base

2300 The APS information base comprises the attributes required to manage the APS layer of a device. The attributes of
2301 the AIB are listed in Table 2-24. The security-related AIB attributes are described in section 4.4.12.

2302

Table 2-24. APS IB Attributes

Attribute	Identifier	Type	Range	Description	Default
apsBindingTable	0xc1	Set	Variable	The current set of binding table entries in the device (see section 2.2.8.2.1).	Null set
apsDesignated-Coordinator	0xc2	Boolean	TRUE or FALSE	TRUE if the device SHOULD become the Zigbee Coordinator on startup, FALSE if otherwise.	FALSE

Attribute	Identifier	Type	Range	Description	Default
apsChannelMask-List	0xc3	List of IEEE Std 802.15.4 channel masks	Any legal list of masks for the PHY	The list of masks of allowable channels for this device to use for network operations.	All channels
apsUseExtended-PANID	0xc4	64-bit extended address	0x0000000000000000 to 0xfffffffffffffe	The 64-bit address of a network to form or to join.	0x0000000000000000
apsGroupTable	0x0c5	Set	Variable	The current set of group table entries (see Table 2-25).	Null set
Reserved	0xc6				
apsUseInsecure-Join	0xc8	Boolean	TRUE or FALSE	A flag controlling the use of insecure join at startup.	FALSE
apsInter-frameDelay	0xc9	Integer	0x00 to 0xff (MAY be restricted by application profile)	Fragmentation parameter—the standard delay, in milliseconds, between sending two blocks of a fragmented transmission (see section 2.2.8.4.5).	Set by application profile
apsLastChannel Energy	0xca	Integer	0x00 - 0xff	The energy measurement for the channel energy scan performed on the previous channel just before a channel change (in accordance with [B1]).	Null set
apsLastChannel FailureRate	0xcb	Integer	0-100 (decimal)	The latest percentage of transmission network transmission failures for the previous channel just before a channel change (in percentage of failed transmissions to the total number of transmissions attempted).	Null set

Attribute	Identifier	Type	Range	Description	Default
apsChannelTimer	0xcc	Integer	1-24 (decimal)	A countdown timer (in hours) indicating the time to the next permitted frequency agility channel change. A value of NULL indicates the channel has not been changed previously.	Null set
apsParentAnnounceTimer	0xce	Integer	0 to ap-sParentAnnounceBaseTimer + ap-sParentAnnounceJitterMax	The value of the current countdown timer before the next Parent_ance is sent.	0
apsZdoRestrictedMode	0xcf	Boolean	TRUE or FALSE	Indicates whether or not the ZDO is in restricted mode and thus will not accept changes to its configuration. TRUE indicates certain ZDO commands will not be accepted unless sent by Trust Center with APS encryption. FALSE indicates that other nodes on the network may change the configuration of the device (e.g. bindings).	FALSE
apsStatTable	0xd0	See Table 2-26.	-	A table of statistics from the APS, NWK, MAC, and Security layers.	0
apsFragmentationCacheTable	0xd1	See Table 2-27.	-	The set of stored fragmentation parameters for other devices in the network.	
apsFragmentationCacheSize	0xd2	Integer	0 – 65,535	The number of entries the apsFragmentationCacheTable supports	1

Attribute	Identifier	Type	Range	Description	Default
apsMaxSizeASDU	0xd3	Integer	0 – 65,535	The Maximum Incoming Transfer Unit supported by the stack across all endpoints. This indicates the maximum reassembled message size at the application layer after fragmentation has been applied on the message at the lower layers. A device supporting fragmentation would set this field to be larger than the normal payload size of the underlying NWK and MAC layer.	128+
apsZdoResponseTimeout	0xd4	Integer	0 – 255	The amount of time to wait in seconds before the stack will timeout ZDO requests and issue a APSDE-DATA.confirm with the result.	3
apsApplication-Fragmentation-Support	0xd5	Boolean	TRUE or FALSE	This bit is set by the higher application layer to indicate whether fragmentation is supported. This is separate from the stack's ability to support fragmentation of ZDO messages.	FALSE

2303

Table 2-25. Group Table Entry Format

Group ID	Endpoint List
16-bit group address	List of endpoints on this device which are members of the group.

2304

2.2.7.2.1 **Statistics Table**

2305

The AIB SHALL maintain a statistics table with the items detailed in Table 2-26. These statistics MAY require the AIB to probe the lower layers in order to obtain the data.

2306

2307

All statistics are kept until they are reset via the next higher layer. Statistics do not need to be kept in non-volatile storage.

2308

Table 2-26. Statistics Table (apsStatTable)

Statistic Name	Type	Range	Description
apsTxUnicastSuccess	Integer	0 – 65,535	The total number of successful APS unicast messages. APS messages without APS Acks SHALL be considered successfully sent when the MAC ACK from the next hop is received. APS messages with APS Acks SHALL be considered successful when the APS ACK is received for the message.
apsTxUnicastRetry	Integer	0 – 65,535	The total number of APS retries that have been recorded.
apsTxUnicastFailures	Integer	0 – 65,535	The total number of APS unicast messages that are considered failed. APS unicast messages without APS Acks shall be considered failed when the MAC ACK from the next hop is not received after all MAC and NWK layer retries. APS unicast messages with APS Acks shall be considered failed when the APS ACK is not received for the message after all APS layer retries.
nwkFrameCounterFailures	Integer	0 – 65,535	The total number of received messages dropped due to a frame counter failure at the network security layer.
apsFrameCounterFailures	Integer	0 – 65,535	The total number of received messages dropped due to a frame counter failure at the APS security layer.
apsUnauthorizedKey	Integer	0 – 65,535	The total number of received messages dropped at the APS security layer because the key is not authorized.
nwkDecryptFailures	Integer	0 – 65,535	This is the total number of decryption failures at the NWK security layer.
apsDecryptFailures	Integer	0 – 65,535	This is the total number of decryption failures at the APS security layer.
bufferAllocationFailures	Integer	0 – 65,535	This is the total number of failures by the stack due to a lack of memory buffers.
phyToMacQueueFailures	Integer	0 – 65,535	This is the total number of failures by the stack to transfer a message from the PHY layer to the MAC layer.

Statistic Name	Type	Range	Description
packetValidationFailures	Integer	0 – 65,535	This is the total number of packets dropped due to invalid formatting.
macTxUcastSuccess	Integer	0 – 65,535	The total number of successful mac unicast transmissions.
macTxUcastRetry	Integer	0 – 65,535	The total number of retries at the MAC layer for unicast messages. This includes retries within an MCPS transaction and not just the final MCPS result.
macTxUcastFail	Integer	0 – 65,535	The total number of MAC unicast failures. This includes failures within an MCPS transaction and not just the final MCPS result.

2310 2.2.7.2.2 Fragmentation Cache

2311 The *apsFragmentationCacheTable* is a table with a number of entries equal to *apsFragmentationCacheSize*. It has
2312 the following elements in each entry in Table 2-27.

2313 **Table 2-27. *apsFragmentationCacheTable* Entry Elements**

Name	Type	Description
DestinationEUI64	EUI64	The long address of the device associated with this entry.
MaxIncomingTxSize	0 – 65,535	The maximum incoming transmission size of the APDU for the associated device. This determines the size of a reassembled message the device can receive.
Supported	Boolean	This indicates support for fragmentation with the standard R23 fragmentation parameters.

2314 Implementations MAY choose to combine the data in the *apsFragmentationCacheTable* with the *apsDeviceKey-*
2315 *PairEntries* table.

2316 2.2.8 Functional Description

2317 2.2.8.1 Persistent Data

2318 The APS is required to maintain a minimum set of data in persistent memory. This data set SHALL persist over power
2319 fail, device reset, or other processing events. The following data SHALL be maintained in persistent memory within
2320 APS:

- 2321 • *apsBindingTable* (if supported on the device)
- 2322 • *apsDesignatedCoordinator* (if supported on the device)
- 2323 • *apsChannelMaskList*
- 2324 • *apsUseExtendedPANID*

- 2325 • *apsUseInsecureJoin*
 - 2326 • *apsGroupTable* (if supported on the device)
 - 2327 • Node Descriptor, Power Descriptor plus the Simple Descriptor(s) for each active endpoint on the device
 - 2328 • Network manager address
- 2329 The method by which these data are made to persist is beyond the scope of this specification.

2330 2.2.8.2 Binding

2331 The APS MAY maintain a binding table, which allows Zigbee devices to establish a designated destination for frames
 2332 from a given source endpoint and with a given cluster ID. Each designated destination SHALL represent either a
 2333 specific endpoint on a specific device, or a group address.

2334 2.2.8.2.1 Binding Table Implementation

2335 A device designated as containing a binding table SHALL be able to support a binding table of implementation-
 2336 specific length. The binding table shall implement the following mapping:

$$2337 (a_s, e_s, c_s) = \{(a_{d1}, e_{d1}), (a_{d2}, e_{d2}) \dots (a_{dn}, e_{dn})\}$$

2338 Where:

a_s	=	the address of the device as the source of the binding link
e_s	=	the endpoint identifier of the device as the source of the binding link
c_s	=	the cluster identifier used in the binding link
a_{di}	=	the i^{th} destination address or destination group address associated with the binding link
e_{di}	=	the i^{th} optional destination endpoint identifier associated with the binding link Note that e_{di} will only be present when a_{di} is a device address.

2339 2.2.8.2.2 Binding

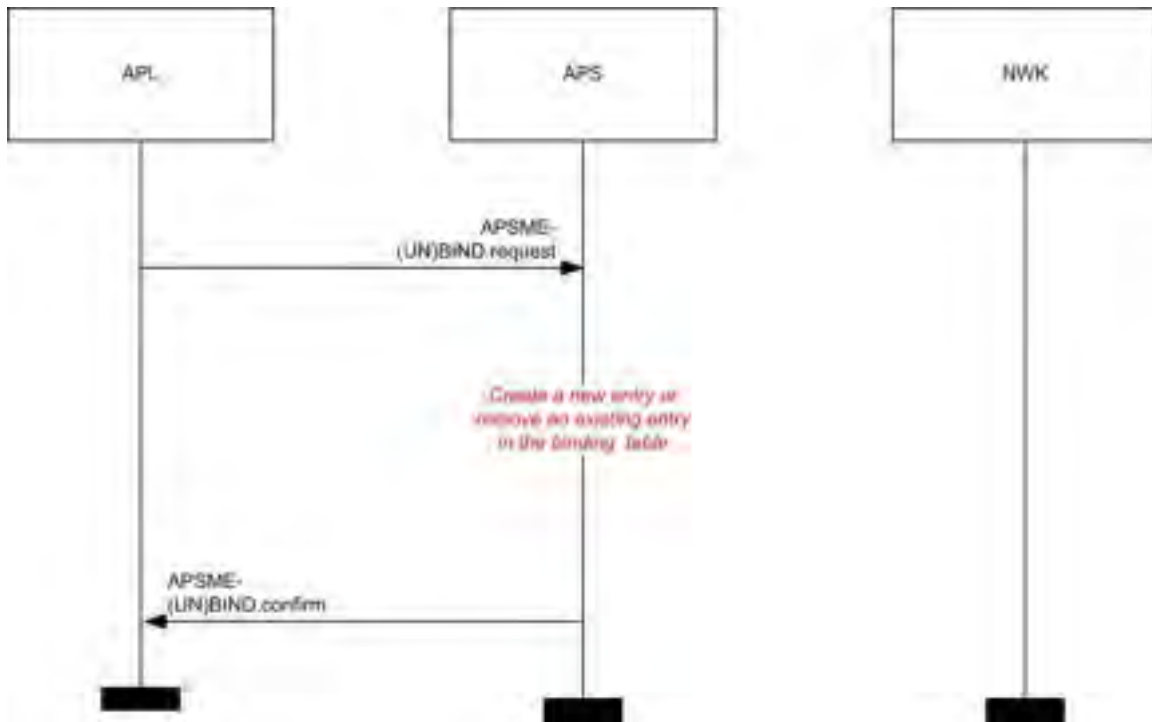
2340 The APSME-BIND.request or APSME-UNBIND.request primitives initiate the procedure for creating or removing a
 2341 binding link. Only a device that wishes to store source bindings, SHALL initiate this procedure. If this procedure is
 2342 initiated by another type of device, then the APSME SHALL issue the APSME-BIND.confirm or APSME-UN-
 2343 BIND.confirm primitive with the Status parameter set to ILLEGAL_REQUEST.

2344 When this procedure is initiated, the APSME SHALL first extract the address and endpoint for both the source and
 2345 destination of the binding link. If the DstAddrMode parameter has a value of 0x01, indicating group addressing, then
 2346 only the source address is treated in the way just described. The 16-bit group address is used directly as a destination
 2347 address and, in this case, no destination endpoint is specified. With this information, the APSME SHALL either create
 2348 a new entry or remove the corresponding entry from its binding table, depending on whether the bind or unbind
 2349 procedure, respectively, was initiated.

2350 If a bind operation was requested, the APSME SHALL create a new entry in the binding table. The device SHALL
 2351 only create a new entry in the binding table if it has the capacity to do so. If the binding table does not have capacity,
 2352 then the APSME SHALL issue the APSME-BIND.confirm primitive with the Status parameter set to TABLE_FULL.

2353 If an unbind operation was requested, the APSME SHALL search the binding table for an existing entry that matches
 2354 the information contained in the initiation request. If an entry is not found, the APSME SHALL terminate the proce-
 2355 dure and notify the NHLE of the invalid binding. This is achieved by issuing the APSME-UNBIND.confirm primitive
 2356 with the Status parameter set to INVALID_BINDING. If an entry is found, the APSME SHALL remove the entry in
 2357 the binding table.

2358 If the binding link is successfully created or removed, the APSME SHALL notify the NHLE of the results of the
 2359 binding attempt and the success of the procedure. This is achieved by issuing the APSME-BIND.confirm or APSME-
 2360 UNBIND.confirm primitive, respectively, with the binding results and the Status parameter set to SUCCESS.
 2361 The procedure for a successful binding is illustrated in the MSC shown in Figure 2-10.



2362
 2363 **Figure 2-10. Binding on a Device Supporting a Binding Table**

2364 **2.2.8.3 Group Addressing**

2365 The APS sub-layer SHALL maintain a group table, which allows endpoints to be associated with groups and allows
 2366 group-addressed frames to be delivered selectively to those endpoints that are associated in the table with a particular
 2367 group.

2368 **2.2.8.3.1 The Group Table**

2369 For purposes of this discussion, the group table SHALL be viewed as a set of associations between groups and end-
 2370 points as follows:

2371
$$\{(g_1 - ep_{11}, ep_{12} \dots ep_{1n}), (g_2 - ep_{21}, ep_{22} \dots ep_{2m}) \dots (g_i - ep_{i1}, ep_{i2} \dots ep_{ik})\}$$

2372 Where:

g_i	=	the i^{th} group represented in the table
ep_{ij}	=	the j^{th} endpoint associated with the i^{th} group

2373 Implementers of this specification are free to implement the group table in any manner that is convenient and efficient,
 2374 as long as it represents the associations just described.

2375 **2.2.8.4 Transmission, Reception, and Acknowledgement**

2376 This section describes the fundamental procedures for transmission, reception, and acknowledgement.

2377 2.2.8.4.1 **Transmission**

2378 Only those devices that are currently part of a network SHALL send frames from the APS sub-layer. If any other
2379 device receives a request to transmit a frame, it SHALL discard the frame and notify the instigating layer of the error.
2380 An APSDE-DATA.confirm primitive with a status of CHANNEL_ACCESS_FAILURE indicates that the attempt at
2381 transmission of the frame was unsuccessful due to the channel being busy.

2382 All frames handled by or generated within the APS sub-layer SHALL be constructed according to the general frame
2383 format specified in section 2.2.5.1 and transmitted using the NWK layer data service.

2384 Transmissions employing delivery modes 0b00 (Normal Unicast) and 0b10 (Broadcast) SHALL include both the
2385 source endpoint and destination endpoint fields. Group addressed transmissions, having a delivery mode sub-field
2386 value of 0b11 SHALL contain a source endpoint field and group address field, but no destination endpoint field. Note
2387 that other endpoints on the source device are legal group members and possible destinations for group-addressed
2388 frames.

2389 For all devices where the transmission is due to a binding table entry stored on the source device, the APSDE of the
2390 source device SHALL determine whether the binding table entry contains a unicast destination device address or a
2391 destination group address. In the case where a binding table entry contains a unicast destination device address and
2392 this destination device address is that of the source device itself, the APSDE SHALL issue an APSDE-DATA.indica-
2393 tion primitive to the next higher layer and SHALL NOT transmit a frame. Otherwise, the APSDE SHALL transmit
2394 the frame to the 16-bit NWK address corresponding to the destination address indicated by the binding table entry,
2395 and the delivery mode sub-field of the frame control field SHALL be set to 0b00. In the case where the binding table
2396 entry contains a destination group address, the delivery mode sub-field of the frame control field SHALL have a value
2397 of 0b11, the destination group address SHALL be placed in the APS header, and the destination endpoint SHALL be
2398 omitted. The frame SHALL then be broadcast using the NLDE-DATA.request primitive and employing a broadcast
2399 address of 0xffffd.

2400 If security is required, the frame SHALL be processed as described in section 4.4.

2401 If fragmentation is required, and is permitted for this frame, then the frame SHALL be processed as described in
2402 section 2.2.8.4.5.

2403 When the frame is constructed and ready for transmission, it SHALL be passed to the NWK data service with suitable
2404 destination and source addresses. In addition, the APS layer SHALL ensure that route discovery is enabled at the
2405 network layer. An APDU transmission is initiated by issuing the NLDE-DATA.request primitive to the NWK layer
2406 and the results of the transmission returned via the NLDE-DATA.confirm primitive.

2407 2.2.8.4.2 **Reception and Rejection**

2408 The APS sub-layer SHALL be able to filter frames arriving via the NWK layer data service and only present the
2409 frames that are of interest to the NHLE.

2410 If the APSDE receives a secured frame, it SHALL process the frame as described in section 4.4 to remove the security.

2411 If the APSDE receives a frame containing the destination endpoint field, then the APSDE SHALL pass it directly to
2412 the NHLE at the destination endpoint supplied, unless it is part of an incomplete fragmented transmission or it is
2413 determined to have been a duplicate of a frame that has been passed up previously. Subject to the same incomplete
2414 fragmented transmission and duplicate frame detection, if the destination endpoint is set to the broadcast endpoint
2415 (0xff) and the DstAddrMode parameter of the received NLDE-DATA.indication primitive was not 0x01, then the
2416 APSDE SHALL also present the frame to all non-reserved endpoints (0x01-0xfe) supported by the NHLE.

2417 If the APSDE of a device receives a transmission with the delivery mode sub-field of the frame control field set to
2418 0b11, indicating group addressing, it SHALL deliver the frame to each endpoint on the device that is associated in the
2419 group table with the 16-bit group address found in the group address field of the APS header. Similarly, if the APSDE
2420 of a device receives a NLDE-DATA.indication primitive where the DstAddrMode parameter has a value of 0x01, also
2421 indicating group addressing, it SHALL deliver the frame to each endpoint on the device that is associated in the group
2422 table with the 16-bit group address given as the value of the DstAddr parameter. In either case, it SHALL search the
2423 group table and, for each endpoint associated with the given group address, it SHALL issue the NLDE-DATA.indi-
2424 cation primitive to the next higher layer with a value of the DstEndpoint parameter equal to the number of the

2425 associated endpoint. All other parameters of the NLDE-DATA.indication primitive SHALL remain the same for all
 2426 instances of the primitive issued.

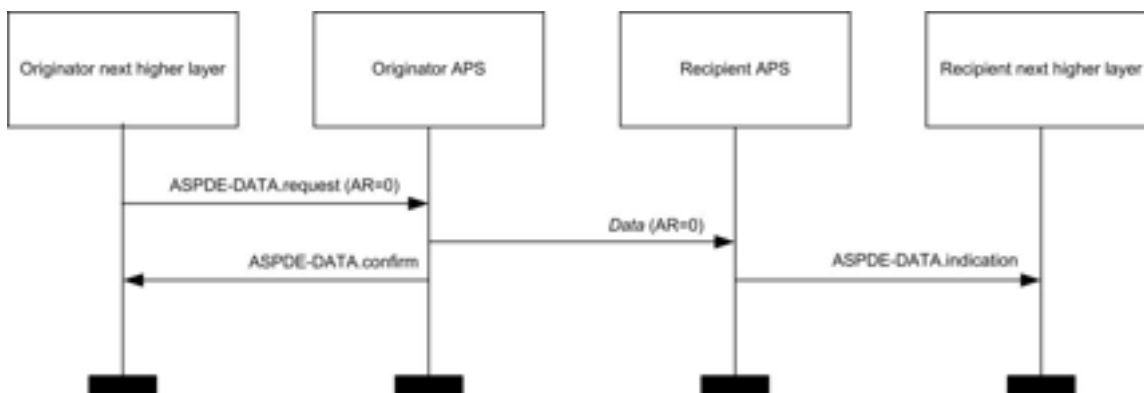
2427 The APSDE SHALL maintain a duplicate rejection table to include at least source address, APS counter, and timing
 2428 information, such that frames transmitted according to this specification and received more than once are identified
 2429 as duplicates and only delivered to the NHLE once. The size of this table SHALL be at least *apscMinDuplicateRejec-*
 2430 *tionTableSize*.

2431 2.2.8.4.3 Use of Acknowledgements

2432 A data or APS command frame SHALL be sent with its acknowledgement request sub-field set appropriately for the
 2433 frame. An acknowledgement frame SHALL always be sent with the acknowledgement request sub-field set to 0.
 2434 Similarly, any frame that is broadcast or multicast SHALL be sent with its acknowledgement request sub-field set to
 2435 0.

2436 2.2.8.4.3.1 No Acknowledgement

2437 A frame that is received by its intended recipient with its acknowledgement request (AR) sub-field set to 0 SHALL
 2438 NOT be acknowledged. The originating device SHALL assume that the transmission of the frame was successful.
 2439 Figure 2-11 shows the scenario for transmitting a single frame of data from an originator to a recipient without requir-
 2440 ing an acknowledgement. In this case, the originator transmits the data frame with the AR sub-field equal to 0.



2441

2442

Figure 2-11. Successful Data Transmission Without an Acknowledgement

2443 2.2.8.4.3.2 Acknowledgement

2444 A frame that is received by its intended recipient with its acknowledgement request (AR) sub-field set to 1 SHALL
 2445 be acknowledged. If the intended recipient correctly receives the frame, it SHALL generate and send an acknowle-
 2446 dgement frame to the originator of the frame that is being acknowledged.

2447 The transmission of an acknowledgement frame SHALL commence when the APS sub-layer determines that the frame
 2448 is valid.

2449 Figure 2-12 shows the scenario for transmitting a single frame of data from an originator to a recipient with an
 2450 acknowledgement. In this case, the originator indicates to the recipient that it requires an acknowledgement by trans-
 2451 mitting the data frame with the AR sub-field set to 1.

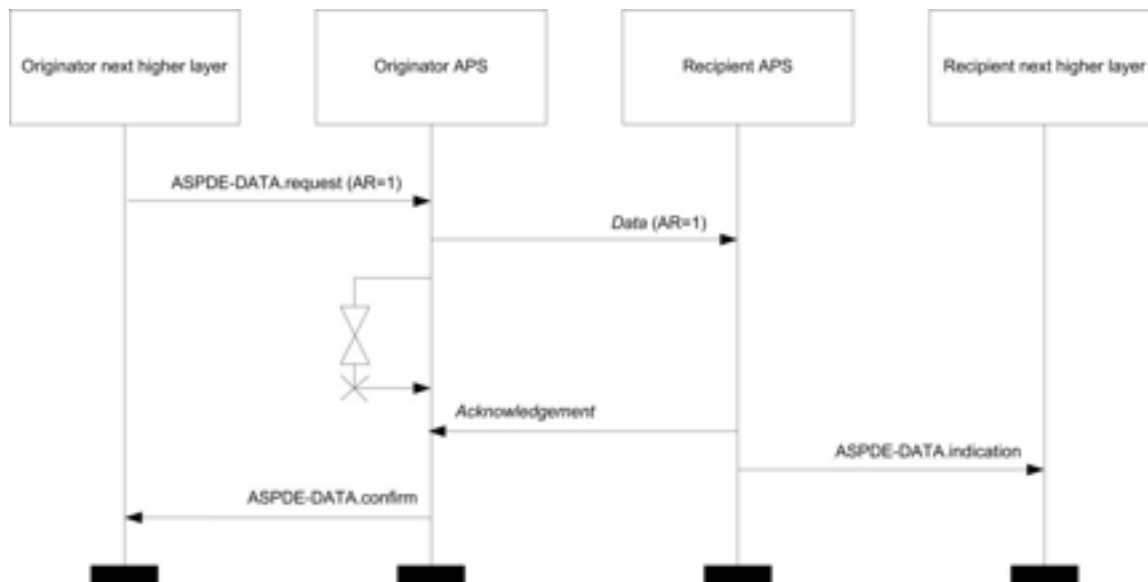


Figure 2-12. Successful Data Transmission with an Acknowledgement

2452

2453

2454 2.2.8.4.4 Retransmissions

2455 A device that sends a frame with its acknowledgement request sub-field set to 0 SHALL assume that the transmission
2456 was successfully received and SHALL hence not perform the retransmission procedure.

2457 A device that sends a frame with its acknowledgement request sub-field set to 1 SHALL wait for a maximum of
2458 *apscAckWaitDuration* seconds for the corresponding acknowledgement frame to be received.

2459 If an acknowledgement frame is received within *apscAckWaitDuration* seconds, containing the same cluster identifier
2460 and APS counter as the original frame and has a source endpoint equal to the destination endpoint to which the original
2461 frame was transmitted, the transmission SHALL be considered successful and no further action SHALL be taken by
2462 the device. If an acknowledgement is not received within *apscAckWaitDuration* seconds, or an acknowledgement is
2463 received within *apscAckWaitDuration* seconds but contains an unexpected cluster identifier or APS counter or has a
2464 source endpoint that is not equal to the destination endpoint to which the original frame was transmitted, the device
2465 SHALL conclude that the single transmission attempt has failed.

2466 If a single transmission attempt has failed, the device SHALL repeat the process of transmitting the frame and waiting
2467 for the acknowledgement, up to a maximum of *apscMaxFrameRetries* times. If an acknowledgement is still not re-
2468 ceived after *apscMaxFrameRetries* retransmissions, the APS sub-layer SHALL assume the transmission has failed
2469 and notify the next higher layer of the failure.

2470 Retransmissions of a secured frame SHALL use a frame counter greater than the original frame.

2471 2.2.8.4.5 Fragmented Transmissions

2472 Where an ASDU is too large to be transmitted within a single MAC data frame, an acknowledged unicast transmission
2473 was requested, and fragmentation is permitted for this frame, the ASDU SHALL be fragmented into a number of
2474 smaller byte strings, here referred to as “blocks.” Each block is transmitted in a separate frame.

2475 A “transmission window” is used to arrange an orderly transaction. The window size is set by the stack profile, and
2476 MAY be set as high as eight blocks. The protocol below arranges that all blocks in a transmission window SHALL be
2477 received and acknowledged before the window can move on. An acknowledgement is sent when all blocks in the
2478 transmission window have been successfully received or, according to the protocol below, to request retransmission
2479 of one or more unreceived blocks.

2480 Transactions not using APS acknowledgements MAY not be fragmented. Multicast and broadcast transmissions are
2481 not permitted to use fragmentation.

2482 The use of a window size of 1 is the only window size guaranteed to interoperate. All stacks implementing Revision
 2483 23 of this specification SHALL support window size of 1. All window sizes greater than 1 are a manufacturer specific
 2484 feature.

2485 2.2.8.4.5.1 Fragmentation Discovery & Caching

2486 All nodes starting with Revision 23 of this specification SHALL support fragmented transmissions using a standard
 2487 set of fragmentation parameters as noted in section 2.2.8.4.5.2. However, devices supporting earlier specification re-
 2488 visions were per-mitted to not support fragmentation with these same parameters. Support is determined by querying
 2489 the Node Descriptor of the target device to determine whether the target device can receive fragmented transmissions
 2490 and what is the maximum incoming transfer size for their ASDU.

2491 The stack is required to automatically determine support for the target device when the message submitted to the
 2492 APSDE-DATA.request primitive requires fragmentation. The results of the queries can be stored in the apsFragment-
 2493 ationCacheTable, and it is up to the implementation to decide how to manage that cache.

2494 The APSDE-DATA.confirm SHALL report back failed results to discover the cache size, or when the discovered
 2495 cache size is too small for the requested message to be transmitted.

2496 Due to the importance of the Trust Center in the network it is required to have an apsFragmentationCacheTableSize
 2497 equal to the number of apsDeviceKeyPairEntries in the network. In other words, the Trust Center has enough cache
 2498 to keep track of all devices in the network.

2499 2.2.8.4.5.2 Fragmentation Parameters

2500 All Revision 23 and later devices SHALL support and advertise the fragmentation parameters detailed in the AIB.
 2501 They are repeated Table 2-28 for clarity.

2502 **Table 2-28. Fragmentation Parameters**

Parameter	Value
apscWindowSize	1
apscInterframeDelay	<i>Not Applicable for Window Size 1</i>
apscMaxASDU	128 bytes MINIMUM ¹

2503 ¹ The stack MAY implement a size larger than this, but MUST implement this value as a minimum.

2504 2.2.8.4.5.3 Transmission

2505 All blocks in a fragmented transmission SHALL have the same APS Counter value. The extended header sub-frame
 2506 SHALL be included in the frame. The fragmentation sub-field of the extended frame control field SHALL be set to
 2507 0b01 for the first block and 0b10 for all subsequent blocks of the fragmented transmission. The block number field
 2508 SHALL indicate the total number of blocks in the transmission in the first block, SHALL take the value 0x01 in the
 2509 second block, and thereafter SHALL be incremented for each subsequent block.

2510 A transmission window SHALL be maintained, initially covering blocks 0 to (*apscMaxWindowSize-1*), or the total
 2511 number of blocks if this is less.

2512 If security is required, then each frame SHALL be processed independently, as described in Chapter 4. Following
 2513 transmission of each block, the APS SHALL start a timer. If there are more unacknowledged blocks to send in the
 2514 current transmission window, then after a delay of *apsInterframeDelay* milliseconds the next block SHALL be passed
 2515 to the NWK data service. Otherwise, the timer SHALL be set for *apsAckWaitDuration* seconds.

2516 A retryCounter parameter SHALL be maintained and is reset to zero for each new transaction. If an
 2517 *apsAckWaitDuration* timer expires, then the block with the lowest unacknowledged block number SHALL be passed
 2518 to the NWK data service again, and the retryCounter parameter SHALL be incremented. If the retryCounter parameter
 2519 reaches the value *apscMaxFrameRetries*, the transaction SHALL be deemed to have failed, and an APSDE-
 2520 DATA.confirm primitive returned to the NHLE with a status value of NO_ACK.

2521 On receipt of an acknowledgement frame with matching values in the APS counter, block number, and addressing
 2522 fields, outgoing blocks are acknowledged as described in the section below. If at least one previously unacknowledged

2523 block is acknowledged, then the timer SHALL be stopped and the retryCounter parameter reset. If all blocks in the
2524 current transmission window have been acknowledged, then the transmission window SHALL be increased by *ap-*
2525 *scMaxWindowSize*. If all blocks have now been transmitted and acknowledged, then the transaction is complete, and
2526 an APSDE-DATA.confirm primitive SHALL be returned to the NHLE with a status value of SUCCESS. Otherwise,
2527 the block with the lowest unacknowledged block number SHALL be passed to the NWK data service.

2528 2.2.8.4.5.4 Reception and Rejection, and Acknowledgements

2529 If the fields required for a fragmentation-enabled transmission are not present in the frame it SHALL be rejected.
2530 Also, any frames with parameters that fall outside the bounds of this protocol SHALL be rejected.

2531 If an incoming fragmented transaction is already in progress but the addressing and APS counter fields do not match
2532 those of the received frame, then the received frame MAY optionally be rejected or handled independently as a further
2533 transaction.

2534 If no transaction is in progress and a fragmented frame is received, then reassembly SHALL be attempted. Initially
2535 the receive window SHALL be from 0 to (*apscMaxWindowSize-1*).

2536 If a transaction is initiated with APS counter and source address field values matching a previously received transac-
2537 tion, then the new transaction MAY be rejected as a duplicate.

2538 Upon receipt of the first received block (not necessarily block 0) in the first window, or when an acknowledgement is
2539 generated, the receiver SHALL set a timer for *apscAckWaitDuration*.

2540 If the receive window does not move forward within any (*apscAckWaitDuration* + *apscAckWaitDuration* *
2541 *apscMaxFrameRetries*) time period, the transaction SHALL be deemed to have failed. The receiver MAY send an
2542 acknowledgement to the sender with the block or blocks missed.

2543 If all blocks in the current receive window have been received and a block is received with a block number higher
2544 than the current receive window, then the receive window SHALL be increased by *apsMaxWindowSize* blocks.

2545 Additionally an APS acknowledgement SHALL be generated for the window if any one of the following circum-
2546 stances occurs: (1) the last block in the entire fragmented transmission is received, (2) the last block in the window is
2547 received, (3) a block is received and all subsequent blocks in the window have been previously received and acknowl-
2548 edged. If a block is received with its block number value outside of the current window, then an acknowledgement
2549 SHALL NOT be generated.

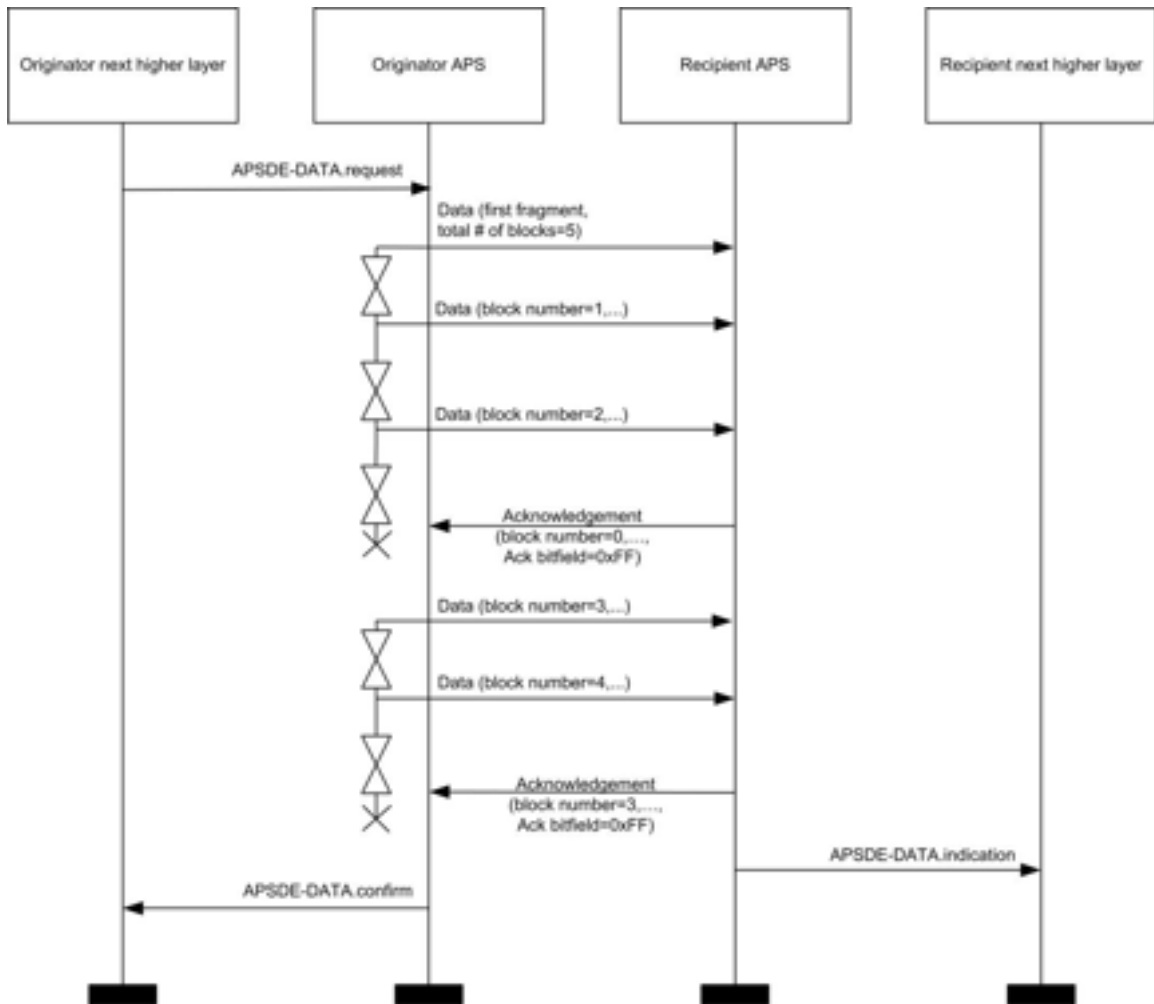
2550 Once all blocks in the transaction have been received, the APS SHALL issue an APSDE-DATA.indication primitive
2551 containing the reassembled message, and the transaction SHALL be deemed to be complete. A period of persistence
2552 of *apscAckWaitDuration* seconds is encouraged in order to facilitate any retransmission of the final acknowledgement.

2553 Where generated, the acknowledgement is formatted according to the acknowledgement frame format specified in
2554 section 2.2.5.2.3 and SHALL include the extended APS header.¹ The APS counter field SHALL reflect the value in
2555 the corresponding field of the frame(s) being acknowledged. The block number field SHALL contain the value of the
2556 lowest block number in the current receive window, using the value 0 as the value of the first block.

2557 The first bit of the ACK bitfield SHALL be set to 1 if the first fragment in the current receive window has been
2558 correctly received, and 0 otherwise. Subsequent bits SHALL be set similarly, with values corresponding to subsequent
2559 fragments in the current receive window. If *apsMaxWindowSize* is less than 8, then the remaining bits SHALL be set
2560 to 1.

2561 The process is illustrated in the following diagrams. In Figure 2-13, the transmission is successful immediately. (These
2562 examples assume that *apscMaxWindowSize* takes the value 3).

¹ CCB 1571



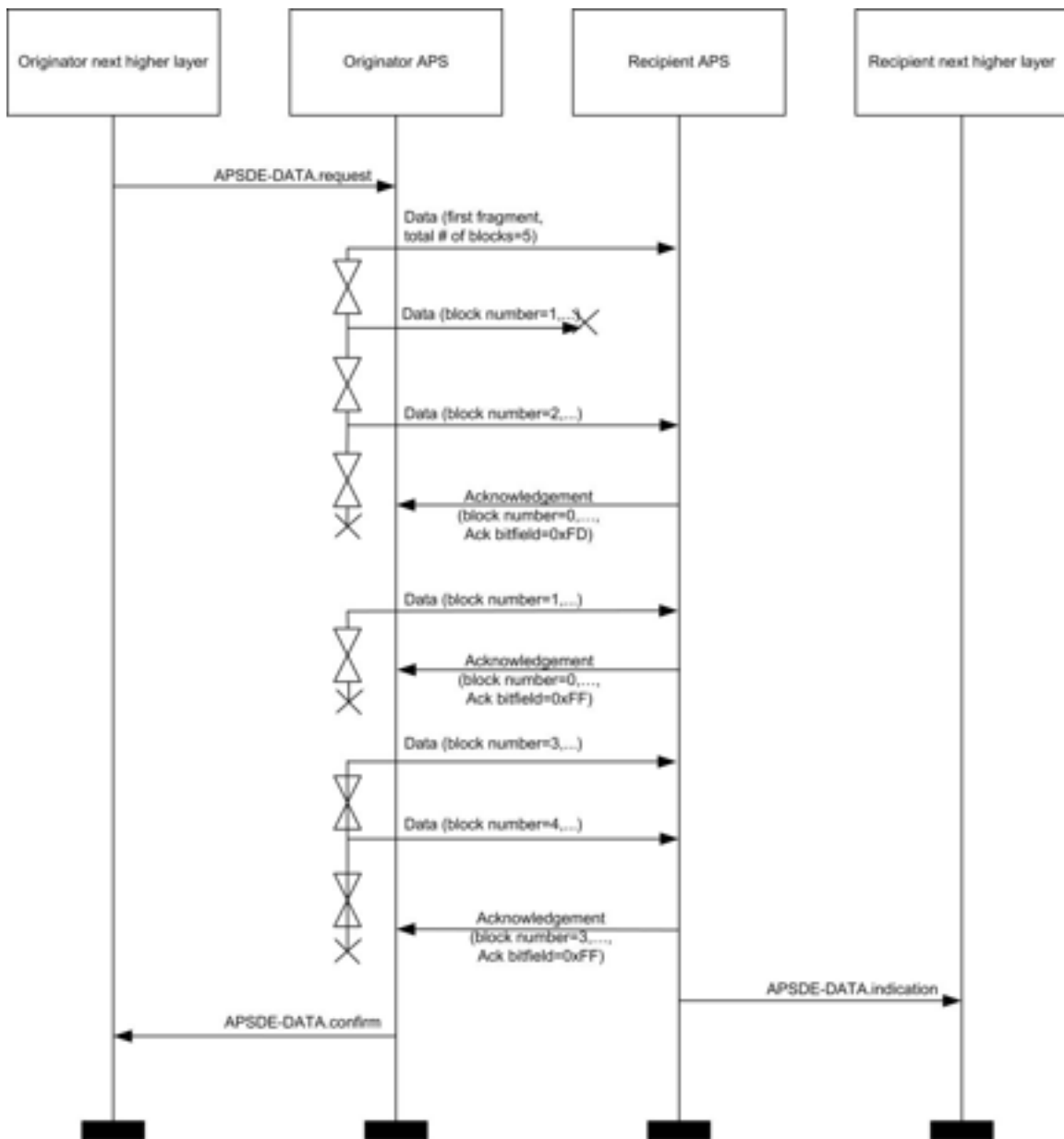
2563

2564

2565

Figure 2-13. Successful Data Transmission with Fragmentation

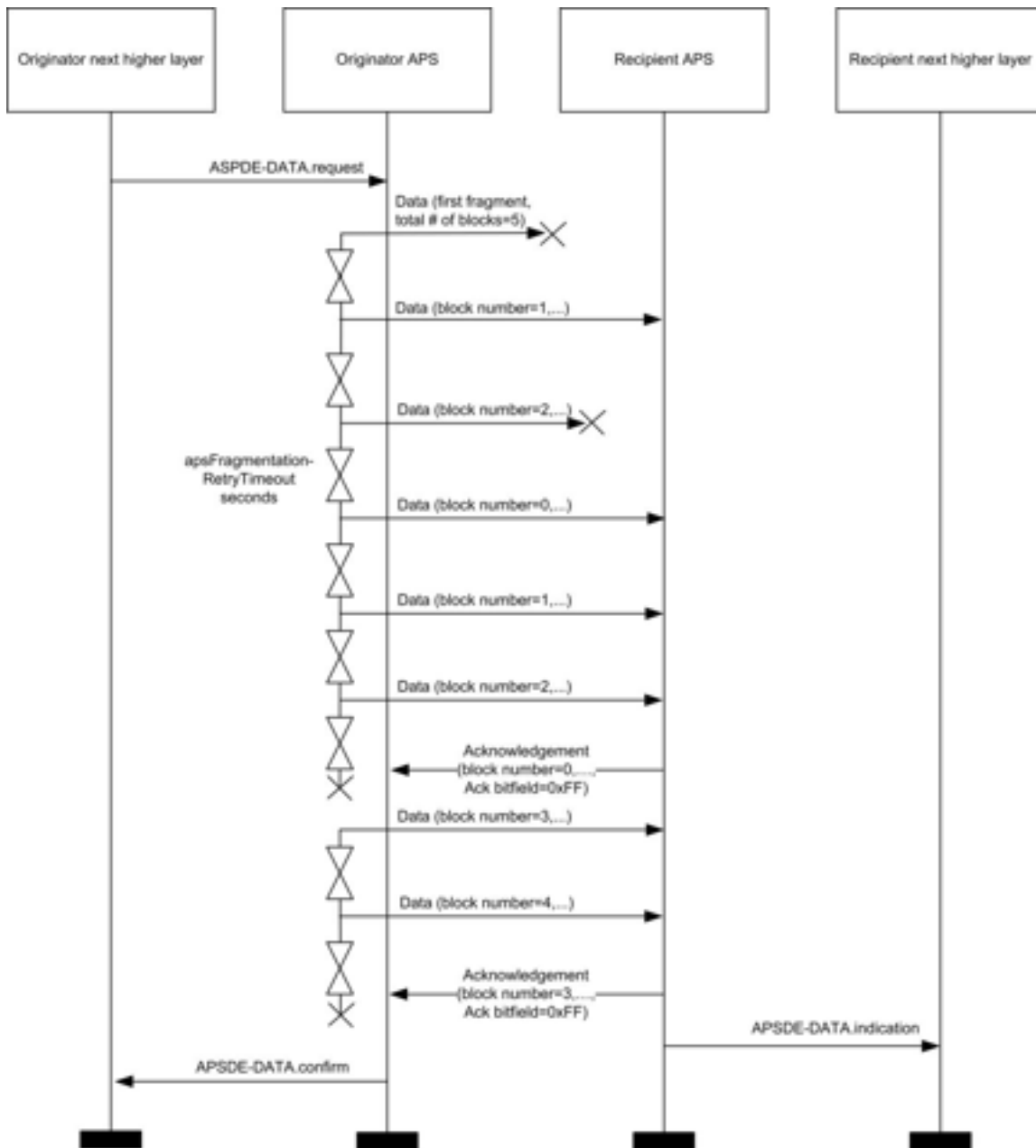
2566 In Figure 2-14, a single frame is lost during transit across the network, and is retransmitted.



2567
2568
2569

Figure 2-14. Fragmented Data Transmission with a Single Retransmission

2570 In Figure 2-15, multiple frames are lost in the network, including a frame which has the highest block number in the
 2571 window. Slightly more traffic is required in this case, but the source backs off and gives the network a chance to
 2572 recover, and the ASDU is delivered successfully.



2573

2574

Figure 2-15. Fragmented Data Transmission with Multiple Retransmissions

2575

2.2.9 APS Sub-Layer Status Values

2576

Application support (APS) sub-layer confirm primitives often include a parameter that reports on the status of the request to which the confirmation applies. Values for APS sub-layer Status parameters appear in Table 2-29.

2577

2578

Table 2-29. APS Sub-layer Status Values

Name	Value	Description
SUCCESS	0x00	A request has been executed successfully.
ASDU_TOO_LONG	0xa0	A transmit request failed since the ASDU is too large and fragmentation is not supported.
DEFRAG_DEFERRED	0xa1	A received fragmented frame could not be defragmented at the current time.
DEFRAG_UNSUPPORTED	0xa2	A received fragmented frame could not be defragmented since the device does not support fragmentation.
ILLEGAL_REQUEST	0xa3	A parameter value was out of range.
INVALID_BINDING	0xa4	An APSME-UNBIND.request failed due to the requested binding link not existing in the binding table.
INVALID_GROUP	0xa5	An APSME-REMOVE-GROUP.request has been issued with a group identifier that does not appear in the group table.
INVALID_PARAMETER	0xa6	A parameter value was invalid or out of range.
NO_ACK	0xa7	An APSDE-DATA.request requesting acknowledged transmission failed due to no acknowledgement being received.
NO_BOUND_DEVICE	0xa8	An APSDE-DATA.request with a destination addressing mode set to 0x00 failed due to there being no devices bound to this device.
NO_SHORT_ADDRESS	0xa9	An APSDE-DATA.request with a destination addressing mode set to 0x03 failed due to no corresponding short address found in the address map table.
NOT_SUPPORTED	0xaa	An APSDE-DATA.request with a destination addressing mode set to 0x00 failed due to a binding table not being supported on the device.
SECURED_LINK_KEY	0xab	An ASDU was received that was secured using a link key.
SECURED_NWK_KEY	0xac	An ASDU was received that was secured using a network key.
SECURITY_FAIL	0xad	An APSDE-DATA.request requesting security has resulted in an error during the corresponding security processing.

Name	Value	Description
TABLE_FULL	0xae	An APSME-BIND.request or APSME.ADD-GROUP.request issued when the binding or group tables, respectively, were full.
UNSECURED	0xaf	An ASDU was received without any security.
UNSUPPORTED_ATTRIBUTE	0xb0	An APSME-GET.request or APSME-SET.request has been issued with an unknown attribute identifier.
PEER_CANNOT_FRAGMENT	0xb1	The target device cannot receive fragmented transmissions and the ASDU requires fragmentation.
UNKNOWN_FRAGMENT_SUPPORT	0xb2	The target device did not respond to a discovery request of its fragmentation parameters.

2580 2.3 The Zigbee Application Framework

2581 2.3.1 Creating a Zigbee Profile

2582 The key to communicating between devices on a Zigbee network is agreement on a profile.

2583 An example of a profile would be home automation. This Zigbee profile permits a series of device types to exchange
 2584 control messages to form a wireless home automation application. These devices are designed to exchange well-known
 2585 messages to effect control such as turning a lamp on or off, sending a light sensor measurement to a lighting controller,
 2586 or sending an alert message if an occupancy sensor detects movement.

2587 An example of another type of profile is the device profile that defines common actions between Zigbee devices. To
 2588 illustrate, wireless networks rely on the ability for autonomous devices to join a network and discover other devices
 2589 and services on devices within the network. Device and service discovery are features supported within the device
 2590 profile.

2591 2.3.1.1 Getting a Profile Identifier from the Connectivity Standards Alliance

2593 Zigbee defines profiles in two separate classes: manufacturer-specific and public. The exact definition and criteria for
 2594 these classes are an administrative issue within the Connectivity Standards Alliance and outside the scope of this
 2595 document. For the purposes of this technical specification, the only criterion is for profile identifiers to be unique. To
 2596 that end, every profile effort SHALL start with a request to the Connectivity Standards Alliance for allocation of a
 2597 profile identifier. Once the profile identifier is obtained, that profile identifier permits the profile designer to define
 2598 the following:

- 2599 • Device descriptions
- 2600 • Cluster identifiers

2601 The application of profile identifiers to market space is a key criterion for issuance of a profile identifier from the
 2602 Connectivity Standards Alliance. The profile needs to cover a broad enough range of devices to permit interoperability
 2603 to occur between devices, without being overly broad and resulting in a shortage of cluster identifiers to describe their
 2604 interfaces. Conversely, the profile cannot be defined to be too narrowly, resulting in many devices described by indi-
 2605 vidual profile identifiers, resulting in a waste of the profile identifier addressing space and interoperability issues in

2606 describing how the devices are interfaced. Policy groups within the Connectivity Standards Alliance will establish
2607 criteria on how profiles are to be defined and to help requestors tailor their profile identifier requests.

2608 **2.3.1.2 Defining Device Descriptions and Clusters**

2609 The profile identifier is the main enumeration feature within the Zigbee protocol. Each unique profile identifier defines
2610 an associated enumeration of device descriptions and cluster identifiers. For example, for profile identifier “1”, there
2611 exists a pool of device descriptions described by a 16-bit value (meaning there are 65,536 possible device descriptions
2612 within each profile) and a pool of cluster identifiers described by a 16-bit value (meaning there are 65,536 possible
2613 cluster identifiers within each profile). Each cluster identifier also supports a pool of attributes described by a 16-bit
2614 value. As such, each profile identifier has up to 65,536 cluster identifiers and each of those cluster identifiers contains
2615 up to 65,536 attributes. It is the responsibility of the profile developer to define and allocate device descriptions, cluster
2616 identifiers, and attributes within their allocated profile identifier. Note that the definition of device descriptions, cluster
2617 identifiers, and attribute identifiers SHALL be undertaken with care to ensure efficient creation of simple descriptors
2618 and simplified processing when exchanging messages.

2619 For public profile identifiers defined within the Connectivity Standards Alliance, a cluster library has been created
2620 which provides a common definition and enumeration of clusters and their attributes. The cluster library is designed
2621 to sponsor re-use of cluster and attribute definitions across application profiles. By convention, when public profiles
2622 employ the cluster library, they will share a common enumeration and definition of cluster and attribute identifiers.

2623 Device descriptions and cluster identifiers SHALL be accompanied by knowledge of the profile identifier to be pro-
2624 cessed. Prior to any messages being directed to a device, it is assumed by the Zigbee protocol that service discovery
2625 has been employed to determine profile support on devices and endpoints. Likewise, the binding process assumes
2626 similar service discovery and profile matching has occurred, since the resulting match is distilled to source address,
2627 source endpoint, cluster identifier, destination address, and destination endpoint.

2628 **2.3.1.3 Deploying the Profile on Endpoints**

2629 A single Zigbee device MAY contain support for many profiles, provide for subsets of various cluster identifiers
2630 defined within those profiles, and MAY support multiple device descriptions. This capability is defined using a hier-
2631 archy of addressing within the device as follows:

- 2632 • **Device:** The entire device is supported by one or more radios and has just one unique IEEE and NWK address.
- 2633 • **Endpoints:** This is an 8-bit field that describes different applications that are supported by a single radio. End-
2634 point 0x00 is used to address the device profile, which each Zigbee device SHALL employ, endpoint 0xff is
2635 used to address all active endpoints (the broadcast endpoint). Consequently, a single physical Zigbee device can
2636 support up to 254 applications on endpoints 0x01-0xfe. Note that endpoints 0xf1-0xfe can only be used for Con-
2637 nectivity Standards Alliance approved applications.

2638 It is an application decision as to how to deploy applications on a device endpoint and which endpoints to advertise.
2639 The only requirement is that simple descriptors be created for each endpoint and those descriptors made available for
2640 service discovery.

2641 **2.3.1.4 Enabling Service Discovery**

2642 Once a device is created to support specific profiles and made consistent with cluster identifier usage for device de-
2643 scriptions within those profiles, the applications can be deployed. To do this, each application is assigned to individual
2644 endpoints and each described using simple descriptors (an endpoint can support only a single application profile). It
2645 is via the simple descriptors and other service discovery mechanisms described in the Zigbee device profile that service
2646 discovery is enabled, binding of devices is supported, and application messaging between complementary devices is
2647 facilitated.

2648 One important point is that service discovery is made on the basis of profile identifier, input cluster identifier list, and
2649 output cluster identifier list (device description is notably missing). The device description is simply a convention for
2650 specifying mandatory and optional cluster identifier support within devices of that type for the indicated profile. Ad-
2651 ditionally, it is EXPECTED that the device description enumeration would be employed within PDAs or other assisted
2652 binding devices to provide external descriptions of device capabilities.

2653 **2.3.1.5 Mixing Standard and Proprietary Profiles**

2654 As an example, a Zigbee device could be developed to Zigbee public profile identifier “XX.” If a manufacturer wanted
 2655 to deploy a Zigbee device supporting public profile “XX” and also provide manufacturer specific extensions, these
 2656 extensions could be added to the manufacturer’s implementation of public profile “XX” if manufacturer extensions
 2657 are supported within the definition of profile “XX.” Alternatively, if manufacturer extensions are not supported or the
 2658 type of desired manufacturer extensions aren’t supported in profile “XX,” the manufacturer MAY deploy the exten-
 2659 sions in a separate manufacturer-specific profile identifier advertised on a separate endpoint within the same physical
 2660 device. In either case, devices that support the profile identifier “XX” but not containing the manufacturer extensions,
 2661 would only advertise support for the base features of public profile identifier “XX” and could not respond to or create
 2662 messages using the manufacturer extensions.

2663 **2.3.1.6 Enabling Backward Compatibility**

2664 In the previous example, a device is created using Zigbee public profile identifier “XX.” If the Connectivity Standards
 2665 Alliance were to update this public profile at a later time to add new features, the revisions could either be incorporated
 2666 directly into public profile identifier “XX” if such extensions are supported via the definition of the profile, or could
 2667 be introduced into a new public profile with a new profile identifier (say “XY”). Assuming extensibility is not sup-
 2668 ported in public profile “XX,” devices manufactured with just profile identifier “XX” could still be compatible with
 2669 newer devices manufactured later by having the newer devices advertise support for both profile identifier “XX” and
 2670 profile identifier “XY.” In this manner, the newer device MAY communicate with older devices using profile identifier
 2671 “XX”; however, it MAY also communicate with newer devices using profile identifier “XY” from within the same
 2672 application. The service discovery feature within Zigbee enables devices on the network to determine the level of
 2673 support.

2674 It is the goal of the Connectivity Standards Alliance to provide extensibility, both for manufacturer extensions to
 2675 public profiles as well as future enhancements to public profiles. That goal includes maintaining those extensions and
 2676 enhancements within the same profile identifier whenever possible. This section illustrates that the profile definition
 2677 features within Zigbee permit deployment of manufacturer extensions and feature enhancements, whether the goal of
 2678 profile extensibility is achievable or not. The subject of profile extensibility, both for manufacturer extensions and
 2679 feature enhancements, is beyond the scope of this document and addressed in other Alliance documents.

2680 **2.3.2 Zigbee Descriptors**

2681 Zigbee devices describe themselves using descriptor data structures. The actual data contained in these descriptors is
 2682 defined in the individual device descriptions. There are three descriptors: node, node power, and simple shown in
 2683 Table 2-30.

2684 **Table 2-30. Zigbee Descriptors**

Descriptor Name	Status	Description
Node	M	Type and capabilities of the node.
Node power	M	Node power characteristics.
Simple	M	Device descriptions contained in node.
Complex	Deprecated	This descriptor has been deprecated.
User	Deprecated	This descriptor has been deprecated.

2685 **2.3.2.1 Transmission of Descriptors**

2686 The node, node power, and simple descriptors SHALL be transmitted in the order that they appear in their respective
2687 tables, i.e., the field at the top of the table is transmitted first and the field at the bottom of the table is transmitted last.
2688 Each individual field SHALL follow the transmission order specified in section 1.2.3.

2689 Each descriptor SHALL be less than or equal to *apsMaxDescriptorSize* unless provision has been made to enable
2690 transmission of discovery information without the mandatory use of fragmentation.

2691 **2.3.2.2 Discovery via Descriptors**

2692 Descriptor information is queried in the ZDO management entity device and service discovery, using the Zigbee
2693 device profile request primitive addressed to endpoint 0. For details of the discovery operation, see section 2.4.2.1.
2694 Information is returned via the Zigbee device profile indication primitive.

2695 The node, node power, complex, and user descriptors apply to the complete node. The simple descriptor SHALL be
2696 specified for each endpoint defined in the node. If a node contains multiple subunits, these will be on separate end-
2697 points and the specific descriptors for these endpoints are read by including the relevant endpoint number in the Zigbee
2698 device profile primitive.

2699 **2.3.2.3 Node Descriptor**

2700 The node descriptor contains information about the capabilities of the Zigbee node and is mandatory for each node.
2701 There SHALL be only one node descriptor in a node. All reserved and deprecated bits SHALL be set to zero.

2702 The fields of the node descriptor are shown in Table 2-31 in their order of transmission.

2703 **Table 2-31. Fields of the Node Descriptor**

Field Name	Length (Bits)
Logical type	3
Deprecated	1
Deprecated	1
Fragmentation Supported (R23)	1
Reserved	2
APS flags	3
Frequency band	5
MAC capability flags	8
Manufacturer code	16
Maximum buffer size	8
Maximum incoming transfer size	16
Server mask	16

Field Name	Length (Bits)
Maximum outgoing transfer size	16
Descriptor capability field	8

2704 2.3.2.3.1 Logical Type Field

2705 The logical type field of the node descriptor is three bits in length and specifies the device type of the Zigbee node.
2706 The logical type field SHALL be set to one of the non-reserved values listed in Table 2-32.

2707 **Table 2-32. Values of the Logical Type Field**

Logical Type Value $b_2b_1b_0$	Description
000	Zigbee coordinator
001	Zigbee router
010	Zigbee end device
011-111	Reserved

2708 2.3.2.3.2 Complex Descriptor Field – Deprecated

2709 2.3.2.3.3 User Descriptor Field – Deprecated

2710 2.3.2.3.4 Fragmentation Supported Field

2711 This field was added in Revision 23 of the specification. When examining a Node Descriptor received over the air
2712 from another device, this field SHALL only be examined when the Stack Compliance Revision within the Server
2713 Mask field is set to 23 or higher.

2714 This field indicates whether the device supports fragmentation at the APS layer. The maximum size of reassembled
2715 message that can be received is reflected in the Maximum incoming transfer size field of the Node Descriptor.

2716 If this field is set to 1 and the Stack Compliance Revision is 23 or greater, then the device has support for APS layer
2717 fragmentation. If this field is set to 0 and the Stack Compliance Revision is 23 or greater, then the device does not
2718 have support for APS layer fragmentation. If the Stack Compliance Revision is less than 23, the support of fragmen-
2719 tation must be determined via other means.

2720 2.3.2.3.5 APS Flags Field

2721 The APS flags field of the node descriptor is three bits in length and specifies the application support sub-layer capa-
2722 bilities of the node.

2723 This field is currently not supported and SHALL be set to zero.

2724 2.3.2.3.6 Frequency Band Field

2725 The frequency band field of the node descriptor is five bits in length and specifies the frequency bands that are sup-
2726 ported by the underlying IEEE Std 802.15.4 radio(s) utilized by the node. For each frequency band supported by any
2727 physically present underlying IEEE Std 802.15.4 radio, the corresponding bit of the frequency band field, as listed in
2728 Table 2-33, SHALL be set to 1. All other bits SHALL be set to 0.

2729

Table 2-33. Values of the Frequency Band Field

Frequency Band Field Bit Number	Supported Frequency Band
0	868 – 868.6 MHz
1	Reserved
2	902 – 928 MHz
3	2400 – 2483.5 MHz
4	GB Smart Energy sub-GHz bands: (863-876MHz and 915-921MHz)

2730

2.3.2.3.7 MAC Capability Flags Field

2731 The MAC capability flags field is eight bits in length and specifies the node capabilities, as required by the IEEE Std
2732 802.15.4-2020 MAC sub-layer [B1]. The MAC capability flags field SHALL be formatted as illustrated in Figure
2733 2-16.

Bits: 0	1	2	3	4-5	6	7
Alternate PAN co-ordinator	Device type	Power source	Receiver on when idle	Reserved	Security capability	Allocate address

2734

Figure 2-16. Format of the MAC Capability Flags Field

2735 The alternate PAN coordinator sub-field is one bit in length and SHALL be set to 1 if this node is capable of becoming
2736 a PAN coordinator. Otherwise, the alternative PAN coordinator sub-field SHALL be set to 0.

2737 The device type sub-field is one bit in length and SHALL be set to 1 if this node is a full function device (FFD).
2738 Otherwise, the device type sub-field SHALL be set to 0, indicating a reduced function device (RFD).

2739 The power source sub-field is one bit in length and SHALL be set to 1 if the current power source is mains power.
2740 Otherwise, the power source sub-field SHALL be set to 0. This information is derived from the node current power
2741 source field of the node power descriptor.

2742 The receiver on when idle sub-field is one bit in length and SHALL be set to 1 if the device does not disable its receiver
2743 to conserve power during idle periods. Otherwise, the receiver on when idle sub-field SHALL be set to 0 (see also
2744 Table 2-36).

2745 The security capability sub-field is one bit in length and SHALL be set to 1 if the device is capable of sending and
2746 receiving frames secured using the security suite specified in section 4.2.2. Otherwise, the security capability sub-field
2747 SHALL be set to 0.

2748 The allocate address sub-field is one bit in length and SHALL be set to 1 on transmission and ignored on reception

2.3.2.3.8 Manufacturer Code Field

2750 The manufacturer code field of the node descriptor is sixteen bits in length and specifies a manufacturer code that is
2751 allocated by the Connectivity Standards Alliance, relating the manufacturer to the device.

2752 2.3.2.3.9 Maximum Buffer Size Field

2753 The maximum buffer size field of the node descriptor is eight bits in length, with a valid range of 0x00-0x7f. This
2754 field specifies the maximum size, in octets, of the network sub-layer data unit (NSDU) for this node. This is the
2755 maximum size of data or commands passed to or from the application by the application support sub-layer, before any
2756 fragmentation or re-assembly.

2757 This field can be used as a high-level indication for network management.

2758 2.3.2.3.10 Maximum Incoming Transfer Size Field

2759 This indicates the device's apsMaxSizeASDU AIB value.

2760 The maximum transfer size field of the node descriptor is sixteen bits in length, with a valid range of 0x0000-0x7fff.
2761 This field specifies the maximum size, in octets, of the application sub-layer data unit (ASDU) that can be transferred
2762 to this node in one single message transfer. This value can exceed the value of the node maximum buffer size field
2763 (see section 2.3.2.3.9) through the use of fragmentation.

2764 2.3.2.3.11 Server Mask Field

2765 The server mask field of the node descriptor is sixteen bits in length, with bit settings signifying the system server
2766 capabilities of this node. It is used to facilitate discovery of particular system servers by other nodes on the system.
2767 The bit settings are defined in Table 2-34.

2768 **Table 2-34. Server Mask Bit Assignments**

Bit Number	Assignment
0	Primary Trust Center
1	Backup Trust Center
2	Deprecated
3	
4	
5	
6	Network Manager
7 – 8	Reserved
9 – 15	Stack Compliance Revision

2769 2.3.2.3.11.1 Stack Compliance Revision

2770 These bits indicate the Revision of the Zigbee Pro Core specification that the running stack is implemented to. Prior
2771 to Revision 21 of the specification these bits were reserved and thus set to 0. A stack that is compliant to Revision 23
2772 would set these bits to 23 (001011b). A stack SHALL indicate the Revision of the specification it is compliant to by
2773 setting these bits.

2774 2.3.2.3.12 Maximum Outgoing Transfer Size Field

2775 The maximum transfer size field of the node descriptor is sixteen bits in length, with a valid range of 0x0000-0x7fff.
2776 This field specifies the maximum size, in octets, of the application sub-layer data unit (ASDU) that can be transferred

2777 from this node in one single message transfer. This value can exceed the value of the node maximum buffer size field
 2778 (see section 2.3.2.3.9) through the use of fragmentation.

2779 2.3.2.3.13 Descriptor Capability Field – Deprecated

2780 2.3.2.4 Node Power Descriptor

2781 The node power descriptor gives a dynamic indication of the power status of the node and is mandatory for each node.
 2782 There SHALL be only one node power descriptor in a node. This data has been superseded by the Zigbee Cluster
 2783 Library Power Configuration Cluster. This Node Descriptor SHOULD NOT be used.

2784 The fields of the node power descriptor are shown in Table 2-35 in the order of their transmission.

2785 **Table 2-35. Fields of the Node Power Descriptor**

Field Name	Length (Bits)
Current power mode	4
Available power sources	4
Current power source	4
Current power source level	4

2786 2.3.2.4.1 Current Power Mode Field

2787 The current power mode field of the node power descriptor is four bits in length and specifies the current sleep/power-
 2788 saving mode of the node. The current power mode field SHALL be set to one of the non-reserved values listed in
 2789 Table 2-36.

2790 **Table 2-36. Values of the Current Power Mode Field**

Current Power Mode Value $b_3b_2b_1b_0$	Description
0000	Receiver synchronized with the receiver on when idle subfield of the node descriptor.
0001	Receiver comes on periodically as defined by the node power descriptor.
0010	Receiver comes on when stimulated, for example, by a user pressing a button.
0011-1111	Reserved.

2791 2.3.2.4.2 Available Power Sources Field

2792 The available power sources field of the node power descriptor is four bits in length and specifies the power sources
 2793 available on this node. For each power source supported on this node, the corresponding bit of the available power
 2794 sources field, as listed in Table 2-37, SHALL be set to 1. All other bits SHALL be set to 0.

2795

Table 2-37. Values of the Available Power Sources Field

Available Power Sources Field Bit Number	Supported Power Source
0	Constant (mains) power
1	Rechargeable battery
2	Disposable battery
3	Reserved

2796

2.3.2.4.3 Current Power Source Field

2797

The current power source field of the node power descriptor is four bits in length and specifies the current power source being utilized by the node. For the current power source selected, the corresponding bit of the current power source field, as listed in Table 2-38, SHALL be set to 1. All other bits SHALL be set to 0.

2798

2799

2800

Table 2-38. Values of the Current Power Sources Field

Current Power Source Field Bit Number	Current Power Source
0	Constant (mains) power
1	Rechargeable battery
2	Disposable battery
3	Reserved

2801

2.3.2.4.4 Current Power Source Level Field

2802

The current power source level field of the node power descriptor is four bits in length and specifies the level of charge of the power source. The current power source level field SHALL be set to one of the non-reserved values listed in Table 2-39.

2803

2804

2805

Table 2-39. Values of the Current Power Source Level Field

Current Power Source Level Field $b_3b_2b_1b_0$	Charge Level
0000	Critical
0100	33%
1000	66%
1100	100%
All other values	Reserved

2806 2.3.2.5 Simple Descriptor

2807 The simple descriptor contains information specific to each endpoint contained in this node. The simple descriptor is
2808 mandatory for each endpoint present in the node.

2809 The fields of the simple descriptor are shown in Table 2-40 in their order of transmission. As this descriptor needs to
2810 be transmitted over air, the overall length of the simple descriptor SHALL be less than or equal to *apscMaxDescriptor-*
2811 *Size*.

2812 **Table 2-40. Fields of the Simple Descriptor**

Field Name	Length (Bits)
Endpoint	8
Application profile identifier	16
Application device identifier	16
Application device version	4
Reserved	4
Application input cluster count	8
Application input cluster list	16* <i>i</i> (where <i>i</i> is the value of the application input cluster count)
Application output cluster count	8
Application output cluster list	16* <i>o</i> (where <i>o</i> is the value of the application output cluster count)

2813 2.3.2.5.1 Endpoint Field

2814 The endpoint field of the simple descriptor is eight bits in length and specifies the endpoint within the node to which
2815 this description refers. Applications SHALL only use endpoints 1-254. Endpoints 241-254 SHALL be used only with
2816 the approval of the Connectivity Standards Alliance. The Green Power cluster, if implemented, SHALL use endpoint
2817 242.

2818 2.3.2.5.2 Application Profile Identifier Field

2819 The application profile identifier field of the simple descriptor is sixteen bits in length and specifies the profile that is
2820 supported on this endpoint. Profile identifiers SHALL be obtained from the Connectivity Standards Alliance.

2821 2.3.2.5.3 Application Device Identifier Field

2822 The application device identifier field of the simple descriptor is sixteen bits in length and specifies the device de-
2823 scription supported on this endpoint. Device description identifiers SHALL be obtained from the Connectivity Stand-
2824 ards Alliance.

2825 2.3.2.5.4 Application Device Version Field

2826 The application device version field of the simple descriptor is four bits in length and specifies the version of the
2827 device description supported on this endpoint. The application device version field SHALL be set to one of the non-
2828 reserved values listed in Table 2-41.

2829

Table 2-41. Values of the Application Device Version Field

Application Device Version Value $b_3b_2b_1b_0$	Description
0000 – 1111	Specific values to be set by the application profile described by the application profile identifier in this descriptor. Default SHALL be 0000 unless otherwise defined by the application profile.

2830 2.3.2.5.5 Application Input Cluster Count Field

2831 The application input cluster count field of the simple descriptor is eight bits in length and specifies the number of
2832 input clusters, supported on this endpoint that will appear in the application input cluster list field. If the value of this
2833 field is zero, the application input cluster list field SHALL NOT be included.

2834 2.3.2.5.6 Application Input Cluster List

2835 The application input cluster list of the simple descriptor is $16*i$ bits in length, where i is the value of the application
2836 input cluster count field. This field specifies the list of input clusters supported on this endpoint, for use during the
2837 service discovery and binding procedures.

2838 The application input cluster list field SHALL be included only if the value of the application input cluster count field
2839 is greater than zero.

2840 2.3.2.5.7 Application Output Cluster Count Field

2841 The application output cluster count field of the simple descriptor is eight bits in length and specifies the number of
2842 output clusters, supported on this endpoint that will appear in the application output cluster list field. If the value of
2843 this field is zero, the application output cluster list field SHALL NOT be included.

2844 2.3.2.5.8 Application Output Cluster List

2845 The application output cluster list of the simple descriptor is $16*o$ bits in length, where o is the value of the application
2846 output cluster count field. This field specifies the list of output clusters supported on this endpoint, for use during the
2847 service discovery and binding procedures.

2848 The application output cluster list field SHALL be included only if the value of the application output cluster count
2849 field is greater than zero.

2850 2.3.2.6 Complex Descriptor – Deprecated

2851 2.3.2.7 User Descriptor – Deprecated

2852 2.3.3 Functional Description

2853 2.3.3.1 Reception and Rejection

2854 The application framework SHALL be able to filter frames arriving via the APS sub-layer data service and only
2855 present the frames that are of interest to the applications implemented on each active endpoint.

2856 The application framework receives data from the APS sub-layer via the APSDE-DATA.indication primitive and is
2857 targeted at a specific endpoint (DstEndpoint parameter) and a specific profile (ProfileId parameter).

2858 If the application framework receives a frame for an inactive endpoint, the frame SHALL be discarded. Otherwise, if
2859 the profile identifier passes the Profile Id Endpoint Matching Rules (see section 2.3.3.3). The application framework
2860 SHALL pass the payload of the received frame to the application implemented on the specified endpoint.

2861 When a message originates from an endpoint implemented using the public Profile ID, the profile ID in the simple
2862 descriptor SHALL be used. If the recipient of the message is able to process the message, it SHALL respond with the
2863 same profile ID that it received in the request.

2864 It is permissible for the originator of the message to send its messages with a wild card profile ID. The recipient of the
2865 message containing a request using a wild card profile ID SHALL respond with the profile ID in its simple descriptor
2866 if it is able to process the message.

2867 **2.3.3.2 ZDO Messages**

2868 ZDO message transmission and reception rules are as described in the relevant ZDO server chapters.

2869 **2.3.3.3 Application Endpoints Using Manufacturer Specific Profiles**

2870 Application endpoints using Manufacturer Specific Profiles SHALL NOT use the wild card profile ID for transmis-
2871 sion. They SHALL transmit with the profile ID of the simple descriptor and SHALL respond with the profile ID of
2872 the simple descriptor.

2873 **2.3.4 PAN ID Conflicts**

2874 **2.3.4.1 Detecting and Reporting via the ZDO**

2875 PAN ID conflicts are an unusual event in a network. Legitimate PAN ID conflicts may occur when IEEE Std 802.15.4
2876 networks grow over time and inadvertently collide. However, it is also possible that malicious devices may try to
2877 trigger PAN ID conflicts in order to disrupt the network's operations.

2878 In previous versions of the specification, an immediate detect and respond approach was codified whereby conflicts
2879 would trigger a network wide change. This approach has been revisited and the specification now discourages this due
2880 to the fact that PAN ID conflicts can be falsely reported.

2881 An application can still query devices about detected conflicts and gather statistics over time in order to inform whether
2882 a persistent PAN ID conflict is occurring. It is highly recommended that application connectivity problems be a factor
2883 in the decision to change PAN IDs, and not simply the presence of an apparent conflict.

2884 **2.3.4.2 Unsolicited PAN ID Conflict Reports from the Network Layer**

2885 Starting with Revision 23 of this specification, devices SHALL no longer report PAN ID conflicts immediately as
2886 they are detected. Instead, devices will count these conflicts and store that data in the NIB. The NIB data may be
2887 retrieved via a Security_Get_Configuration_req by requesting the PAN ID Conflict Report Global TLV.

2888 Older devices will still send unsolicited PAN ID conflict reports to the Network Manager. For a Revision 23 Network
2889 Manager receiving a report, this will be indicated to the local application via the NLME-NETWORK-STATUS.indi-
2890 cation with a status of 0x14 (PAN ID Conflict Report). This data can be used to help determine whether a network
2891 will change its PAN ID, but it SHOULD NOT be the sole reason for that change.

2892 **2.3.4.3 Querying Devices for Conflicts**

2893 A Network Manager application may periodically query router devices for this information. Each Security_Get_Con-
2894 figuration_req will trigger a reset of the NIB value. This will allow the Network Manager application to gather statis-
2895 tics over a period of time to determine if there is a persistent PAN ID problem.

2896 In addition, the Mgmt_Beacon_Survey_req can be used to trigger an active scan on the target device and the PAN ID
2897 Conflict Report Global TLV will be returned as well, without resetting the nwkPanIdConflictCount NIB value.

2898 The exact rules by which the application determines to change PAN IDs is outside the scope of this specification. The
2899 expectation of the applications running on the network and the impact on sleepy devices SHOULD be considered
2900 before any change to PAN ID is made.

2901 2.4 The Zigbee Device Profile

2902 2.4.1 Scope

2903 This Zigbee Application Layer Specification describes how general Zigbee device features such as Binding, Device
2904 Discovery, and Service Discovery are implemented within Zigbee Device Objects. The Zigbee Device Profile operates
2905 like any Zigbee profile by defining clusters. Unlike application specific profiles, the clusters within the Zigbee Device
2906 Profile define capabilities supported in all Zigbee devices. As with any profile document, this document details the
2907 mandatory and/or optional clusters.

2908 2.4.2 Device Profile Overview

2909 The Device Profile supports four key inter-device communication functions within the Zigbee protocol. These func-
2910 tions are explained in the following sections:

- 2911 •
- 2912 •
- 2913 • Network Management Overview

2914 2.4.2.1 Device and Service Discovery Overview

2915 Device and Service Discovery are distributed operations where individual devices respond to discovery requests.

2916 The following capabilities exist for device and service discovery:

2917 **Device Discovery:** Provides the ability for a device to determine the identity of other devices on the PAN. Device
2918 Discovery is supported for both the 64-bit IEEE address and the 16-bit Network address.

2919 Device Discovery messages can be used in one of two ways:

- 2920 • **Broadcast addressed:** All devices on the network SHALL respond according to the Logical Device Type and
2921 the matching criteria. Zigbee End Devices SHALL respond with just their address. Zigbee Coordinators and
2922 Zigbee Routers with associated devices SHALL respond with their address as the first entry followed by the
2923 addresses of their associated devices depending on the type of request. The responding devices SHALL employ
2924 APS acknowledged service on the unicast responses.
- 2925 • **Unicast addressed:** Only the specified device responds. A Zigbee End Device SHALL respond only with its
2926 address. A Zigbee Coordinator or Router SHALL reply with its own address and the address of each associated
2927 child device. Inclusion of the associated child devices allows the requestor to determine the network topology
2928 underlying the specified device.

2929 **Service Discovery:** Provides the ability for a device to determine services offered by other devices on the PAN.

2930 Service Discovery messages can be used in one of two ways:

- 2931 • **Broadcast addressed:** Due to the volume of information that could be returned, only the individual device
2932 SHALL respond with the matching criteria established in the request. The responding devices SHALL also
2933 employ APS acknowledged service on the unicast responses.
- 2934 • **Unicast addressed:** Only the specified device SHALL respond.

2935 Service Discovery is supported with the following query types:

- 2936 • **Active Endpoint:** This command permits an enquiring device to determine the active endpoints. An active end-
2937 point is one with an application supporting a single profile, described by a Simple Descriptor. The command
2938 SHALL be unicast addressed.
- 2939 • **Match Simple Descriptor:** This command permits enquiring devices to supply a Profile ID (and, optionally,
2940 lists of input and/or output Cluster IDs) and ask for a return of the identity of an endpoint on the destination
2941 device which matches the supplied criteria. This command MAY be broadcast to all devices for which

- 2942 macRxOnWhenIdle = TRUE, or unicast addressed. For broadcast addressed requests, the responding device
2943 SHALL employ APS acknowledged service on the unicast responses.
- 2944 • **Simple Descriptor:** This command permits an enquiring device to return the Simple Descriptor for the supplied
2945 endpoint. This command SHALL be unicast addressed.
 - 2946 • **Node Descriptor:** This command permits an enquiring device to return the Node Descriptor from the specified
2947 device. This command SHALL be unicast addressed.
 - 2948 • **Power Descriptor:** This command permits an enquiring device to return the Power Descriptor from the specified
2949 device. This command SHALL be unicast addressed.
 - 2950 • **Complex Descriptor:** This optional command permits an enquiring device to return the Complex Descriptor
2951 from the specified device. This command SHALL be unicast addressed.
 - 2952 • **User Descriptor:** This optional command permits an enquiring device to return the User Descriptor from the
2953 specified device. This command SHALL be unicast addressed.

2954 **2.4.2.2 End Device Bind Overview – Deprecated**

2955 **2.4.2.3 Bind and Unbind Overview**

2956 The following capabilities exist for directly configuring binding table entries:

- 2957 • **Bind:** provides the ability for creation of a Binding Table entry that maps control messages to their intended
2958 destination.
- 2959 • **Unbind:** provides the ability to remove Binding Table entries.

2960 **2.4.2.4 Binding Table Management Overview – Deprecated**

2961 **2.4.2.5 Network Management Overview**

2962 The following capabilities exist for network management:

2963 Provides the ability to retrieve management information from the devices including:

- 2964 • Network discovery results
- 2965 • Link quality to neighbor nodes
- 2966 • Routing table contents
- 2967 • Binding table contents
- 2968 • Energy detection scan results
- 2969 • Provides the ability to set management information controls including:
 - 2970 • Network leave
 - 2971 • Network direct join
 - 2972 • Permit joining
 - 2973 • Network update and fault notification

2974 **2.4.2.6 Device Descriptions for the Device Profile**

2975 The Zigbee Device Profile utilizes a single Device Description. Each cluster specified as Mandatory SHALL be pre-
2976 sent in all Zigbee devices. The response behavior to some messages is logical device type specific. The support for
2977 optional clusters is not dependent on the logical device type.

2978 **2.4.2.7 Configuration and Roles**

2979 The Device Profile assumes a client/server topology. A device making Device Discovery, Service Discovery, Binding
2980 or Network Management requests does so via a client role. A device which services these requests and responds does
2981 so via a server role. The client and server roles are non-exclusive in that a given device MAY supply both client and
2982 server roles.

2983 Since many client requests and server responses are public and accessible to application objects other than Zigbee
2984 Device Objects, the Transaction Sequence number in the Application Framework header SHALL be the same on client
2985 requests and their associated server responses.

2986 The Device Profile describes devices in one of two configurations:

- 2987 • **Client:** A client issues requests to the server via Device Profile messages.
- 2988 • **Server:** A server issues responses to the client that initiated the Device Profile message.

2989 **2.4.2.8 Transmission of ZDP Commands**

2990 All ZDP commands shall be transmitted via the APS data service and SHALL be formatted according to the ZDP
2991 frame structure, as illustrated in Figure 2-17.

Octets: 1	Variable
Transaction sequence number	Transaction data

2992 **Figure 2-17. Format of the ZDP Frame**

2993 **2.4.2.8.1 Transaction Sequence Number Field**

2994 The transaction sequence number field is eight bits in length and specifies an identification number for the ZDP trans-
2995 action so that a response command frame can be related to the request frame. The application object itself SHALL
2996 maintain an eight-bit counter that is copied into this field and incremented by one for each command sent. When a
2997 value of 0xff is reached, the next command SHALL restart the counter with a value of 0x00.

2998 If a device sends a ZDP request command that requires a response, the target device SHALL respond with the relevant
2999 ZDP response command and include the transaction sequence number contained in the original request command.

3000 The transaction sequence number field can be used by a controlling device, which MAY have issued multiple com-
3001 mands, so that it can match the incoming responses to the relevant command.

3002 **2.4.2.8.2 Transaction Data Field**

3003 The transaction data field has a variable length and contains the data for the individual ZDP transaction. The format
3004 and length of this field is dependent on the command being transmitted, as defined in sections 2.4.3 and 2.4.4.

3005 **2.4.2.8.3 Fragmentation of ZDO Messages**

3006 Zigbee Devices based on Revision 22 or earlier do not handle fragmentation of ZDO commands. Except for the
3007 commands listed in Table 2-42. ZDO commands SHALL NOT be fragmented in transmission.

3008 **Table 2-42. ZDO Commands Permitted to be Fragmented**

Cluster ID	Name
0x0040	Security_Start_Key_Negotiation_req
0x0041	Security_Retrieve_Authentication_Token_req
0x0043	Security_Set_Configuration_req
0x8040	Security_Start_Key_Negotiation_rsp

Cluster ID	Name
0x8041	Security_Retrieve_Authentication_Token_rsp
0x8043	Security_Set_Configuration_rsp

3009 Devices supporting the commands in Table 2-42 SHALL be able to handle fragmentation and reassembly of these
 3010 commands. The required parameters for fragmentation are specified in section 2.2.8.4.5.2. Additionally, the Frag-
 3011 mentation Parameters Global TLV can be used to advertise a device’s capabilities. This TLV is mandatory to be in-
 3012 cluded in various messages as described in the description of those ZDO messages.

3013 A sending device SHALL determine the receiving device’s fragmentation capabilities prior to sending it a fragmen-
 3014 tation transmission. For devices already on the network this can be done by querying the Node Descriptor using the
 3015 Node_Desc_req. For devices not on the network yet, the Trust Center includes the Fragmentation Parameters Global
 3016 TLV in the set of TLVs advertised in the Beacons of the Network. This is updated in all routers via the Mgmt_Per-
 3017 mit_Joining_req.

3018 A device sending a ZDO Response SHALL assume the device that sent the request can support fragmentation. The
 3019 device sending the response determines the fragmented transmission size based on its capabilities, the requestor’s
 3020 capabilities, and the default minimum.

3021 The Responder SHALL determine the maximum incoming transfer size of the Requester in the following way.

- 3022 1. If the Requester provided a Fragmentation Parameters Global TLV in the request, the Maximum Incoming
 3023 Transfer Size from the TLV SHALL be used. If it is not provided in the request, the default Maximum Incom-
 3024 ing transfer size of 128 bytes SHALL be used.
- 3025 2. Compare the value determined in step 1 to the device’s local Maximum Outgoing Transfer Size. Take the
 3026 smaller of the two values.

3027 If the response is larger than the requesting device can handle, then a ZDO response with a status of
 3028 FRAME_TOO_LARGE is generated.

3029 For example, Device A sends a ZDO_Security_Get_Configuration_req and indicates via the Fragmentation Parame-
 3030 ters Global TLV it supports up to 200 bytes for its Maximum Incoming Transfer Size. Device B prepares a ZDO
 3031 Security_Get_Configuration_rsp and examines its own local Maximum Outgoing Transfer Size, which is 300. It
 3032 uses the smaller value of 200 indicated by Device A when fragmenting the transmission. If the response would ex-
 3033 ceed Device A’s smaller value it would instead generate a ZDO Security_Get_Configuration_rsp with a status of
 3034 FRAME_TOO_LARGE.

3035 2.4.2.8.4 APS Acknowledgements

3036 All unicast ZDO Command request and responses SHALL set the Acknowledgement request sub-field of the APS
 3037 Frame control. This will enable ZDO messages to overcome transient routing or buffering failures in the network.
 3038 This SHALL be done by submitting a APSDE-DATA.request with the TxOptions including 0x04 in the value. When
 3039 the ZDO message allows fragmentation the options SHALL also include 0x08, fragmentation permitted.

3040 2.4.3 Client Services

3041 The Device Profile Client Services support the transport of device and service discovery requests, bind requests, un-
 3042 bind requests, and network management requests from client to server. Additionally, Client Services support receipt
 3043 of responses to these requests from the server.

3044 Restricted Mode (*apsZdoRestrictedMode*) is a mode where a device will conditionally accept specific ZDO com-
 3045 mands, depending on the restricted criteria, source address, and encryption policy of the incoming command. If a
 3046 command is accepted, it is subject to normal command processing. The acceptance criteria is explain further below:

- 3047 1. If the command is marked as “Yes” in the *Restricted Command* column, do the following:
 - 3048 a. If *apsZdoRestrictedMode* in the AIB is set to FALSE, the command is not restricted.
 - 3049 i. Go to Step 2.
 - 3050 b. If the sender is the Trust Center AND has APS encryption, the command is not restricted.

- 3051 i. Go to Step 2.
- 3052 c. Otherwise, the command SHALL NOT be processed. The receiver SHALL do the following:
- 3053 i. If the command was broadcast, no error is generated.
- 3054 1. No more processing is done.
- 3055 ii. If the command was unicast, generate an error message. Create the corresponding ZDO Response
- 3056 frame with a status of NOT_AUTHORIZED.
- 3057 1. No more processing is done.
- 3058 2. Continue processing the command normally.

3059 2.4.3.1 Device and Service Discovery Client Services

3060 Table 2-43 lists the commands supported by Device Profile, Device, and Service Discovery Client Services. Each of

3061 these commands will be discussed in the following sections.

3062 **Table 2-43. Device and Service Discovery Client Services Commands**

Device and Service Discovery Client Services	Cluster ID	Client Transmission	Server Processing	Restricted Command
NWK_addr_req	0x0000	O	M	No
IEEE_addr_req	0x0001	O	M	No
Node_Desc_req	0x0002	M	M	No
Power_Desc_req	0x0003	O	M	No
Simple_Desc_req	0x0004	O	M	No
Active_EP_req	0x0005	O	M	No
Match_Desc_req	0x0006	O	M	No
Complex_Desc_req	0x0010	Deprecated	Deprecated	-
User_Desc_req	0x0011	Deprecated	Deprecated	-
Discovery_Cache_req	0x0012	Deprecated	Deprecated	-
Device_annce	0x0013	O	M	No
Parent_annce	0x001F	M	M	No
User_Desc_set	0x0014	Deprecated	Deprecated	-
System_Server_Discovery_req	0x0015	O	M	No
Discovery_store_req	0x0016	Deprecated	Deprecated	-
Node_Desc_store_req	0x0017	Deprecated	Deprecated	-

Device and Service Discovery Client Services	Cluster ID	Client Transmission	Server Processing	Restricted Command
Power_Desc_store_req	0x0018	Deprecated	Deprecated	-
Active_EP_store_req	0x0019	Deprecated	Deprecated	-
Simple_Desc_store_req	0x001a	Deprecated	Deprecated	-
Remove_node_cache_req	0x001b	Deprecated	Deprecated	-
Find_node_cache_req	0x001c	Deprecated	Deprecated	-
Extended_Simple_Desc_req	0x001d	Deprecated	Deprecated	-
Extended_Active_EP_req	0x001e	Deprecated	Deprecated	-

3063 **2.4.3.1.1 NWK_addr_req**

3064 The NWK_addr_req command (ClusterID=0x0000) SHALL be formatted as illustrated in Figure 2-18.

Octets: 8	1	1
IEEEAddress	RequestType	StartIndex

3065 **Figure 2-18. Format of the NWK_addr_req Command Frame**

3066 Table 2-44 specifies the fields of the NWK_addr_req Command Frame.

3067 **Table 2-44. Fields of the NWK_addr_req Command Frame**

Name	Type	Valid Range	Description
IEEEAddr	IEEE Address	A valid 64-bit IEEE address	The IEEE address to be matched by the Remote Device
RequestType	Integer	0x00 – 0xff	Request type for this command: 0x00 – Single device response 0x01 – Extended response 0x02-0xFF – reserved
StartIndex	Integer	0x00 – 0xff	If the Request type for this command is Extended response, the StartIndex provides the starting index for the requested elements of the associated devices list

3068 2.4.3.1.1.1 **When Generated**

3069 The NWK_addr_req is generated from a Local Device wishing to inquire as to the 16-bit address of the Remote Device
 3070 based on its known IEEE address. The destination addressing on this command SHALL be unicast or broadcast to all
 3071 devices for which macRxOnWhenIdle = TRUE.

3072 2.4.3.1.1.2 **Effect on Receipt**

3073 Upon receipt, a Remote Device SHALL compare the IEEEAddr to its *nwkIeeeAddress* in the NIB or any IEEE address
 3074 held in its *nwkNeighborTable* where the Device Type field of the entry is 0x02 (End Device). If there is no match and
 3075 the request was unicast, a NWK_addr_rsp command SHALL be generated and sent back to the local device with the
 3076 Status field set to DEVICE_NOT_FOUND, the IEEEAddrRemoteDev field set to the IEEE address of the request;
 3077 the NWKAddrRemoteDev field set to 0xFFFF indicating that there is no known short address; and the NumAssocDev,
 3078 StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included in the frame. If there is no match and the
 3079 command was received as a broadcast, the request SHALL be discarded and no response generated. Note that router
 3080 parent *and* the macRxOnWhenIdle=TRUE end device SHALL *both* respond to the NWK Address request when the
 3081 request is sent to the macRxOnWhenIdle=TRUE broadcast address.

3082 If a match is detected between the contained IEEEAddr and the receiving device's *nwkIeeeAddress* or one held in the
 3083 receiving device's *nwkNeighborTable*, the RequestType SHALL be used to create a response. If the RequestType is
 3084 one of the reserved values and the request was not sent to a broadcast address, a NWK_addr_rsp command SHALL
 3085 be generated and sent back to the local device with the Status field set to INV_REQUESTTYPE; the IEEEAddrRe-
 3086 moteDev field set to the IEEE address of the request; the NWKAddrRemoteDev field set to the network address
 3087 corresponding to the IEEE address in the request; the NumAssocDev, StartIndex, and NWKAddrAssocDevList fields
 3088 SHALL NOT be included in the frame.

3089 If the RequestType is single device response, a NWK_addr_rsp command SHALL be generated and sent back to the
 3090 local device with the Status field set to SUCCESS, the IEEEAddrRemoteDev field set to the IEEE address of the
 3091 request; the NWKAddrRemoteDev field set to the NWK address of the discovered device; and the NumAssocDev,
 3092 StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included in the frame.

3093 If the RequestType was Extended response and the Remote Device is either the Zigbee coordinator or router, a
 3094 NWK_addr_rsp command SHALL be generated and sent back to the local device with the Status field set to SUC-
 3095 CESS, the IEEEAddrRemoteDev field set to the IEEE address of the device itself, and the NWKAddrRemoteDev
 3096 field set to the NWK address of the device itself. The Remote Device SHALL also supply a list of all 16-bit NWK
 3097 addresses in the NWKAddrAssocDevList field, starting with the entry StartIndex and continuing with whole entries
 3098 until the maximum APS packet length is reached, for all devices in its *nwkNeighborTable* where the Device Type is
 3099 0x02 (End Device). It SHALL then set the NumAssocDev field to the number of entries in the
 3100 NWKAddrAssocDevList field.

3101 2.4.3.1.2 **IEEE_addr_req**

3102 The IEEE_addr_req command (ClusterID=0x0001) SHALL be formatted as illustrated in Figure 2-19.

Octets: 2	1	1
NWKAddrOfInterest	RequestType	StartIndex

3103 **Figure 2-19. Format of the IEEE_addr_req_Command Frame**

3104 Table 2-45 specifies the fields of the IEEE_addr_req command frame.

3105

Table 2-45. Fields of the IEEE_addr_req Command

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address that is used for IEEE address mapping.
RequestType	Integer	0x00-0xff	Request type for this command: 0x00 – Single device response 0x01 – Extended response 0x02-0xff – reserved
StartIndex	Integer	0x00-0xff	If the Request type for this command is Extended response, the StartIndex provides the starting index for the requested elements of the associated devices list.

3106 2.4.3.1.2.1 **When Generated**

3107 The IEEE_addr_req is generated from a Local Device wishing to inquire as to the 64-bit IEEE address of the Remote
3108 Device based on their known 16-bit address. The destination addressing on this command SHALL be unicast, or
3109 broadcast to all devices for which macRxOnWhenIdle = TRUE.

3110 2.4.3.1.2.2 **Effect on Receipt**

3111 Upon receipt a Remote Device SHALL compare the NWKAddrOfInterest to its local *nwkNetworkAddress* value in
3112 the NIB, or compare any Network address field held in its *nwkNeighborTable* that also has the Device Type field set
3113 to 0x02 (End Device). If there is no match, an IEEE_addr_rsp command SHALL be generated and sent back to the
3114 local device with the Status field set to DEVICE_NOT_FOUND; theIEEEAddrRemoteDev field set to the IEEE ad-
3115 dress of 0xFFFFFFFFFFFFFFFF; the NWKAddrRemoteDev field set to the NWK address of the request; and the
3116 NumAssocDev, StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included in the frame.

3117 If a match is detected between the contained NWKAddrOfInterest and the receiving device's *nwkNetworkAddress* or
3118 one held in the *nwkNeighborTable*, the RequestType SHALL be used to create a response. If the RequestType is one
3119 of the reserved values, an IEEE_addr_rsp command SHALL be generated and sent back to the local device with the
3120 Status field set to INV_REQUESTTYPE, the IEEEAddrRemoteDev field set to the IEEE address of this device, the
3121 NWKAddrRemoteDev field set to the network address of this device and the NumAssocDev, StartIndex, and
3122 NWKAddrAssocDevList fields SHALL NOT be included in the frame.

3123 If the RequestType is single device response, an IEEE_addr_rsp command SHALL be generated and sent back to the
3124 local device with the Status field set to SUCCESS, the IEEEAddrRemoteDev field set to the IEEE address of the
3125 discovered device, the NWKAddrRemoteDev field set to the NWK address of the request and the NumAssocDev,
3126 StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included in the frame.

3127 If the RequestType indicates an Extended Response and the Remote Device is the Zigbee coordinator or router with
3128 associated devices, an IEEE_addr_rsp command SHALL be generated and sent back to the local device with the Status
3129 field set to SUCCESS, the IEEEAddrRemoteDev field set to the IEEE address of the device itself, and the
3130 NWKAddrRemoteDev field set to the NWK address of the device itself. The Remote Device SHALL also supply a
3131 list of all 16-bit network addresses in the NWKAddrAssocDevList field, starting with the entry StartIndex and con-
3132 tinuing with whole entries until the maximum APS packet length is reached, for each entry in the *nwkNeighborTable*
3133 where the Device Type field is set to 0x02 (End Device). It SHALL then set the NumAssocDev field to the number
3134 of entries in the NWKAddrAssocDevList field.

3135 2.4.3.1.3 **Node_Desc_req**

3136 The Node_Desc_req_command (ClusterID=0x0002) SHALL be formatted as illustrated in Figure 2-20.

Octets: 2	Octets: Variable
NWKAddrOfInterest	TLVs

3137 **Figure 2-20. Format of the Node_Desc_req Command Frame**

3138 Table 2-46 specifies the fields for the Node_Desc_req command frame.

3139 **Table 2-46. Fields of the Node_Desc_req Command Frame**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request
TLVs	Concatenation of TLVs	Varies	The Fragmentation Parameters Global TLV SHALL always be included. If the Node_Desc_req is sent to the Trust Center from a device wishing to update its Trust Center link-key, the Supported Key Negotiation Methods Global TLV (ID 65) SHALL be included.

3140 2.4.3.1.3.1 **When Generated**

3141 The Node_Desc_req command is generated from a local device wishing to inquire as to the node descriptor of a remote
3142 device. This command SHALL be unicast either to the remote device itself or to an alternative device that contains
3143 the discovery information of the remote device.

3144 The local device SHALL generate the Node_Desc_req command using the format illustrated in . The NWKAddrOfIn-
3145 terest field SHALL contain the network address of the remote device for which the node descriptor is required.

3146 The Fragmentation Parameters Global TLV SHALL be present to indicate the sending device's fragmentation capa-
3147 bilities. This allows the receiving device to cache the information if it needs to.

3148 If the Node_Desc_req is sent to the Trust Center from a device wishing to update its Trust Center link-key, the Sup-
3149 ported Key Negotiation Methods Global TLV (ID 65) SHALL be included.

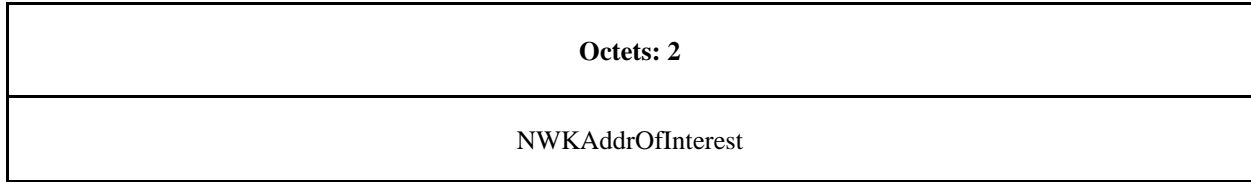
3150 2.4.3.1.3.2 **Effect on Receipt**

3151 Upon receipt of this command, the recipient device SHALL process the command and generate a Node_Desc_rsp
3152 command in response, according to the description in section 2.4.4.2.3.

3153 2.4.3.1.4 **Power_Desc_req**

3154 The Power_Desc_req command (ClusterID=0x0003) SHALL be formatted as illustrated in Figure 2-21.

3155



3156 **Figure 2-21. Format of the Power_Desc_req Command Frame**

3157 Table 2-47 specifies the fields of the Power_Desc_req command frame.

3158 **Table 2-47. Fields of the Power_Desc_req Command Frame**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.

3159 2.4.3.1.4.1 **When Generated**

3160 The Power_Desc_req command is generated from a local device wishing to inquire as to the power descriptor of a
 3161 remote device. This command SHALL be unicast either to the remote device itself or to an alternative device that
 3162 contains the discovery information of the remote device.

3163 The local device SHALL generate the Power_Desc_req command using the format illustrated in Table 2-47. The
 3164 NWKAddrOfInterest field SHALL contain the network address of the remote device for which the power descriptor
 3165 is required.

3166 2.4.3.1.4.2 **Effect on Receipt**

3167 Upon receipt of this command, the recipient device SHALL process the command and generate a Power_Desc_rsp
 3168 command in response according to the description in section 2.4.4.2.4.

3169 2.4.3.1.5 **Simple_Desc_req**

3170 The Simple_Desc_req command (ClusterID=0x0004) SHALL be formatted as illustrated in Figure 2-22.



3171 **Figure 2-22. Format of the Simple_Desc_req Command Frame**

3172 Table 2-48 specifies the fields of the Simple_Desc_req command frame.

3173 **Table 2-48. Fields of the Simple_Desc_req Command**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request
Endpoint	8 bits	1–254	The endpoint on the destination

3174 2.4.3.1.5.1 **When Generated**

3175 The Simple_Desc_req command is generated from a local device wishing to inquire as to the simple descriptor of a
3176 remote device on a specified endpoint. This command SHALL be unicast either to the remote device itself or to an
3177 alternative device that contains the discovery information of the remote device.

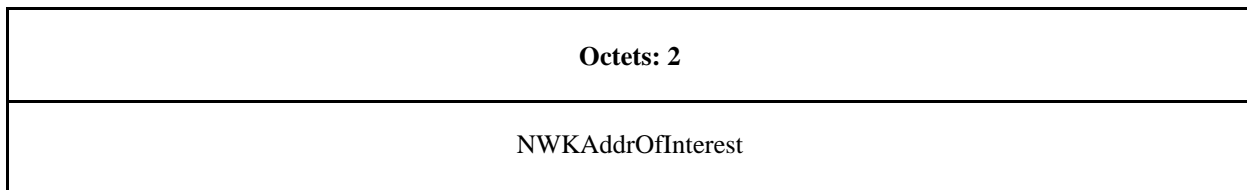
3178 The local device SHALL generate the Simple_Desc_req command using the format illustrated in Table 2-48. The
3179 NWKAddrOfInterest field SHALL contain the network address of the remote device for which the simple descriptor
3180 is required and the endpoint field SHALL contain the endpoint identifier from which to obtain the required simple
3181 descriptor.

3182 2.4.3.1.5.2 **Effect on Receipt**

3183 Upon receipt of this command, the recipient device SHALL process the command and generate a Simple_Desc_rsp
3184 command in response, according to the description in section 2.4.4.2.5.

3185 2.4.3.1.6 **Active_EP_req**

3186 The Active_EP_req command (ClusterID=0x0005) SHALL be formatted as illustrated in Figure 2-23.



3187 **Figure 2-23. Format of the Active_EP_req Command Frame**

3188 Table 2-49 specifies the fields of the Active_EP_req command frame.

3189 **Table 2-49. Fields of the Active_EP_req Command**

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.

3190 2.4.3.1.6.1 **When Generated**

3191 The Active_EP_req command is generated from a local device wishing to acquire the list of endpoints on a remote
3192 device with simple descriptors. This command SHALL be unicast either to the remote device itself or to an alternative
3193 device that contains the discovery information of the remote device.

3194 The local device SHALL generate the Active_EP_req command using the format illustrated in . The NWKAddrOfIn-
3195 terest field SHALL contain the network address of the remote device for which the active endpoint list is required.

3196 2.4.3.1.6.2 **Effect on Receipt**

3197 Upon receipt of this command, the recipient device SHALL process the command and generate an Active_EP_rsp
3198 command in response, according to the description in section 2.4.4.2.6.

3199 2.4.3.1.7 **Match_Desc_req**

3200 The Match_Desc_req command (ClusterID=0x0006) SHALL be formatted as illustrated in Figure 2-24.

3201

Octets: 2	2	1	Variable	1	Variable
NWKAddrOfInterest	ProfileID	NumInClusters	InClusterList	NumOutClusters	OutClusterList

Figure 2-24. Format of the Match_Desc_req Command Frame

3202

3203 Table 2-50 specifies the fields of the Match_Desc_req command frame.

3204

Table 2-50. Fields of the Match_Desc_req Command

Name	Type	Valid Range	Description
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
ProfileID	Integer	0x0000 – 0xffff	Profile ID to be matched at the destination.
NumInClusters	Integer	0x00 – 0xff	The number of Input Clusters provided for matching within the InClusterList.
InClusterList	2 bytes * NumInClusters		List of Input ClusterIDs to be used for matching; the InClusterList is the desired list to be matched by the Remote Device (the elements of the InClusterList are the supported output clusters of the Local Device).
NumOutClusters	Integer	0x00 – 0xff	The number of Output Clusters provided for matching within OutClusterList.
OutClusterList	2 bytes * NumOutClusters		List of Output ClusterIDs to be used for matching; the OutClusterList is the desired list to be matched by the Remote Device (the elements of the OutClusterList are the supported input clusters of the Local Device).

3205 2.4.3.1.7.1 When Generated

3206 The Match_Desc_req command is generated from a local device wishing to find remote devices supporting a specific
 3207 simple descriptor match criterion. This command SHALL either be broadcast to all devices for which macRx-
 3208 OnWhenIdle = TRUE, or unicast. If the command is unicast, it SHALL be directed either to the remote device itself
 3209 or to an alternative device that contains the discovery information of the remote device.

3210 The local device SHALL generate the Match_Desc_req command using the format illustrated in . The NWKAd-
 3211 drOfInterest field SHALL contain the network address indicating a broadcast to all devices for which macRx-
 3212 OnWhenIdle = TRUE (0xffff) if the command is to be broadcast, or the network address of the remote device for
 3213 which the match is required.

3214 The remaining fields SHALL contain the required criterion for which the simple descriptor match is requested. The
 3215 ProfileID field SHALL contain the identifier of the profile for which the match is being sought or the wildcard profile
 3216 ID of 0xFFFF.

3217 The NumInClusters field SHALL contain the number of elements in the InClusterList field. If the value of this field
 3218 is 0, the InClusterList field SHALL NOT be included. If the value of the NumInClusters field is not equal to 0, the
 3219 InClusterList field SHALL contain the list of input cluster identifiers for which the match is being sought.

3220 The NumOutClusters field SHALL contain the number of elements in the OutClusterList field. If the value of this
 3221 field is 0, the OutClusterList field SHALL NOT be included. If the value of the NumOutClusters field is not equal to
 3222 0, the OutClusterList field SHALL contain the list of output cluster identifiers for which the match is being sought.

3223 2.4.3.1.7.2 **Effect on Receipt**

3224 Upon receipt of this command, the recipient device SHALL process the command and generate a Match_Desc_rsp
 3225 command in response, according to the description in section 2.4.4.2.7.

3226 2.4.3.1.8 **Complex_Desc_req – DEPRECATED**

3227 2.4.3.1.9 **User_Desc_req – DEPRECATED**

3228 2.4.3.1.10 **Discovery_Cache_req – DEPRECATED**

3229 2.4.3.1.11 **Device_ance**

3230 The Device_ance command (ClusterID=0x0013) SHALL be formatted as illustrated in Figure 2-25.

Octets: 2	8	1
NWKAddr	IEEEAddr	Capability

3231 **Figure 2-25. Format of the Device_ance Command Frame**

3232 Table 2-51 specifies the fields of the Device_ance command frame.

3233 **Table 2-51. Fields of the Device_ance Command**

Name	Type	Valid Range	Description
NWKAddr	Device Address	16-bit NWK address	NWK address for the Local Device
IEEEAddr	Device Address	64-bit IEEE address	IEEE address for the Local Device
Capability	Bitmap	See Figure 2-16.	Capability of the local device

3234 2.4.3.1.11.1 **When Generated**

3235 The Device_ance is provided to enable Zigbee devices on the network to notify other Zigbee devices that the device
 3236 has joined or re-joined the network, identifying the device's 64-bit IEEE address and new 16-bit NWK address, and
 3237 informing the Remote Devices of the capability of the Zigbee device. This command SHALL be invoked for all Zigbee
 3238 end devices upon join or rejoin. This command MAY also be invoked by Zigbee routers upon join or rejoin as part of
 3239 NWK address conflict resolution. The destination addressing on this primitive is broadcast to all devices for which
 3240 macRxOnWhenIdle = TRUE.

3241 2.4.3.1.11.2 **Effect on Receipt**

3242 Routers and Coordinators SHALL first determine whether there is an address conflict with any other device on the
 3243 network. End Devices are not required to detect address conflicts.

3244 Address conflicts SHALL be determined as follows:

- 3245 1. Using the value of the IEEEAddr in the received ZDO message examine NIB tables for the nwkAddressMap and
3246 nwkNeighborTable for a matching IEEE Address.
- 3247 2. If a match is found AND that match has a different node ID than the value for NWKAddr in the received ZDO
3248 message then an address conflict has occurred. Do the following:
- 3249 a. If the conflicted entry is the nwkNeighborTable of the NIB AND the entry has a Relationship of 0x06,
3250 neighbor is a lost child, this indicates a local end device child has NOT changed parents and needs a new
3251 address. Perform an NLME-SET.req as follows.
- 3252 i. Set the corresponding entry in the nwkNeighborTable to have a Relationship field of 0x07, neighbor is
3253 a child that needs new address.
- 3254 b. Follow the procedure in section 3.6.1.10.3 to resolve the address conflict.
- 3255 i. No further processing of the message SHALL be done.

3256 When no conflict is detected, all device types SHALL continue processing the ZDO device announce as indicated
3257 below.

3258 Upon receipt, the Remote Device SHALL use the IEEEAddr in the message to find a match with any other IEEE
3259 address held in the Remote Device. If a match is detected, the Remote Device SHALL update the nwkAddressMap
3260 attribute of the NIB with the updated NWKAddr corresponding to the IEEEAddr received.

3261 The Remote Device SHALL also use the NWKAddr in the message to find a match with any other 16-bit NWK
3262 address held in the Remote Device, even if the IEEEAddr field in the message carries the value of 0xffffffffffff. If
3263 a match is detected for a device with an IEEE address other than that indicated in the IEEEAddr field received, then
3264 this entry SHALL be marked as not having a known valid 16-bit NWK address.

3265 2.4.3.1.12 Parent_annce

3266 The Parent_annce command (ClusterID = 0x001F) SHALL be formatted as illustrated in Figure 2-26.

Octets: 1	Variable	...	Variable
NumberOfChildren	ChildInfo[0]	...	ChildInfo[n]

3267 **Figure 2-26. Format of the Parent Annce Message**

3268 Table 2-52 specifies the contents of the ChildInfo structure.

3269 **Table 2-52. Format of the ChildInfo Structure**

Name	Type	Description
Extended Address	64-bit IEEE address	The IEEE address of the child bound to the parent.

3270 2.4.3.1.12.1 When Generated

3271 The Parent_annce is provided to enable Zigbee routers (including the coordinator) on the network to notify other
3272 Zigbee routers about all the end devices known to the local device. This command provides a means to resolve con-
3273 flicts more quickly than aging out the child, when multiple routers purport to be the active parent of a particular end-
3274 device. The command MAY be broadcast from one router to all routers and the coordinator using the broadcast address
3275 0xFFFC or unicast from one router to another router.

3276 This message SHALL be generated if all the following conditions are met:

- 3277 1. The router or coordinator device has rebooted.
- 3278 2. The router or coordinator is operating in the joined state.

3279 The message generated under the above circumstances SHALL be broadcast. Before broadcasting a Parent_annce
3280 message, the device SHALL start a countdown timer, *apsParentAnnounceTimer* equal to *apsParentAnnounceBaseT-*
3281 *imer* + a random value from 0 to *apsParentAnnounceJitterMax*.

3282 When the timer expires, a router SHALL examine its neighbor table for all devices. The router SHALL construct, but
3283 not yet send, an empty Parent_ance message and set NumberOfChildren to 0. For each end device in the neighbor
3284 table, it SHALL do the following.

- 3285 1. If the Neighbor Table entry indicates a Device Type not equal to End Device (0x02), do not process this entry.
3286 Continue to the next one.
- 3287 2. Incorporate end device information into the Parent_ance message by doing the following:
3288 a. Append a ChildInfo structure to the message.
3289 b. Increment NumberOfChildren by 1.
- 3290 3. Note: The value of Keepalive Received for the Neighbor Table Entry is not considered.

3291 After processing all entries in the neighbor table, if the NumberOfChildren is greater than 0, then it SHALL send the
3292 message to the all routers broadcast address (0xFFFC). If NumberOfChildren is 0, it SHALL discard the previously
3293 constructed Parent_ance message and not send it.

3294 If the device has more ChildInfo entries than fit in a single message, it SHALL send additional messages. Each addi-
3295 tional message needed SHALL trigger the device to calculate and start a new apsParentAnnounceTimer equal to ap-
3296 sParentAnnounceBaseTimer + a random value from 0 to apsParentAnnounceJitterMax. The local device SHALL wait
3297 until that timer expires before sending each additional message. The NumberOfChildren for each message shall be set
3298 according to the number of ChildInfo entries contained within the message.

3299 If the device shall send multiple Parent_ance messages but receives a keepalive from an end device before it has sent
3300 the Parent_Ance message, it SHALL NOT include that device in the message.

3301 2.4.3.1.12.2 **Effect on receipt**

3302 If the message is received by an end device, it SHALL be dropped. No further processing SHALL be done.

3303 Upon receipt of a broadcast Parent_ance, if the local device has a non-zero value for its apsParentAnnounceTimer it
3304 SHALL immediately re-calculate a new value and start a new countdown. The apsParentAnnounceTimer SHALL be
3305 set to apsParentAnnounceBaseTimer + a random value from 0 to apsParentAnnounceJitterMax. It SHALL continue
3306 processing the message.

3307 A router SHALL construct, but not yet send, an empty Parent_Ance_rsp message with NumberOfChildren set to 0.
3308 It SHALL examine each Extended Address present in the message and search its Neighbor Table for an Extended
3309 Address entry that matches. For each match, process as follows:

- 3310 1. If the Device Type is Zigbee End Device (0x02) and the Keepalive Received value is TRUE, do the following:
3311 a. It SHALL append to the Parent_ance_rsp frame the ChildInfo structure.
3312 b. Increment the NumberOfChildren by 1.
- 3313 2. If the Device Type is not Zigbee End Device (0x02) or the Keepalive Received value is FALSE, do not process
3314 any further. Continue to the next entry.

3315 If the NumberOfChildren field value is 0, the local device SHALL discard the previously constructed Par-
3316 ent_Ance_rsp. No response message SHALL be sent.

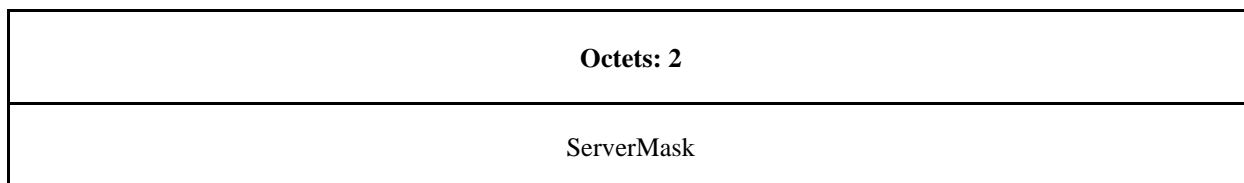
3317 If the NumberOfChildren field in the Parent_Ance_rsp is greater than 0, it SHALL unicast the message to the sender
3318 of the Parent_Ance message.

3319 If the device has more ChildInfo entries than fit in a single message, it SHALL send additional messages. These
3320 messages do not have to be jittered or delayed since they are unicast to a single device. Each Parent_ance_rsp SHALL
3321 set the NumberOfChildren field to the number of entries contained within the message.

3322 2.4.3.1.13 **User_Desc_set – DEPRECATED**

3323 2.4.3.1.14 **System_Server_Discovery_req**

3324 The System_Server_Discovery_req command (ClusterID=0x0015) SHALL be formatted as illustrated in Figure 2-27.



3325 **Figure 2-27. Format of the System_Server_Discovery_req Command Frame**

3326 Table 2-53 specifies the fields of the System_Server_Discovery_req command frame.

3327 **Table 2-53. Fields of the System_Server_Discovery_req Command Frame**

Name	Type	Valid Range	Description
ServerMask	Bitmap	16 bits	See Table 2-34 for bit assignments.

3328 2.4.3.1.14.1 **When Generated**

3329 The System_Server_Discovery_req is generated from a Local Device wishing to discover the location of a particular
3330 system server or servers as indicated by the ServerMask parameter. The destination addressing on this request is
3331 ‘broadcast to all devices for which macRxOnWhenIdle = TRUE.’

3332 2.4.3.1.14.2 **Effect on Receipt**

3333 Upon receipt, remote devices SHALL compare the ServerMask parameter to the Server Mask field in their own Node
3334 descriptor. If no bits are found to match, no action is taken. If any matching bits are found, the remote device SHALL
3335 send a System_Server_Discovery_rsp back to the originator using unicast transmission (with acknowledgement re-
3336 quest) and indicating the matching bits.

3337 2.4.3.1.15 **Discovery_store_req – DEPRECATED**

3338 2.4.3.1.16 **Node_Desc_store_req – DEPRECATED**

3339 2.4.3.1.17 **Power_Desc_store_req – DEPRECATED**

3340 2.4.3.1.18 **Active_EP_store_req – DEPRECATED**

3341 2.4.3.1.19 **Simple_Desc_store_req – DEPRECATED**

3342 2.4.3.1.20 **Remove_node_cache_req – DEPRECATED**

3343 2.4.3.1.21 **Find_node_cache_req – DEPRECATED**

3344 2.4.3.1.22 **Extended_Simple_Desc_req – DEPRECATED**

3345 2.4.3.1.23 **Extended_Active_EP_req – DEPRECATED**

3346 **2.4.3.2 Bind, Unbind, and Bind Management Client Services Primi-**
3347 **tives**

3348 Table 2-54 lists the primitives supported by Device Profile: Bind and Unbind Client Services. Each of these commands
3349 will be discussed in the following sections.

3350

Table 2-54. Bind, Unbind, and Bind Management Client Service Commands

Bind and Unbind Client Services	Cluster ID	Client Trans- mission	Server Pro- cessing	Restricted Mode Only
End_Device_Bind_req	0x0020	Deprecated	Deprecated	-
Bind_req	0x0021	O	O	Yes
Unbind_req	0x0022	O	O	Yes
Bind_Register_req	0x0023	Deprecated	Deprecated	-
Replace_Device_req	0x0024	Deprecated	Deprecated	-
Store_Bkup_Bind_Entry_req	0x0025	Deprecated	Deprecated	-
Remove_Bkup_Bind_Entry_req	0x0026	Deprecated	Deprecated	-
Backup_Bind_Table_req	0x0027	Deprecated	Deprecated	-
Recover_Bind_Table_req	0x0028	Deprecated	Deprecated	-
Backup_Source_Bind_req	0x0029	Deprecated	Deprecated	-
Recover_Source_Bind_req	0x002a	Deprecated	Deprecated	-
Clear_All_Bindings_req	0x002b	O	M / O *	Yes

3351 *The Clear_All_Bindings is optional if no binding table is present. If a Binding Table is supported then the
3352 Clear_All_Bindings_req command server processing is mandatory.

3353 **2.4.3.2.1 End_Device_Bind_req – DEPRECATED**

3354 **2.4.3.2.2 Bind_req**

3355 The Bind_req command (ClusterID=0x0021) SHALL be formatted as illustrated in Figure 2-28.

Octets: 8	1	2	1	2/8	0/1
SrcAddress	SrcEndp	ClusterID	DstAddrMode	DstAddress	DstEndp

Figure 2-28. Format of the Bind_req Command Frame

3356

3357 Table 2-55 specifies the fields of the Bind_req command frame.

3358

Table 2-55. Fields of the Bind_req Command

Name	Type	Valid Range	Description
SrcAddress	IEEE Address	A valid 64-bit IEEE address	The IEEE address for the source.
SrcEndp	Integer	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterID	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this command. This field can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddress and DstEndp not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddress and DstEndp present 0x04 – 0xff = reserved
DstAddress	Address	As specified by the DstAddr-Mode field	The destination address for the binding entry.
DstEndp	Integer	0x01 – 0xfe	This field SHALL be present only if the DstAddr-Mode field has a value of 0x03 and, if present, SHALL be the destination endpoint for the binding entry.

3359 2.4.3.2.2.1 **When Generated**

3360 The Bind_req is generated from a Local Device wishing to create a Binding Table entry for the source and destination
3361 addresses contained as parameters. The destination addressing on this command SHALL be unicast only, and the
3362 destination address SHALL be that of the SrcAddress itself. The Binding Manager is optionally supported on the
3363 source device (unless that device is also the Zigbee Coordinator) so that device SHALL issue a NOT_SUPPORTED
3364 status to the Bind_req if not supported.

3365 2.4.3.2.2.2 **Effect on Receipt**

3366 On receipt of a broadcast Bind request the stack SHALL drop the message and no further processing SHALL take
3367 place. Otherwise, upon receipt, a Remote Device SHALL create a Binding Table entry based on the parameters sup-
3368 plied in the Bind_req if the Binding Manager is supported. The Remote Device SHALL then respond with SUCCESS
3369 if the entry has been created by the Binding Manager; otherwise, the Remote Device SHALL respond with INSUF-
3370 FICIENT_SPACE.

3371 2.4.3.2.3 **Unbind_req**

3372 The Unbind_req command (ClusterID=0x0022) SHALL be formatted as illustrated in Figure 2-29.

Octets: 8	1	2	1	2/8	0/1
SrcAddress	SrcEndp	ClusterID	DstAddrMode	DstAddress	DstEndp

Figure 2-29. Format of the Unbind_req Command Frame

3373

3374 Table 2-56 specifies the fields of the Unbind_req command frame.

3375

Table 2-56. Fields of the Unbind_req Command

Name	Type	Valid Range	Description
SrcAddress	IEEE Address	A valid 64-bit IEEE address	The IEEE address for the source
SrcEndp	Integer	0x01 – 0xfe	The source endpoint for the binding entry
ClusterID	Integer	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination.
DstAddrMode	Integer	0x00 – 0xff	The addressing mode for the destination address used in this command. This field can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddress and DstEndp not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddress and DstEndp present 0x04 – 0xff = reserved
DstAddress	Address	As specified by the DstAddrMode field	The destination address for the binding entry.
DstEndp	Integer	0x01 – 0xfe	This field SHALL be present only if the DstAddrMode field has a value of 0x03 and, if present, SHALL be the destination endpoint for the binding entry.

3376 2.4.3.2.3.1 **When Generated**

3377 The Unbind_req is generated from a Local Device wishing to remove a Binding Table entry for the source and destination addresses contained as parameters. The destination addressing on this command SHALL be unicast only and
3378 the destination address SHALL be that of the SrcAddress.
3379

3380 2.4.3.2.3.2 **Effect on Receipt**

3381 On receipt of a broadcast Unbind request the stack SHALL drop the message and no further processing SHALL be
3382 done. The Remote Device SHALL evaluate whether this request is supported. If the request is not supported, a Status
3383 of NOT_SUPPORTED SHALL be returned. If the request is supported, the Remote Device SHALL remove a Binding
3384 Table entry based on the parameters supplied in the Unbind_req. If a Binding Table entry for the SrcAddress, SrcEndp,
3385 ClusterID, DstAddress, DstEndp contained as parameters does not exist, the Remote Device SHALL respond with

3386 NO_ENTRY. Otherwise, the Remote Device SHALL delete the indicated Binding Table entry and respond with SUC-
 3387 CESS.

- 3388 **2.4.3.2.4 Bind_Register_req – DEPRECATED**
- 3389 **2.4.3.2.5 Replace_Device_req – DEPRECATED**
- 3390 **2.4.3.2.6 Store_Bkup_Bind_Entry_req – DEPRECATED**
- 3391 **2.4.3.2.7 Remove_Bkup_Bind_Entry_req – DEPRECATED**
- 3392 **2.4.3.2.8 Backup_Bind_Table_req – DEPRECATED**
- 3393 **2.4.3.2.9 Recover_Bind_Table_req – DEPRECATED**
- 3394 **2.4.3.2.10 Backup_Source_Bind_req – DEPRECATED**
- 3395 **2.4.3.2.11 Recover_Source_Bind_req – DEPRECATED**
- 3396 **2.4.3.2.12 Clear_All_Bindings_req**

3397 The Clear_All_Bindings_req command (Cluster = 0x002b) SHALL be formatted as described in Figure 2-30. Any
 3398 device on the network can send this command subject to the same Restricted Mode processing rules that apply to other
 3399 commands manipulating the binding table.

Octets: Varies
TLVs

Figure 2-30. Format of the Clear_All_Bindings_req

3400 The following TLVs SHALL be present in the message:

- 3401 • Clear All Bindings Req EUI64 TLV

3402 **2.4.3.2.12.1 Local TLVs**

3403 **2.4.3.2.12.2 Clear All Bindings Req EUI64 TLV (ID=0)**

3404 The format of the Clear All Bindings Req EUI64 TLV SHALL be as formatted in Figure 2-31.

Octets: 1	8	...
EUI64 Count	EUI64	...

Figure 2-31. Format of the Clear All Bindings Req EUI64 TLV

3405 The fields of the Clear All Bindings Req EUI64 TLV are defined in Table 2-57.

3406

3409

Table 2-57. Fields of the Clear All Bindings Req EUI64 TLV

Name	Type	Valid Range	Description
EUI64 Count	Integer	0x00 – 0xFF	The number of EUI64 fields within the TLV. NOTE: The Maximum Transmission Unit (MTU) of the underlying message will limit the maximum range of this field.
EUI64	EUI64	0x0000000000000000 – 0xFFFFFFFFFFFFFFFF	An EUI64 that SHALL trigger corresponding bindings to be deleted.

3410 2.4.3.2.12.3 **When Generated**

3411 This is generated by a remote device that wants to clear all the bindings of the local device, for example to clear the
3412 application configuration without resetting the device to its factory defaults and causing it to drop off the network.
3413 This command SHALL be sent via unicast.

3414 2.4.3.2.12.4 **Effect on Receipt**

3415 The receiver SHALL do the following:

- 3416 1) If the command was broadcast, the command SHALL be dropped and no further processing SHALL be done.
- 3417 2) Perform TLV processing rules as described in Annex I (General TLV Processing section).
- 3418 3) If the command does not include a Clear All Bindings Req EUI64 TLV in the message, then it SHALL be re-
3419 jected.
 - 3420 a) A ZDO Clear_All_Bindings_rsp SHALL be generated with a status of INV_REQUESTTYPE. No further
3421 processing SHALL be done to clear the application configuration without resetting the device to its factory
3422 defaults and causing it to drop off the network.
- 3423 4) For each EUI64 in the Clear All Bindings Req EUI64 TLV search the Binding Table and delete any binding
3424 that matches that EUI64. If the Wildcard EUI64 of 0xFFFFFFFFFFFFFFFF is used then all bindings on the lo-
3425 cal device SHALL be deleted.
- 3426 5) Generate a ZDO Clear_All_Bindings_rsp containing a Status Field.
 - 3427 a) Set the status to SUCCESS

3428 **2.4.3.3 Network Management Client Services**

3429 Table 2-58 lists the commands supported by Device Profile: Network Management Client Services. Each of these
3430 primitives will be discussed in the following sections.

3431 **Table 2-58. Network Management Client Services Commands**

Network Management Client Services	Cluster ID	Client Transmission	Server Processing	Restricted Command
Mgmt_NWK_Disc_req	0x0030	Deprecated	Deprecated	-
Mgmt_Lqi_req	0x0031	O	M	No
Mgmt_Rtg_req	0x0032	O	M	No
Mgmt_Bind_req	0x0033	O	M	No

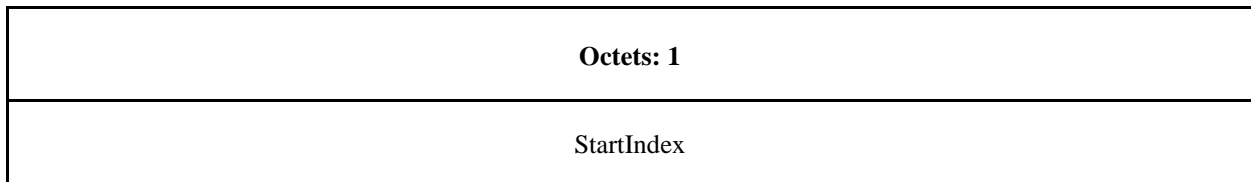
Network Management Client Services	Cluster ID	Client Transmission	Server Processing	Restricted Command
Mgmt_Leave_req	0x0034	O	M	Yes
Mgmt_Direct_Join_req	0x0035	Deprecated	Deprecated	-
Mgmt_Permit_Joining_req	0x0036	O	M	No
Mgmt_Cache_req	0x0037	Deprecated	Deprecated	-
Mgmt_NWK_Update_req	0x0038	O	O	No
Mgmt_NWK_Enhanced_Update_req	0x0039	O	O	No
Mgmt_NWK_IEEE_Joining_List_req	0x003a	O	O	No
Reserved	0x003b	-	-	-
Mgmt_NWK_Beacon_Survey_req	0x003c	O	M*	No

3432 * The Mgmt_NWK_Beacon_Survey_req server processing is mandatory for End Devices and optional for routers.

3433 **2.4.3.3.1 Mgmt_NWK_Disc_req – DEPRECATED**

3434 **2.4.3.3.2 Mgmt_Lqi_req**

3435 The Mgmt_Lqi_req command (ClusterID=0x0031) SHALL be formatted as illustrated in Figure 2-32.



3436 **Figure 2-32. Format of the Mgmt_Lqi_req Command Frame**

3437 Table 2-59 specifies the fields for the Mgmt_NWK_Disc_req command frame.

3438 **Table 2-59. Fields of the Mgmt_Lqi_req Command**

Name	Type	Valid Range	Description
StartIndex	Integer	0x00 – 0xff	Starting Index for the requested elements of the Neighbor Table.

3439 2.4.3.3.2.1 **When Generated**

3440 The Mgmt_Lqi_req is generated from a Local Device wishing to obtain a neighbor list for the Remote Device along
 3441 with associated LQA values to each neighbor. The destination addressing on this command SHALL be unicast only.
 3442 It MAY be sent to a coordinator, router, or end device.

3443 2.4.3.3.2.2 **Effect on Receipt**

3444 Upon receipt, a Remote Device (Zigbee Router or Zigbee Coordinator) SHALL retrieve the entries of the neighbor
 3445 table and associated LQA values via the NLME-GET.request primitive (for the *nwkNeighborTable* attribute) and re-
 3446 port the resulting neighbor table (obtained via the NLME-GET.confirm primitive) via the Mgmt_Lqi_rsp command.²

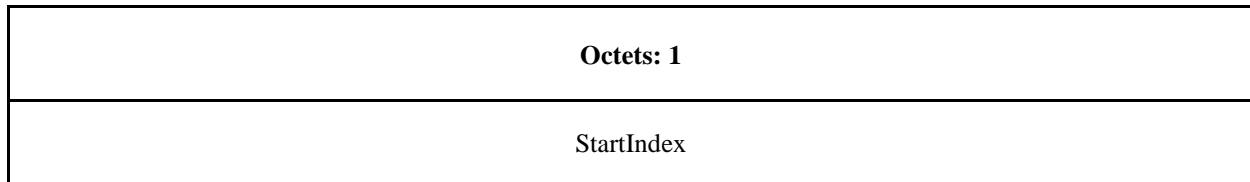
3447 Prior to Revision 21 of this specification, server processing of this command was optional. Additionally end devices
 3448 were not required to support the command. As a result some devices MAY return NOT_SUPPORTED. For R22 and
 3449 beyond, all devices SHALL support this command.

3450 Prior to Revision 23 of this specification, the LQI value was returned, which might have exhibited more platform-
 3451 specific behavior.

3452 If this command is not supported in the Remote Device, the return status provided with the Mgmt_Lqi_rsp SHALL
 3453 be NOT_SUPPORTED. If the neighbor table was obtained successfully, the Mgmt_Lqi_rsp command SHALL con-
 3454 tain a status of SUCCESS and the neighbor table SHALL be reported, beginning with the element in the list enumer-
 3455 ated as StartIndex. If the neighbor table was not obtained successfully, the Mgmt_Lqi_rsp command SHALL contain
 3456 the error code reported in the NLME-GET.confirm primitive.

3457 2.4.3.3.3 **Mgmt_Rtg_req**

3458 The Mgmt_Rtg_req command (ClusterID=0x0032) SHALL be formatted as illustrated in Figure 2-33.



3459 **Figure 2-33. Format of the Mgmt_Rtg_req Command Frame**

3460 Table 2-60 specifies the fields for the Mgmt_Rtg_req command frame.

3461 **Table 2-60. Fields of the Mgmt_Rtg_req Command**

Name	Type	Valid Range	Description
StartIndex	Integer	0x00-0xff	Starting Index for the requested elements of the Routing Table.

3462 2.4.3.3.3.1 **When Generated**

3463 The Mgmt_Rtg_req is generated from a Local Device wishing to retrieve the contents of the Routing Table from the
 3464 Remote Device. The destination addressing on this command SHALL be unicast only and the destination address
 3465 SHALL be that of the Zigbee Router or Zigbee Coordinator.

² CCB 2265

3466 2.4.3.3.3.2 **Effect on Receipt**

3467 Upon receipt, a Remote Device (Zigbee Coordinator or Zigbee Router) SHALL retrieve the entries of the routing table
 3468 from the NWK layer via the NLME-GET.request primitive (for the *nwkRouteTable* attribute) and report the resulting
 3469 routing table (obtained via the NLME-GET.confirm primitive) via the Mgmt_Rtg_rsp command.

3470 If the Remote Device does not support this optional management request, it SHALL return a Status of NOT_SUP-
 3471 PORTED. If the routing table was obtained successfully, the Mgmt_Rtg_req command SHALL contain a status of
 3472 SUCCESS and the routing table SHALL be reported, beginning with the element in the list enumerated as StartIndex.
 3473 If the routing table was not obtained successfully, the Mgmt_Rtg_rsp command SHALL contain the error code re-
 3474 ported in the NLME-GET.confirm primitive.

3475 2.4.3.3.4 **Mgmt_Bind_req**

3476 The Mgmt_Bind_req command (ClusterID=0x0033) SHALL be formatted as illustrated in Figure 2-34.

Octets: 1
StartIndex

3477 **Figure 2-34. Format of the Mgmt_Bind_req Command Frame**

3478 Table 2-61 specifies the fields for the Mgmt_Bind_req command frame.

3479 **Table 2-61. Fields of the Mgmt_Bind_req Command**

Name	Type	Valid Range	Description
StartIndex	Integer	0x00 – 0xff	Starting Index for the requested elements of the Binding Table.

3480 2.4.3.3.4.1 **When Generated**

3481 The Mgmt_Bind_req is generated from a Local Device wishing to retrieve the contents of the Binding Table from the
 3482 Remote Device. The destination addressing on this command SHALL be unicast only and the destination address
 3483 SHALL be that of a source device holding its own binding table.

3484 2.4.3.3.4.2 **Effect on Receipt**

3485 Upon receipt, a Remote Device SHALL retrieve the entries of the binding table from the APS sub-layer via the
 3486 APSME-GET.request primitive (for the *apsBindingTable* attribute) and report the resulting binding table (obtained
 3487 via the APSME-GET.confirm primitive) via the Mgmt_Bind_rsp command.

3488 If the Remote Device does not support this optional management request, it SHALL return a status of NOT_SUP-
 3489 PORTED. If the binding table was obtained successfully, the Mgmt_Bind_rsp command SHALL contain a status of
 3490 SUCCESS and the binding table SHALL be reported, beginning with the element in the list enumerated as StartIndex.
 3491 If the binding table is empty, the Mgmt_Bind_rsp SHALL return SUCCESS, set the fields BindingTable Entries =
 3492 Start Index = BindingTable ListCount = 0x00 and not include the BindingTable List field. If the binding table was not
 3493 obtained successfully, the Mgmt_Bind_rsp command SHALL contain the error code reported in the APSME-
 3494 GET.confirm primitive.

3495 2.4.3.3.5 **Mgmt_Leave_req**

3496 The Mgmt_Leave_req command (ClusterID=0x0034) SHALL be formatted as illustrated in Figure 2-35.

Bits: 64	6	1	1
Device Address	Reserved	Remove Children	Rejoin

Figure 2-35. Format of the Mgmt_Leave_req Command Frame

3497

3498

Table 2-62 specifies the fields for the Mgmt_Leave_req command frame.

3499

Table 2-62. Fields of the Mgmt_Leave_req Command

Name	Type	Valid Range	Description
DeviceAddress	Device Address	An extended 64-bit, IEEE address	See section 3.2.2.18 for details on the Device Address parameter within NLME-LEAVE.request. For DeviceAddress of NULL, a value of 0x0000000000000000 SHALL be used.
Remove Children	Bit	0 or 1	This field has a value of 1 if the device being asked to leave the network is also being asked to remove its child devices, if any. Otherwise, it has a value of 0.
Rejoin	Bit	0 or 1	This field has a value of 1 if the device being asked to leave from the current parent is requested to rejoin the network. Otherwise, it has a value of 0.

3500 2.4.3.3.5.1 When Generated

3501 The Mgmt_Leave_req is generated from a Local Device requesting that a Remote Device leave the network or to
 3502 request that another device leave the network. The Mgmt_Leave_req is generated by a management application which
 3503 directs the request to a Remote Device where the NLME-LEAVE.request is to be executed using the parameter sup-
 3504 plied by Mgmt_Leave_req.

3505 2.4.3.3.5.2 Effect on Receipt

3506 Upon receipt, the remote device SHALL process the leave request by executing the procedure in section 3.6.1.11.3.1.
 3507 If the leave request was validated and accepted, and the DeviceAddress in the request is equal to the local device's
 3508 EUI64, then the receiving device SHALL generate the NLME-LEAVE.request to disassociate from the currently
 3509 associated network. The NLME-LEAVE.request SHALL have the DeviceAddress parameter set to the local device's
 3510 *nwkIeeeAddress* from the NIB, the RemoveChildren SHALL be set to FALSE, and the Rejoin parameter SHALL be
 3511 set to FALSE.

3512 The results of the leave attempt SHALL be reported back to the local device via the Mgmt_Leave_rsp command. If
 3513 the request was for the local device, then the Mgmt_Leave_rsp SHALL be sent prior to leaving the network.

3514 Versions of this specification prior to Revision 21 did not mandate the requirement to support this command. Therefore
 3515 if the remote device did not support this optional management request, it would return a status of NOT_SUPPORTED.
 3516 All devices certified against version 21 and later are now required to support this command.

3517 If the leave attempt was executed successfully, the Mgmt_Leave_rsp command SHALL contain a status of SUCCESS.
 3518 If the leave attempt was not executed successfully, the Mgmt_Leave_rsp command SHALL contain the error code
 3519 reported in the NLME-LEAVE.confirm primitive.

3520 **2.4.3.3.6 Mgmt_Direct_Join_req – DEPRECATED**3521 **2.4.3.3.7 Mgmt_Permit_Joining_req**

3522 The Mgmt_Permit_Joining_req command (ClusterID=0x0036) SHALL be formatted as illustrated in Figure 2-36.

Octets: 1	1	Variable
PermitDuration	TC_Significance	TLV Data

3523 **Figure 2-36. Format of the Mgmt_Permit_Joining_req Command Frame**

3524 Table 2-63 specifies the fields of the Mgmt_Permit_Joining_req command frame.

3525 **Table 2-63. Fields of the Mgmt_Permit_Joining_req Command**

Name	Type	Valid Range	Description
PermitDuration	Integer	0x00 – 0xfe	See section 3.2.2.7 for details on the PermitDuration parameter within NLME-PERMIT-JOINING.request.
TC_Significance	Boolean Integer	0x00 – 0x01	This field SHALL always have a value of 1, indicating a request to change the Trust Center policy. If a frame is received with a value of 0, it shall be treated as having a value of 1.
TLV Data	Variable	Variable	This is a concatenated list of TLVs. This field was added in Revision 23.

3526 **2.4.3.3.7.1 When Generated**

3527 The Mgmt_Permit_Joining_req is generated from a Local Device requesting a change to the network’s advertisement
 3528 of its status, such as permitting joining. The Mgmt_Permit_Joining_req is generated by a management application or
 3529 commissioning tool which directs the request to a remote device(s). Additionally, if the remote device is the Trust
 3530 Center and TC_Significance is set to 1, this command is a request to change the Trust Center’s policy to allow new
 3531 devices to join. The Trust Center has the ultimate decision over whether this request will be accepted. The addressing
 3532 MAY be unicast or ‘broadcast to all routers and coordinator.

3533 Trust Centers are the only devices allowed to update the Zigbee Beacon Appendix data advertised by the network in
 3534 the IEEE Std 802.15.4 beacons. The network wide Beacon Appendix data is stored in the NIB value *nwkNetwork-*
 3535 *WideBeaconAppendixTLVs*.

3536 The Trust Center can modify the *nwkNetworkWideBeaconAppendixTLVs* of all routers by setting data in the Beacon
 3537 Appendix Encapsulation Global TLV. At a minimum the Trust Center SHALL always include the Beacon Appendix
 3538 Encapsulation Global TLV as a TLV in the TLV Data field of a Mgmt_Permit_Joining_req. This is regardless of the
 3539 value it sets for the PermitDuration field. Inside the Beacon Appendix Encapsulation Global TLV SHALL be the
 3540 following TLVs:

- 3541 • Supported Key Negotiation Methods Global TLV
- 3542 • Fragmentation Parameter Global TLV

3543 The Trust Center can include additional Global TLVs in the encapsulation TLV. Local TLVs SHALL NOT be stored
 3544 in the Beacon Appendix Encapsulation Global TLV. The *nwkNetworkWideBeaconAppendixTLVs* SHALL always be
 3545 set in its entirety by the Beacon Appendix Encapsulation Global TLV and SHALL NOT be appended to. The *nwkNet-*
 3546 *workWideBeaconAppendixTLVs* NIB value SHALL NOT be persisted across reboots.

3547 Additional local or global TLVs MAY be included in the TLV Data field of the Mgmt_Permit_Joining_req alongside
3548 the Beacon Appendix Encapsulation Global TLV. These TLVs do not change the state of the *nwkNetwork-*
3549 *WideBeaconAppendixGlobalTLVs*.

3550 Non-Trust Center devices are not allowed to change the network wide Beacon Appendix data advertised by the net-
3551 work, only the permit joining duration. Non-Trust Center devices initiating this message SHALL not include the
3552 Beacon Appendix Encapsulation Global TLV. They MAY include other TLVs in the TLV Data field of the Mgmt_Per-
3553 mit_Joining_req.

3554 2.4.3.3.7.2 **Effect on Receipt**

3555 Upon receipt, the remote device(s) SHALL issue the NLME-PERMIT-JOINING.request primitive using the Per-
3556 mitDuration parameter supplied with the Mgmt_Permit_Joining_req command. If the PermitDuration parameter is
3557 not equal to zero or 0xFF, the parameter is a number of seconds and joining is permitted until it counts down to zero,
3558 after which time, joining is not permitted. If the PermitDuration is set to zero, joining is not permitted. Versions of
3559 this specification prior to Revision 21 allowed a value of 0xFF to be interpreted as ‘forever’. Version 21 and later do
3560 not allow this. All devices conforming to this specification SHALL interpret 0xFF as 0xFE. Devices that wish to extend
3561 the PermitDuration beyond 0xFE seconds SHALL periodically re-send the Mgmt_Permit_Joining_req.

3562 If a second Mgmt_Permit_Joining_req is received while the previous one is still counting down, it will supersede the
3563 previous request.

3564 A value of zero for the TC_Significance field has been deprecated. The field SHALL always be included in the mes-
3565 sage and all received frames SHALL be treated as though set to 1, regardless of the actual received value. In other
3566 words, all Mgmt_Permit_Joining_req SHALL be treated as a request to change the TC Policy.

3567 If the remote device is the Trust Center the Trust Center authorization policy MAY be affected. Whether the Trust
3568 Center accepts a change in its authorization policy is dependent upon its Trust Center policies. A Trust Center device
3569 receiving a Mgmt_Permit_Joining_req SHALL execute the procedure in section 4.7.3.4 to determine if the request is
3570 permitted. If the operation was not permitted, the status code of INV_REQUESTTYPE SHALL be set. If the operation
3571 was allowed, the status code of SUCCESS SHALL be set.

3572 If the Mgmt_Permit_Joining_req primitive was received as a unicast, the results of the NLME-PERMIT-JOINING.re-
3573 quest SHALL be reported back to the local device via the Mgmt_Permit_Joining_rsp command. If the command was
3574 received as a broadcast, no response SHALL be sent back.

3575 Prior to Revision 23 the TLV Data was never present. With Revision 23 and beyond, the TLV Data field can be pre-
3576 sent depending on whether the message was initiated by a Revision 23 device. Devices prior to Revision 23 SHALL
3577 ignore the TLV Data field on receipt and will never transmit the message with this field present.

3578 If the Beacon Appendix Encapsulation Global TLV is present the receiver SHALL store all Global TLVs from the
3579 TLV Data in the *nwkNetworkWideBeaconPayloadTLVs* of the NIB, the Beacon Appendix Encapsulation Global TLV
3580 container SHALL not be stored. If Local TLVs are stored inside the Beacon Appendix Encapsulation TLV they
3581 SHALL be discarded and not stored in the *nwkNetworkWideBeaconPayloadTLVs*. If the Beacon Appendix Encap-
3582 sulation Global TLV Data has no data inside it, the receiver SHALL clear the contents of the *nwkNetwork-*
3583 *WideBeaconPayloadTLVs* of the NIB. If the Beacon Appendix Encapsulation Global TLV is not present, then no
3584 changes are made to the contents of the *nwkNetworkWideBeaconPayloadTLVs* of the NIB.

3585 2.4.3.3.8 **Mgmt_Cache_req – DEPRECATED**

3586 2.4.3.3.9 **Mgmt_NWK_Update_req**

3587 This command only supports the 2.4 GHz channel list. For other channels, see the Mgmt_NWK_Enhanced_Up-
3588 date_req.

3589 The Mgmt_NWK_Update_req command (ClusterID=0x0038) SHALL be formatted as illustrated in Figure 2-37.

Octets: 4	1	0/1	0/1	0/2
ScanChannels	ScanDuration	ScanCount	<i>nwkUpdateId</i>	<i>nwkManagerAddr</i>

Figure 2-37. Fields of the Mgmt_NWK_Update_req Command Frame

3590

3591 Table 2-64 specifies the fields of the Mgmt_NWK_Update_req command frame.

3592

Table 2-64. Fields of the Mgmt_NWK_Update_req Command

Name	Type	Valid Range	Description
ScanChannels	Bitmap	32-bit field	See Table 3-7 for details on the 32-bit field structure..
ScanDuration	Integer	0x00 – 0x05 or 0xfe or 0xff	A value used to calculate the length of time to spend scanning each channel. The time spent scanning each channel is (aBaseSuperframeDuration * (2 ⁿ + 1)) symbols, where n is the value of the ScanDuration parameter. For more information on MAC sub-layer scanning (see [B1]). If ScanDuration has a value of 0xfe this is a request for channel change. If ScanDuration has a value of 0xff this is a request to change the <i>apsChannelMaskList</i> and <i>nwkManagerAddr</i> attributes.
ScanCount	Integer	0x00 – 0x01	This field represents the number of energy scans to be conducted and reported. This field SHALL be present only if the ScanDuration is within the range of 0x00 to 0x05.
nwkUpdateId	Integer	0x00 – 0xFF	The value of the <i>nwkUpdateId</i> contained in this request. This value is set by the Network Channel Manager prior to sending the message. This field SHALL only be present if the ScanDuration is 0xfe or 0xff. If the ScanDuration is 0xff, then the value in the <i>nwkUpdateID</i> SHALL be ignored.
nwkManagerAddr	Device Address	16-bit NWK address	This field SHALL be present only if the ScanDuration is set to 0xff, and, where present, indicates the NWK address for the device with the Network Manager bit set in its Node Descriptor.

3593 2.4.3.3.9.1 **When Generated**

3594 This command is provided to allow updating of network configuration parameters or to request information from
3595 devices on network conditions in the local operating environment. The destination addressing on this primitive
3596 SHALL be unicast or broadcast to all devices for which *macRxOnWhenIdle* = TRUE.

3597 2.4.3.3.9.2 **Effect on Receipt**

3598 This section applies to both Mgmt_NWK_Update_req and Mgmt_NWK_Enhanced_Update_req.

- 3599 If Mgmt_NWK_Enhanced_Update_req is received and the server for it is not present, the device SHALL respond
3600 with NOT_SUPPORTED.
- 3601 This command can cause the remote device to update its channel mask and network manager address, perform a
3602 channel change, or execute a channel scan. Processing is as follows.
- 3603 1) If the received message is Mgmt_NWK_Update_req, the local device SHALL construct a ChannelListStructure
3604 for page 0 from the ScanChannels bitmap.
- 3605 a) Continue processing.
- 3606 2) If the received message is Mgmt_NWK_Enhanced_Update_req, the local device SHALL construct a
3607 ChannelListStructure from the ScanChannelsListStructure.
- 3608 a) Continue processing.
- 3609 3) If the ScanDuration parameter is equal to 0xfe, the message is a command to change channels. The device SHALL
3610 do the following.
- 3611 a) If the nwkNextChannelChange value in the NIB is non-zero, do the following. Compare the channel to
3612 change received over the air to the value in the NIB. If the values do not match, do the following:
- 3613 i) Follow the Error Response procedure setting the status to NOT_AUTHORIZED.
- 3614 ii) The request SHALL be dropped and no more processing SHALL take place.
- 3615 b) If there is more than 1 channel indicated in the ScanChannels bitmap (if the message is Mgmt_NWK_Up-
3616 date_req) or in the ScanChannelsListStructure (if the message is Mgmt_NWK_Enhanced_Update_req), then
3617 this is an invalid request. Do the following:
- 3618 i) Follow the Error Response procedure setting the status to INV_REQUESTTYPE.
- 3619 ii) The request SHALL be dropped and no more processing SHALL take place.
- 3620 c) The receiving device SHALL determine if the channel is one within the range of all supported channels.
- 3621 i) Examine the SupportedChannels element for each entry in the nwkMacInterfaceTable, and determine if
3622 there is a match within the received ScanChannels bitmap or ScanChannelsListStructure.
- 3623 ii) If no match is found, do the following:
- 3624 (1) Follow the Error Response procedure setting the status to INV_REQUESTTYPE.
- 3625 (2) The request SHALL be dropped and no more processing SHALL take place.
- 3626 iii) If a match is found, perform a channel change.
- 3627 (1) Execute a MLME-SET.request for the PIB value phyCurrentPage.
- 3628 (2) Execute a MLME-SET.request for the PIB value phyCurrentChannel.
- 3629 (3) No further processing SHALL be done.
- 3630 4) If the ScanDuration parameter is equal to 0xff, the command provides a new apsChannelMaskList along with a
3631 new nwkManagerAddr. The device SHALL do the following:
- 3632 a) If the *apsTrustCenterAddress* of the AIB is set to a value other than 0xFFFFFFFFFFFFFFFF (distributed
3633 security network) and the nwkManagerAddr in the request is not 0x0000, the request SHALL be dropped
3634 and no more processing SHALL be done.
- 3635 b) If the received command is Mgmt_NWK_Update_req, set the apsChannelMaskList in the AIB to the value
3636 of the ScanChannels bitmap in the request.
- 3637 c) If the received command is a Mgmt_NWK_Enhanced_Update_req, use the value of the ScanChan-
3638 nelsListStructure in the request, to update the apsChannelMaskList in the AIB.
- 3639 d) Execute an NMLE-SET.request setting the nwkManagerAddr in the NIB to the value of the nwkMan-
3640 agerAddr in the request.

- 3641 e) No more processing shall be done.
- 3642 5) If the ScanDuration parameter is between 0x00 and 0x05, it is a request to do a channel scan. The device SHALL
3643 do the following:
- 3644 a) If the request was not unicast, the request SHALL be dropped and no more processing SHALL be done.
- 3645 b) For each entry in the nwkMacInterfaceTable, examine the SupportedChannels element and determine if there
3646 is a match.
- 3647 i) If no match is found, do the following:
- 3648 (1) Follow the Error Response procedure setting in section 2.4.3.3.9.3.
- 3649 (2) The request SHALL be dropped and no more processing SHALL be done.
- 3650 c) If the request is a Mgmt_NWK_Enhanced_Update_req and the ScanChannelsListStructure includes more
3651 than one page, do the following:
- 3652 i) Follow the Error Response procedure setting the status to INV_REQUESTTYPE.
- 3653 ii) The request SHALL be dropped and no more processing SHALL be done.
- 3654 d) If a match is found, perform an Energy Detect Scan on the requested channels. The following procedure
3655 SHALL be executed a number of times equal to the ScanCount.
- 3656 i) Execute a MLME-SCAN.request as follows.
- 3657 (a) ScanType SHALL be set to ENERGY.
- 3658 (b) ScanChannels SHALL be set to the matching channels in the current page.
- 3659 (c) ChannelPage SHALL be set to the current page.
- 3660 (d) ScanDuration SHALL be set to the ScanDuration in the request.
- 3661 ii) If the received message is a Mgmt_NWK_Update_req, on receipt of the MLME-SCAN.confirm, gener-
3662 ate a Mgmt_NWK_Update_notify with the status of the MLME-SCAN.confirm.
- 3663 iii) If the received message is a Mgmt_NWK_Enhanced_Update_req, on receipt of the MLME-SCAN.con-
3664 firm, generate a Mgmt_NWK_Enhanced_Update_notify with the status of the MLME-SCAN.confirm..
- 3665 6) If the ScanDuration is any other value, the device SHALL do the following.
- 3666 a) Execute the Error Response Procedure setting the status to INV_REQUESTTYPE.
- 3667 b) No further processing SHALL be done.

3668 2.4.3.3.9.3 **Error Response Procedure**

3669 If it is determined that the error response procedure SHALL be executed, the device SHALL do the following:

- 3670 1) If the request was broadcast, no response SHALL be generated.
- 3671 2) If the request was unicast, a response SHALL be generated as follows:
- 3672 a) Set the status according to the result of the operation.
- 3673 b) If the request was a Mgmt_NWK_Update_req, generate a Mgmt_NWK_Update_notify.
- 3674 c) If the request was a Mgmt_NWK_Enhanced_Update_req, generate a
3675 Mgmt_NWK_Enhanced_Update_notify.

3676 2.4.3.3.10 **Mgmt_NWK_Enhanced_Update_req**

3677 The Mgmt_NWK_Enhanced_Update_req command (ClusterID=0x0039) SHALL be formatted as illustrated in Figure
3678 2-38.

Variable	1	0/1	0/1	0/2	0/1
ScanChannel-ListStructure	ScanDuration	ScanCount	<i>nwkUpdateId</i>	<i>nwkManagerAddr</i>	ConfigurationBitmask

Figure 2-38. Fields of the Mgmt_NWK_Enhanced_Update_req

3679

3680 Table 2-65 specifies the fields of the Mgmt_NWK_Enhanced_Update_req command frame.

3681

Table 2-65. Field Descriptions of the Mgmt_NWK_Enhanced_Update_req

Name	Type	Valid Range	Description
ScanChannelsListStructure	ChannelListStructure	Variable	The list of channels and pages over which the scan is to be done. For more information on the Channel List structure see section 3.2.2.2.1. If ScanDuration is in the range 0x00 to 0x05, this parameter SHALL be restricted to a single page.
ScanDuration	Integer	0x00 – 0x05 or 0xfe or 0xff	A value used to calculate the length of time to spend scanning each channel. The time spent scanning each channel is (aBaseSuperframeDuration * (2 ⁿ + 1)) symbols, where n is the value of the ScanDuration parameter. For more information on MAC sub-layer scanning (see [B1]). If ScanDuration has a value of 0xfe this is a request for channel change. If ScanDuration has a value of 0xff this is a request to change the <i>apsChannelMaskList</i> and <i>nwkManagerAddr</i> attributes.
ScanCount	Integer	0x00 – 0x01	This field represents the number of energy scans to be conducted and reported. This field SHALL be present only if the ScanDuration is within the range of 0x00 to 0x05.
nwkUpdateId	Integer	0x00 – 0xFF	The value of the <i>nwkUpdateId</i> contained in this request. This value is set by the Network Channel Manager prior to sending the message. This field SHALL only be present if the ScanDuration is 0xfe or 0xff.

Name	Type	Valid Range	Description
			If the ScanDuration is 0xff, then the value in the <i>nwkUpdateID</i> SHALL be ignored.
nwkManagerAddr	Device Address	16-bit NWK address	This field SHALL be present only if the ScanDuration is set to 0xff, and, where present, indicates the NWK address for the device with the Network Manager bit set in its Node Descriptor.
ConfigurationBitmask			Defined in defined in section 2.4.3.3.12. The configurationBitmask must be added to the end of the list of parameters. This octet may or may not be present. If not present then assumption should be that it is enhanced active scan. If present then the configuration bitmask shall indicate the type of scan required.

3682 2.4.3.3.10.1 **When Generated**

3683 This command is provided to allow updating of network configuration parameters or to request information from
3684 devices on network conditions in the local operating environment. The destination addressing on this primitive
3685 SHALL be unicast or broadcast to all devices for which macRxOnWhenIdle = TRUE.

3686 2.4.3.3.10.2 **Effect on Receipt**

3687 Follow the procedure in .

3688 **2.4.3.3.11 Mgmt_NWK_IEEE_Joining_List_req**

3689 The Mgmt_NWK_IEEE_Joining_List_req command is provided as a mechanism to obtain the list of IEEE addresses
3690 that are EXPECTED to be joining the network. This allows the local router to filter Enhanced Beacon Requests and
3691 only respond to the devices that are joining.

3692 The Mgmt_NWK_IEEE_Joining_List_req (Cluster ID 0x003A) command SHALL be formatted as illustrated in Fig-
3693 ure 2-39.

3694

Octets: 1
StartIndex

3695 **Figure 2-39. Fields of the Mgmt_NWK_IEEE_Joining_List_req**

3696 Table 2-66 describes the fields of the Mgmt_NWK_IEEE_Joining_List_req command.

3697 **Table 2-66. Field Descriptions of the Mgmt_NWK_IEEE_Joining_List_req**

Name	Type	Valid Range	Description
StartIndex	Integer	0x00 – 0xFF	The starting index into the receiving device’s nwkIeeeJoiningList that SHALL be sent back.

3698 2.4.3.3.11.1 **When Generated**

3699 The Mgmt_NWK_IEEE_Joining_List_req is generated from a local device requesting to get the mibJoinPolicyTable
3700 after being authenticated on the network.

3701 2.4.3.3.11.2 **Effect on Receipt**

3702 This command was introduced in R22 of this specification. It is mandatory for all Coordinator and Router devices to
3703 implement this going forward but older stack versions SHALL return a ZDO Status of NOT_SUPPORTED upon
3704 receipt of this command.

3705 The following procedure SHALL be executed upon receipt of this command.

- 3706 1) If this request is broadcast, the message shall be dropped and no further processing SHALL be done.
- 3707 2) The device SHALL obtain the *mibJoiningIeeeList* and *mibJoiningPolicy* from one of its currently enabled MAC
3708 Interfaces.
- 3709 a) Examine the *nwkMacInterfaceTable* and obtain an entry where State is set to ENABLED.
- 3710 b) Execute an MLME-GET.request for *mibJoiningIeeeList* and *mibJoiningPolicy*.
- 3711 3) If the mibIeeeJoiningList is empty, then a Mgmt_NWK_IEEE_Joining_List_rsp SHALL be generated as follows.
- 3712 a) Status SHALL be set to SUCCESS.
- 3713 b) JoiningPolicy SHALL be set to the value of the *mibJoiningPolicy*.
- 3714 c) IeeeJoiningListTotal SHALL be set to 0.
- 3715 d) Unicast the response back to the sender of the Mgmt_NWK_IEEE_Joining_List_req.
- 3716 e) No further processing SHALL be done.
- 3717 4) The device SHALL examine the StartIndex field and determine if it is less than the length of the mibJoiningI-
3718 eeeList. If it is not, it SHALL do the following:
- 3719 a) A Mgmt_NWK_IEEE_Joining_List_rsp SHALL be generated with a Status value of INVALID_INDEX. No
3720 other fields shall be appended.
- 3721 b) Unicast the response back to the sender of the Mgmt_NWK_IEEE_Joining_List_req.
- 3722 c) No further processing SHALL be done.
- 3723 5) The device SHALL generate a Mgmt_NWK_IEEE_Joining_List_rsp.

- 3724 a) Set the Status value to SUCCESS.
- 3725 b) Set the JoiningPolicy in the response to the previously obtain value of mibJoiningPolicy.
- 3726 c) Set the StartIndex of the response packet equal to the value of the StartIndex in the request packet.
- 3727 d) Copy complete IEEE addresses from the mibJoiningIeeeList to the IeeeJoiningList, from the Start Index,
- 3728 filling the payload of the packet up to the MTU.
- 3729 e) Set the IeeeJoiningListTotal to the number of complete entries that were copied.
- 3730 f) Unicast the response back to the sender of the Mgmt_NWK_IEEE_Joining_List_req.

2.4.3.3.12 Mgmt_NWK_Beacon_Survey_req

3732 This command can be used by a remote device to survey the end devices to determine how many potential parents
 3733 they have access to. The Mgmt_NWK_Beacon_Survey_req command (cluster ID 0x003c) SHALL be formatted as
 3734 described in Figure 2-40.

Octets: Varies
TLVs

Figure 2-40. Format of the Mgmt_NWK_Beacon_Survey_req

3736 Table 2-67 describes the fields of the Mgmt_NWK_Beacon_Survey_req command.

Table 2-67. Fields of the Mgmt_NWK_Beacon_Survey_req

Name	Type	Valid Range	Description
TLVs	TLV	Varies	The following TLVs SHALL be included in the Mgmt_NWK_Beacon_Survey_req: <ul style="list-style-type: none"> • Beacon Survey Configuration TLV

2.4.3.3.12.1 Beacon Survey Configuration TLV

3738 The Beacon Survey Configuration TLV (ID=0) is variable in length and contains information about the channels and
 3739 scan configuration used when performing a beacon survey. The format is listed in Figure 2-41.
 3740

Octets: Variable	1
ScanChannelListStructure	ConfigurationBitmask

Figure 2-41. Format of the Beacon Survey Configuration TLV

2.4.3.3.12.1.1 ScanChannelsListStructure

Name	Type	Valid Range	Description
ScanChan-nelsListStructure	Channel-ListStruc-ture	Variable	The list of channels and pages over which the scan is to be done. For more information on the Channel List structure see section 3.2.2.2.1.

2.4.3.3.12.1.2 Configuration Bitmask

3744 This field indicates parameters of the Mgmt_NWK_Beacon_Survey_req. The Configuration bitmask enumerated val-
3745 ues are specified in Table 2-68.

3746 **Table 2-68. Configuration Bitmask Values**

Bit	Name	Description
0	Active or Enhanced Scan	This bit determines whether to do an Active Scan or Enhanced Active Scan. When the bit is set to 1 it indicates an Enhanced Active Scan. And in case of Enhanced Active scan EBR shall be sent with EPID filter instead of PJOIN filter.
1 – 7	Reserved	-

3747 2.4.3.3.12.2 **When Generated**

3748 This is generated by a remote device that wants to learn how many potential parents a Zigbee End Device has. The
3749 message SHALL be sent as a unicast to a single target device.

3750 2.4.3.3.12.3 **Effect on Receipt**

3751 The processing of the Mgmt_NWK_Beacon_Survey_req SHALL be done as follows:

3752 1) If the command was broadcast it SHALL be dropped and no further processing SHALL be done.

3753 2) If the command does not contain the mandatory TLVs listed in Figure 2-40. Format of the
3754 Mgmt_NWK_Beacon_Survey_req

3755 Table 2-67 describes the fields of the Mgmt_NWK_Beacon_Survey_req command.

3756 3) Table 2-67 then a Mgmt_Beacon_Survey_rsp SHALL be generated with a status of MISSING_TLV and no
3757 further processing SHALL be done.

3758 4) If the command is received by a coordinator, the coordinator SHALL reject the command. The coordinator does
3759 not perform rejoins and thus does not need to be surveyed in this manner.

3760 a) The coordinator shall construct a Mgmt_NWK_Beacon_Survey_rsp with a status field value of
3761 NOT_PERMITTED and no further payload fields. It SHALL unicast the response back to the sender and
3762 no further processing SHALL be done.

3763 5) Construct a Beacon Survey Results TLV with all sub-fields set to 0.

3764 6) Construct a Potential Parent TLV.

3765 a) If the device is an End Device, set the Current parent value to the Short Address of its parent.

3766 b) If the device is a Router, set the current parent to 0xFFFF.

3767 7) If the Configuration field in the Beacon Survey Configuration TLV indicates Enhanced Active Scan and the
3768 local device does not support ENHANCED_ACTIVE, then a Mgmt_Beacon_Survey_rsp SHALL be generated
3769 with a status of INV_REQUESTTYPE and no further processing SHALL be done.

3770 8) Execute an MLME-SCAN.request with the following parameters:

3771 a) If the Configuration field in the Beacon Survey Configuration TLV indicates Enhanced Active Scan, set the
3772 ScanType to ENHANCED_ACTIVE. Otherwise set to ACTIVE.

3773 b) ScanChannels set to the list of channels contained in the Beacon Survey Configuration TLV.

3774 9) Upon receipt of the MLME-BEACON-NOTIFY.indication process the beacons as follows:

3775 a) Increment the Total Beacons Field by 1.

3776 b) For each beacon that has a Zigbee Beacon Payload and the Extended PAN ID field of that beacon payload
3777 is equal to the nwkExtendedPanId, do the following:

3778 i) Increment the On-Network Beacons field.

3779 ii) If the End Device Capacity of the Zigbee Beacon Payload is TRUE, increment the Potential Parent
3780 Beacons field by 1.

3781 c) If there is no Zigbee Beacon Payload or the Extended PAN ID does not match the nwkExtendedPanId, do
3782 the following:

3783 i) Increment the Other Network Beacons field by 1.

- 3784 d) Evaluate the beacon, potentially adding it to the Discovery Table (*nwkDiscoveryTable*).
- 3785 e) If any of the above values reach 255, they SHALL NOT wrap and be set to 255.
- 3786 10) Add up to 5 devices into the Potential Parent TLV from the contents of the *nwkDiscoveryTable*. Update the
- 3787 Count of Potential Parents accordingly.
- 3788 11) Generate a ZDO Mgmt_NWK_Beacon_Survey_rsp to the sender of the request with the following TLVs
- 3789 a) Beacon Survey Results TLV.
- 3790 b) Potential Parents TLV
- 3791 c) Pan ID Conflict Report Global TLV
- 3792 i) If the device is an End Device and does not support this NIB value, this TLV may be omitted.
- 3793 ii) Note: The *nwkPanIdConflictCount* value in the NIB SHALL NOT be reset to 0.
- 3794 12) Discard the results stored in the *nwkDiscoveryTable*.

3795 2.4.3.4 Security Client Services

3796 Security Client Services allow devices to configure security policies, retrieve security policies, negotiate keys, and

3797 update security tokens. Table 2-69 lists the commands supported by the Device Profile related to Security Client ser-

3798 vices.

3799 Table 2-69. Security Client Services Commands

Security Client Services	Cluster ID	Client Trans- mission	Server Pro- cessing	Restricted Command
Security_Start_Key_Negotiation_req	0x0040	O	O	No
Security_Retrieve_Authentication_To- ken_req	0x0041	O	O	No
Security_Get_Authentica- tion_Level_req	0x0042	O	O	No
Security_Set_Configuration_req	0x0043	O	M	No
Security_Get_Configuration_req	0x0044	O	M	No
Security_Start_Key_Update_req	0x0045	O	M	No
Security_Decommission_req	0x0046	O	M	No
Security_Challenge_req	0x0047	M	M	No

3800 2.4.3.4.1 Security_Start_Key_Negotiation_req

3801 The *Security_Start_Key_Negotiation_req* command (0x0040) shall be formatted as illustrated in Figure 2-42. This

3802 command SHALL NOT be APS encrypted regardless of whether sent before or after the device joins the network.

3803 This command SHALL be network encrypted if the device has a network key, i.e. it has joined the network earlier

3804 and wants to negotiate or renegotiate a new link key; otherwise, if it is used prior to joining the network, it SHALL

3805 NOT be network encrypted.

Octets: Variable
TLVs

3806 Figure 2-42. Format of the *Security_Start_Key_Negotiation_req* Command

3807 Table 2-70 describes the fields of the *Security_Start_Key_Negotiation_req* command.

3808

3809

Table 2-70. Fields of the Security_Start_Key_Negotiation_req Command

Name	Type	Valid Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this release of the specification: <ul style="list-style-type: none"> Curve25519 Public Point TLV Other TLVs may be included.

3810 2.4.3.4.1.1 **Local TLVs**3811 2.4.3.4.1.2 **Curve25519 Public Point TLV (ID=0)**

3812 Figure 2-43 indicates the format of the Curve25519 Public Point TLV.

Octets: 8	32
Device EUI64	Public Point

3813 **Figure 2-43. Format of the Curve25519 Public Point TLV**

3814 Table 2-71 describes the fields of the Curve25519 Public Point TLV.

3815 **Table 2-71. Fields of the Curve25519 Public Point TLV**

Field	Description
Device EUI64	This indicates the EUI64 of the device that generated the public point.
Public Point	The 32-byte Curve public point.

3816 2.4.3.4.1.3 **When Generated**

3817 The Security_Start_Key_Negotiation_req is generated from a local device that wants to start negotiation of an encryption key. Typically, this is used to negotiate a Trust Center Link Key during the joining process prior to becoming fully authorized on the network. However, it can be used after joining a network as well. Refer to section 4.6.3.5.

3820 The security primitives for key negotiation are the APSME-KEY-NEGOTIATION primitives and are used by the stack to manage the process. See section 4.4.9 for more details. Their interaction with the over-the-air messages can be found in Figure 4-6.

3823 When negotiating a Trust Center Link Key the device SHALL send at least the following TLV:

3824

- Curve25519 Public Point TLV

3825 It is EXPECTED that the sending device has already been told the selected Key Negotiation Protocol and selected Pre-Shared Secrets of the target device prior to sending this message. The sending device can learn the Supported Key Negotiation Methods in one of two possible ways: (1) in case of on-network key negotiation, the device sends first a Node Descriptor Request advertising its own supported key negotiation methods and the Node Descriptor Response will contain the selected Key Negotiation Protocol and selected Pre-Shared secret; (2) in case of off-network key negotiation, the Trust Center sends a Security Start Key Update Request with the selected Key Negotiation Protocol and selected Pre-Shared secret, after it has received the TLVs conveyed in a Network Commissioning request. If the sending device supports multiple mechanisms, via implementation-specific configuration it SHALL choose one that is supported by the target device.

3834 2.4.3.4.1.4 **Effect on receipt**

3835 The Device EUI64 within the Curve25519 Public Point TLV SHALL represent the EUI64 of the device that is re-
 3836 questing the key negotiation with the receiving device. The processing of the message SHALL be done as follows:

- 3837 1. Execute the General TLV Processing Rules in Annex I
- 3838 a. If the outcome is to reject the message, do the following.
- 3839 i. If the message was broadcast, no response is generated.
- 3840 ii. If the message is unicast, a Security_Key_Negotiation_rsp SHALL be generated with a status as returned
 3841 by the General TLV Processing rules Key Exchange. The response SHALL be sent back to the sender of
 3842 the Security_Retrieve_Authentication-Token_req.
- 3843 iii. No further processing SHALL be done.
- 3844 b. Otherwise, continue processing.
- 3845 2. If the Curve25519 Public Point TLV is not present, then a ZDO Security_Key_Negotiation_rsp SHALL be gen-
 3846 erated with a status of MISSING_TLV.
- 3847 3. Generate an APSME-KEY-NEGOTIATION.indication with the following parameters:
- 3848 a. The RequestedKeyNegotiationMethod SHALL be set to the value conveyed in the Node_Desc_rsp Se-
 3849 lected Key Negotiation Method TLV or Security_Start_Key_Update_req Selected Key Negotiation Method
 3850 TLV.
- 3851 b. The PartnerLongAddress SHALL be set to the Device EUI64 within the Curve25519 Public Point TLV.
- 3852 c. The PublicPointData SHALL be set to the public point from the Curve25519 Public Point TLV.
- 3853 d. If the ZDO frame was contained within an APS Command Relay Message Downstream, then it SHALL do
 3854 the following
- 3855 i. Set RelayCommand to TRUE
- 3856 ii. Set RelayLongAddress to the address of the Device that sent the Network Data frame.

3857 2.4.3.4.2 **Security_Retrieve_Authentication-Token_req**

3858 The Security_Retrieve_Authentication-Token_req command (0x0041) shall be formatted as illustrated in Figure
 3859 2-44. This command SHALL be APS encrypted.

Octets: Variable
TLVs

3860 **Figure 2-44. Format of the Security_Retrieve_Authentication-Token_req Command**

3861 Table 2-72 describes the fields of the Security_Start_Key_Negotiation_req command.

3862 **Table 2-72. Fields of the Security_Retrieve_Authentication-Token_req Command**

Name	Type	Valid Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this release of the specification: <ul style="list-style-type: none"> • Authentication Token ID TLV Other TLVs may be included.

3863 This command is used to retrieve a security token that can be used for future authentication exchanges. Security to-
 3864 kens could take multiple forms such as certificates, public keys, or symmetric passphrase. As of this Revision of this
 3865 specification, only a symmetric passphrase is supported. The current use of this command is to obtain a new pass-
 3866 phrase token. The passphrase token is intended to be good for the life of the device on that network. Previously, the
 3867 device SHALL have been added to the keytable of the Trust Center during the APS update device. Once the device
 3868 has obtained a new passphrase, replacing either a well-known pre-shared secret or one derived from an install code

3869 or passcode, it is locked down and not allowed to be replaced automatically. A Trust Center MAY administratively
3870 reset the device's security and thus allow it to join again and get a new token.

3871 The passphrase used to join the network is intended to be used only once and then the device SHALL update it. The
3872 initial passphrase is either well-known (unauthenticated) or is the install code derived link key (authenticated). Once
3873 passphrase is updated it is never intended to be changed again for the life of the device on the network. The key ne-
3874 gotiation leverages the passphrase and the devices need to avoid a circumstance where there is a passphrase mis-
3875 match, which could prevent the devices from ever successfully negotiating a symmetric link key again.

3876 2.4.3.4.2.1 Local TLVs

3877 2.4.3.4.2.2 Authentication Token ID TLV (ID=0)

3878 The Authentication Token ID TLV is formatted as shown in Figure 2-45.

Octets: 1
TLV Type Tag ID

3879 **Figure 2-45. Authentication Token ID TLV**

3880 Table 2-73 describes the fields of the Authentication Token ID TLV.

3881 **Table 2-73. Requested Token ID TLV**

Field	Description
TLV Type Tag ID	The Global TLV Type Tag ID being requested for an authentication token.

3882 2.4.3.4.2.3 When Generated

3883 This command is used to request a unique device specific authentication token that can be used for future key re-
3884 negotiation. This token can be used across a replacement of the Trust Center.

3885 A device SHALL include the authentication token type that it supports by sending the Authentication Token ID
3886 TLV with the Global TLV Type Tag ID. The only supported authentication token in this specification is 128-bit
3887 Symmetric Passphrase Global TLV.

3888 By sending the TLV Type Tag ID this potentially allows a future specification to use alternate tokens. For example,
3889 the Type Tag ID requested could be an operational certificate and the Trust Center could sign the ephemeral public
3890 key the joiner used during joining and then send it back to the device.

3891 Authentication tokens are only updated with this command by a device requesting one from the Trust Center. This is
3892 not used for Partner Link Key Negotiation.

3893 2.4.3.4.2.4 Effect on receipt

3894 Upon receipt, a device that is not the Trust Center SHALL respond with a Security_Retrieve_Authentication_Token-
3895 rsp with a status of NOT_SUPPORTED and no further processing SHALL be done. If the received message is
3896 not APS encrypted, or it is a broadcast, then the message SHALL be dropped and no further processing SHALL be
3897 done.

3898 Obtaining a security token of a specific type SHALL only be done once during join. The token is intended to be
3899 good for the life of the device on that network. In this Revision of the specification, only the 128-bit Symmetric
3900 Passphrase is a valid token type, but to allow for future security extensions, obtaining a security token of a different
3901 type may be permitted, based on the Trust Center policy. Previously, the device SHALL have been added to the key-
3902 table during the APS update device. Once the device has obtained a new passphrase, replacing either a well-known
3903 pre-shared secret or one derived from an install code, it is locked down and not allowed to be replaced automati-
3904 cally. A Trust Center MAY administratively reset the device's security and thus allow it to join again and get a new
3905 token.

3906

- 3907 The Trust Center SHALL perform the following:
- 3908 1. Execute the General TLV Processing Rules in Annex I.
- 3909 a. If the outcome is to reject the message, do the following:
- 3910 i. If the message was broadcast, no response is generated.
- 3911 ii. If the message is unicast, a *Security_Retrieve_Authentication-Token_rsp* SHALL be generated with a
- 3912 status of *INVALID_TLV*. The response SHALL be sent back to the sender of the *Security_Retrieve_Au-*
- 3913 *thentication-Token_req*.
- 3914 iii. No further processing SHALL be done.
- 3915 b. Otherwise, continue processing.
- 3916 2. The Trust Center SHALL search *apsDeviceKeyPairSet* table in the AIB for an entry that matches the EUI64 of
- 3917 the request.
- 3918 a. If none is found then a *Security_Retrieve_Authentication-Token_rsp* SHALL be generated to the request-
- 3919 ing device with a status of *NOT_PERMITTED*, and no further processing SHALL be done.
- 3920 b. Otherwise, continue processing.
- 3921 3. If the Authentication Token ID TLV is not present then the following steps SHALL be done.
- 3922 a. A *Security_Retrieve_Authentication-Token_rsp* SHALL be generated to the requesting device with a sta-
- 3923 tus of *INVALID_TLV*, and no further processing SHALL be done.
- 3924 4. The Trust Center SHALL examine the TLV Tag ID in the Authentication Token ID TLV received in the mes-
- 3925 sage.
- 3926 a. If the TLV Tag ID is not 69, 128-bit Symmetric Passphrase Global TLV then a *Security_Retrieve_Authen-*
- 3927 *tication-Token_rsp* SHALL be generated to the requesting device with a status of *INV_REQUESTTYPE*,
- 3928 and no further processing SHALL be done.
- 3929 5. The Trust Center SHALL examine the value of *PassphraseUpdateAllowed* for the entry of the *apsDeviceKey-*
- 3930 *PairSet*.
- 3931 a. If this value is set to *FALSE* then a *Security_Retrieve_Authentication-Token_rsp* SHALL be generated to
- 3932 the requesting device with a status of *NOT_PERMITTED*, and no further processing SHALL be done.
- 3933 b. Otherwise, continue processing.
- 3934 6. The Trust Center SHALL generate a random 128-bit number with a cryptographically secure random number
- 3935 generator.
- 3936 7. The Trust Center SHALL store the value as the *Passphrase* value for the associated entry of the *apsDeviceKey-*
- 3937 *Pair* table AIB value.
- 3938 8. The Trust Center SHALL construct a 128-bit Symmetric Passphrase Global TLV containing the value.
- 3939 9. The Trust Center SHALL generate a *Security_Retrieve_Authentication-Token_rsp* to the sender of the request
- 3940 with a status of *SUCCESS* and the created TLV.
- 3941 10. The Trust Center SHALL set the *PassphraseUpdateAllowed* value to *FALSE* for the associated entry of the
- 3942 *apsDeviceKeyPair* table AIB value.

3943 **2.4.3.4.3 Security_Get_Authentication_Level_req**

3944 This command allows a device to query the trust center about a 3rd party device to determine how it is authenticated

3945 on the network. This enables the querying device to determine if that 3rd party has the minimum required authenti-

3946 cation level for application communication.

3947 The *Security_Get_Authentication_Level_req* command (ClusterID=0x0042) shall be formatted as illustrated in Fig-

3948 ure 2-46. It SHALL have APS encryption.

Octets: Variable
TLVs

3949 **Figure 2-46. Format of the Security_Get_Authentication_Level_req Command**

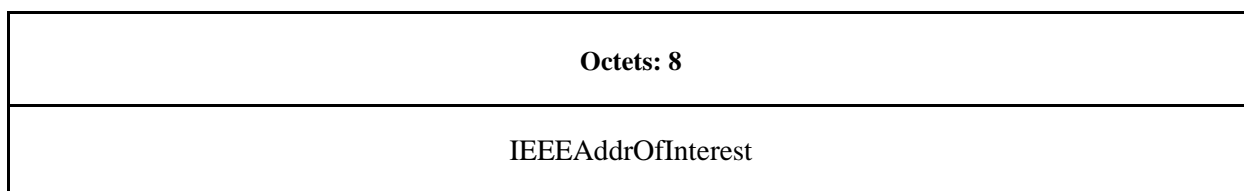
3950 Table 2-74 describes the fields of the *Security_Get_Authentication_Level_req* command.

3951 **Table 2-74 Fields of the Security_Get_Authentication_Level_req Command**

Name	Type	Valid Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this release of the specification: <ul style="list-style-type: none"> • Target IEEE Address TLV Other TLVs may be included.

3952 2.4.3.4.3.1 **Local TLVs**3953 2.4.3.4.3.2 **Target IEEE Address TLV (ID=0)**

3954 The format of the Target IEEE Address TLV is shown in Figure 2-47.

3955 **Figure 2-47. Format of the Target IEEE Address TLV**

3956 Table 2-75 specifies the fields of the Target IEEE Address TLV.

3957 **Table 2-75. Fields of the Target IEEE Address TLV**

Name	Type	Valid Range	Description
IEEEAddrOfInterest	64-bit IEEE address	Any	Extended address of the device whose security level is requested.

3958 2.4.3.4.3.3 **When Generated**

3959 The Security_Get_Authentication_Level_req is generated by the local device wishing to find out the authentication
3960 level of another device on the network. The command SHALL be unicast to the trust center. This command SHALL
3961 be APS encrypted.

3962 2.4.3.4.3.4 **Effect on Receipt**

3963 The following SHALL occur on the receipt of the Security_Get_Authentication_Level_req.

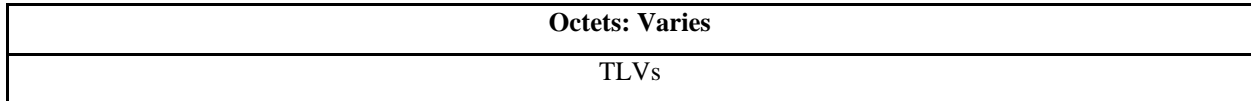
- 3964 1. If the Security_Get_Authentication_Level_req command is broadcast it SHALL be dropped and no further pro-
3965 cessing SHALL be done.
- 3966 2. If the Security_Get_Authentication_Level_req is not APS encrypted it SHALL be dropped and no further pro-
3967 cessing SHALL be done.
- 3968 3. If the receiving device is NOT the Trust Center then a Security_Get_Authentication_Level_rsp SHALL be gener-
3969 ated with a status of NOT_AUTHORIZED and no further processing SHALL be done.
- 3970 4. Execute the General TLV Processing Rules in Annex I.4.7.
- 3971 5. If the Target IEEE Address TLV is not present in the message the receiver SHALL generate a Security_Authen-
3972 tication_Level_rsp with a status of INV_REQUESTTYPE and no further processing SHALL be done.

- 3973 6. The receiving device SHALL examine the *apsDeviceKeyPairSet* table of the AIB to find the entry matching the
 3974 IEEEAddrOfInterest present in the Target IEEE Address TLV.
- 3975 a. If no matching entry is found then a *Security_Get_Authentication_Level_rsp* SHALL be generated with a
 3976 status of *NO_MATCH* and no further processing SHALL be done.
- 3977 7. If the *IEEEAddrRemoteNode* is the address of the Trust Center or *0xFFFFFFFFFFFFFFFF*, the receiver SHALL
 3978 generate a *Security_Authentication_Level_rsp* with a status of *INV_REQUESTTYPE* and no further processing
 3979 SHALL be done.
- 3980 8. The Device SHALL create a *Security_Get_Authentication_Level1_rsp* with the following values:
- 3981 a. Set the status of response to *SUCCESS*
- 3982 b. Create a Device Authentication Level TLV
- 3983 a. Set the *IEEEAddrRemoteNode* of the response to the *IEEEAddrOfInterest* received in the request frame.
- 3984 b. From the matching entry in the *apsDeviceKeyPairSet* table set the *InitialJoinMethod* value in the Device
 3985 Authentication Level TLV to the value of the *InitialJoinAuthentication* value from the AIB entry.
- 3986 c. From the matching entry in the *apsDeviceKeyPairSet* table set the *ActiveLinkKeyType* value in the
 3987 Device Authentication Level TLV to the value of the *PostJoinKeyUpdateMethod* value from the AIB
 3988 entry.

3989 **2.4.3.4.4 Security_Set_Configuration_req**

3990 The *Security_Set_Configuration_req* allows the Trust Center to change configuration options for a particular device.

3991 The format of the message is in Figure 2-48. This command SHALL be APS encrypted when operating in a central-
 3992 ized security network. When operating in a distributed security network the command MAY be APS encrypted.



3993 **Figure 2-48. Format of the Security_Set_Configuration_req Command**

3994 Table 2-76 specifies the fields of the *Security_Set_Configuration_req* command.

3995 **Table 2-76. Fields of the Security_Set_Configuration_req Command**

Name	Type	Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this Revision of the specification: <ul style="list-style-type: none"> • Next PAN ID Global TLV • Next Channel Change Global TLV • Configuration Parameters Global TLV Other TLVs may be included.

3996 The fields of the command *Security_Set_Configuration_req* are specified in Table 2-76. The following TLVs MAY
 3997 be present:

- 3998 • Next PAN ID Change Global TLV
- 3999 • Next Channel Change Global TLV
- 4000 • Configuration Parameters Global TLV

4001 **2.4.3.4.4.1 Local TLVs**

4002 There are no Local TLVs defined for this command.

4003 2.4.3.4.4.2 When Generated

4004 This is generated by the Trust Center when it wants to change configuration settings of the device. In distributed
4005 security networks, it MAY be generated by any device that wants to change the configuration settings of a remote
4006 device. In a distributed security network, it is permissible to send this command as a broadcast.

4007 2.4.3.4.4.3 Effect on Receipt

4008 When operating in a centralized security network, on receipt of a Security_Set_Configuration_req sent to the broadcast
4009 address, the device SHALL drop the message and no further processing SHALL be done.

4010 When operating in a centralized security network, on receipt of a Security_Set_Configuration_req from a device that
4011 is not the Trust Center, the receiving device SHALL generate a Security_Set_Configuration_rsp with a status of
4012 NOT_AUTHORIZED. No further processing SHALL be done.

4013 When operating in a distributed network, this command MAY be broadcast or unicast and MAY or MAY NOT be
4014 APS encrypted. The command is accepted in all those cases.

4015 On receipt of a Security_Set_Configuration_req by the Trust Center, the Trust Center device SHALL generate a Se-
4016 curity_Set_Configuration_rsp with a status of NOT_AUTHORIZED. No further processing SHALL be done.

4017 Processing of the message SHALL be done as follows:

- 4018 1. Execute the General TLV Processing rules in Annex I.
- 4019 2. If the result of the processing indicates a failure, then do the following.
 - 4020 a. If the command was unicast, the receiver SHALL transmit a Security_Set_Configuration_rsp to the sender
4021 with the status code as returned from the General TLV Processing rules.
 - 4022 b. If the command was broadcast, no response is generated.
 - 4023 c. For all cases when the TLV processing fails, no further processing SHALL be done.
- 4024 3. Process the TLVs in the message as follows:
 - 4025 a. Upon receipt of the Configuration Parameters Global TLV, the stack SHALL modify the value of the corre-
4026 sponding information base value as referenced in Table 4 36.
 - 4027 b. Upon receipt of the Next PAN ID Global TLV, the stack SHALL modify the NIB value of the nwkNextPanId
4028 according to the value received in the TLV. Setting the nwkNextPanId to the broadcast PAN ID is allowed.
4029 It indicates that any PAN ID MAY be used as the next PAN ID.
 - 4030 c. Upon receipt of the Next Channel Change Global TLV the stack SHALL modify the NIB value of the
4031 nwkNextChannelChange in the NIB according to the value received in the TLV if it matches one of the
4032 Supported Channels of an interface in the nwkMacInterfaceTable.
- 4033 4. If no TLVs were processed in step 3, do the following:
 - 4034 a. If the command was broadcast, no more processing SHALL take place.
 - 4035 b. If the command was unicast, send a Security_Set_Configuration_rsp with a status MISSING_TLV to the
4036 sender of the request.

4037 Note that an Overall Status of NOT_SUPPORTED for the Security_Set_Configuration_rsp is reserved for stacks prior
4038 to Revision 23 that do not understand the Security_Set_Configuration_req command at all.

4039 2.4.3.4.5 Security_Get_Configuration_req

4040 This command is used by a device to retrieve a remote device's security configuration. The Security_Get_Configuration-
4041 tion_req command (cluster ID = 0x0044) is formatted as illustrated in Figure 2-49.

4042 This command SHALL be APS encrypted in centralized security mode. It MAY be APS encrypted in distributed
4043 security mode.

4044

Octets: 1	1	...
TLV Count	TLV ID	...

Figure 2-49. Format of the Security_Get_Configuration_req Command Frame

Table 2-77 specifies the fields of the Security_Get_Configuration_req command frame.

Table 2-77. Fields of the Security_Get_Configuration_req Command Frame

Name	Type	Valid Range	Description
TLV Count	Integer	0 – 255	The number of TLV IDs contained in the message. Note that the maximum value for this count will be dependent on the underlying maximum size of the message as allowed by fragmentation.
TLV ID	Integer	0 – 255	The ID of each TLV that is being requested.

2.4.3.4.5.1 When Generated

This is generated by a device that wants to retrieve the configuration of a remote device.

2.4.3.4.5.2 Effect on Receipt

If the command was broadcast it SHALL be rejected and silently dropped.

In a centralized security network, if the local device receives this command from a remote device that is not the Trust Center the command SHALL be rejected. The receiver SHALL generate a Security_Get_Configuration_rsp with a status of NOT_AUTHORIZED. No further processing SHALL be done.

The following processing SHALL be done.

1. Construct a Security_Get_Configuration_rsp command with a status of SUCCESS.
2. For each TLV ID listed in the message, the device SHALL determine if the TLV is known to the local device and has a value.
 - a. If the TLV is unknown or the local device has no value for that TLV, it SHALL be skipped and processing will continue with the next TLV. For example, if the device has no Curve25519 Public Point then it would ignore a request for its Curve25519 Public Point TLV.
3. If the TLV ID is equal to the ID of PAN ID Conflict Report Global TLV, then the following SHALL occur.
 - a. Construct a PAN ID Conflict Report Global TLV using the current NIB value of nwkPanIdConflictCount.
 - b. Set the NIB value nwkPanIdConflictCount to 0.
4. The corresponding TLV SHALL be constructed and appended to the ZDO message.
5. If appending the TLV exceeds the MTU for the message then the following SHALL be done.
 - a. Abort processing. Construct and send a Security_Get_Configuration_rsp with a STATUS of FRAME_TOO_LARGE and no other payload.
6. Transmit the Security_Get_Configuration_rsp to the sender of the request.

2.4.3.4.6 Security_Start_Key_Update_req

This command is used by the Trust Center to trigger the receiving device to start its supported link key update mechanism. The Security_Start_Key_Update_req SHALL NOT be APS encrypted or NWK encrypted if the link key update mechanism is done as part of the initial join and before the receiving device has been issued a network key.

4074 The Security_Start_Key_Update_req SHALL be both APS encrypted and NWK encrypted if the link key update
 4075 mechanism is performed to refresh the link key when the receiving device has the network key and has previously
 4076 successfully joined the network. The Security_Start_Key_Update_req command (cluster ID = 0x0045) is formatted
 4077 as illustrated in Figure 2-50.

Octets: Varies
TLVs

4078 **Figure 2-50. Format of the Security_Start_Key_Update_req**

4079 Table 2-78 specifies the fields of the Security_Start_Key_Update_req command.

4080 **Table 2-78. Fields of the Security_Start_Key_Update_req**

Name	Type	Valid Range	Description
TLVs	TLV	Varies	The Security_Start_Key_Update_req SHALL include the following TLVs: <ul style="list-style-type: none"> Selected Key Negotiation Method TLV Fragmentation Parameters Global TLV Other TLVs may be included.

4081 2.4.3.4.6.1 **Local TLVs**

4082 2.4.3.4.6.2 **Selected Key Negotiation Method (ID=0)**

4083 This indicates the key negotiated method that the sending device would like to negotiate with the receiver. The for-
 4084 mat is defined in Figure 2-51.

1	Octets: 1	Octets: 8
Selected Key Negotiation Protocol Enumeration	Selected Pre-shared Secret Enumeration	Sending Device EUI64

4085 **Figure 2-51. Selected Key Negotiation Method TLV**

4086 Table 2-79 indicates the fields of the Selected Key Negotiation Method TLV.

4087 **Table 2-79. Fields of the Selected Key Negotiation Method TLV**

Name	Type	Valid Range	Description
Selected Key Negotiation Protocol Enumeration	Enum	0 – 2	The enumeration of the key negotiation method the sender is requesting to use in key negotiation.
Selected Pre-shared Secret Enumeration	Enum	0 – 4	The enumeration indicating the pre-shared secret that the sending device is requesting to be used in the key negotiation.
Sending Device EUI64	EUI64	Any	The value of the EUI64 of the device sending the message. This field SHALL always be present.

4088

4089

4090 Table 2-80 defines the Selected Key Negotiation Protocol Enumeration.

4091 **Table 2-80. Selected Key Negotiation Protocol Enumeration**

Enumerated Value	Description
0	Reserved (Zigbee 3.0 Mechanism)
1	SPEKE using Curve25519 with Hash AES-MMO-128
2	SPEKE using Curve25519 with Hash SHA-256
3 – 255	Reserved

4092 Table 2-81 defines the Selected Pre-shared Secret Enumeration.

4093 **Table 2-81. Selected Pre-shared Secret Enumeration**

Enumerated Value	Description
0	Symmetric Authentication Token
1	Pre-configured link-ley derived from installation code
2	Variable-length pass code (for PAKE protocols)
3	Basic Authorization Key
4	Administrative Authorization Key
5 – 254	Reserved
255	Anonymous Well-Known Secret

4094 2.4.3.4.6.3 **When Generated**

4095 This command is generated by the Trust Center when it wants to trigger the key update process for a device.

4096 2.4.3.4.6.4 **Effect on Receipt**

4097 On receipt, this command SHALL be processed as follows:

- 4098 1) If the apsTrustCenterAddress is all F's or if apsTrustCenterAddress is not all F's and the command was not sent
4099 by the Trust Center, the receiver SHALL generate a Security_Start_Key_Update_rsp with a status of NOT_AU-
4100 THORIZED.
- 4101 2) If the mandatory TLVs from Table 2-78 are not included, then a Security_Start_Key_Update_rsp SHALL be
4102 generated with a status of INV_REQUESTTYPE and no further processing SHALL be done.
- 4103 3) If apsTrustCenterAddress is unset, the receive SHALL set it with the value of the Sending Device EUI64 field of
4104 the Selected Key Negotiation Method TLV.
- 4105 4) Examine the Selected Key Negotiation Method TLV and determine if the device supports the selected key nego-
4106 tiation methods. If it does not, then a Security_Start_Key_Update_rsp SHALL be generated with a status of
4107 NO_MATCH. No further processing SHALL be done.
- 4108 5) The stack MAY notify the higher layer by passing the contents of the Selected Key Negotiation Method TLV.
4109 The stack is responsible for kicking off Key Negotiation or static link key update using one of the locally sup-
4110 ported methods.
- 4111 6) Generate a ZDO Security_Start_Key_Update_rsp with a status of SUCCESS.

4112 2.4.3.4.7 **Security_Decommission_req**

4113 This command is sent by the Trust Center to inform of the decommissioning of a 3rd party device on the network. The
4114 receiving device can use this to clear out any security keys and bindings associated with that 3rd party device. This

4115 message SHALL be sent unicast with APS encryption for a centralized network and no APS encryption for a distrib-
 4116 uted network.

4117 The Security_Decommission_req (Cluster ID=0x0046) is formatted as illustrated in Figure 2-52.

Octets: Varies
TLVs

4118 **Figure 2-52. Format of the ZDO Security_Decommission_req Command**

4119 Table 2-82 indicates the fields of the ZDO Security_Decommission_req command.

4120 **Table 2-82. Fields of the ZDO Security_Decommission_req Command**

Name	Type	Valid Range	Description
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this Revision of the specification: <ul style="list-style-type: none"> • Device EUI64 List TLV Other TLVs may be included.

4121 2.4.3.4.7.1 **Local TLVs**

4122 The Local TLVs for the Security_Decommission_req command frame are as follows.

4123 2.4.3.4.7.2 **Device EUI64 List TLV (ID=0)**

4124 The format of the Device EUI64 List TLV SHALL be as formatted in Figure 2-53.

Octets: 1	8	...
EUI64 Count	EUI64	...

4125 **Figure 2-53. Format of the Device EUI64 List TLV**

4126 Table 2-83 indicates the fields of the Device EUI64 List TLV.

4127 **Table 2-83. Fields of the Device EUI64 List TLV**

Name	Type	Valid Range	Description
EUI64 Count	Integer	0x00 – 0xFF	The number of EUI64 fields within the TLV. Note that the maximum value for this count will be dependent on the underlying maximum size of the message as allowed by fragmentation.
EUI64	EUI64	0x0000000000000000 – 0xFFFFFFFFFFFFFFFF	An EUI64 that shall trigger decommissioning operations.

4128 2.4.3.4.7.3 **When Generated**

4129 This command is generated when the Trust Center has administratively removed a device from the list of authorized
 4130 devices and wishes to inform other devices about that action. It is NOT used to actually remove that device.

4131 2.4.3.4.7.4 **Effect on Receipt**

4132 On receipt the following processing SHALL take place.

- 4133 1) If the command is broadcast it SHALL be silently dropped. No further processing SHALL be done.
- 4134 2) If the command is unicast on a centralized network with no APS encryption, a ZDO Security_Decommission_rsp
4135 SHALL be generated with a status code of NOT_AUTHORIZED. No further processing SHALL be done.
- 4136 3) If the receiving device is the Trust Center the command SHALL be rejected.
4137 a) A ZDO Security_Decommission_rsp SHALL be generated with a status code of NOT_AUTHORIZED. No
4138 further processing SHALL be done.
- 4139 4) Execute the General TLV Processing Rules in Annex I.4.7.
- 4140 5) If the command does not have at least one Device EUI64 List TLV present in the message, it SHALL be rejected.
4141 a) The receiver SHALL generate a ZDO Security_Decommission_rsp with a status of INV_REQUESTTYPE.
4142 No further processing SHALL be done.
- 4143 6) The receiving device SHALL compare its local EUI64 to all EUI64 in the Security Decommission Req EUI64
4144 TLV. If any EUI64 matches the device’s local EUI64 it SHALL be rejected.
4145 a) The device SHALL generate a ZDO Security_Decommission_rsp with a status of INV_REQUESTTYPE.
- 4146 7) The receiving device SHALL compare the value of all EUI64 values the Security Decommission Req EUI64
4147 TLV to the DeviceAddress element of all entries in the *apsDeviceKeyPairSet* of the AIB.
4148 a) If any entry matches it SHALL be deleted.
- 4149 8) The receiving device SHALL compare the value of all EUI64 in the Security Decommission Req EUI64 TLV to
4150 the EUI64 of each binding table entry.
4151 a) If any entry matches it SHALL be deleted by issuing an APSME-UNBIND.request.
- 4152 9) Note that the use of the wildcard EUI64 address of 0xFFFFFFFFFFFFFFFF is not allowed and SHALL be ig-
4153 nored.
- 4154 10) The ZDO MAY inform the NLME of each decommissioned EUI64 allowing the NLME layer to clean up any
4155 network layer data related to that device.
- 4156 11) The device SHALL issue an APS encrypted ZDO Security_Decommission_rsp with the following fields
4157 a) The Status SHALL be set to SUCCESS if at least one EUI64 matched and resulted in the device making
4158 changes to its internal tables.
4159 b) Otherwise the Status SHALL be set to NOT_FOUND.

4160 2.4.3.4.8 **Security_Challenge_req**

4161 This command is used by a device to verify the latest frame counter value of another device. The Security_Chal-
4162 lenge_req (Cluster ID = 0x0047) is formatted as illustrated in Figure 2-54.

Octets: Varies
TLVs

4163 **Figure 2-54. Format of the Security_Challenge_req**
4164

4165 2.4.3.4.8.1 **Local TLVs**

4166 Table 2-84 defines the Local scoped TLVs for this message.

4167 **Table 2-84. Global TLVs for Security_Challenge_req**

Tag ID	Name
0x00	APS Frame Counter Challenge

4168 2.4.3.4.8.2 **APS Frame Counter Challenge TLV**

4169 Figure 2-55 illustrates the format of the APS Frame Counter Challenge TLV.

Octets: 8	8
Sender EUI64	Challenge Value

4170 **Figure 2-55. Format of the APS Frame Counter Challenge TLV**

4171 Table 2-85 describes the fields of the APS Frame Counter Challenge TLV.

4172 **Table 2-85. Fields of the APS Frame Counter Challenge TLV**

Field	Description
Sender EUI64	The EUI64 of the device that generated the frame.
Challenge Value	A randomly generated 64-bit value sent to a device to prove they have the link key. This allows the initiator to detect replayed challenge response frames.

4173 2.4.3.4.8.3 **When Generated**4174 This command is generated when a device wants to challenge another device to verify it has the latest cryptographic data.
4175

4176 This message SHALL NOT be APS encrypted.

4177 2.4.3.4.8.4 **Effect on Receipt**

4178 1. If the message was broadcast it SHALL be dropped and no further processing SHALL be done.

4179 2. If the message did not include the APS Frame Counter Challenge TLV do the following.

4180 a. Generate a ZDO Security_Challenge_rsp with a status of MISSING_TLV and send to the device that gener-
4181 ated the request.

4182 b. No further processing SHALL be done.

4183 3. Search the *apsDeviceKeyPairSet* table of the AIB for any entry where the DeviceAddress matches the Sender
4184 EUI64 value of the APS Frame Counter Challenge TLV

4185 4. If no match can be found, do the following.

4186 a. Generate a ZDO Security_Challenge_rsp with a status of NO_MATCH and send to the device that generated
4187 the request.

4188 b. No further processing SHALL be done.

4189 5. Otherwise, follow the procedure in section 4.6.3.8.4.

4190 2.4.4 Server Services

4191 The Device Profile Server Services support the processing of device and service discovery requests, bind requests,
4192 unbind requests, and network management requests. Additionally, Server Services support transmission of these re-
4193 sponses back to the requesting device.

4194 2.4.4.1 ZDO Response Requirements

4195 A device SHALL be required to support generation of the correct, corresponding ZDO response to all ZDO requests
4196 including ZDO messages defined in a future version of this specification. Server Processing marked optional in Table
4197 2-86, Table 2-96, and Table 2-100 allow for the server to use NOT_SUPPORTED as the status code in the response
4198 to indicate the lack of support. ZDO requests unknown to the device SHALL be treated as unsupported and also use
4199 a NOT_SUPPORTED status code to indicate the device’s lack of support for that feature. See below for construction
4200 of ZDO responses to unsupported requests. For all broadcast addressed requests (of any broadcast address type) to the
4201 server, if the command is not supported, the server SHALL drop the packet. No error status SHALL be unicast back
4202 to the Local Device for any broadcast addressed client request including, but not limited to, requests which are not
4203 supported on the server.

4204 For all unicast addressed requests to the server, if the command is not supported, the server SHALL formulate a
4205 response packet including the response Cluster ID and status fields only. The response Cluster ID SHALL be created
4206 by taking the request Cluster ID and setting the high order bit to create the response Cluster ID. The status field
4207 SHALL be set to NOT_SUPPORTED. The resulting response SHALL be unicast to the requesting client.

4208 2.4.4.2 Device and Service Discovery Server

4209 Table 2-86 lists the commands supported by the Device and Service Discovery Server Services device profile. Each
4210 of these commands will be discussed in the following sections. For receipt of the Device_annce command, the server
4211 SHALL check all internal references to the IEEE and 16-bit NWK addresses supplied in the request. For all references
4212 to the IEEE address in the Local Device, the corresponding NWK address supplied in the Device_annce SHALL be
4213 substituted. For any other references to the NWK address in the Local Device, the corresponding entry SHALL be
4214 marked as not having a known valid 16-bit NWK address, even if the IEEEAddr field in the message carries the value
4215 of 0xffffffffffffff. The server SHALL NOT supply a response to the Device_annce.

4216 **Table 2-86. Device and Service Discovery Server Service Primitives**

Device and Service Discovery Server Services	Cluster ID	Server Processing
NWK_addr_rsp	0x8000	M
IEEE_addr_rsp	0x8001	M
Node_Desc_rsp	0x8002	M
Power_Desc_rsp	0x8003	M
Simple_Desc_rsp	0x8004	M
Active_EP_rsp	0x8005	M
Match_Desc_rsp	0x8006	M
Complex_Desc_rsp	0x8010	Deprecated

Device and Service Discovery Server Services	Cluster ID	Server Processing
User_Desc_rsp	0x8011	Deprecated
User_Desc_conf	0x8014	Deprecated
Parent_annce_rsp	0x801f	M
System_Server_Discovery_rsp	0x8015	O
Discovery_store_rsp	0x8016	Deprecated
Node_Desc_store_rsp	0x8017	Deprecated
Power_Desc_store_rsp	0x8018	Deprecated
Active_EP_store_rsp	0x8019	Deprecated
Simple_Desc_store_rsp	0x801a	Deprecated
Remove_node_cache_rsp	0x801b	Deprecated
Find_node_cache_rsp	0x801c	Deprecated
Extended_Simple_Desc_rsp	0x801d	Deprecated
Extended_Active_EP_rsp	0x801e	Deprecated

4217 **2.4.4.2.1 NWK_addr_rsp**

4218 The NWK_addr_rsp command (ClusterID=0x8000) SHALL be formatted as illustrated in Figure 2-56.

Octets: 1	8	2	0/1	0/1	Variable
Status	IEEEAddr RemoteDev	NWKAddr RemoteDev	Num AssocDev	StartIndex	NWKAddr AssocDevList

4219 **Figure 2-56. Format of the NWK_addr_rsp Command Frame**

4220 Table 2-87 specifies the fields of the NWK_addr_rsp command frame.

4221 **Table 2-87. Fields of the NWK_addr_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, or DEVICE_NOT_FOUND	The status of the NWK_addr_req command.

Name	Type	Valid Range	Description
IEEEAddrRemoteDev	Device Address	An extended 64-bit, IEEE address	64-bit address for the Remote Device.
NWKAddrRemoteDev	Device Address	A 16-bit, NWK address	16-bit address for the Remote Device.
NumAssocDev	Integer	0x00 – 0xff	<p>Count of the number of 16-bit short addresses to follow.</p> <p>If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL be set to 0.</p> <p>If an error occurs or the Request Type in the request is for a Single Device Response, this field SHALL NOT be included in the frame.</p>
StartIndex	Integer	0x00 – 0xff	<p>Starting index into the list of associated devices for this report.</p> <p>If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL NOT be included in the frame.</p> <p>If an error occurs or the Request Type in the request is for a Single Device Response, this field SHALL NOT be included in the frame.</p>
NWKAddrAssocDevList	Device Address List	List of NumAssocDev 16-bit short addresses, each with range 0x0000 – 0xffff	<p>A list of 16-bit addresses, one corresponding to each associated device to Remote Device; The number of 16-bit network addresses contained in this field is specified in the NumAssocDev field.</p> <p>If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL NOT be included in the frame.</p> <p>If an error occurs or the Request Type in the request is for a Single Device Response, this field SHALL NOT be included in the frame.</p>

4222 2.4.4.2.1.1 **When Generated**

4223 The NWK_addr_rsp is generated by a Remote Device in response to a NWK_addr_req command inquiring as to the
4224 NWK address of the Remote Device or the NWK address of an address held in the neighbor table (see section
4225 2.4.3.1.1.2 for a detailed description). The destination addressing on this command is unicast.

4226 2.4.4.2.1.2 **Effect on Receipt**

4227 On receipt of the NWK_addr_rsp command, the recipient is either notified of the status of its attempt to discover a
4228 NWK address from an IEEE address or notified of an error. If the NWK_addr_rsp command is received with a Status
4229 of SUCCESS, the remaining fields of the command contain the appropriate discovery information, according to the
4230 RequestType as specified in the original NWK_Addr_req command. Otherwise, the Status field indicates the error
4231 and the NumAssocDev, StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included.

4232 2.4.4.2.2 **IEEE_addr_rsp**

4233 The IEEE_addr_rsp command (ClusterID=0x8001) SHALL be formatted as illustrated in Figure 2-57.

Octets: 1	8	2	0/1	0/1	Variable
Status	IEEEAddr RemoteDev	NWKAddr RemoteDev	NumAssocDev	StartIndex	NWKAddr AssocDevList

4234 **Figure 2-57. Format of the IEEE_addr_rsp Command Frame**

4235 Table 2-88 specifies the fields of the IEEE_addr_rs command frame.

4236 **Table 2-88. Fields of the IEEE_addr_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE or DEVICE_NOT_FOUND	The status of the IEEE_addr_req command.
IEEEAddrRemoteDev	Device Address	An extended 64-bit, IEEE address	64-bit address for the Remote Device.
NWKAddrRemoteDev	Device Address	A 16-bit, NWK address	16-bit address for the Remote Device.
NumAssocDev	Integer	0x00 – 0xff	Count of the number of 16-bit short addresses to follow. If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL be set to 0. If an error occurs or the RequestType in the request is for a Single Device Response, this field SHALL NOT be included in the frame.

Name	Type	Valid Range	Description
StartIndex	Integer	0x00 – 0xff	Starting index into the list of associated devices for this report. If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL NOT be included in the frame. If an error occurs or the RequestType in the request is for a Single Device Response, this field SHALL NOT be included in the frame.
NWKAddrAssocDevList	Device Address List	List of NumAssocDev 16-bit short addresses, each with range 0x0000 – 0xffff	A list of 16-bit addresses, one corresponding to each associated device to Remote Device; The number of 16-bit network addresses contained in this field is specified in the NumAssocDev field. If the RequestType in the request is Extended Response and there are no associated devices on the Remote Device, this field SHALL NOT be included in the frame. If an error occurs or the RequestType in the request is for a Single Device Response, this field SHALL NOT be included in the frame

4237 2.4.4.2.2.1 **When Generated**

4238 The IEEE_addr_rsp is generated by a Remote Device in response to an IEEE_addr_req command inquiring as to the
4239 64-bit IEEE address of the Remote Device or the 64-bit IEEE address of an address held in the neighbor table (see
4240 section 2.4.3.1.2.2 for a detailed description). The destination addressing on this command SHALL be unicast.

4241 2.4.4.2.2.2 **Effect on Receipt**

4242 On receipt of the IEEE_addr_rsp command, the recipient is either notified of the status of its attempt to discover an
4243 IEEE address from an NWK address or notified of an error. If the IEEE_addr_rsp command is received with a Status
4244 of SUCCESS, the remaining fields of the command contain the appropriate discovery information, according to the
4245 RequestType as specified in the original IEEE_Addr_req command. Otherwise, the Status field indicates the error and
4246 the NumAssocDev, StartIndex, and NWKAddrAssocDevList fields SHALL NOT be included.

4247 2.4.4.2.3 **Node_Desc_rsp**

4248 The Node_Desc_rsp command (ClusterID=0x8002) SHALL be formatted as illustrated in Figure 2-58.

Octets: 1	2	See section 2.3.2.3	TLVs
Status	NWKAddrOfInterest	Node Descriptor	One or more TLVs

4249 **Figure 2-58. Format of the Node_Desc_rsp Command Frame**

4250 Table 2-89 specifies the fields of the Node_Desc_rsp command frame.

4251 **Table 2-89. Fields of the Node_Desc_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, DEVICE_NOT_FOUND, INV_REQUESTTYPE, or NO_DESCRIPTOR	The status of the Node_Desc_req command.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
NodeDescriptor	Node Descriptor		See the Node Descriptor format in section 2.3.2.3. This field SHALL only be included in the frame if the status field is equal to SUCCESS.
TLVs	TLV List	Varies	A set of TLVs. The Fragmentation Parameters Global TLV SHALL always be included.

4252 2.4.4.2.3.1 **Local TLVs**4253 2.4.4.2.3.2 **Selected Key Negotiation Method (ID=0)**

4254 This TLV has the same format and ID as the Selected Key Negotiation Method TLV of the Security_Start_Key_Update_req.
4255

4256 2.4.4.2.3.3 **When Generated**

4257 The Node_Desc_rsp is generated by a remote device in response to a Node_Desc_req directed to the remote device.
4258 This command SHALL be unicast to the originator of the Node_Desc_req command.

4259 If the Node_Desc_req frame includes the Fragmentation Parameters Global TLV the receiver can cache the information in the *apsFragmentationCacheTable*. See section 2.4.4.2.3.4 for more information.
4260

4261 If the Node_Desc_req frame includes at least one valid TLV, the receiver SHALL set the Frame Counter Synchronization bit in the Features & Capabilities bitmap of the *apsDeviceKeyPairSet* entry pertaining to the sender of the Node_Desc_req command to '1', if such an entry exists.
4262
4263

4264 The remote device SHALL generate the Node_Desc_rsp command using the format illustrated in Figure 2-58. The
4265 NWKAddrOfInterest field SHALL match that specified in the original Node_Desc_req command. If the NWKAddrOfInterest field matches the network address of the remote device, it SHALL set the Status field to SUCCESS and
4266 include its node descriptor (see section 2.3.2.3) in the NodeDescriptor field.
4267

4268 If the NWKAddrOfInterest field does not match the network address of the remote device and it is an end device, it
4269 SHALL set the Status field to INV_REQUESTTYPE, set the ActiveEPCCount field to 0, and not include the ActiveEPList field. If the NWKAddrOfInterest field does not match the network address of the remote device and it is
4270 the coordinator or a router, it SHALL set the Status field to DEVICE_NOT_FOUND, set the ActiveEPCCount field to
4271 0, and not include the ActiveEPList field. If the NWKAddrOfInterest matches the network address of one of the
4272 children of the remote device, it SHALL determine whether a node descriptor for that device is available. If a node
4273 descriptor is not available for the child indicated by the NWKAddrOfInterest field, the remote device SHALL set the
4274 Status field to NO_DESCRIPTOR and not include the NodeDescriptor field. If a node descriptor is available for the
4275

4276 child indicated by the NWKAddrOfInterest field, the remote device SHALL set the Status field to SUCCESS and
 4277 include the node descriptor (see section 2.3.2.3) of the matching child device in the NodeDescriptor field.

4278 The device sending the Node_Desc_rsp SHALL include the following TLVs:

- 4279 • Selected Key Negotiation Method TLV.
- 4280 • Fragmentation Parameters Global TLV

4281 Devices prior to Revision 23 will not include the TLV field. The receiver SHALL still accept messages without TLVs
 4282 in the response message.

4283 2.4.4.2.3.4 Effect on Receipt

4284 On receipt of the Node_Desc_rsp command, the recipient is either notified of the node descriptor of the remote device
 4285 indicated in the original Node_Desc_req command or notified of an error. If the Node_Desc_rsp command is received
 4286 with a Status of SUCCESS, the NodeDescriptor field SHALL contain the requested node descriptor. Otherwise, the
 4287 Status field indicates the error and the NodeDescriptor field SHALL NOT be included.

4288 The receiver can use the Fragmentation Parameters Global TLV to cache the sender's fragmentation capabilities in
 4289 the *apsFragmentationCacheTable*. The Trust Center SHALL cache the data for all devices in the network. A regular
 4290 device SHALL cache fragmentation support for the Trust Center and MAY cache data for any other device in the
 4291 network.

4292 If the core stack Revision indicated in the Node_Desc_rsp is 23 or higher, the receiver SHALL set the Frame Counter
 4293 Synchronization bit in the Features & Capabilities bitmap of the *apsDeviceKeyPairSet* entry pertaining to the sender
 4294 of the Node_Desc_rsp command to '1', if such an entry exists.

4295 2.4.4.2.4 Power_Desc_rsp

4296 The Power_Desc_rsp command (ClusterID=0x8003) SHALL be formatted as illustrated in Figure 2-59.

Octet: 1	2	Variable
Status	NWKAddrOfInterest	Power Descriptor

4297 **Figure 2-59. Format of the Power_Desc_rsp Command Frame**

4298 Table 2-90 specifies the fields of the Power_Desc_rsp command frame.

4299 **Table 2-90. Fields of the Power_Desc_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, DEVICE_NOT_FOUND, INV_REQUESTTYPE, or NO_DESCRIPTOR	The status of the Power_Desc_req command.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.

Name	Type	Valid Range	Description
PowerDescriptor	Power Descriptor		See the Node Power Descriptor format in section 2.3.2.4. This field SHALL only be included in the frame if the status field is equal to SUCCESS.

4300 2.4.4.2.4.1 **When Generated**

4301 The Power_Desc_rsp is generated by a remote device in response to a Power_Desc_req directed to the remote device.
4302 This command SHALL be unicast to the originator of the Power_Desc_req command.

4303 The remote device SHALL generate the Power_Desc_rsp command using the format illustrated in . The NWKAddrOfInterest field SHALL match that specified in the original Power_Desc_req command. If the NWKAddrOfInterest field matches the network address of the remote device, it SHALL set the Status field to SUCCESS and include its power descriptor (see section 2.3.2.4) in the PowerDescriptor field.

4307 If the NWKAddrOfInterest field does not match the network address of the remote device and it is an end device, it SHALL set the Status field to INV_REQUESTTYPE and not include the PowerDescriptor field. If the NWKAddrOfInterest field does not match the network address of the remote device and it is the coordinator or a router, it SHALL determine whether the NWKAddrOfInterest field matches the network address of one of its children. If the NWKAddrOfInterest field does not match the network address of one of the children of the remote device, it SHALL set the Status field to DEVICE_NOT_FOUND and not include the PowerDescriptor field. If the NWKAddrOfInterest matches the network address of one of the children of the remote device, it SHALL determine whether a power descriptor for that device is available. If a power descriptor is not available for the child indicated by the NWKAddrOfInterest field, the remote device SHALL set the Status field to NO_DESCRIPTOR and not include the PowerDescriptor field. If a power descriptor is available for the child indicated by the NWKAddrOfInterest field, the remote device SHALL set the Status field to SUCCESS and include the power descriptor (see section 2.3.2.4) of the matching child device in the PowerDescriptor field.

4319 2.4.4.2.4.2 **Effect on Receipt**

4320 On receipt of the Power_Desc_rsp command, the recipient is either notified of the power descriptor of the remote device indicated in the original Power_Desc_req command or notified of an error. If the Power_Desc_rsp command is received with a Status of SUCCESS, the PowerDescriptor field SHALL contain the requested power descriptor.
4322 Otherwise, the Status field indicates the error and the PowerDescriptor field SHALL NOT be included.

4324 2.4.4.2.5 **Simple_Desc_rsp**

4325 The Simple_Desc_rsp command (ClusterID=0x8004) SHALL be formatted as illustrated in Figure 2-60.

Octet: 1	2	1	Variable
Status	NWKAddrOfInterest	Length	Simple Descriptor

4326 **Figure 2-60. Format of the Simple_Desc_rsp Command Frame**

4327 Table 2-91 specifies the fields of the Simple_Desc_rsp command frame.

4328

Table 2-91. Fields of the Simple_Desc_rsp Command Frame

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INVALID_EP, NOT_ACTIVE, DEVICE_NOT_FOUND, INV_REQUESTTYPE or NO_DESCRIPTOR	The status of the Simple_Desc_req command.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
Length	Integer	0x00 – 0xff	Length in bytes of the Simple Descriptor to follow.
SimpleDescriptor	Simple Descriptor		See the Simple Descriptor format in section 2.3.2.5. This field SHALL only be included in the frame if the status field is equal to SUCCESS.

4329 **2.4.4.2.5.1 When Generated**

4330 The Simple_Desc_rsp is generated by a remote device in response to a Simple_Desc_req directed to the remote device.
4331 This command SHALL be unicast to the originator of the Simple_Desc_req command.

4332 The remote device SHALL generate the Simple_Desc_rsp command using the format illustrated in . The NWKAddrOfInterest field SHALL match that specified in the original Simple_Desc_req command. If the endpoint field specified in the original Simple_Desc_req command does not fall within the correct range specified in Table 2-91, the remote device SHALL set the Status field to INVALID_EP, set the Length field to 0 and not include the SimpleDescriptor field.

4337 If the NWKAddrOfInterest field matches the network address of the remote device, it SHALL determine whether the endpoint field specifies the identifier of an active endpoint on the device. If the endpoint field corresponds to an active endpoint, the remote device SHALL set the Status field to SUCCESS, set the Length field to the length of the simple descriptor on that endpoint, and include the simple descriptor (see section 2.3.2.5) for that endpoint in the SimpleDescriptor field. If the endpoint field does not correspond to an active endpoint, the remote device SHALL set the Status field to NOT_ACTIVE, set the Length field to 0, and not include the SimpleDescriptor field.

4343 If the NWKAddrOfInterest field does not match the network address of the remote device and it is an end device, it SHALL set the Status field to INV_REQUESTTYPE, set the Length field to 0, and not include the SimpleDescriptor field. If the NWKAddrOfInterest field does not match the network address of the remote device and it is the coordinator or a router, it SHALL determine whether the NWKAddrOfInterest field matches the network address of one of its children. If the NWKAddrOfInterest field does not match the network address of one of the children of the remote device, it SHALL set the Status field to DEVICE_NOT_FOUND, set the Length field to 0, and not include the SimpleDescriptor field.

4350 If the NWKAddrOfInterest matches the network address of one of the children of the remote device, it SHALL determine whether a simple descriptor for that device and on the requested endpoint is available. If a simple descriptor is not available on the requested endpoint of the child indicated by the NWKAddrOfInterest field, the remote device SHALL set the Status field to NO_DESCRIPTOR, set the Length field to 0, and not include the SimpleDescriptor field. If a simple descriptor is available on the requested endpoint of the child indicated by the NWKAddrOfInterest field, the remote device SHALL set the Status field to SUCCESS, set the Length field to the length of the simple descriptor on that endpoint, and include the simple descriptor (see section 2.3.2.5) for that endpoint of the matching child device in the SimpleDescriptor field.

4358 2.4.4.2.5.2 **Effect on Receipt**

4359 On receipt of the Simple_Desc_rsp command, the recipient is either notified of the simple descriptor on the endpoint
 4360 of the remote device indicated in the original Simple_Desc_req command or notified of an error. If the Sim-
 4361 ple_Desc_rsp command is received with a Status of SUCCESS, the SimpleDescriptor field SHALL contain the re-
 4362 quested simple descriptor. Otherwise, the Status field indicates the error and the SimpleDescriptor field SHALL NOT
 4363 be included.

4364 2.4.4.2.6 **Active_EP_rsp**

4365 The Active_EP_rsp command (ClusterID=0x8005) SHALL be formatted as illustrated in Figure 2-61.

Octet: 1	2	1	Variable
Status	NWKAddrOfInterest	ActiveEPCount	ActiveEPList

4366 **Figure 2-61. Format of the Active_EP_rsp Command Frame**

4367 Table 2-92 specifies the fields of the Active_EP_rsp command frame.

4368 **Table 2-92. Fields of the Active_EP_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, DEVICE_NOT_FOUND, INV_REQUESTTYPE, or NO_DESCRIPTOR	The status of the Active_EP_req com- mand.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
ActiveEPCount	Integer	0x00 – 0xff	The count of active endpoints on the Remote Device.
ActiveEPList			List of bytes each of which represents an 8-bit endpoint.

4369 2.4.4.2.6.1 **When Generated**

4370 The Active_EP_rsp is generated by a remote device in response to an Active_EP_req directed to the remote device.
 4371 This command SHALL be unicast to the originator of the Active_EP_req command.

4372 The remote device SHALL generate the Active_EP_rsp command using the format illustrated in . The NWKAd-
 4373 drOfInterest field SHALL match that specified in the original Active_EP_req command. If the NWKAddrOfInterest
 4374 field matches the network address of the remote device, it SHALL set the Status field to SUCCESS, set the ActiveEP-
 4375 Count field to the number of active endpoints on that device and include an ascending list of all the identifiers of the
 4376 active endpoints on that device in the ActiveEPList field.

4377 If the NWKAddrOfInterest field does not match the network address of the remote device and it is an end device, it
 4378 SHALL set the Status field to INV_REQUESTTYPE, set the ActiveEPCount field to 0, and not include the Ac-
 4379 tiveEPList field. If the NWKAddrOfInterest field does not match the network address of the remote device and it is
 4380 the coordinator or a router, it SHALL determine whether the NWKAddrOfInterest field matches the network address
 4381 of a device it holds in a discovery cache. If the NWKAddrOfInterest field does not match the network address of a
 4382 device it holds in a discovery cache, it SHALL set the Status field to DEVICE_NOT_FOUND, set the ActiveEPCount

4383 field to 0, and not include the ActiveEPList field. If the NWKAddrOfInterest matches the network address of a device
 4384 held in a discovery cache on the remote device, it SHALL determine whether that device has any active endpoints. If
 4385 the discovery information corresponding to the ActiveEP request has not yet been uploaded to the discovery cache,
 4386 the remote device SHALL set the Status field to NO_DESCRIPTOR, set the ActiveEPCount field to 0 and not include
 4387 the ActiveEPList field. If the cached device has no active endpoints, the remote device SHALL set the Status field to
 4388 SUCCESS, set the ActiveEPCount field to 0, and not include the ActiveEPList field. If the cached device has active
 4389 endpoints, the remote device SHALL set the Status field to SUCCESS, set the ActiveEPCount field to the number of
 4390 active endpoints on that device, and include an ascending list of all the identifiers of the active endpoints on that device
 4391 in the ActiveEPList field.

4392 2.4.4.2.6.2 Effect on Receipt

4393 On receipt of the Active_EP_rsp command, the recipient is either notified of the active endpoints of the remote device
 4394 indicated in the original Active_EP_req command or notified of an error. If the Active_EP_rsp command is received
 4395 with a Status of SUCCESS, the ActiveEPCount field indicates the number of entries in the ActiveEPList field. Oth-
 4396 erwise, the Status field indicates the error and the ActiveEPList field SHALL NOT be included.

4397 2.4.4.2.7 Match_Desc_rsp

4398 The Match_Desc_rsp command (ClusterID=0x8006) SHALL be formatted as illustrated in Figure 2-62.

Octet: 1	2	1	Variable
Status	NWKAddrOfInterest	Match Length	Match List

4399 **Figure 2-62. Format of the Match_Desc_rsp Command Frame**

4400 Table 2-93 specifies the fields of the Match_Desc_rsp command frame.

4401 **Table 2-93. Fields of the Match_Desc_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, DEVICE_NOT_FOUND, INV_REQUESTTYPE, or NO_DESCRIPTOR	The status of the Match_Desc_req command.
NWKAddrOfInterest	Device Address	16-bit NWK address	NWK address for the request.
MatchLength	Integer	0x00-0xff	The count of endpoints on the Remote Device that match the request criteria.
MatchList			List of bytes each of which represents an 8-bit endpoint.

4402 2.4.4.2.7.1 When Generated

4403 The Match_Desc_rsp is generated by a remote device in response to a Match_Desc_req either broadcast or unicast to
 4404 the remote device. This command SHALL be unicast to the originator of the Match_Desc_req command.

4405 The following describes the procedure for processing the Match_Desc_req and generation of Match_Desc_rsp.

- 4406 1. Set MatchLength to 0 and create an empty list MatchList.
- 4407 2. If the receiving device is an End Device and the NWKAddrOfInterest within the Match_Desc_req message does
4408 not match the nwkNetworkAddress of the NIB and is not a broadcast address, the following SHALL be per-
4409 formed. Otherwise it shall proceed to step 3.
- 4410 a. If the NWK destination of the message is a broadcast address, no further processing SHALL be done.
- 4411 b. If the NWK destination is a unicast address, the following SHALL be performed.
- 4412 i. Set the Status value to INV_REQUESTTYPE.
- 4413 ii. Set the MatchLength to 0.
- 4414 iii. Construct a Match_Desc_rsp with only Status and MatchLength fields.
- 4415 iv. Send the message as a unicast to the source of the Match_Desc_req.
- 4416 v. No further processing SHALL be done.
- 4417 3. If the NWKAddrOfInterest is equal to the nwkNetworkAddress of the NIB, or is a broadcast address, perform the
4418 following procedure. Otherwise proceed to step 4.
- 4419 a. Apply the match criteria in section 2.4.4.2.7.2 for all local Simple Descriptors.
- 4420 b. For each Simple Descriptor that matches with at least one cluster, add the endpoint once to MatchList and
4421 increment MatchLength.
- 4422 4. If the NWKAddrOfInterest is not a broadcast address, the NWKAddressOfInterest is not equal to the nwkNet-
4423 workAddress of the local NIB, and the device is a coordinator or router, then the following SHALL be performed.
4424 Otherwise proceed to step 5.
- 4425 a. Examine each entry in the nwkNeighborTable and perform the following procedure.
- 4426 i. If the Network Address of the entry does not match the NWKAddrOfInterest or the Device Type is not
4427 equal to 0x02 (Zigbee End Device), do not process this entry. Continue to the next entry in the nwkNeigh-
4428 borTable.
- 4429 ii. For each endpoint that matches with at least once cluster, add that endpoint once to the MatchList and
4430 increment MatchLength.
- 4431 iii. Proceed to step 7.
- 4432 b. If the NWKAddrOfInterest does not match any entry in the nwkNeighborTable, perform the following:
- 4433 i. Set the Status to DEVICE_NOT_FOUND.
- 4434 ii. Construct a Match_Desc_rsp with Status and MatchLength fields only.
- 4435 iii. Unicast the message to the source of the Match_Desc_req.
- 4436 iv. No further processing SHALL be done.
- 4437 5. If the MatchLength is 0 and the NWK destination of the Match_Desc_req was a broadcast address, no further
4438 processing SHALL be done. Otherwise proceed to step 6.
- 4439 6. If the MatchLength is 0 and the NWKAddrOfInterest matched an entry in the nwkNeighborTable, the following
4440 SHALL be performed. Otherwise proceed to step 7.
- 4441 a. Set the Status to NO_DESCRIPTOR
- 4442 b. Construct a Match_Desc_rsp with Status and MatchLength only.
- 4443 c. Unicast the Match_Desc_rsp to the source of the Match_Desc_req.
- 4444 d. No further processing SHALL be done.
- 4445 7. The following SHALL be performed. This is the case for both MatchLength > 0 and MatchLength == 0.
- 4446 a. Set the Status to SUCCESS.

- 4447 b. Construct a Match_Desc_rsp with Status, NWKAddrOfInterest, MatchLength, and MatchList.
- 4448 c. Unicast the response to the NWK source of the Match_Desc_req.

4449 **2.4.4.2.7.2 Simple Descriptor Matching Rules**

4450 These rules will examine a ProfileID, InputClusterList, OutputClusterList, and a SimpleDescriptor. The following
4451 SHALL be performed:

- 4452 1. The device SHALL first check if the ProfileID field matches using the Profile ID of the SimpleDescriptor. If the
4453 profile identifiers do not match and the ProfileID is not 0xffff, the device SHALL note the match as unsuccessful
4454 and no further processing SHALL be done.
- 4455 2. Examine the InputClusterList and compare each item to the Application Input Cluster List of the SimpleDe-
4456 scriptor.
 - 4457 a. If a cluster ID matches exactly, then the device SHALL note the match as successful and perform no further
4458 matching. Processing is complete.
- 4459 3. Examine the OutputClusterList and compare each item to the Application Output Cluster List of the SimpleDe-
4460 scriptor.
 - 4461 a. If a cluster ID matches exactly, then the device SHALL note the match as successful and perform no further
4462 matching. Processing is complete.
- 4463 4. The device SHALL note the match as unsuccessful. Processing is complete.

4464 **2.4.4.2.7.3 Effect on Receipt**

4465 On receipt of the Match_Desc_rsp command, the recipient is either notified of the results of its match criterion query
4466 indicated in the original Match_Desc_req command or notified of an error. If the Match_Desc_rsp command is re-
4467 ceived with a Status of SUCCESS, the MatchList field SHALL contain the list of endpoints containing simple de-
4468 scriptors that matched the criterion. Otherwise, the Status field indicates the error and the MatchList field SHALL
4469 NOT be included.

4470 **2.4.4.2.8 Complex_Desc_rsp – DEPRECATED**

4471 **2.4.4.2.9 User_Desc_rsp – DEPRECATED**

4472 **2.4.4.2.10 System_Server_Discovery_rsp**

4473 The System_Server_Discovery_rsp command (ClusterID=0x8015) SHALL be formatted as illustrated in Figure 2-63.

Octet: 1	2
Status	ServerMask

4474 **Figure 2-63. System_Server_Discovery_rsp Command Frame**

4475 Table 2-94 specifies the fields of the System_Server_Discovery_rsp command frame.

4476

4477

Table 2-94. Fields of the System_Server_Discovery_rsp Command Frame

Name	Type	Valid Range	Description
Status	Integer	SUCCESS	The status of the System_Server_Discovery_rsp command.
ServerMask	Integer	Bitmap	See Table 2-34 for bit assignments.

4478 2.4.4.2.10.1 **When Generated**

4479 The System_Server_Discovery_rsp is generated from Remote Devices on receipt of a System_Server_
 4480 Discovery_req primitive if the parameter matches the Server Mask field in its node descriptor. If there is no match,
 4481 the System_Server_Discovery_req SHALL be ignored and no response given. Matching is performed by masking the
 4482 ServerMask parameter of the System_Server_Discovery_req with the Server Mask field in the node descriptor. This
 4483 command SHALL be unicast to the device which sent System_Server_Discovery_req with Acknowledge request set
 4484 in TxOptions. The parameter ServerMask contains the bits in the parameter of the request which match the server
 4485 mask in the node descriptor.

4486 2.4.4.2.10.2 **Effect on Receipt**

4487 The requesting device is notified that this device has some of the system server functionality that the requesting device
 4488 is seeking.

4489 If the Network Manager bit was set in the System_Server_Discovery_rsp, then the Remote Device's NWK address
 4490 SHALL be set into the *nwkManagerAddr* of the NIB.

4491 2.4.4.2.11 **User_Desc_conf – DEPRECATED**4492 2.4.4.2.12 **Discovery_Cache_rsp – DEPRECATED**4493 2.4.4.2.13 **Discovery_store_rsp – DEPRECATED**4494 2.4.4.2.14 **Node_Desc_store_rsp – DEPRECATED**4495 2.4.4.2.15 **Power_Desc_store_rsp – DEPRECATED**4496 2.4.4.2.16 **Active_EP_store_rsp – DEPRECATED**4497 2.4.4.2.17 **Simple_Desc_store_rsp – DEPRECATED**4498 2.4.4.2.18 **Find_node_cache_rsp – DEPRECATED**4499 2.4.4.2.19 **Extended_Simple_Desc_rsp – DEPRECATED**4500 2.4.4.2.20 **Extended_Active_EP_rsp – DEPRECATED**4501 2.4.4.2.21 **Remove_node_cache_rsp – DEPRECATED**4502 2.4.4.2.22 **Parent_annce_rsp**

4503 The Parent_annce_rsp command (ClusterID = 0x801f) SHALL be formatted as illustrated in Figure 2-64, and is gen-
 4504 erated in response to a Parent_annce.

4505

Octets: 1	1	Variable	...	Variable
Status	NumberOfChildren	ChildInfo[0]	...	ChildInfo[n]

4506 **Figure 2-64. Format of the Parent_ance_rsp Command Frame**

4507 Table 2-95 specifies the fields of the Parent_ance_rsp command frame.

4508 **Table 2-95. Fields of the Parent_ance_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS or NOT_SUPPORTED	The status of the Parent_ance command.
NumberOfChildren	Integer	0 – 255	The number of ChildInfo structures contained in the message.
ChildInfo	ChildInfo	Variable	The child information. See Table 2-52.

4509
4510 Table 2-52 specifies the contents of the ChildInfo structure. This is the same format as the Parent_ance.

4511 2.4.4.2.22.1 **When Generated**

4512 Upon receipt of a Parent_ance message, a router SHALL construct but not yet send a Parent_ance_rsp message
4513 with the NumberOfChildren field set to 0. It SHALL then examine each Extended Address present in the Parent_ance
4514 message and search its Neighbor Table for an entry that matches. If a device is found and the Device Type is Zigbee
4515 end device (0x02), the router SHALL do the following.

- 4516 1. If the Keepalive Received value is TRUE, it SHALL keep the parent/child relationship in the neighbor table
4517 unmodified. It SHALL then do the following:
 - 4518 a. Append the ChildInfo structure to the Parent_ance_rsp.
 - 4519 b. Increment NumberOfChildren by 1.
- 4520 2. If the Keepalive Received value is FALSE, it SHALL remove the entry.

4521 If the NumberOfChildren field value is 0, the local device SHALL discard the previously constructed Par-
4522 ent_Ance_rsp. No response message shall be sent.

4523 If the NumberOfChildren field in the Parent_Ance_rsp is greater than 0, it SHALL unicast the message to the sender
4524 of the Parent_Ance message.

4525 If the device has more ChildInfo entries than fit in a single message, it SHALL send additional messages. These
4526 messages do not have to be jittered or delayed since they are unicast to a single device. Each Parent_ance_rsp SHALL
4527 set the NumberOfChildren field to the number of entries contained within the message.

4528 2.4.4.2.22.2 **Effect on Receipt**

4529 On receipt of a Parent_ance_rsp, the device SHALL examine its Neighbor Table for each extended address in the
4530 ChildInfo entry and do the following.

- 4531 i) If the entry matches and the Device Type is Zigbee End Device (0x02), it SHALL do the following:
 - 4532 (1) Delete the entry from the Neighbor table.
- 4533 ii) If the entry does not match, no more processing is performed on this ChildInfo entry.

4534 There is no message generated in response to a Parent_ance_rsp.Bind, Unbind Bind Management Server Services.

4535 Table 2-96 lists the commands supported by Device Profile: Bind and Unbind Server Services. Each of these primi-
 4536 tives will be discussed in the following sections.

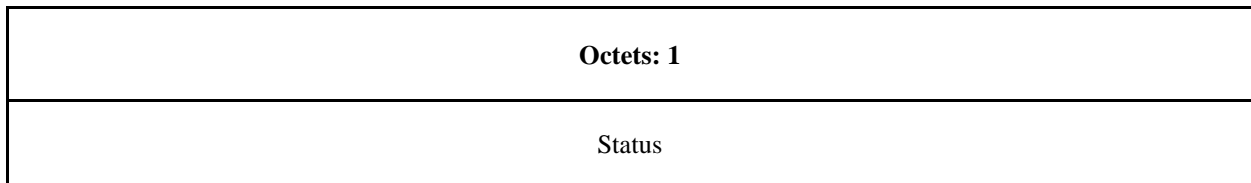
4537 **Table 2-96. Unbind and Bind Management Server Services Primitives**

Bind and Unbind Server Service Commands	Cluster ID	Server Processing
End_Device_Bind_rsp	0x8020	Deprecated
Bind_rsp	0x8021	O
Unbind_rsp	0x8022	O
Bind_Register_rsp	0x8023	Deprecated
Replace_Device_rsp	0x8024	Deprecated
Store_Bkup_Bind_Entry_rsp	0x8025	Deprecated
Remove_Bkup_Bind_Entry_rsp	0x8026	Deprecated
Backup_Bind_Table_rsp	0x8027	Deprecated
Recover_Bind_Table_rsp	0x8028	Deprecated
Backup_Source_Bind_rsp	0x8029	Deprecated
Recover_Source_Bind_rsp	0x802a	Deprecated
Clear_All_Bindings_rsp	0x802b	O

4538 **2.4.4.2.23 End_Device_Bind_rsp – DEPRECATED**

4539 **2.4.4.2.24 Bind_rsp**

4540 The Bind_rsp command (ClusterID=0x8021) SHALL be formatted as illustrated in Figure 2-65.



4541 **Figure 2-65. Format of the Bind_rsp Command Frame**

4542 Table 2-97 specifies the fields of the Bind_rsp command frame.

4543

4544

Table 2-97. Fields of the Bind_rsp Command Frame

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, NOT_SUPPORTED, INVALID_EP, TABLE_FULL, or NOT_AUTHORIZED	The status of the Bind_req command.

4545 2.4.4.2.24.1 **When Generated**

4546 The Bind_rsp is generated in response to a Bind_req. If the Bind_req is processed and the Binding Table entry com-
 4547 mitted on the Remote Device, a Status of SUCCESS is returned. If the Remote Device is not a Primary binding table
 4548 cache or the SrcAddress, a Status of NOT_SUPPORTED is returned. The endpoint of the Bind_req SHALL be
 4549 checked to determine whether it is between the inclusive range of 0x01 to 0xFE, and if not a Bind_rsp SHALL be
 4550 generated with a status of INVALID_EP.

4551 2.4.4.2.24.2 **Effect on Receipt**

4552 Upon receipt, error checking is performed on the request as described in the previous section. Assuming the Status is
 4553 SUCCESS, the parameters from the Bind_req are entered into the Binding Table at the Remote Device via the
 4554 APSME-BIND.request primitive.

4555 2.4.4.2.25 **Unbind_rsp**

4556 The Unbind_rsp command (ClusterID=0x8022) SHALL be formatted as illustrated in Figure 2-66.

Octets: 1
Status

4557 **Figure 2-66. Format of the Unbind_rsp Command Frame**

4558 Table 2-98 specifies the fields of the Unbind_rsp command frame.

4559 **Table 2-98. Fields of the Unbind_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, NOT_SUPPORTED, INVALID_EP, NO_ENTRY, or NOT_AUTHORIZED	The status of the Unbind_req command.

4560 2.4.4.2.25.1 **When Generated**

4561 The Unbind_rsp is generated in response to an Unbind_req. If the Unbind_req is processed and the corresponding
 4562 Binding Table entry is removed from the Remote Device, a Status of SUCCESS is returned. If the Remote Device is
 4563 not the Zigbee Coordinator or the SrcAddress, a Status of NOT_SUPPORTED is returned. The supplied endpoint
 4564 SHALL be checked to determine whether it falls within the specified range. If it does not, a Status of INVALID_EP
 4565 SHALL be returned. If the Remote Device is the Zigbee Coordinator or SrcAddress but does not have a Binding Table
 4566 entry corresponding to the parameters received in the request, a Status of NO_ENTRY is returned.

4567 2.4.4.2.25.2 **Effect on Receipt**

4568 Upon receipt, error checking is performed on the response. If the status is SUCCESS, the device has successfully
 4569 removed the binding entry for the parameters specified in the Unbind_req.

- 4570 2.4.4.2.26 **Bind_Register_rsp – DEPRECATED**
- 4571 2.4.4.2.27 **Replace_Device_rsp – DEPRECATED**
- 4572 2.4.4.2.28 **Store_Bkup_Bind_Entry_rsp – DEPRECATED**
- 4573 2.4.4.2.29 **Remove_Bkup_Bind_Entry_rsp – DEPRECATED**
- 4574 2.4.4.2.30 **Backup_Bind_Table_rsp – DEPRECATED**
- 4575 2.4.4.2.31 **Recover_Bind_Table_rsp – DEPRECATED**
- 4576 2.4.4.2.32 **Backup_Source_Bind_rsp – DEPRECATED**
- 4577 2.4.4.2.33 **Recover_Source_Bind_rsp – DEPRECATED**
- 4578 2.4.4.2.34 **Clear_All_Bindings_rsp**

4579 The Clear_All_Binding_rsp command (ClusterID=0x802b) SHALL be formatted as illustrated in Figure 2-67.

Octets: 1
Status

4580 **Figure 2-67. Format of the Clear_All_Bindings_rsp Command Frame**

4581 Table 2-99 specifies the fields of the Unbind_rsp command frame.

4582 **Table 2-99. Fields of the Clear_All_Bindings_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, NOT_SUPPORTED, NOT_AUTHORIZED, INV_REQUESTTYPE, or NO_MATCH.	The status of the ZDO Clear_All_Bindings_req.

4583 2.4.4.2.34.1 **When Generated**

4584 This command is generated in response to a ZDO Clear_All_Bindings_req.

4585 2.4.4.2.34.2 **Effect on Receipt**

4586 The receiver of this command learns the result of a previous ZDO Clear_All_Bindings_req.

4587 **2.4.4.3 Network Management Server Services**

4588 Table 2-100 lists the commands supported by Device Profile: Network Management Server Services. Each of these
4589 commands will be discussed in the following sections.

4590 **Table 2-100. Network Management Server Service Commands**

Network Management Server Service Commands	Cluster ID	Server Processing
Mgmt_NWK_Disc_rsp	0x8030	Deprecated
Mgmt_Lqi_rsp	0x8031	M

Network Management Server Service Commands	Cluster ID	Server Processing
Mgmt_Rtg_rsp	0x8032	O
Mgmt_Bind_rsp	0x8033	O
Mgmt_Leave_rsp	0x8034	O
Mgmt_Direct_Join_rsp	0x8035	Deprecated
Mgmt_Permit_Joining_rsp	0x8036	M
Mgmt_Cache_rsp	0x8037	Deprecated
Mgmt_NWK_Update_notify	0x8038	O
Mgmt_NWK_Enhanced_Update_notify	0x8039	O
Mgmt_NWK_IEEE_Joining_List_rsp	0x803A	O
Mgmt_NWK_Unsolicited_Enhanced_Update_notify	0x803B	O
Mgmt_NWK_Beacon_Survey_rsp	0x803C	O

4591 **2.4.4.3.1 Mgmt_NWK_Disc_rsp – DEPRECATED COMMAND**

4592 **2.4.4.3.2 Mgmt_Lqi_rsp**

4593 The Mgmt_Lqi_rsp command (ClusterID=0x8031) SHALL be formatted as illustrated in Figure 2-68.

Octets: 1	1	1	1	Variable
Status	NeighborTable Entries	Start Index	NeighborTable ListCount	NeighborTable List

4594 **Figure 2-68. Format of the Mgmt_Lqi_rsp Command Frame**

4595 Table 2-101 specifies the fields of the Mgmt_Lqi_rsp command frame.

4596 **Table 2-101. Fields of the Mgmt_Lqi_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	NOT_SUPPORTED or any status code returned from the NLME-GET.confirm primitive.	The status of the Mgmt_Lqi_req command.

Name	Type	Valid Range	Description
NeighborTableEntries	Integer	0x00 – 0xff	Total number of Neighbor Table entries with unique addresses within the Remote Device.
StartIndex	Integer	0x00 – 0xff	Starting index within the Neighbor Table filtered on unique addresses to begin reporting for the NeighborTableList.
NeighborTableListCount	Integer	0x00 – 0x02	Number of Neighbor Table entries included within NeighborTableList.
NeighborTableList	List of Neighbor Descriptors	The list SHALL contain the number elements given by the NeighborTableListCount.	A list of descriptors, beginning with the StartIndex element and continuing for NeighborTableListCount, of the elements in the Remote Device's Neighbor Table including the device address and associated LQI (see Table 2-102 for details).

4597

4598

Table 2-102. NeighborTableList Record Format

Name	Size (Bits)	Valid Range	Description
Extended PAN Id	64	A 64-bit PAN identifier	The 64-bit extended PAN identifier of the neighboring device.
Extended address	64	An extended 64-bit, IEEE address	64-bit IEEE address that is unique to every device. If this value is unknown at the time of the request, this field SHALL be set to 0xffffffffffffff.
Network address	16	Network address	The 16-bit network address of the neighboring device.
Device type	2	0x00 – 0x03	The type of the neighbor device: 0x00 = Zigbee coordinator 0x01 = Zigbee router 0x02 = Zigbee end device 0x03 = Unknown

Name	Size (Bits)	Valid Range	Description
RxOnWhenIdle	2	0x00 – 0x02	Indicates if neighbor's receiver is enabled during idle portions of the CAP: 0x00 = Receiver is off 0x01 = Receiver is on 0x02 = unknown
Affinity	3	0x00 – 0x03	The relationship between the neighbor and the current device: 0x00 = neighbor is the parent 0x01 = neighbor is a child 0x02 = neighbor is a sibling 0x03 = None of the above
Reserved	1		This reserved bit SHALL be set to 0.
Permit joining	2	0x00 - 0x02	An indication of whether the neighbor device is accepting join requests: 0x00 = neighbor is not accepting join requests 0x01 = neighbor is accepting join requests 0x02 = unknown
Reserved	6		Each of these reserved bits SHALL be set to 0.
Depth	8	0x00 – nwkcMaxDepth	The tree depth of the neighbor device. A value of 0x00 indicates that the device is the Zigbee coordinator for the network.
LQA	8	0x00 – 0xff	The estimated link quality for RF transmissions from this device. See section 3.6.3 for a discussion of how this is calculated.

4599 2.4.4.3.2.1 When Generated

4600 The Mgmt_Lqi_rsp is generated in response to an Mgmt_Lqi_req. If this management command is not supported, a
4601 status of NOT_SUPPORTED SHALL be returned and all parameter fields after the Status field SHALL be omitted.
4602 Otherwise, the Remote Device SHALL implement the following processing.

4603 Upon receipt of and after support for the Mgmt_Lqi_req has been verified, the Remote Device SHALL perform an
4604 NLME-GET.request (for the *nwkNeighborTable* attribute) and process the resulting neighbor table (obtained via the
4605 NLME-GET.confirm primitive) to create the Mgmt_Lqi_rsp command. If *nwkNeighborTable* was successfully ob-
4606 tained but one or more of the fields required in the NeighborTableList record (see Table 2-102) are not supported (as
4607 they are optional), the Mgmt_Lqi_rsp SHALL return a status of NOT_SUPPORTED and all parameter fields after the
4608 Status field SHALL be omitted. Otherwise, the Mgmt_Lqi_rsp command SHALL contain the same status that was

4609 contained in the NLME-GET.confirm primitive and if this was not SUCCESS, all parameter fields after the status
4610 field SHALL be omitted.

4611 The Relationship field in the nwkNeighborTable entry maps to the Affinity field in the Mgmt_Lqi_rsp but with the
4612 following special processing. Routers SHALL report back the Relationship status in the Affinity field as follows. If
4613 the Relationship enumeration is 0x00 to 0x02, then the Affinity field SHALL be the same value. If the Relationship
4614 enumeration indicates 0x03 or greater, then the Affinity field SHALL be set to 0x03, None of the Above.

4615 From the *nwkNeighborTable* attribute, the neighbor table SHALL be accessed, starting with the index specified by
4616 StartIndex, and SHALL be moved to the NeighborTableList field of the Mgmt_Lqi_rsp command. The entries re-
4617 ported from the neighbor table SHALL be those, starting with StartIndex and including whole NeighborTableList
4618 records (see Table 2-102) until the limit on MSDU size, i.e., *aMaxMACFrameSize* (see [B1]), is reached. Within the
4619 Mgmt_Lqi_rsp command, the NeighborTableEntries field SHALL represent the total number of Neighbor Table en-
4620 tries in the Remote Device. The parameter NeighborTableListCount SHALL be the number of entries reported in the
4621 NeighborTableList field of the Mgmt_Lqi_rsp command.

4622 The extended address, device type, RxOnWhenIdle, and permit joining fields have “unknown” values which SHALL
4623 be returned where the values are not available.

4624 2.4.4.3.2.2 Effect on Receipt

4625 The local device is notified of the results of its attempt to obtain the neighbor table.

4626 2.4.4.3.3 Mgmt_Rtg_rsp

4627 The Mgmt_Rtg_rsp command (ClusterID=0x8032) SHALL be formatted as illustrated in Figure 2-69.

Octets: 1	1	1	1	Variable
Status	RoutingTable Entries	Start Index	RoutingTable ListCount	RoutingTable List

4628 **Figure 2-69. Format of the Mgmt_Rtg_rsp Command Frame**

4629 Table 2-103 specifies the fields of the Mgmt_Rtg_rsp command frame.

4630 **Table 2-103. Fields of the Mgmt_Rtg_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	NOT_SUPPORTED or any status code returned from the NLME- GET.confirm primitive.	The status of the Mgmt_Rtg_req command.
RoutingTableEntries	Integer	0x00– 0xff	Total number of Routing Table entries within the Remote Device.
StartIndex	Integer	0x00– 0xff	Starting index within the Routing Table to begin reporting for the RoutingTable- List.
RoutingTableListCount	Integer	0x00– 0xff	Number of Routing Table entries in- cluded within RoutingTableList.

Name	Type	Valid Range	Description
RoutingTableList	List of Routing Descriptors	The list SHALL contain the number elements given by the Routing-TableListCount	A list of descriptors, beginning with the StartIndex element and continuing for RoutingTableListCount, of the elements in the Remote Device's Routing Table (see Table 2-104 for details).

4631

4632

Table 2-104. RoutingTableList Record Format

Name	Size (Bits)	Valid Range	Description
Destination address	16	The 16-bit network address of this route.	Destination address.
Status	3	The status of the route.	0x0=ACTIVE. 0x1=DISCOVERY_UNDERWAY. 0x2=DISCOVERY_FAILED. 0x3=INACTIVE. 0x4-0x7=Reserved.
Memory Constrained	1		A flag indicating whether the device is a memory constrained concentrator.
Many-to-one	1		A flag indicating that the destination is a concentrator that issued a many-to-one request.
Route record required	1		A flag indicating that a route record command frame SHOULD be sent to the destination prior to the next data packet.
Reserved	2		
Next-hop address	16	The 16-bit network address of the next hop on the way to the destination.	Next-hop address.

4633 2.4.4.3.3.1 **When Generated**

4634 The Mgmt_Rtg_rsp is generated in response to an Mgmt_Rtg_req. If this management command is not supported, a
4635 status of NOT_SUPPORTED SHALL be returned and all parameter fields after the Status field SHALL be omitted.
4636 Otherwise, the Remote Device SHALL implement the following processing.

4637 Upon receipt of and after support for the Mgmt_Rtg_req has been verified, the Remote Device SHALL perform an
4638 NLME-GET.request (for the *nwkRouteTable* attribute) and process the resulting NLME-GET.confirm (containing the

4639 *nwkRouteTable* attribute) to create the *Mgmt_Rtg_rsp* command. The *Mgmt_Rtg_rsp* command SHALL contain the
 4640 same status that was contained in the NLME-GET.confirm primitive and if this was not SUCCESS, all parameter
 4641 fields after the status field SHALL be omitted.

4642 From the *nwkRouteTable* attribute, the routing table SHALL be accessed, starting with the index specified by *StartIn-*
 4643 *dex*, and moved to the *RoutingTableList* field of the *Mgmt_Rtg_rsp* command. The entries reported from the routing
 4644 table SHALL be those, starting with *StartIndex* and including whole *RoutingTableList* records (see Table 2-104) until
 4645 MSDU size limit, that is, *aMaxMACFrameSize* (see [B1]), is reached. Within the *Mgmt_Rtg_rsp* command, the *Rout-*
 4646 *ingTableEntries* field SHALL represent the total number of Routing Table entries in the Remote Device. The *Rout-*
 4647 *ingTableListCount* field SHALL be the number of entries reported in the *RoutingTableList* field of the *Mgmt_Rtg_req*
 4648 command.

4649 2.4.4.3.3.2 Effect on Receipt

4650 The local device is notified of the results of its attempt to obtain the routing table.

4651 2.4.4.3.4 Mgmt_Bind_rsp

4652 The *Mgmt_Bind_rsp* command (ClusterID=0x8033) SHALL be formatted as illustrated in Figure 2-70.

Octets: 1	1	1	1	Variable
Status	BindingTable Entries	Start Index	BindingTable ListCount	BindingTable List

4653 **Figure 2-70. Format of the Mgmt_Bind_rsp Command Frame**

4654 Table 2-105 specifies the fields of the *Mgmt_Bind_rsp* command frame.

4655 **Table 2-105. Fields of the Mgmt_Bind_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	NOT_SUPPORTED or any status code returned from the APSME-GET.confirm primitive.	The status of the <i>Mgmt_Bind_req</i> command.
BindingTableEntries	Integer	0x00 – 0xff	Total number of Binding Table entries within the Remote Device.
StartIndex	Integer	0x00 – 0xff	Starting index within the Binding Table to begin reporting for the <i>BindingTableList</i> .
BindingTableListCount	Integer	0x00 – 0xff	Number of Binding Table entries included within <i>BindingTableList</i> .

Name	Type	Valid Range	Description
BindingTableList	List of Binding De-scriptors	The list SHALL contain the number elements given by the Binding-TableListCount.	A list of descriptors, beginning with the StartIndex element and continuing for BindingTableList-Count, of the elements in the Remote Device's Binding Table (see Table 2-106 for details).

4656

4657

Table 2-106. BindingTableList Record Format

Name	Size (Bits)	Valid Range	Description
SrcAddr	64	A valid 64-bit IEEE address	The source IEEE address for the binding entry.
SrcEndpoint	8	0x01 – 0xfe	The source endpoint for the binding entry.
ClusterId	16	0x0000 – 0xffff	The identifier of the cluster on the source device that is bound to the destination device.
DstAddr-Mode	8	0x00 – 0xff	The addressing mode for the destination address. This field can take one of the non-reserved values from the following list: 0x00 = reserved 0x01 = 16-bit group address for DstAddr and DstEndpoint not present 0x02 = reserved 0x03 = 64-bit extended address for DstAddr and DstEndp present 0x04 – 0xff = reserved
DstAddr	16/64	As specified by the DstAddrMode field.	The destination address for the binding entry.
DstEndpoint	0/8	0x01 – 0xff	This field SHALL be present only if the DstAddrMode field has a value of 0x03 and, if present, SHALL be the destination endpoint for the binding entry.

4658 2.4.4.3.4.1 **When Generated**

4659 The Mgmt_Bind_rsp is generated in response to a Mgmt_Bind_req. If this management command is not supported, a
4660 status of NOT_SUPPORTED shall be returned and all parameter fields after the Status field shall be omitted. Other-
4661 wise, the Remote Device SHALL implement the following processing.

4662 Upon receipt of and after support for the Mgmt_Bind_req has been verified, the Remote Device SHALL perform an
 4663 APSME-GET.request (for the *apsBindingTable* attribute) and process the resulting APSME-GET.confirm (containing
 4664 the *apsBindingTable* attribute) to create the Mgmt_Bind_rsp command. The Mgmt_Bind_rsp command SHALL con-
 4665 tain the same status that was contained in the APSME-GET.confirm primitive and if this was not SUCCESS, all
 4666 parameter fields after the status field SHALL be omitted. If the binding table is empty, the Mgmt_Bind_rsp SHALL
 4667 return SUCCESS, set the fields BindingTable Entries = Start Index = BindingTable ListCount = 0x00 and not include
 4668 the BindingTable List field.

4669 From the *apsBindingTable* attribute, the binding table SHALL be accessed, starting with the index specified by Start-
 4670 Index, and moved to the BindingTableList field of the Mgmt_Bind_rsp command. The entries reported from the bind-
 4671 ing table SHALL be those, starting with StartIndex and including whole BindingTableList records (see Table 2-106)
 4672 until the MSDU size limit, that is, *aMaxMACFrameSize* (see [B1]), is reached. Within the Mgmt_Bind_rsp command,
 4673 the BindingTableEntries field SHALL represent the total number of Binding Table entries in the Remote Device. The
 4674 BindingTableListCount field SHALL be the number of entries reported in the BindingTableList field of the
 4675 Mgmt_Bind_req command.

4676 2.4.4.3.4.2 Effect on Receipt

4677 The local device is notified of the results of its attempt to obtain the binding table.

4678 2.4.4.3.5 Mgmt_Leave_rsp

4679 The Mgmt_Leave_rsp command (ClusterID=0x8034) SHALL be formatted as illustrated in Figure 2-71.

Octets: 1
Status

4680 **Figure 2-71. Format of the Mgmt_Leave_rsp Command Frame**

4681 Table 2-107 specifies the fields of the Mgmt_Leave_rsp command frame.

4682 **Table 2-107. Fields of the Mgmt_Leave_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	NOT_SUPPORTED, NOT_AUTHORIZED or any sta- tus code returned from the NLME- LEAVE.confirm primitive.	The status of the Mgmt_Leave_req command.

4683 2.4.4.3.5.1 When Generated

4684 The Mgmt_Leave_rsp is generated in response to a Mgmt_Leave_req. Stacks certified prior to Revision 21 MAY or
 4685 MAY NOT support this command. If this management command is not supported, a status of NOT_SUPPORTED
 4686 SHALL be returned. All stacks certified to Revision 21 and later SHALL support this command.

4687 2.4.4.3.5.2 Effect on Receipt

4688 Upon receipt of the Mgmt_leave_rsp the device MAY parse the Status field to determine whether or not the remote
 4689 device accepted the leave request.

4690 2.4.4.3.6 Mgmt_Direct_Join_rsp – DEPRECATED

4691 2.4.4.3.7 Mgmt_Permit_Joining_rsp

4692 The Mgmt_Permit_Joining_rsp command (ClusterID=0x8036) SHALL be formatted as illustrated in Figure 2-72.

Octets: 1
Status

4693 **Figure 2-72. Format of the Mgmt_Permit_Joining_rsp Command Frame**

4694 Table 2-108 specifies the fields of the Mgmt_Permit_Joining_rsp command frame.

4695 **Table 2-108. Fields of the Mgmt_Permit_Joining_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_AUTHORIZED, or any status code returned from the NLME-PERMIT-JOINING.confirm primitive.	The status of the Mgmt_Permit_Joining_rsp command.

4696 2.4.4.3.7.1 **When Generated**

4697 The Mgmt_Permit_Joining_rsp is generated in response to a unicast Mgmt_Permit_Joining_req. In the description
 4698 which follows, note that no response SHALL be sent if the Mgmt_Permit_Joining_req was received as a broadcast to
 4699 all routers. If this management command is not permitted by the requesting device, a status of INV_REQUESTTYPE
 4700 SHALL be returned. Upon receipt and after support for Mgmt_Permit_Joining_req has been verified, the Remote
 4701 Device SHALL execute the NLME-PERMIT-JOINING.request. The Mgmt_Permit_Joining_rsp SHALL contain the
 4702 same status that was contained in the NLME-PERMIT-JOINING.confirm primitive.

4703 2.4.4.3.7.2 **Effect on Receipt**

4704 The status of the Mgmt_Permit_Joining_req command is notified to the requestor.

4705 **2.4.4.3.8 Mgmt_Cache_rsp – DEPRECATED**

4706 **2.4.4.3.9 Mgmt_NWK_Update_notify**

4707 The Mgmt_NWK_Update_notify command (ClusterID=0x8038) SHALL be formatted as illustrated in Figure 2-73.

Octets: 1	4	2	2	1	Variable
Status	Scanned Channels	TotalTransmissions	Transmission-Failures	ScannedChannelsListCount	EnergyValues

4708 **Figure 2-73. Format of the Mgmt_NWK_Update_notify Command Frame**

4709 Table 2-109 specifies the fields of the Mgmt_NWK_Update_notify command frame.

4710

Table 2-109. Fields of the Mgmt_NWK_Update_notify Command Frame

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_SUPPORTED, or any status values returned from the MLME-SCAN.confirm primitive	The status of the Mgmt_NWK_Update_notify command.
ScannedChannels	Bitmap	0x00000000 – 0xffffffff.	The five most significant bits (b27,..., b31) represent the binary encoded Channel Page. The 27 least significant bits (b0, b1,... b26) indicate which channels were scanned (1 = scan, 0 = do not scan) for each of the 27 valid channels.
TotalTransmissions	Integer	0x0000 – 0xffff	Count of the total transmissions reported by the device.
TransmissionFailures	Integer	x0000 – 0xffff	Sum of the total transmission failures reported by the device.
ScannedChannelsList-Count	Integer	0x00 – 0xff	The list SHALL contain the number of records contained in the EnergyValues parameter.
EnergyValues	Integer	List of ED values each of which can be in the range of 0x00 – 0xff.	The result of an energy measurement made on this channel in accordance with [B1].

4711 2.4.4.3.9.1 **When Generated**

4712 The Mgmt_NWK_Update_notify is provided to enable Zigbee devices to report the condition on local channels to a
 4713 network manager. The scanned channels list is the report of channels scanned and contains a count followed by a list
 4714 of records, one for each channel scanned, each record including one byte of the energy level measured during the scan,
 4715 or 0xff if there is too much interference on this channel.

4716 When sent in response to a Mgmt_NWK_Update_req command the status field SHALL represent the status of the
 4717 request. This message SHALL NOT be sent unsolicited – use Mgmt_NWK_Unsolicited_Enhanced_Update_notify
 4718 instead.

4719 2.4.4.3.9.2 **Effect on Receipt**

4720 The local device is notified of the local channel conditions at the transmitting device, or of its attempt to update
 4721 network configuration parameters.

4722 2.4.4.3.10 **Mgmt_NWK_Enhanced_Update_notify**

4723 The Mgmt_NWK_Enhanced_Update_notify command (ClusterID=0x8039) SHALL be formatted as illustrated in
 4724 Figure 2-74.

Octets: 1	4	2	2	1	Variable
Status	Scanned Channels	TotalTransmissions	TransmissionFailures	ScannedChannelsListCount	EnergyValues

Figure 2-74. Format of the Mgmt_NWK_Enhanced_Update_notify Command Frame

Table 2-110 specifies the fields of the Mgmt_NWK_Enhanced_Update_notify command frame.

Table 2-110. Fields of the Mgmt_NWK_Enhanced_Update_notify Command Frame

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_SUPPORTED, or any status values returned from the MLME-SCAN.confirm primitive.	The status of the Mgmt_NWK_Enhanced_Update_notify command.
ScannedChannels	Bitmap	0x00000000 – 0xffffffff.	The five most significant bits (b27,..., b31) represent the binary encoded Channel Page. The 27 least significant bits (b0, b1,... b26) indicate which channels were scanned (1 = scan, 0 = do not scan) for each of the 27 valid channels.
TotalTransmissions	Integer	0x0000 – 0xffff	Count of the total transmissions reported by the device.
TransmissionFailures	Integer	x0000 – 0xffff	Sum of the total transmission failures reported by the device.
ScannedChannelsList-Count	Integer	0x00 – 0xff	The list SHALL contain the number of records contained in the EnergyValues parameter.
EnergyValues	Integer	List of ED values each of which can be in the range of 0x00 – 0xff.	The result of an energy measurement made on this channel in accordance with [B1].

2.4.4.3.10.1 When Generated

The Mgmt_NWK_Enhanced_Update_notify is provided to enable Zigbee devices to report the condition on local channels to a network manager. The scanned channels list is the report of channels scanned and contains a count followed by a list of records, one for each channel scanned, each record including one byte of the energy level measured during the scan, or 0xff if there is too much interference on this channel.

When sent in response to a Mgmt_NWK_Enhanced_Update_req command the status field SHALL represent the status of the request. This message SHALL NOT be sent unsolicited – use Mgmt_NWK_Unsolicited_Enhanced_Update_notify instead.

4736 2.4.4.3.10.2 **Effect on Receipt**

4737 The local device is notified of the local channel conditions at the transmitting device, or of its attempt to update
4738 network configuration parameters.

4739 2.4.4.3.11 **Mgmt_NWK_IEEE_Joining_List_rsp**

4740 The Mgmt_NWK_IEEE_Joining_list_rsp command (Cluster ID=0x803A) SHALL be formatted as illustrated in Fig-
4741 ure 2-75.

Octets: 1	0/1	0/1	0/1	0/1	0/1	0/Variable
Status	IeeeJoiningListUpdateID	JoiningPolicy	IeeeJoiningListTotal	StartIndex	IeeeJoiningCount	IeeeJoiningList

4742 **Figure 2-75. Format of the Mgmt_NWK_IEEE_Joining_List_rsp Command Frame**

4743 Table 2-111 specifies the fields of the Mgmt_NWK_IEEE_Joining_List_rsp command frame.

4744 **Table 2-111. Field Descriptions of the Mgmt_NWK_IEEE_Joining_List_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, or NOT_SUPPORTED	The status of the Mgmt_NWK_IEEE_Joining_List_req command. If Status is not SUCCESS, no other fields are included.
IeeeJoiningListUpdateID	Integer	0x00 – 0xFF	The issue ID of the IeeeJoiningList. This field SHALL start at 0 and increment for each change to the IeeeJoiningList, or each change to the JoiningPolicywrapping to 0 after 0xFF.
JoiningPolicy	Enumeration	See Table 2-112.	This is an enumeration indicating one of the JoiningPolicy values allowed in Table 2-112.
IeeeJoiningListTotal	Integer	0x00 – 0xFF	The total number of IEEE Joining Addresses contained in the Mgmt_NWK_IEEE_Joining_List_rsp.
StartIndex	Integer	0x00 – 0xFF	The starting index in the mibIeeeJoiningList. This field SHALL be omitted if the IeeeJoiningListTotal is 0.
IeeeJoiningCount	Integer	x00 – 0xFF	The number of IEE joining messages contained in the ZDO message
IeeeJoiningList	List of IEEE values		A list of IEEE addresses from the mibIeeeJoiningList. This field SHALL be omitted if the IeeeJoiningListTotal is 0.

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Table 2-112. ZDO JoiningPolicy Enumeration Values

Enumeration	Value	Description
ALL_JOIN	0x00	Any device is allowed to join.
IEEEE_LIST_JOIN	0x01	Only devices on the mibJoiningIeeeList are allowed to join.
NO_JOIN	0x02	No device is allowed to join.

4747 **2.4.4.3.11.1 When Generated**

4748 The Mgmt_NWK_IEEE_Joining_List_rsp MAY either be generated in response to a Mgmt_NWK_IEEE_Join-
 4749 ing_List_req or it MAY be sent as an unsolicited broadcast to inform the entire network of a change. For the details
 4750 of when it is generated in response to a Mgmt_NWK_IEEE_Joining_List_req, see section 2.4.3.3.11.2.

4751 **2.4.4.3.11.2 Effect on Receipt**

4752 The device SHALL process the message as follows:

- 4753 1) If the Status is not SUCCESS, the message SHALL be discarded and no further processing SHALL take place.
- 4754 2) For each entry in the nwkMacInterfaceTable it SHALL do the following.
- 4755 a) Execute an MLME-SET.request of the *mibJoiningPolicy* to the value of the JoiningPolicy from the ZDO
 4756 message.
 - 4757 b) If the *IeeeJoiningListTotal* is 0 it SHALL do the following:
 - 4758 i) The ZDO SHALL clear all entries from the *mibJoiningIeeeList*.
 - 4759 ii) Go to step 2 and process the next entry in the *nwkMacInterfaceTable*.
 - 4760 c) Execute an MLME-SET.request and set the values of the *mibJoiningIeeeList* at the index of *StartIndex* to the
 4761 values of *IeeeJoiningList* from the ZDO message.

4762 **2.4.4.3.12 Mgmt_NWK_Unsolicited_Enhanced_Update_notify**

4763 The Mgmt_NWK_Unsolicited_Enhanced_Update_notify command (ClusterID=0x003b) SHALL be formatted as il-
 4764 lustrated in Figure 2-76.

Octets: 1	4	2	2	2	1
Status	Channel in use	MACTxUcast Total	MACTxUcast Failures	MACTxUcast Retries	PeriodOfT- imeForResults

Figure 2-76. Format of the Mgmt_NWK_Unsolicited_Update_notify Command Frame

4765

4766 Table 2-113 specifies the fields of the Mgmt_NWK_Unsolicited_Enhanced_Update_notify command frame.

Table 2-113 Fields of the Mgmt_NWK_Unsolicited_Enhanced_Update_notify Command

4767

Name	Type	Valid Range	Description
Channel in use	Bitmap	0x00000000 – 0xffffffff	The five most significant bits (b27,..., b31) represent the binary encoded Channel Page. The 27 least significant bits (b0, b1,... b26) indicate which channels

Name	Type	Valid Range	Description
			is in use (1 = in use, 0 = not in use) for each of the 27 valid channels.
MACTxUcast Total	Integer	0x0000 – 0xffff	Total number of Mac Tx Transactions to attempt to send a message (but not counting retries)
MACTxUcast Failures	Integer	x0000 – 0xffff	Total number of failed Tx Transactions. So if the Mac sent a single packet, it will be retried 4 times without ACK, that counts as 1 failure.
MACTxUcast Retries	Integer	x0000 – 0xffff	Total number of Mac Retries regardless of whether the transaction resulted in success or failure.
PeriodOfTimeForResults	Integer	0x00 – 0xff	Time period over which MACTxyyy results are measured (in minutes)

4768 2.4.4.3.12.1 **When Generated**

4769 The Mgmt_NWK_Unsolicited_Enhanced_Update_notify is provided to enable Zigbee devices to report the condition
 4770 on local channels to a network manager. The scanned channel list is the report of channels scanned and it is followed
 4771 by a list of records, one for each channel scanned, each record including one byte of the energy level measured during
 4772 the scan, or 0xff if there is too much interference on this channel.

4773 2.4.4.3.12.2 **Effect on Receipt**

4774 The local device is notified of the local channel conditions at the transmitting device.

4775 2.4.4.3.13 **Mgmt_NWK_Beacon_Survey_rsp**

4776 The Mgmt_NWK_Beacon_Survey_rsp (ClusterID=0x803c) SHALL be formatted as illustrated in Figure 2-77.

Octets: 1	Varies
Status	TLVs

4777 **Figure 2-77. Format of the Mgmt_NWK_Beacon_Survey_rsp Command Frame**

4778 Table 2-114 specifies the fields of the Mgmt_NWK_Beacon_Survey_rsp command frame.

4779 **Table 2-114. Fields of the Mgmt_NWK_Beacon_Survey_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, or NOT_SUPPORTED	The status of the Mgmt_NWK_Beacon_Survey_req command. If the status is not SUCCESS, then the other fields are not included.
TLVs	TLV	Varies	The Mgmt_NWK_Beacon_Survey_rsp SHALL include the following TLVs:

			<ul style="list-style-type: none"> • Beacon Survey Results TLV • Potential Parents TLV
--	--	--	--

4780 2.4.4.3.13.1 **Local TLVs**

4781 2.4.4.3.13.1.1 Beacon Survey Results TLV (ID 0x01)

4782 The Beacon Survey Results TLV (ID 0x01) is 4 bytes in length and contains information about the channels, scan
4783 configuration and counted beacons as illustrated in Figure 2-78.

Octets: 1	1	1	1
Total Beacons Received	On Network Beacon	Potential Parent Beacon	Other Network Beacons

4784 **Figure 2-78. Format of the Beacon Survey Results TLV**

4785 Table 2-115 specifies the fields of the Beacon Survey Results TLV.

4786 **Table 2-115. Fields of the Beacon Survey Results TLV**

Name	Type	Valid Range	Description
Total Beacons Received	Integer	0 – 255	The total number of IEEE Std 802.15.4 beacons received during the scan.
On-network Beacons	Integer	0 – 255	The total number of Zigbee Network beacons where the Extended PAN ID matches the local device’s nwkExtendedPanId.
Potential Parent Beacons	Integer	0 – 255	The total number of Zigbee Network beacons where the Extended PAN ID matches and the Zigbee Beacon payload indicates End Device Capacity = TRUE.
Other Network Beacons	Integer	0 – 255	The total number of IEEE Std 802.15.4 beacons from other Zigbee networks or other IEEE Std 802.15.4 networks. Other Zigbee network beacons are defined as when the Extended PAN ID does not match the local Extended PAN ID.

4787 2.4.4.3.13.1.2 Potential Parents TLV(ID 0x02)

4788 The Potential Parents TLV(ID 0x02) is 4 to 19 bytes in length and indicates the number of available parents in radio
4789 range as illustrated in Figure 2-79. A maximum of 5 parents is supported for this TLV. The list of potential parents
4790 SHALL be ordered as described in section 3.6.1.5.2.

Octets: 2	1	1	0 / 2	0 / 1	Variable
Current Parent Short Address	LQA	Count	Potential Parent Short Address	LQA	Additional Potential Parent Short Address and LQA fields

4791 **Figure 2-79. Format of the Potential Parents TLV**

4792 Table 2-116 specifies the fields of the Potential Parents TLV.

4793

Table 2-116. Fields of the Potential Parents TLV

Name	Type	Valid Range	Description
Current Parent Short Address	Short Address	0x0000 – 0xFFFF	The short address that is the current parent for the device. For a router or coordinator this value SHALL be set to 0xFFFF.
LQA	Integer	0x00 – 0xFF	The value of the LQA of the current parent.
Count	Integer	0x00 – 0x05	This is the count of additional potential parent short addresses and their associated LQA. If there are no other potential parents this SHALL indicate 0. This value SHALL not be greater than 5.
Potential Parent Short Address	Short Address	0x0000 – 0xFFFF	The short address for a potential parent that the device can hear a beacon for.
LQA	Integer	0x00 – 0xFF	The LQA value of the associated potential parent.

4794 2.4.4.3.13.2 **When Generated**

4795 This is generated in response to the Mgmt_NWK_Beacon_Survey_req command.

4796 2.4.4.3.13.3 **Effect on Receipt**

4797 The application MAY use this to help manage the network.

4798 **2.4.4.4 Security Server Services**

4799 Table 2-117 lists the commands supported by the Device Profile related to Security Client services.

4800

Table 2-117. Security Server Services

Security Client Service	Cluster ID	Client Transmission	Server Processing
Security_Start_Key_Negotiation_rsp	0x8040	O	O
Security_Retrieve_Authentication_Token_rsp	0x8041	O	O
Security_Get_Authentication_Level_rsp	0x8042	M	M
Security_Set_Configuration_rsp	0x8043	M	M
Security_Get_Configuration_rsp	0x8044	M	M
Security_Start_Key_Update_rsp	0x8045	M	M
Security_Decommissioning_rsp	0x8046	M	M
Security_Challenge_rsp	0x8047	M	M

4801 2.4.4.4.1 **Security_Start_Key_Negotiation_rsp**4802 The Security_Start_Key_Negotiation_rsp command (0x8040) shall be formatted as illustrated in Figure 2-80. This
4803 command SHALL NOT be APS encrypted.

4804 When performing Key Negotiation with an unauthenticated neighbor that is not yet on the network, network layer
 4805 encryption SHALL NOT be used on the message. If the message is being sent to unauthenticated device that is not
 4806 on the network and is not a neighbor, it SHALL be relayed as described in section 4.6.3.7.7. Otherwise the message
 4807 SHALL have network layer encryption.

Octets: 1	Variable
Status	TLVs

4808 **Figure 2-80. Format of the Security_Start_Key_Negotiation_rsp Command Frame**

4809 Table 2-118 specifies the fields of the Security_Start_Key_Negotiation_rsp command frame.

4810 **Table 2-118. Fields of the Security_Start_Key_Negotiation_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INVALID_TLV, MISSING_TLV, TEMPORARY_FAILURE, NOT_AUTHORIZED	The result of the Security_Start_Key_Negotiation_req.
TLVs	TLV	Varies	The set of TLVs sent by the receiver of the Security_Start_Key_Negotiation_req.

4811 2.4.4.4.1.1 **Local TLVs**

4812 2.4.4.4.1.2 **Curve25519 Public Point TLV (ID=0)**

4813 Figure 2-81 indicates the format of the Local TLV for Curve25519 Public Point TLV.

Octets: 8	32
Device EUI64	Public Point

4814 **Figure 2-81. Format of the Curve25519 Public Point TLV**

4815 Table 2-119 specifies the fields of the Curve25519 Public Point TLV

4816 **Table 2-119. Fields of the Curve25519 Public Point TLV**

Field	Description
Device EUI64	This indicates the EUI64 of the device that generated the public point.
Public Point	The 32-byte Curve public point.

4817 2.4.4.4.1.3 **When Generated**

4818 The Security_Start_Key_Negotiation_rsp is generated after a device processes the Security_Start_Key_Negotia-
 4819 tion_req and decides to reject the request, or after it has accepted the request and executed the corresponding crypto-
 4820 graphic primitives. Typically, this is used to negotiate a Trust Center Link Key prior to becoming fully joined and
 4821 authorized on a network, but it can be used after joining a network as well.

4822 The security primitives for key negotiation are the APSME-KEY-NEGOTIATION primitives and are used by the
 4823 stack to manage the process. See section 4.4.9 for more details. Their interaction with the over-the-air messages can
 4824 be found in Figure 4-6.

4825 When negotiating a Trust Center Link Key the device SHALL send at least the following TLV:

- 4826 • Curve25519 Public Point TLV

4827 2.4.4.4.1.4 **Effect on Receipt**

4828 On receipt, the device SHALL do as follows:

- 4829 1. If the Status is TEMPORARY_FAILURE, indicating that the current APSME-KEY-NEGOTIATION.request
4830 cannot be processed at the present time, the Stack SHOULD retry the operation by generating a new APSME-
4831 KEY-NEGOTIATION.request. The delay before initiating the retry SHALL be 5 seconds or greater.
4832 2. If the Status is any other non-zero value then no further processing SHALL be done.
4833 3. If more than one public point TLV is present then the message SHALL be dropped and no further processing
4834 SHALL be done.
4835 4. If the Curve25519 Public Point TLV is not present, then the message SHALL be dropped and no more pro-
4836 cessing SHALL be done.
4837 5. Generate an APSME-KEY-NEGOTIATE.confirm with the following parameters
4838 a. The PartnerLongAddress SHALL be set to the Device EUI64 within the Curve25519 Public Point TLV.
4839 b. The PublicPointData SHALL be set to the public point from the Curve25519 Public Point TLV.
4840 c. If the ZDO frame was contained within an APS Command Relay Message Upstream then it SHALL do the
4841 following:
4842 i. Set RelayCommand to TRUE.
4843 ii. Set RelayLongAddress to the address of the Device that sent the Network Data frame.

4844 2.4.4.4.2 **Security_Retrieve_Authentication-Token_rsp**

4845 The Security_Retrieve_Authentication-Token_rsp command SHALL be as illustrated in Figure 2-82.

Octets: 1	Variable
Status	TLVs

4846 **Figure 2-82. Format of the Security_Retrieve_Authentication-Token_rsp Command Frame**

4847 2.4.4.4.2.1 **When Generated**

4848 This message is generated by the Trust Center as described in section 2.4.3.4.2.

4849 2.4.4.4.2.2 **Effect on Receipt**

4850 Upon receipt, the device SHALL do the following:

- 4851 1. If the message was not APS encrypted by the Trust Center it SHALL be dropped and no further processing
4852 SHALL be done.
4853 2. If the message was not sent by the Trust Center it SHALL be dropped and no further processing SHALL be
4854 done.
4855 3. The device SHALL find the *apsDeviceKeyPairSet* entry associated with the Trust Center.
4856 a. If none is found, then the message SHALL be discarded and no further processing SHALL be done.
4857 4. The device SHALL examine the PassphraseUpdateAllowed of the entry.
4858 a. If set to FALSE then the message SHALL be discarded and no further processing SHALL be done.
4859 5. The device SHALL examine the TLVs and determine if there is a 128-bit Symmetric Passphrase Global TLV in
4860 the set.
4861 a. If none is present, then the message SHALL be discarded and no further processing SHALL be done.
4862 6. The device SHALL copy the data of the 128-bit Symmetric Passphrase Global TLV to the value of the Pass-
4863 phrase value for the entry of the *apsDeviceKeyPairSet* AIB value.
4864 7. The device SHALL set the PassphraseUpdateAllowed value of the entry to FALSE.

4865 2.4.4.4.3 **Security_Get_Authentication_Level_rsp**

4866 The Security_Get_Authentication_Level_rsp command (ClusterID= 0x8042) SHALL be formatted as illustrated in
4867 Figure 2-83.

Octets: 1	Variable
Status	TLVs

4868 **Figure 2-83. Format of the Security_Get_Authentication_Level_rsp Command Frame**

4869 Table 2-120 specifies the fields of the Security_Start_Key_Negotiation_rsp command frame.

4870 **Table 2-120. Fields of the Security_Get_Authentication_Level_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, NOT_SUPPORTED, INV_REQUESTTYPE, MISSING_TLV, and NOT_AUTHORIZED	The status of the request to get the authentication level.
TLVs	TLVs	Varies	A list of one or more TLVs. The following TLVs have specified behavior in this Revision of the specification: <ul style="list-style-type: none"> • Device Authentication Level TLV Other TLVs may be included.

4871 2.4.4.4.3.1 **Local TLVs**

4872 2.4.4.4.3.2 **Device Authentication Level TLV (ID=0)**

4873 The Device Authentication Level TLV is formatted as illustrated in Figure 2-84.

Octets: 8	1	1
IEEEAddrRemoteNode	InitialJoinMethod	AcitveLinkKeyType

4874 **Figure 2-84. Format of the Device Authentication TLV**

4875 Table 2-121 specifies the fields of the Device Authentication TLV.

4876 **Table 2-121. Fields of the Device Authentication TLV**

Name	Type	Valid Range	Description
IEEEAddrRemoteNode	Device Address	An extended 64-bit, IEEE address	64-bit address for the node that is being inquired about.
InitialJoinMethod	Enumeration	0x00 – 0x03	This indicates the joining method that was used when the device joined the network. 0x00 = Anonymous

Name	Type	Valid Range	Description
			0x01 = Install Code Key 0x02 = Well-known Passphrase 0x03 = Install Code Passphrase
ActiveLinkKeyType	Enumeration	0x00 – 0x04	This indicates what Link Key update method was used to create the current active Link Key. 0x00 = Not Updated 0x01 = Key Request Method 0x02 = Unauthenticated Key Negotiation 0x03 = Authenticated Key Negotiation 0x04 = Application Defined Certificate Based Mutual Authentication

4877 2.4.4.4.3.3 **When Generated**

4878 The Security_Get_Authentication_Level_rsp is generated by a Remote Device in response to a Security_Get_Authentication_Level_req command inquiring as to the authentication level of the IEEEAddrOfInterest of an address held in
4879 the Key Pair Descriptor table. The destination addressing on this command SHALL be unicast. The command SHALL
4880 be APS encrypted.
4881

4882 2.4.4.4.3.4 **Effect on Receipt**

4883 On receipt of the Security_Get_Authentication_Level_rsp command, the recipient is either notified of the status of its
4884 attempt to discover the current authentication level of an IEEE address or notified of an error. If the Security_Get_Authentication_Level_rsp command is received with a Status of SUCCESS, the remaining fields of the command contain
4885 the appropriate discovery information.
4886

4887 2.4.4.4.4 **Security_Set_Configuration_rsp**

4888 The Security_Set_Configuration_rsp command (ClusterID=0x8043) SHALL be formatted as illustrated in Figure
4889 2-85. The command contains a set of TLV Tag ID and TLV Processing Status pairs as defined by the TLV Status
4890 Count in Figure 2-86.

Octets: 1	Variable
Overall Status	TLVs

4891 **Figure 2-85. Security_Set_Configuration_rsp Command Frame**

4892 Table 2-122 specifies the fields of the Security_Set_Configuration_rsp command frame.

4893

Table 2-122. Fields of the Security_Set_Configuration_rsp Command Frame

Name	Type	Valid Range	Description
Overall Status	Integer	SUCCESS, INV_REQUESTTYPE, or NOT_SUPPORTED	The overall status of a Security_Set_Configuration_req command.
TLVs	Variable	Varies	A set of one or more TLVs.

4894 2.4.4.4.4.1 **Local TLVs**

4895 2.4.4.4.4.1.1 Processing Status TLV (ID = 0)

4896 The Processing Status TLV indicates the result of processing configuration changes from a set of TLVs sent in a
 4897 previous message. The Processing Status TLV illustrated in Figure 2-86 will be 1 or more bytes in length and contain
 4898 pairs of tag ID and processing status results, meaning it will always be an odd number in total length.

Octets: 1	0 or 1	0 or 1	0 or 1	0 or 1	...
TLV Status Count	Tag ID	Processing Status	Tag ID	Processing Status	...

4899

Figure 2-86. Format of the Processing Status TLV

4900 The Processing Status TLV contains a set of Tag ID and Processing Status results from a previous set of TLVs sent
 4901 to the device to change its configuration. The TLV Status count will indicate the number of Tag ID and Processing
 4902 Status pairs are present in the full TLV. The count may be zero, indicating that there were no known TLVs in the
 4903 previous message that could be processed. When the TLV Status count is greater than 1, there SHALL be pairs of Tag
 4904 ID and Processing Status values. For each pair, the tag ID will indicate a previously received TLV tag ID and the
 4905 associated status of whether it is processed. The Processing Status value SHALL be one of the ZDP Enumerated Status
 4906 values: SUCCESS, INV_REQUESTTYPE, or NOT_SUPPORTED.

4907 2.4.4.4.4.2 **When Generated**

4908 The Security_Set_Configuration_rsp is generated by a device in response to a Security_Set_Configuration_req. For
 4909 each received TLV Tag ID in the Security_Set_Configuration_req there SHALL exist a TLV Tag ID and the corre-
 4910 sponding TLV Processing Status of that TLV. If at least one TLV was successfully processed the Overall Status
 4911 SHALL be SUCCESS.

4912 2.4.4.4.4.3 **Effect on Receipt**

4913 The device receiving this message can determine the results of a previous Security_Set_Configuration_req.

4914 2.4.4.4.5 **Security_Get_Configuration_rsp**

4915 The Security_Get_Configuration_rsp command (ClusterID = 0x08044) is generated by a device in response to a Se-
 4916 curity_Get_Configuration_req. For received Global TLV IDs in the prior request the device responds with its current
 4917 state information as a list of TLVs contained in the Security_Get_Configuration_rsp. This command SHALL be APS
 4918 encrypted. The format of the message is in Figure 2-87.

4919

Octets: 1	Variable
Overall Status	TLVs

4920 **Figure 2-87. Security_Get_Configuration_rsp Command Frame**

4921 Table 2-123 specifies the fields of the Security_Get_Configuration_rsp command frame.

4922 **Table 2-123. Fields of the Security_Get_Configuration_rsp Command Frame**

Name	Type	Valid Range	Description
Overall Status	Integer	SUCCESS, INV_REQUESTTYPE, or NOT_SUPPORTED	The overall status of a Security_Get_Configuration_req command.
TLVs	TLV	Variable	The value of the requested global TLV values.

4923 2.4.4.4.5.1 **Local TLVs**

4924 There are no Local TLVs defined for this message.

4925 2.4.4.4.5.2 **When Generated**

4926 This message is generated in response to the ZDO Security_Get_Configuration_req.

4927 2.4.4.4.5.3 **Effect on Receipt**

4928 The device can examine each received global TLV to learn the state of that TLV for the device sending the Security_Get_Configuration_rsp.

4930 2.4.4.4.6 **Security_Start_Key_Update_rsp**

4931 The Security_Start_Key_Update_rsp command (cluster ID = 0x8045) is formatted as illustrated in Figure 2-88. This
4932 command SHALL be APS encrypted.

Octets: 1
Status

4933 **Figure 2-88. Security_Start_Key_Update_rsp Command Frame**

4934 Table 2-124 specifies the fields of the Security_Start_Key_Update_rsp command frame.

4935 **Table 2-124. Fields of the Security_Start_Key_Update_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_AUTHORIZED or NOT_SUPPORTED	The status of the request to Start the key update process.

4936 2.4.4.4.6.1 **When Generated**

4937 This is generated in response to a Security_Start_Key_Update_req.

4938 2.4.4.4.6.2 **Effect on Receipt**

4939 The Trust Center will learn the result of whether it’s request ZDO Security_Start_Key_Update_req was successful.

4940 2.4.4.4.7 **Security_Decommission_rsp**

4941 The Security_Decommission_rsp is sent in response to a Security_Decommission_req to report the result of an attempt to
 4942 decommission all data associated with a target EUI64. The command (cluster ID = 0x8046) is formatted as illustrated
 4943 in Figure 2-89. This command SHALL be APS encrypted.

Octets: 1
Status

4944 **Figure 2-89. Security_Decommission_rsp Command Frame**

4945 Table 2-125 specifies the fields of the Security_Decommission_rsp command frame.

4946 **Table 2-125. Fields of the Security_Decommission_rsp Command Frame**

Name	Type	Valid Range	Description
Status	Integer	SUCCESS, INV_REQUESTTYPE, NOT_AUTHORIZED or NOT_SUPPORTED	The status of the request to Start the key update process.

4947 2.4.4.4.7.1 **When Generated**

4948 This is generated in response to a Security_Decommission_req.

4949 2.4.4.4.7.2 **Effect on Receipt**

4950 The Trust Center will learn of the result of the decommissioning request of a third-party device by the sender of the
 4951 Security_Decommission_rsp.

4952 2.4.4.4.8 **Security_Challenge_rsp**

4953 This command is used by a device to respond to a challenge and send its latest frame counter value to another device.
 4954 The Security_Challenge_rsp (Cluster ID = 0x8047) is formatted as illustrated in Figure 2-90.

Octets: Varies
TLVs

4955 **Figure 2-90. Security_Challenge_rsp Command Frame**

4956 2.4.4.4.8.1 **Locally Scoped TLVs**

4957 Table 2-126 defines the locally scoped TLVs for this message.

4958 **Table 2-126. Locally Scoped TLVs for Security_Challenge_rsp**

Tag ID	Name
0x00	APS Frame Counter Response

4959 2.4.4.4.8.2 **APS Frame Counter Response TLV**

4960 Table 2-127 describes the format of the APS Frame Counter Response TLV.

4961

Table 2-127. Format of the APS Frame Counter Response TLV

Octets: 8	8	4	4	8
Sender EUI64	Received Challenge Value	APS Frame Counter	Challenge Security Frame Counter	MIC

4962 Table 2-128 describes the fields of the APS Frame Counter Response TLV.

4963

Table 2-128 Fields of the APS Frame Counter Response TLV

Field	Description
Responder EUI64	The EUI64 of the device that is responding to the Security_Challenge_req with its own challenge.
Received Challenge Value	A randomly generated 64-bit value previously received in the APS Frame Counter Challenge TLV.
APS Frame Counter	The current outgoing APS security frame counter held by the Responder EUI64 device.
Challenge Security Frame Counter	The AES-CCM-128 outgoing frame counter used to generate the MIC over the octet sequence { tag length responder EUI-64 received challenge value APS frame counter } using the special nonce and AES-128 key for frame counter synchronization.
MIC	The AES-128-CCM 64-bit MIC (security level 2) on all previous fields of this TLV, excluding the challenge security frame counter, including Tag ID and length fields.

4964 2.4.4.4.8.3 **When Generated**4965 This command is generated by a device responding to a ZDO Security_Challenge_req to inform the requester of the
4966 local device's current APS Frame counter.

4967 This command SHALL NOT be APS encrypted.

4968 2.4.4.4.8.4 **Effect on Receipt**

4969 1. If the message was broadcast it SHALL be dropped and no further processing SHALL be done.

4970 2. If the message did not include the APS Frame Counter Response TLV do the following.

4971 a. The message is dropped and no further processing SHALL be done.

4972 3. If the Sender EUI64 does not match the *apsChallengeTargetEui64* then the message SHALL be dropped and no
4973 further processing SHALL be done.4974 4. If the *apsChallengeValue* of the AIB does not match the Challenge Value in the TLV, the message SHALL be
4975 dropped and no further processing SHALL be done.

4976 5. Otherwise, follow the procedure in section .

4977 **2.4.5 ZDP Enumeration Description**4978 This section explains the meaning of the enumerations used in the ZDP. Table 2-129 shows a description of the ZDP
4979 enumeration values.

Table 2-129. ZDP Enumerations Description

Enumeration	Value	Description
SUCCESS	0x00	The requested operation or transmission was completed successfully.
-	0x01 – 0x7f	Reserved.
INV_REQUESTTYPE	0x80	The supplied request type was invalid.
DEVICE_NOT_FOUND	0x81	The requested device did not exist on a device following a child descriptor request to a parent.
INVALID_EP	0x82	The supplied endpoint was equal to 0x00 or 0xff.
NOT_ACTIVE	0x83	The requested endpoint is not described by a simple descriptor.
NOT_SUPPORTED	0x84	The requested optional feature is not supported on the target device.
TIMEOUT	0x85	A timeout has occurred with the requested operation.
NO_MATCH	0x86	failure to match any suitable clusters.
-	0x87	Reserved.
NO_ENTRY	0x88	The unbind request was unsuccessful due to the coordinator or source device not having an entry in its binding table to unbind.
NO_DESCRIPTOR	0x89	A child descriptor was not available following a discovery request to a parent.
INSUFFICIENT_SPACE	0x8a	The device does not have storage space to support the requested operation.
NOT_PERMITTED	0x8b	The device is not in the proper state to support the requested operation.
TABLE_FULL	0x8c	The device does not have table space to support the operation.
NOT_AUTHORIZED	0x8d	The device has rejected the command due to security restrictions.

Enumeration	Value	Description
DEVICE_BINDING_TABLE_FULL	0x8e	The device does not have binding table space to support the operation.
INVALID_INDEX	0x8f	The index in the received command is out of bounds.
FRAME_TOO_LARGE	0x90	The response was too large to fit in a single unfragmented message.
BAD_KEY_NEGOTIATION_METHOD	0x91	The requested Key Negotiation Method was not accepted.
TEMPORARY_FAILURE	0x92	The request encountered a temporary failure but a retry at a later time should be attempted and may succeed.
-	0x92 – 0xff	Reserved.

4981 2.4.6 ZDP Enumeration Status Values from the Network 4982 Layer

4983 The ZDP may reuse status values from the network layer according to the processing rules of the ZDO commands.
4984 One of the main uses of this will be to indicate INVALID_TLV or MISSING_TLV. This will avoid defining those
4985 status values at multiple layers. See Table 3-80 for the definition of those values.

4986 2.4.7 Conformance

4987 When conformance to this Profile is claimed, all capabilities indicated mandatory for this Profile SHALL be supported
4988 in the specified manner (process mandatory). This also applies to optional and conditional capabilities, for which
4989 support is indicated, and is subject to verification as part of the Zigbee certification program.

4990 2.5 The Zigbee Device Objects (ZDO)

4991 2.5.1 Scope

4992 This section describes the concepts, structures, and primitives needed to implement a Zigbee Device Objects applica-
4993 tion on top of a Zigbee Application Support Sub-layer (section 2.2) and Zigbee Network Layer (Chapter 3).

4994 Zigbee Device Objects are applications which employ network and application support layer primitives to implement
4995 Zigbee End Devices, Zigbee Routers, and Zigbee Coordinators.

4996 The Zigbee Device Object Profile employs Clusters to describe its primitives. The Zigbee Device Profile Clusters do
4997 not employ attributes and are analogous to messages in a message transfer protocol. Cluster identifiers are employed
4998 within the Zigbee Device Profile to enumerate the messages employed within Zigbee Device Objects.

4999 Zigbee Device Objects also employ configuration attributes. The configuration attributes within Zigbee Device Ob-
5000 jects are attributes set by the application or stack profile. The configuration attributes are also not related to the Zigbee
5001 Device Profile, though both the configuration attributes and the Zigbee Device Profile are employed with Zigbee
5002 Device Objects.

5003 2.5.2 Device Object Descriptions

5004 The Zigbee Device Objects are an application solution residing within the Application Layer (APL) and above the
5005 Application Support Sub-layer (APS) in the Zigbee stack architecture as illustrated in Figure 1-1.

5006 The Zigbee Device Objects are responsible for the following functions:

- 5007 • Initializing the Application Support Sublayer (APS), Network Layer (NWK), Security Service Provider (SSP)
5008 and any other Zigbee device layer other than the end applications residing over Endpoints 1 – 254.
- 5009 • Assembling configuration information from the end applications to determine and implement the functions de-
5010 scribed in the following sections.

5011 2.5.2.1 Primary Discovery Cache Device Operation - Deprecated

5012 2.5.2.2 Device and Service Discovery

5013 This function SHALL support device and service discovery within a single PAN. Additionally, for all Zigbee device
5014 types, this function SHALL perform the following:

5015 The following discovery features SHALL be supported:

5016 Device Discovery:

- 5017 • Based on a unicast inquiry of a Zigbee Coordinator or Zigbee Router's IEEE address, the IEEE Address of the
5018 requested device plus, optionally, the NWK Addresses of all associated devices SHALL be returned.
- 5019 • Based on a unicast inquiry of a Zigbee End Device's IEEE address, the IEEE Address of the requested device
5020 SHALL be returned.
- 5021 • Based on a broadcast inquiry (of any broadcast address type) of a Zigbee Coordinator or Zigbee Router's NWK
5022 Address with a supplied IEEE Address, the NWK Address of the requested device plus, optionally, the NWK
5023 Addresses of all associated devices SHALL be returned.
- 5024 • Based on a broadcast inquiry (of any broadcast address type) of a Zigbee End Device's NWK Address with a
5025 supplied IEEE Address, the NWK Address of the requested device SHALL be returned. The responding device
5026 SHALL employ APS acknowledged service for the unicast response to the broadcast inquiry.

5027 Service Discovery: Based on the following inputs, the corresponding responses SHALL be supplied:

- 5028 • NWK address plus Active Endpoint query type – Specified device SHALL return the endpoint number of all
5029 applications residing in that device. Should the list of active endpoints exceed the ASDU size and where frag-
5030 mentation is not supported on the server device, an extended version of the query type is also provided to return
5031 the full list through multiple requests.
- 5032 • NWK address or broadcast address (of any broadcast address type) plus Service Match including Profile ID
5033 and, optionally, Input and Output Clusters – Specified device matches Profile ID with all active endpoints to
5034 determine a match. If no input or output clusters are specified, the endpoints that match the request are returned.
5035 If input and/or output clusters are provided in the request, those are matched as well, and any matches are pro-
5036 vided in the response with the list of endpoints on the device providing the match. The responding device
5037 SHALL employ APS acknowledged service for the unicast response to the broadcast inquiry. By convention, in
5038 cases where the application profile enumerates input clusters and their response output clusters with the same
5039 cluster identifier, the application profile SHALL list only the input cluster within the Simple Descriptor for the
5040 purposes of Service Discovery.
- 5041 • NWK address plus Node Descriptor or Power Descriptor query type – Specified device SHALL return the Node
5042 or Power Descriptor for the device.
- 5043 • NWK address, Endpoint Number plus Simple Descriptor query type – Specified address SHALL return the
5044 Simple Descriptor associated with that Endpoint for the device. Should the list of input and/or output clusters
5045 exceed the ASDU size capacity to return the Simple Descriptor in a single packet an extended version of the
5046 query type is also provided to return the full list through multiple requests.

5047 **2.5.2.3 Security Manager**

5048 This function determines whether security is enabled or disabled and, if enabled, SHALL perform the following:

- 5049 • Transport Key
- 5050 • Request Key
- 5051 • Update Device
- 5052 • Remove Device
- 5053 • Switch Key

5054 The Security Manager function addresses the Security Services Specification (Chapter 4). The Security Management
5055 entity, implemented by APSME primitive calls by ZDO, performs the following:

- 5056 • Transports the NWK Key from the Trust Center using secured communication with the Trust Center. This step
5057 employs the APSME-TRANSPORT-KEY primitive.
- 5058 • Establishes or transports Link Keys, as required, with specific devices in the network. These steps employ the
5059 APSME-TRANSPORT-KEY and/or APSME-REQUEST-KEY primitives.
- 5060 • Informs the Trust Center of any devices that join the network using the APSME-UPDATE-DEVICE primitives.
5061 This function is only performed if the device is a Zigbee router.
- 5062 • Permits devices to obtain keys from the Trust Center using the APSME-REQUEST-KEY primitives.
- 5063 • Permits the Trust Center to remove devices from the network using the APSME-REMOVE-DEVICE primi-
5064 tives.
- 5065 • Permits the Trust Center to switch the active network key using the APSME-SWITCH-KEY primitives.

5066 **2.5.2.4 Network Manager**

5067 This function SHALL implement the Zigbee Coordinator, Zigbee Router, or Zigbee End Device logical device types
5068 according to configuration settings established either via a programmed application or during installation. If the device
5069 type is a Zigbee Router or Zigbee End Device, this function SHALL provide the ability to select an existing PAN to
5070 join and implement procedures which permit the device to rejoin if network communication is lost. If the device type
5071 is a Zigbee Coordinator or Zigbee Router, this function SHALL provide the ability to select an unused channel for
5072 creation of a new PAN. Note that it is possible to deploy a network without a device pre-designated as Zigbee Coor-
5073 dinator where the first Full Function Device (FFD) activated assumes the role of Zigbee Coordinator.

5074 The following description covers processing addressed by Network Management:

- 5075 • Permits specification of a channel list for network scan procedures. Default is to specify use of all channels in
5076 the selected band of operation.
- 5077 • Manages network scan procedures to determine neighboring networks and the identity of their Zigbee coordina-
5078 tors and routers.
- 5079 • Permits selection of a channel to start a PAN (Zigbee Coordinator) or selection of an existing PAN to join
5080 (Zigbee Router or Zigbee End Device).
- 5081 • Supports orphaning and extended procedures to rejoin the network, including support for intra_PAN portability.
- 5082 • May support direct join. For Zigbee Coordinators and Zigbee Routers, a local version of direct join MAY be
5083 supported to enable the device to join via the orphaning or rejoin procedures.
- 5084 • May support Management Entities that permit external network management.
- 5085 • Detects and reports interference to support changing network channels.
- 5086 • Manages network interference reporting and selection of a new channel for network operation if interference
5087 exists on the initial channel if the particular node is identified as the network manager for the overall PAN.

5088 **2.5.2.5 Binding Manager**

5089 The Binding Manager performs the following:

- 5090 • Establishes resource size for the Binding Table. The size of this resource is determined via a programmed appli-
5091 cation or via a configuration attribute defined during installation.
- 5092 • Processes bind requests for adding or deleting entries from the APS binding table.
- 5093 • Supports Bind and Unbind commands from external applications such as those that MAY be hosted on a com-
5094 missioning or network management tool to support assisted binding. Bind and Unbind commands SHALL be
5095 supported via the Zigbee Device Profile (see section 2.4).
- 5096 • Permits configuration tools to exchange one device for another in all the binding table entries which refer to it.

5097 **2.5.2.6 Node Manager**

5098 For Zigbee Coordinators and Zigbee Routers, the Node Management function performs the following:

- 5099 • Permits remote management commands to perform network discovery.
- 5100 • Provides remote management commands to retrieve the routing table.
- 5101 • Provides remote management commands to retrieve the binding table.
- 5102 • Provides a remote management command to have the device leave the network or to direct that another device
5103 leave the network.
- 5104 • Provides a remote management command to retrieve the LQI for neighbors of the remote device.
- 5105 • Provides a remote management command to Permit or disallow joining on particular routers or to generally al-
5106 low or disallow joining via the Trust Center.

5107 **2.5.2.7 Group Manager**

5108 The Group Manager performs the following:

- 5109 • Provides for inclusion of application objects within the local device into groups under application control.
- 5110 • Provides for removal of application objects within the local device from group membership under application
5111 control.

5112 **2.5.3 Layer Interface Description**

5113 Unlike other device descriptors for applications residing above Endpoints 1 – 254, the Zigbee Device Objects (ZDO)
5114 interface to the APS via the APSME-SAP in addition to the APSDE-SAP. ZDO communicates over Endpoint 0 using
5115 the APSDE-SAP via Profiles like all other applications. The Profile used by ZDO is the Zigbee Device Profile (see
5116 section 2.4). ZDO frames SHALL NOT be fragmented.

5117 Zigbee Device Objects SHALL employ Endpoint 0 as the source and destination endpoint in any transmitted Zigbee
5118 Device Profile request frames, and SHALL expect Endpoint 0 as the source and destination endpoint in any received
5119 response frames.

5120 **2.5.4 System Usage**

5121 **2.5.4.1 Object Overview**

5122 Zigbee Device Objects contain six Objects:

- 5123 • Device and Service Discovery
- 5124 • Network Manager

- 5125 • Binding Manager
- 5126 • Security Manager
- 5127 • Node Manager
- 5128 • Group Manager

5129 Table 2-130 describes these Zigbee Device Objects.

5130

Table 2-130. Zigbee Device Objects

Object		Description
Name	Status	
:Device_and_Service_Discovery	M	Handles device and service discovery.
:Network_Manager	M	Handles network activities such as network discovery, leaving/joining a network, resetting a network connection and creating a network.
:Binding_Manager	O	Handles binding and unbinding activities.
:Security_Manager	M	Handles security services such as key loading, key establishment, key transport and authentication.
:Node_Manager	O	Handles management functions.
:Group Manager	O	Handles management of groups.

5131 **2.5.4.2 Optional and Mandatory Objects and Attributes**

5132 Objects listed as Mandatory SHALL be present on all Zigbee devices. However, for certain Zigbee logical types,
 5133 Objects listed as Optional for all Zigbee devices MAY be Mandatory in specific logical device types. For example,
 5134 the NLME-NETWORK-FORMATION.request within the Network_Manager object is in a Mandatory object and is
 5135 an Optional attribute, though the attribute is required for Zigbee Coordinator logical device types. The introduction
 5136 section of each Device Object section will detail the support requirements for Objects and Attributes by logical device
 5137 type.

5138 **2.5.4.3 Security Key Usage**

5139 Zigbee Device Objects MAY employ security for packets created by Zigbee Device Profile primitives. These appli-
 5140 cation packets using APSDE on Endpoint 0 SHALL utilize the APSDE Security Service Provider interface like all
 5141 other Application Objects.

5142 **2.5.4.4 Public and Private Methods**

5143 Methods that are accessible to any endpoint application on the device are called public methods. Private methods are
 5144 only accessible to the Device Application on endpoint 0 and not to the end applications (which run on endpoints
 5145 1–254).

5146 **2.5.4.5 State Machine Functional Descriptions**

5147 **2.5.4.5.1 Zigbee Coordinator**

5148 2.5.4.5.1.1 **Initialization**

5149 The implementation SHALL set the startup-related IB attributes shown in Table 2-131 to values that reflect the desired
5150 startup behavior for the device. In particular, the *apsDesignatedCoordinator* attribute of the IB SHALL be set to TRUE.
5151 If the device implements more than one option for Zigbee protocol version or stack profile, it SHALL choose a single
5152 value for each and set *nwkProtocolVersion* and *nwkStackProfile* accordingly. Additionally, provision SHALL be
5153 made to provide configuration elements to describe the Node Descriptor, Power Descriptor, Simple Descriptor for
5154 each active endpoint and application plus the list of active endpoints. These configurations SHALL be embodied in
5155 :Config_Node_Descriptor, :Config_Power_Descriptor, and :Config_Simple_Descriptors.

5156 If supported, provision SHALL be made to supply configuration elements for the maximum number of bind entries.
5157 These elements SHALL be embodied in:Config_Max_Bind.

5158 To start as a Zigbee coordinator, the device application SHALL execute the startup procedure described in section
5159 2.5.4.5.6.2 with startup attributes set as described above. This SHOULD have the effect of executing the procedure
5160 for network formation described in section 3.6.1.1. The device application SHALL set the *nwkSecurityLevel* and
5161 *nwkAllFresh* NIB attributes according to the values established by convention within the Stack Profile employed by
5162 the device. The device application SHALL check the return status via the NLME-NETWORK-FORMATION.confirm
5163 to verify successful creation of the PAN. The :Config_Permit_Join_Duration SHALL be set according to the default
5164 attribute value supplied using the NLME-PERMIT-JOINING.request. Additionally, the *nwkNetworkBroadcastDe-*
5165 *liveryTime* and *nwkTransactionPersistenceTime* Network Information Block attributes (see section 3.6.2) SHALL
5166 be set with :Config_NWK_BroadcastDeliveryTime and :Config_NWK_TransactionPersistenceTime respectively
5167 (see section 2.5.5).

5168 Provision SHALL be made to ensure APS primitive calls from the end applications over EP 1 through EP 254 return
5169 appropriate error status values prior to completion of the Initialization state by Zigbee Device Objects and transition
5170 to the normal operating state.

5171 2.5.4.5.1.2 **Normal Operating State**

5172 In this state, the Zigbee Coordinator SHALL process the list of direct joined addresses in
5173 :Config_NWK_Join_Direct_Addrs by issuing an NLME-ADD-NEIGHBOR.request for each included address in the
5174 list. Processing of the direct joined addresses SHALL employ the :Config_Max_Assoc attribute in evaluating whether
5175 to successfully process a direct joined address within :Config_NWK_Join_Direct_Addrs.

5176 The Zigbee coordinator SHALL allow other devices to join the network based on the configuration items
5177 :Config_Permit_Join_Duration and :Config_Max_Assoc. When a new device joins the network, the device applica-
5178 tion shall be informed via the NLME-JOIN.indication. Should the device be admitted to the PAN, the Zigbee coordi-
5179 nator SHALL indicate this via the NLME-JOIN.confirm with SUCCESS status.

5180 The Zigbee coordinator SHALL respond to any device discovery or service discovery operations requested of its own
5181 device, The device application SHALL also ensure that the number of binding entries does not exceed the :Con-
5182 fig_Max_Bind attribute.

5183 The Zigbee coordinator SHALL support the NLME-PERMIT-JOINING.request and NLME-PERMIT-JOINING.con-
5184 firm to permit application control of network join processing.

5185 The Zigbee coordinator SHALL maintain a list of currently associated devices and facilitate support of orphan scan
5186 and rejoin processing to enable previously associated devices to rejoin the network. The Zigbee coordinator MAY
5187 support the ability for devices to be directly included in the network via the NLME-ADD-NEIGHBOR.request and
5188 NLME-ADD-NEIGHBOR.confirm. This feature SHALL permit lists of Zigbee IEEE addresses to be provided to the
5189 Zigbee coordinator and for those addresses to be included as previously associated devices. It SHALL be possible for
5190 Zigbee devices with those addresses to directly join the network via orphaning or rejoin procedures rather than asso-
5191 ciating directly.

5192 The Zigbee coordinator SHALL support the NLME-NWK-STATUS.indication and process those notifications per
5193 section 3.2.2.31.

5194 The Zigbee coordinator SHALL process Device_ance messages from other Zigbee devices. Upon receipt of a De-
5195 vice_ance, the Zigbee coordinator SHALL check all internal tables holding 64-bit IEEE addresses for devices within
5196 the PAN for a match with the address supplied in the Device_ance message. If a match is detected, the Zigbee
5197 coordinator SHALL update its *nwkAddressMap* attribute of the NIB corresponding to the matched 64- bit IEEE ad-
5198 dress to reflect the updated 16-bit NWK address contained in the Device_ance. The Zigbee Coordinator SHALL also
5199 employ the address conflict resolution procedure detailed in section 3.6.1.10.

5200 2.5.4.5.1.3 Trust Center Operation

5201 The network device pointed to by the address in *apsTrustCenterAddress* SHALL function as the Trust Center when
5202 security is enabled on the network.

5203 The Trust Center operation is defined within section 4.6.2.

5204 2.5.4.5.2 Zigbee Router

5205 2.5.4.5.2.1 Initialization

5206 The implementation SHALL set the startup-related IB attributes shown in Table 2-131 to values that reflect the desired
5207 startup behavior for the device. In particular, the *apsDesignatedCordinator* attribute of the IB SHALL be set to
5208 FALSE.

5209 If supported, provision SHALL be made to supply configuration elements for the maximum number of bind entries.
5210 These elements SHALL be embodied in :Config_Max_Bind.

5211 To start as a Zigbee router, the device application SHALL execute the startup procedure described in section
5212 2.5.4.5.6.2 with startup attributes set as described above. This SHOULD have the effect of executing either the pro-
5213 cedure for network rejoin or else the full procedure for network join through MAC association described in section
5214 3.6.1.6.1. The NLME-NETWORK-AND-PARENT-DISCOVERY.request procedure SHALL be implemented :Con-
5215 fig_NWK_Scan_Attempts, each separated in time by :Config_NWK_Time_btwn_Scans. The purpose of repeating
5216 the NLME-NETWORK-AND-PARENT-DISCOVERY.request is to provide a more accurate neighbor list and asso-
5217 ciated link quality indications to the NWK layer. Specification of the algorithm for selection of the PAN SHALL be
5218 left to the profile description and MAY include use of the Extended PAN ID, operational mode of the network, identity
5219 of the Zigbee Router or Coordinator identified on the PAN, depth of the Zigbee Router on the PAN from the Zigbee
5220 Coordinator for the PAN, capacity of the Zigbee Router or Coordinator, the routing cost, or the Protocol Version
5221 Number (these parameters are supplied by the NLME-NETWORK-AND-PARENT-DISCOVERY.confirm and the
5222 beacon payload).

5223 The Zigbee router MAY join networks employing the current protocol version number or MAY join networks em-
5224 ploying a previous protocol version number, under application control, if backward compatibility is supported in the
5225 device. A single Zigbee PAN SHALL consist of devices employing only a single protocol version number (networks
5226 with devices employing different protocol version numbers and frame formats within the same PAN are not permit-
5227 ted). An optional configuration attribute, :Config_NWK_alt_protocol_version, provides the protocol version numbers
5228 which the device MAY choose to employ other than the current protocol version number. Once the Zigbee router
5229 chooses a PAN and a specific protocol version number, it SHALL employ that protocol version number as its
5230 *nwkProtocolVersion*. Additionally, the Zigbee router SHALL then adhere to all frame formats and processing rules
5231 supplied by the version of the Zigbee Specification employing that protocol version number.

5232 The :Config_Permit_Join_Duration shall be set according to the default parameter value supplied using NLME-PER-
5233 MIT-JOINING.request. The router SHALL support the NLME-START-ROUTER.request and NLME-START-
5234 ROUTER.confirm to begin operations as a router within the PAN it has joined. Additionally, the *nwkNetworkBroad-
5235 castDeliveryTime* and *nwkTransactionPersistenceTime* Network Information Block attributes (see section 3.5.2)
5236 SHALL be set with :Config_NWK_BroadcastDeliveryTime and
5237 :Config_NWK_TransactionPersistenceTime respectively (see section 2.5.5).

5238 Provision SHALL be made to ensure APS primitive calls from the end applications over EP 1 through EP 254 return
5239 appropriate error status values prior to completion of the Initialization state by Zigbee Device Objects and transition
5240 to the normal operating state.

5241 If the network has security enabled, the device SHALL wait for successful acquisition of the NWK key to start func-
5242 tioning as a router in the network. See section 4.6.2 for details on Trust Center operations.

5243 The device application SHALL set the *nwkSecurityLevel* NIB attribute to the values used in the network and begin
5244 functioning as a router using NLME-START-ROUTER.request.

5245 2.5.4.5.2.2 Normal Operating State

5246 In this state, the Zigbee router SHALL allow other devices to join the network based on the configuration items
5247 :Config_Permit_Join_Duration and :Config_Max_Assoc. When a new device joins the network, the device applica-
5248 tion SHALL be informed via the NLME-JOIN.indication attribute. Should the device be admitted to the PAN, the
5249 Zigbee router SHALL indicate this via the NLME-JOIN.confirm with SUCCESS status. If security is enabled on the
5250 network, the device application SHALL inform the Trust Center via the APSME-UPDATE-DEVICE.request.

5251 The Zigbee router SHALL respond to any device discovery or service discovery operations requested of its own
5252 device. The device application SHALL also ensure that the number of binding entries does not exceed the :Con-
5253 fig_Max_Bind attribute.

5254 The Zigbee router SHALL support APSME-TRANSPORT-KEY.indication to receive keys from the Trust Center.

5255 The Zigbee router SHALL support the NLME-PERMIT-JOINING.request and NLME-PERMIT-JOINING.confirm
5256 to permit application control of network join processing.

5257 The Zigbee router SHALL support the NLME-NWK-STATUS.indication and process those notifications per section
5258 3.2.2.31.

5259 The Zigbee router SHALL support the NLME-LEAVE.request and NLME-LEAVE.confirm employing the :Con-
5260 fig_NWK_Leave_removeChildren attribute where appropriate to permit removal of associated devices under appli-
5261 cation control. Conditions that lead to removal of associated devices MAY include lack of security credentials, re-
5262 moval of the device via a privileged application or detection of exception.

5263 The Zigbee router SHALL process Device_annce messages from other Zigbee devices. Upon receipt of a
5264 Device_annce, the Zigbee router SHALL check all internal tables holding 64-bit IEEE addresses for devices within
5265 the PAN for a match with the address supplied in the Device_annce message. If a match is detected, the Zigbee router
5266 SHALL update its *nwkAddressMap* of the NIB corresponding to the matched 64-bit IEEE address to reflect the up-
5267 dated 16-bit NWK address contained in the Device_annce. The Zigbee Router SHALL also employ the address con-
5268 flict resolution procedure detailed in section 3.6.1.10.

5269 The Zigbee router SHALL maintain a list of currently associated end devices and facilitate support of orphan scan and
5270 rejoin processing to enable previously associated end devices to rejoin the network.

5271 The Zigbee router MAY decide it has lost contact with the network it was joined to. In this situation, the router
5272 SHOULD conduct an active scan to find the network. If the network is found more than once the router SHOULD
5273 attempt to rejoin where there is a more recent value of *nwkUpdateId* in the beacon payload.

5274 2.5.4.5.3 Binding Table Cache Operation – DEPRECATED

5275 2.5.4.5.4 Operations to Support Intra-PAN Portability

5276 2.5.4.5.4.1 Overview

5277 The operations described in this section are carried out by Zigbee Coordinator and Zigbee Router Devices for support
5278 of intra-PAN portability.

5279 The main steps are summarized as follows:

- 5280 1. Detect the problem - The ZDO of the device is notified of acknowledgement failures via the NLME-NWK-
5281 STATUS.indication primitive, and identifies a problem. For end devices this can be initially detected by failures
5282 with their parent device. For routers, an application layer keepalive mechanism is required to determine that
5283 connectivity to important devices is lost, such as the Trust Center for centralized networks. The keepalive defi-
5284 nition and failure detection is left up to the application layer and is outside the scope this document.
- 5285 2. Carry out the NWK layer rejoin procedure - The ZDO of a ZED initiates this process using the NLME-JOIN.re-
5286 quest primitive, either through a secured or un-secured rejoining procedure. The NWK rejoin procedures closely
5287 mirror the MAC association procedure.

- 5288 3. Security verification - Secured and unsecured protocol steps are described to ensure that the orphaned device
5289 SHOULD really be accepted.
- 5290 4. Inform the rest of the network - when a device changes parents the steps to complete address conflict detection
5291 in section 3.6.1.10 SHALL be completed. These actions also serve to notify the old parent that the End Device
5292 has changed parents.
- 5293 5. Provide a means for parents that were temporarily unavailable and caused the end-device to rejoin are able to
5294 update their child tables once they are back online.

5295 These steps are described in detail in the subsections below. The mechanism for secured rejoin of a ZED and for trust
5296 center rejoin of a ZED or ZR are both illustrated in Figure 2-91. Note that the NWK and SEC sections on secured and
5297 trust center rejoin (sections 3.2.2.13, 3.2.2.14, 3.2.2.15, 3.6.1.6, and 4.6.3) SHALL be the authoritative text for these
5298 procedures. The diagrams in this section are provided for illustrative purposes only.

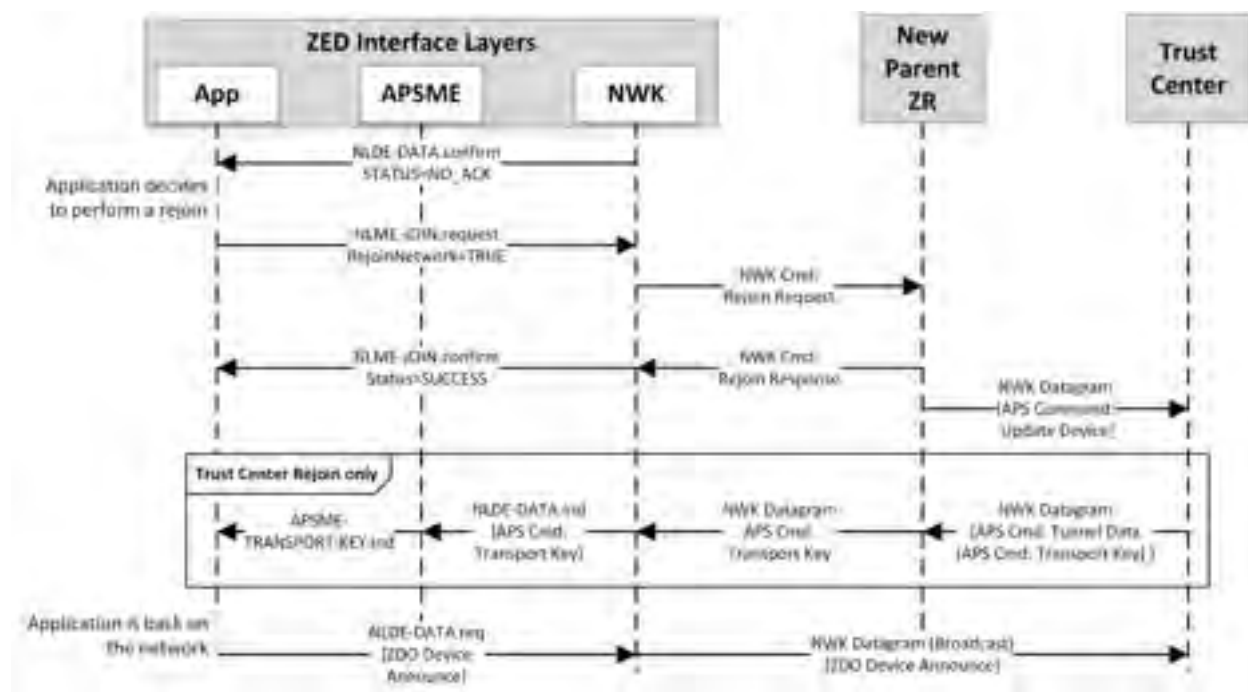


Figure 2-91. Portability Message Sequence Chart: ZED Rejoin

5299

5300

5301 2.5.4.5.4.2 Description of Operations for Security Verification

5302 As for MAC association, a Zigbee Coordinator or Zigbee Router device is informed of a rejoined device when the
5303 NLME issues an NLME-JOIN.indication primitive. This SHALL be handled in the same way as for an association
5304 indication, except that for a secured rejoin the update device and key transport step.

5305 Full network operation SHALL NOT be permitted until the verification steps described below have been carried out.

5306 Measures SHALL be taken by a newly (re-)joined node and by its new parent to verify that it is really allowed to be
5307 on this network. Two cases are envisioned:

5308 One or the other is not implemented according to this specification, and SHOULD NOT have joined. The measures
5309 described here allow both sides to revoke the join in this case.

5310 One or the other device is a compromised/hacked device. In the case that security is enabled, the measures in sec-
5311 tion 4.6.3.6 are additionally applied so that an unauthorized join is revoked.

5312 This verification is carried out using existing commands. Section 2.5.4.5.4.3 describes the transmission of a De-
5313 vice_annce command to the new parent. The new parent SHALL check that this or some other message is correctly
5314 formed and contains the addressing fields corresponding to the orphaned device. If security is enabled, then this com-
5315 mand SHALL be secured with the network key, and the new parent SHALL verify that all security processing is

5316 carried out correctly. If all these checks succeed then the orphaned device SHALL become joined to the network.
5317 Otherwise, it SHALL NOT become joined to the network at this time. As normal, messages sent from a device not
5318 joined to the network SHALL NOT be forwarded across the network, and commands SHALL NOT be carried out.
5319 Accordingly, the orphaned device SHALL only become joined to the network once it receives at least one correctly
5320 formed Zigbee message from the new parent. If security is enabled, this message SHALL be secured with the network
5321 key and all security processing SHALL be carried out correctly. If messages cannot be exchanged in protocol, then
5322 the orphaned device SHALL NOT become joined to the network at this time.

5323 2.5.4.5.4.3 Description of Operations for Informing the Rest of the Network

5324 If the Zigbee End Device rejoins a new parent using the orphaning of rejoin process it SHALL complete the address
5325 conflict process in section 3.6.1.9. Upon receiving the Device_annce, all devices SHALL check their internal tables
5326 holding 64-bit IEEE addresses for devices within the PAN for a match with the address supplied in the Device_annce
5327 message. If a match is detected, the device SHALL update the *nwkAddressMap* attribute of the NIB corresponding to
5328 the matched 64-bit IEEE address to reflect the updated 16-bit NWK address contained in the Device_annce. Devices
5329 will generally keep their existing NWK addresses during the intra-PAN portability procedure. Also, if the NWK ad-
5330 dress has changed during the intra-PAN portability procedure, the ZDO SHALL arrange that any IEEE address to
5331 short address mappings which have become known to applications running on this device be updated. This behavior
5332 is mandatory, but the mechanism by which it is achieved is outside the scope of this specification.

5333 2.5.4.5.5 Zigbee End Device

5334 2.5.4.5.5.1 Initialization

5335 The implementation SHALL set the startup-related IB attributes shown in Table 2-131 to values that reflect the desired
5336 startup behavior for the device. In particular, the *apsDesignatedCoordinator* attribute of the IB SHALL be set to
5337 FALSE.

5338 If supported, provision SHALL be made to supply configuration elements for the maximum number of bind entries.
5339 These elements SHALL be embodied in :Config_Max_Bind.

5340 To start as a Zigbee end device, the device application SHALL execute the startup procedure described in section
5341 2.5.4.5.6.2 with startup parameters set as described above. This SHOULD have the effect of executing either the
5342 network rejoin or else the full procedure for network join through MAC association described in section 3.6.1.6.1.
5343 The NLME-NETWORK-AND-PARENT-DISCOVERY.request procedure shall be implemented :Con-
5344 fig_NWK_Scan_Attempts, each separated in time by :Config_NWK_Time_btwn_Scans. The purpose of repeating
5345 the NLME-NETWORK-AND-PARENT-DISCOVERY.request is to provide a more accurate neighbor list and asso-
5346 ciated link quality indications to the NWK layer. Specification of the algorithm for selection of the PAN SHALL be
5347 left to the profile description and MAY include use of the Extended PAN ID, operational mode of the network, identity
5348 of the Zigbee Router or Coordinator identified on the PAN, depth of the Zigbee Router on the PAN from the Zigbee
5349 Coordinator for the PAN, capacity of the Zigbee Router or Coordinator, the routing cost, or the Protocol Version
5350 Number (these parameters are supplied by the NLME-NETWORK-AND-PARENT-DISCOVERY.confirm and the
5351 beacon payload).

5352 The Zigbee end device MAY join networks employing the current protocol version number or MAY join networks
5353 employing a previous protocol version number, under application control, if backward compatibility is supported in
5354 the device. A single Zigbee PAN SHALL consist of devices employing only a single protocol version number (net-
5355 works with devices employing different protocol version numbers and frame formats within the same PAN are not
5356 permitted). An optional configuration attribute, :Config_NWK_alt_protocol_version, provides the protocol version
5357 numbers which the device MAY choose to employ other than the current protocol version number. Once the Zigbee
5358 end device chooses a PAN and a specific protocol version number, it SHALL employ that protocol version number
5359 as its *nwkProtocolVersion*. Additionally, the Zigbee end device SHALL then adhere to all frame formats and pro-
5360 cessing rules supplied by the version of the Zigbee Specification employing that protocol version number.

5361 If the device application sets the NLME-JOIN RxOnWhenIdle parameter to FALSE, the :Config_NWK_
5362 indirectPollRate SHALL be used to determine the polling rate for indirect message requests. The :Config_NWK_in-
5363 directPollRate SHALL be set according to the value established by the application profile(s) supported on the device.
5364 Once polling for indirect message requests is initiated, if communications failure with the parent is detected

5365 determined by failure of indirect message requests :Config_Parent_Link_Threshold_Retry consecutive attempts, the
5366 device application SHALL employ the network rejoin procedure.

5367 Once the End Device has successfully joined a network, the device SHALL issue a Device_annce providing its 64-bit
5368 IEEE address and 16-bit NWK address.

5369 Provision SHALL be made to ensure APS primitive calls from the end applications over EP 1 through EP 254 return
5370 appropriate error status values prior to completion of the Initialization state by Zigbee Device Objects and transition
5371 to the normal operating state.

5372 If network has security enabled, the device SHALL wait successful acquisition of the NWK key to start functioning
5373 as an end device in the network. See section 4.6.2 for details on Trust Center operations.

5374 2.5.4.5.5.2 Normal Operating State

5375 If the device application set the NLME-JOIN RxOnWhenIdle parameter to FALSE, the :Config_NWK_
5376 indirectPollRate SHALL be used to poll the parent for indirect transmissions while in the normal operating state.
5377 While a fragmented message is being received, the device MAY temporarily increase its polling rate, and SHALL
5378 ensure that it polls its parent at least once every macTransactionPersistenceTime seconds.

5379 The Zigbee end device SHALL respond to any device discovery or service discovery operations requested of its own
5380 device using the attributes described in section 2.5.4.

5381 The Zigbee end device SHALL support APSME-TRANSPORT-KEY.indication to receive keys from the Trust Cen-
5382 ter.

5383 The Zigbee End Device SHALL process Device_annce messages from other Zigbee devices. Upon receipt of a De-
5384 vice_annce, the Zigbee End Device SHALL check all internal tables holding 64-bit IEEE addresses for devices within
5385 the PAN for a match with the address supplied in the Device_annce message. If a match is detected, the Zigbee End
5386 Device SHALL update the *nwkAddressMap* of the NIB corresponding to the matched 64-bit IEEE address to reflect
5387 the updated 16-bit NWK address contained in the Device_annce.

5388 The Zigbee End Device SHALL process the NLME-NWK-STATUS.indication sent from the NWK layer. If the error
5389 code equals to 0x09 (Parent Link Failure), the ZED will update its failure counter maintained in ZDO. If the value of
5390 the failure counter is smaller than the :Config_Parent_Link_Retry_Threshold attribute, the ZED MAY decide to issue
5391 further commands to attempt to communicate with the parent node, depending on the application of the ZED. If the
5392 value of the failure counter exceeds the :Config_Parent_Link_Retry_Threshold attribute, the ZED SHALL then pre-
5393 pare to start the rejoin process. Note that implementers MAY optionally use a more accurate time-windowed scheme
5394 to identify a link failure.

5395 The rejoin process mirrors the MAC association process very closely, however, a device is permitted to rejoin a parent
5396 that is not accepting new associations. The ZDO MAY use the NLME-NETWORK-AND-PARENT-DISCOVERY.
5397 request primitive to detect potential alternative parents, and in order to optimize recovery latency and reliability,
5398 SHALL select an appropriate new parent based on the following information from that device's beacon:

- 5399 • PAN ID
- 5400 • EPID (Extended PAN ID)
- 5401 • Channel
- 5402 • Signal strength
- 5403 • Whether the potential parent indicates that it is currently able to communicate with its Trust Center
- 5404 • Whether this device has recently failed to join this parent, or this network

5405 Once a potential parent has been selected, the ZDO SHALL issue an NLME-JOIN.request primitive with
5406 RejoinNetwork set to 0x02.

5407 The start time of the rejoin process is determined by the time the last NLME-JOIN.request primitive was sent and by
5408 the attribute :Config_Rejoin_Interval. Only if the interval between the current and the previous NLME-JOIN.request
5409 sent time is longer than the :Config_Rejoin_Interval SHALL a new NLME-JOIN.request primitive be sent. The ap-
5410 plication MAY want to gradually increase the :Config_Rejoin_Interval if a certain number of retries have been done

5411 (or a certain period of time has passed) but none of them were successful. The :Config_Rejoin_Interval SHOULD
 5412 NOT exceed the :Config_Max_Rejoin_Interval. Every time an NLME-JOIN.confirm has been successfully received,
 5413 the ZDO SHALL reset its failure counter to zero and the :Config_Rejoin_Interval attribute to its initial value. The
 5414 choice of the default initial value and the algorithm of increasing the rejoin interval shall be determined by the appli-
 5415 cation, and is out of the scope of this document.

5416 If the Zigbee End Device rejoins a new parent using the rejoin process, it SHALL complete the address conflict process
 5417 in section 3.6.1.10.

5418 2.5.4.5.6 Support for Commissioning Applications

5419 Zigbee devices in the field will need commissioning, and it will be up to developers to provide applications that
 5420 perform such commissioning. There is a risk that applications from different vendors will work differently, thereby
 5421 diminishing the ability of Zigbee devices from different vendors to operate seamlessly on the same network. As a
 5422 partial solution to this problem, this section lists a common set of configuration attributes for Zigbee devices and
 5423 outlines a common procedure for devices to use at start-up time. The other critical component of the solution is a
 5424 common set of commissioning protocols and procedures, which are outside the scope of this document.

5425 2.5.4.5.6.1 Configuration Attributes

5426 The startup procedure outlined in section 2.5.4.5.6.2 is designed in such a way that, by using it consistently, devices
 5427 can go through all the stages of commissioning up to being joined to the proper Zigbee network and able to send and
 5428 receive application data traffic. Later-stage commissioning, including the commissioning of bindings and group mem-
 5429 bership is discussed briefly in section 2.5.4.5.6.3. The procedure makes use of the system attributes listed in Table
 5430 2-131.

5431

Table 2-131. Startup Attributes

Name	Reference	Comment
<i>nwkExtendedPANID</i>	Table 3.43	This is the extended PANID of the network to which the device is joined. If it has a value of 0x0000000000000000, then the device is not connected to a network.
<i>apsDesignatedCoordinator</i>	Table 2-24	This Boolean flag indicates whether the device SHOULD assume on startup that it SHALL become a Zigbee coordinator.
<i>apsChannelMaskList</i>	Table 2-24	This is a list containing one or more masks which define the allowable channels on which the device MAY attempt to form or join a network at startup time.
<i>apsUseExtendedPANID</i>	Table 2-24	The 64-bit identifier of the network to join or form.
<i>apsUseInsecureJoin</i>	Table 2-24	A Boolean flag that indicates if it is OK to use insecure join on startup.

5432 2.5.4.5.6.2 Startup Procedure

5433 The startup procedure uses the attributes listed in section 2.5.4.5.6.1 to perform a controlled startup of the Zigbee
 5434 networking facilities of a device. The procedure SHOULD be run whenever the device restarts, but MAY also be run
 5435 under application control at the discretion of the developer.

5436 When a device starts up, it SHOULD check the value of *nwkExtendedPANID*. If *nwkExtendedPANID* has a non-zero
 5437 value, then the device SHOULD assume it has all the network parameters required to operate on a network. Note that
 5438 the device SHOULD assume the channel identifier present in its current network parameters but MAY need to scan

5439 over the ChannelMask if the *nwkExtendedPANID* is not found. In order for this to work effectively across power
5440 failures and processor resets, *nwkExtendedPANID* SHALL be placed in non-volatile storage.

5441 If the device has all necessary parameters to operate on a network, the application MAY choose to send a ZDO Device
5442 Announce. However, it is recommended that the stack jitter this message by *apsParentAnnounceBaseTimer* +
5443 *random(apsParentAnnounceJitterMax)* seconds after reset to avoid a network-wide power cycle triggering devices to
5444 flood the network with broadcasts

5445 If the device finds it is not connected to a network, then it SHOULD check the value of
5446 *apsDesignatedCoordinator*. If this attribute has a value of TRUE, then the device SHOULD follow the procedures for
5447 starting a network outlined in section 3.6.1.6 and SHOULD use the value of *apsChannelMaskList* for the ScanChan-
5448 nelsListStructure parameter of the NLME-NETWORK-FORMATION.request primitive, and set
5449 *nwkExtendedPANID* to the value given in *apsUseExtendedPANID* if *apsUseExtendedPANID* has a non-zero value.

5450 If the device is not the designated coordinator and *apsUseExtendedPANID* has a non-zero value, the device SHOULD
5451 attempt to verify connectivity to the network specified in *apsUseExtendedPANID*. Verifying connectivity may be
5452 done via an NLME-JOIN.request with *RejoinNetwork=TRUE* and *ExtendedPanID* equal to *apsUseExtendedPANID*,
5453 or an NLME-SYNC.request, or by sending a NWK Command End Device Timeout Request. If the device receives a
5454 correctly formatted response frame this indicates successful connectivity.³

5455 If the network rejoin attempt fails, and the value of the *apsUseInsecureJoin* attribute of the AIB has a value of TRUE,
5456 then the device SHOULD follow the procedure outlined in section 3.6.1.6 for joining a network, using *apsChannel-*
5457 *MaskList* any place that a ScanChannelsListStructure mask is called for. If *apsUseExtendedPANID* has a non-zero
5458 value, then the device SHOULD join only the specified network and the procedure SHOULD fail if that network is
5459 found to be inaccessible. If *apsUseExtendedPANID* is equal to 0x0000000000000000, then the device SHOULD join
5460 the best available network.

5461 2.5.4.5.6.3 Further Commissioning

5462 Once a device is on a network and capable of communicating with other devices on the network in a secure manner,
5463 other commissioning becomes possible. Other items that SHOULD be subject to commissioning are shown in Table
5464 2-132.

5465

Table 2-132. Additional Commissioning Attributes

Name	Reference	Comment
<i>apsBindingTable</i>	Table 2-24	The binding table for this device. Binding provides a separation of concerns in the sense that applications MAY operate without having to manage recipient address information for the frames they emit. This information can be input at commissioning time without the main application on the device even being aware of it.
<i>nwkSecurityMaterialSet</i>	Table 4-2	This set contains the network keying material, which SHOULD be accessible to commissioning applications.

³ CCB 3346

Name	Reference	Comment
<i>apsDeviceKeyPairSet</i>	Table 4-35	This is the set of link key pairs for devices that it wants to communicate using application layer encryption.
<i>apsTrustCenterAddress</i>	Table 4-35	The IEEE address of the Trust Center.
<i>nwkNetworkAddress</i>	Table 3-62	Commissioning applications MAY set the network short address of devices as long as address conflicts that MAY arise as a result are subject to address conflict resolution as described in section 3.6.1.10.

5466 **2.5.4.6 Network Manager**

5467 The Network Management function supports:

- 5468 • Network Discovery
- 5469 • Network Formation
- 5470 • Permit/Disable Associations
- 5471 • Association and Disassociation
- 5472 • Route Discovery
- 5473 • Network Reset
- 5474 • Radio Receiver State Enable/Disable
- 5475 • Get and Set of Network Management Information Block Data
- 5476 • Detecting and reporting interference
- 5477 • Receive network interference reports and change network channels if the particular node is identified as the network manager for the overall PAN

5479 Network Management performs the above functions with NLME-SAP primitives (see Chapter 3).

5480 **2.5.4.6.1 Optional and Mandatory Attributes Within Network Manager**

5481 The Network Manager is a mandatory object for all Zigbee Device Types.

5482 The Network Discovery, Get, and Set attributes (both requests and confirms) are mandatory for all Zigbee logical device types.

5484 If the Zigbee logical device type is Zigbee Coordinator, the NWK Formation request and confirm, the NWK Leave request, NWK Leave indication, NWK Leave confirm, NWK Join indication, NWK Permit Joining request, NWK Permit Joining confirm, NWK Route Discovery request, and NWK Route Discovery confirm SHALL be supported. 5487 The NWK Direct Join request and NWK Direct Join confirm MAY be supported. The NWK Join request and the 5488 NWK Join confirm SHALL NOT be supported.

5489 If the Zigbee logical device type is Zigbee Router, the NWK Formation request and confirm SHALL NOT be supported except if forming distributed networks. Additionally, the NWK Start Router request, NWK Start Router confirm, NWK Join request, NWK Join confirm, NWK Join indication, NWK Leave request, NWK Leave confirm, NWK Leave indication, NWK Permit Joining request, NWK Permit Joining confirm, NWK Route Discovery request, and 5493 NWK Route Discovery confirm SHALL be supported. The NWK Direct Join request and NWK Direct Join confirm 5494 MAY be supported.

5495 If the Zigbee logical device type is Zigbee End Device, the NWK Formation request and confirm plus the NWK Start
 5496 Router request and confirm SHALL NOT be supported. Additionally, the NWK Join indication and NWK Permit
 5497 Joining request SHALL NOT be supported. The NWK Join request, NWK Join confirm, NWK Leave request, NWK
 5498 Leave indication, NWK Leave confirm SHALL be supported.

5499 For all Zigbee logical devices types, the NWK Sync request, indication and confirm plus NWK reset request and
 5500 confirm plus NWK route discovery request and confirm SHALL be optional. Table 2-133 summarizes Network Man-
 5501 ager Attributes. See Chapter 3 for a description of any of the primitives listed in Table 2-133.

5502 For all Zigbee logical device types, reception of the NWK Network Status indication SHALL be supported, but no
 5503 action is required in this version of the specification.

5504

Table 2-133. Network Manager Attributes

Attribute	M/O	Type
NLME-GET.request	M	Private
NLME-GET.confirm	M	Private
NLME-SET.request	M	Private
NLME-SET.confirm	M	Private
NLME-NETWORK-AND-PARENT-DISCOVERY.request	M	Public
NLME-NETWORK-AND-PARENT-DISCOVERY.confirm	M	Public
NLME-NETWORK-FORMATION.request	O	Private
NLME-NETWORK-FORMATION.confirm	O	Private
NLME-START-ROUTER.request	O	Private
NLME-START-ROUTER.confirm	O	Private
NLME-JOIN.request	O	Private
NLME-JOIN.confirm	O	Private
NLME-JOIN.indication	O	Private
NLME-PERMIT-JOINING.request	O	Public
NLME-PERMIT-JOINING.confirm	O	Public
NLME-ADD-NEIGHBOR.request	O	Public
NLME-ADD-NEIGHBOR.confirm	O	Public
NLME_LEAVE.request	M	Public

Attribute	M/O	Type
NLME-LEAVE.confirm	M	Public
NLME_LEAVE.indication	M	Public
NLME-RESET.request	O	Private
NLME-RESET.confirm	O	Private
NLME-SYNC.request	O	Public
NLME-SYNC.indication	O	Public
NLME-SYNC.confirm	O	Public
NLME-NWK-STATUS.indication	M	Private
NLME-ROUTE-DISCOVERY.request	O	Public
NLME-ROUTE-DISCOVERY.confirm	O	Private
NLME-ED-SCAN.request	O	Private
NLME-ED-SCAN.confirm	O	Private
NLME-START-BACKOFF.request	O	Private

5505 A single device in the network can become the Network Channel Manager. The operation of the network channel
5506 manager is described in Annex E. All other devices in the network are responsible for tracking message delivery
5507 failures and reporting interference in accordance with Annex E.

5508 **2.5.4.7 Node Manager**

5509 The Node Manager supports the ability to request and respond to management functions. These management functions
5510 only provide visibility to external devices regarding the operating state of the device receiving the request.

5511 **2.5.4.8 Group Manager**

5512 The Group Manager supports the ability to include application objects within groups or to remove application objects
5513 from groups. The group management functions operate only on application objects within the local device. Mecha-
5514 nisms to manage groups on other devices are beyond the scope of this document.

5515 **2.5.5 Configuration Attributes**

5516 This attribute is used to represent the minimum mandatory and/or optional attributes used as configuration attributes
5517 for a device summarized in Table 2-134.

Table 2-134. Configuration Attributes

Attribute	M/O	Type
:Config_Node_Descriptor	M	Public
:Config_Power_Descriptor	M	Public
:Config_Simple_Descriptors	M	Public
:Config_NWK_Scan_Attempts	M	Private
:Config_NWK_Time_btwn_Scans	M	Private
:Config_Complex_Descriptor	Deprecated	N/A
:Config_User_Descriptor	Deprecated	N/A
:Config_Max_Bind	O	Private
:Config_Permit_Join_Duration	O	Public
:Config_NWK_Security_Level	O	Private
:Config_NWK_Secure_All_Frames	O	Private
:Config_NWK_BroadcastDeliveryTime	O	Private
:Config_NWK_TransactionPersistenceTime	O	Private
:Config_NWK_indirectPollRate	O	Private
:Config_Max_Assoc	O	Private
:Config_NWK_Join_Direct_Addrs	O	Public
:Config_Parent_Link_Retry_Threshold	O	Public
:Config_Rejoin_Interval	O	Public
:Config_Max_Rejoin_Interval	O	Public

5519
5520**2.5.5.1 Configuration Attribute Definitions**

Table 2-135. Configuration Attribute Definitions

Attribute	Description	When Updated
:Config_Node_Descriptor	Contents of the Node Descriptor for this device (see section 2.3.2.3).	The :Config_Node_Descriptor is either created when the application is first loaded or initialized with a commissioning tool prior to when the device begins operations in the network. It is used for service discovery to describe node features to external inquiring devices.
:Config_Power_Descriptor	Contents of the Power Descriptor for this device (see section 2.3.2.4).	The :Config_Power_Descriptor is either created when the application is first loaded or initialized with a commissioning tool prior to when the device begins operations in the network. It is used for service discovery to describe node power features to external inquiring devices.
:Config_Simple_Descriptors	Contents of the Simple Descriptor(s) for each active endpoint for this device (see section 2.3.2.5).	The :Config_Simple_Descriptors are created when the application is first loaded and are treated as “read-only.” The Simple Descriptor are used for service discovery to describe interfacing features to external inquiring devices.
:Config_NWK_Scan_Attempts	Integer value representing the number of scan attempts to make before the NWK layer decides which Zigbee coordinator or router to associate with (see section 2.5.4.5.1). This attribute has default value of 5 and valid values between 1 and 255.	The :Config_NWK_Scan_Attempts is employed within ZDO to call the NLME-NETWORK-AND-PARENT-DISCOVERY.request primitive the indicated number of times (for routers and end devices).
:Config_NWK_Time_btwn_Scans	Integer value representing the time duration (in OctetDurations) between each NWK discovery attempt described by :Config_NWK_Scan_Attempts (see section). This attribute has a default value of 0xc35 OctetDurations (100 milliseconds on 2.4GHz) and valid values between 1 and	The Config_NWK_Time_btwn_Scans is employed within ZDO to provide a time duration between the NLME-NETWORK-AND-PARENT-DISCOVERY.request attempts.

Attribute	Description	When Updated
	0x1f3fe1 OctetDurations (65535 milliseconds on 2.4GHz).	
:Config_Max_Bind	A constant which describes the maximum number of binding entries permitted.	The :Config_Max_Bind is a maximum number of supported Binding Table entries for this device.
:Config_Permit_Join_Duration	Permit Join Duration value set by the NLME-PERMIT-JOIN-ING.request primitive (see Chapter 3).	The default value for :Config_Permit_Join_Duration is 0x00, however, this value can be established differently according to the needs of the profile.
:Config_NWK_Security_Level	Security level of the network (see Chapter 3).	This attribute is used only on the Trust Center and is used to set the level of security on the network.
:Config_NWK_Secure_All_Frames	If all network frames SHOULD be secured (see Chapter 3).	This attribute is used only on the Trust Center and is used to determine if network layer security SHALL be applied to all frames in the network.
:Config_NWK_BroadcastDelivery-Time	See Table 2-134.	The value for this configuration attribute is established in the Stack Profile.
:Config_NWK_TransactionPersistenceTime	See Table 2-134.. This attribute is mandatory for the Zigbee coordinator and Zigbee routers and not used for Zigbee End Devices.	The value for this configuration attribute is established in the Stack Profile.

Attribute	Description	When Updated
:Config_NWK_Alt_protocol_version	Sets the list of protocol version numbers, other than the current protocol version number, that the device MAY choose to employ in a PAN that it joins. This attribute is applicable only to Zigbee routers or end devices. The protocol version numbers in the list SHALL refer to older versions of the Zigbee Specification.	:Config_NWK_Alt_protocol_version permits Zigbee routers and Zigbee end devices to join networks discovered that employ an earlier version of the Zigbee Specification; Since this attribute is optional, devices MAY also be created omitting this attribute which require only the current version of the Zigbee Specification; This attribute would be omitted in cases where certain features are required that are contained only in the current specification or where code size is limited in the device.
:Config_NWK_indirectPollRate	Sets the poll rate, in milliseconds, for the device to request indirect transmission messages from the parent.	The value for this configuration attribute is established by the application profile deployed on the device.
:Config_Max_Assoc	Sets the maximum allowed associations, either of routers, end devices, or both, to a parent router or coordinator.	The value for this configuration attribute is established by the stack profile in use on the device. Note that for some stack profiles, the maximum associations MAY have a dimension which provides for separate maximums for router associations and end device associations.
:Config_NWK_Join_Direct_Addrs	<p>Consists of the following fields:</p> <ul style="list-style-type: none"> • DeviceAddress - 64-bit IEEE address for the device to be direct joined. • CapabilityInformation - Operating capabilities of the device to be direct joined. • Link Key- If security is enabled, link key for use in the key-pair descriptor for this new device (see Table 4-36). <p>See section 3.2.2.16 for details.</p>	:Config_NWK_Join_Direct_Addrs permits the Zigbee Coordinator or Router to be pre-configured with a list of addresses to be direct joined.

Attribute	Description	When Updated
:Config_Parent_Link_Retry_Threshold	Contents of the link retry threshold for parent link (see section 2.5.4.5.5.2).	The Config_Parent_Link_Retry_Threshold is either created when the application is first loaded or initialized with a commissioning tool. It is used for the ZED to decide how many times it SHOULD retry to connect to the parent router before initiating the rejoin process.
:Config_Rejoin_Interval	Contents of the rejoin interval (see section 2.5.4.5.5.2).	The :Config_Rejoin_Interval is either created when the application is first loaded or initialized with a commissioning tool. It is used by the ZED to decide how often it SHOULD initiate the rejoin process.
:Config_MAX_Rejoin_Interval	Contents of the maximal rejoin interval (see section 2.5.4.5.5.2).	The :Config_MAX_Rejoin_Interval is either created when the application is first loaded or initialized with a commissioning tool. It is used by the ZED to set the maximum value permitted for :Config_Rejoin_Interval during the rejoin procedure.

5521

5522

CHAPTER 3. NETWORK SPECIFICATION

5523

3.1 General Description

5524

3.1.1 Network (NWK) Layer Overview

5525 The network layer is required to provide functionality to ensure correct operation of the IEEE Std 802.15.4 MAC sub-
5526 layer and to provide a suitable service interface to the application layer. To interface with the application layer, the
5527 network layer conceptually includes two service entities that provide the necessary functionality. These service entities
5528 are the data service and the management service. The NWK layer data entity (NLDE) provides the data transmission
5529 service via its associated SAP, the NLDE-SAP, and the NWK layer management entity (NLME) provides the man-
5530 agement service via its associated SAP, the NLME-SAP. The NLME utilizes the NLDE to achieve some of its man-
5531 agement tasks and it also maintains a database of managed objects known as the network information base (NIB).

5532

3.1.2 Network Layer Data Entity (NLDE)

5533 The NLDE SHALL provide a data service to allow an application to transport application protocol data units (APDU)
5534 between two or more devices. The devices themselves SHALL be located on the same network.

5535 The NLDE will provide the following services:

- 5536 • **Generation of the Network level PDU (NPDU):** The NLDE SHALL be capable of generating an NPDU from
5537 an application support sub-layer PDU through the addition of an appropriate protocol header.
- 5538 • **Topology-specific routing:** The NLDE SHALL be able to transmit an NPDU to an appropriate device that is
5539 either the final destination of the communication or the next step toward the final destination in the commu-
5540 cation chain.
- 5541 • **Security:** The ability to ensure both the authenticity and confidentiality of a transmission.

3.1.2.1 Network Layer Management Entity (NLME)

5542 The NLME SHALL provide a management service to allow an application to interact with the stack.

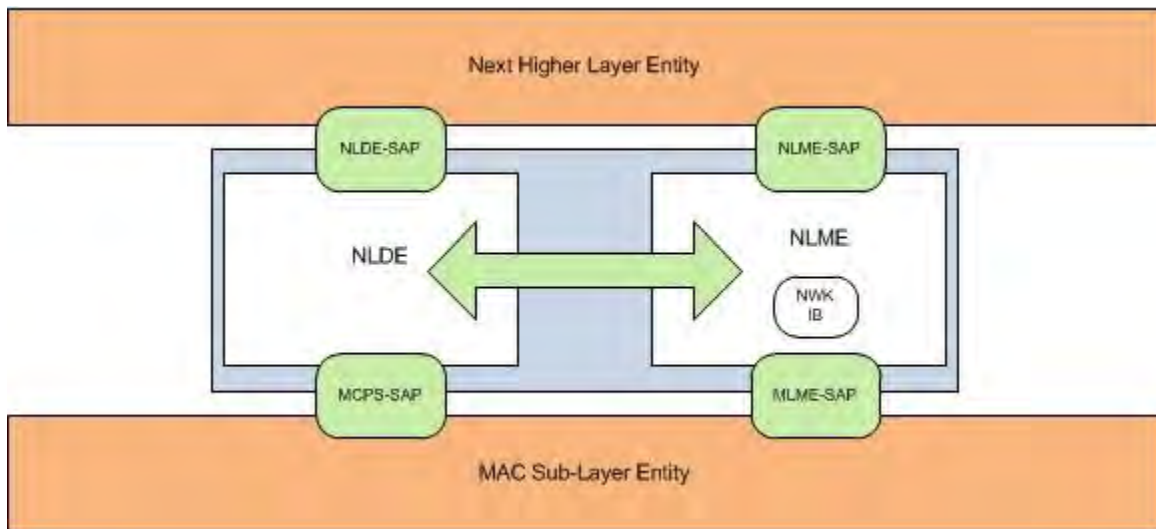
5543 The NLME SHALL provide the following services:

- 5545 • **Configuring a new device:** this is the ability to sufficiently configure the stack for operation as required. Con-
5546 figuration options include beginning an operation as a Zigbee coordinator or joining an existing network.
- 5547 • **Starting a network:** this is the ability to establish a new network.
- 5548 • **Joining, rejoining and leaving a network:** this is the ability to join, rejoin or leave a network as well as the
5549 ability of a Zigbee coordinator or Zigbee router to request that a device leave the network.
- 5550 • **Addressing:** this is the ability of Zigbee coordinators and routers to assign addresses to devices joining the net-
5551 work.
- 5552 • **Neighbor discovery:** this is the ability to discover, record, and report information pertaining to the one-hop
5553 neighbors of a device.
- 5554 • **Route discovery:** this is the ability to discover and record paths through the network, whereby messages MAY
5555 be efficiently routed.
- 5556 • **Reception control:** this is the ability for a device to control when the receiver is activated and for how long,
5557 enabling MAC sub-layer synchronization or direct reception.
- 5558 • **Routing:** this is the ability to use different routing mechanisms such as unicast, broadcast, many-to-one, or
5559 source routing to efficiently exchange data in the network.

5560 3.2 Service Specification

5561 Figure 3-1 depicts the components and interfaces of the NWK layer.

5562 The NWK layer provides two services, accessed through two service access points (SAPs). These are the NWK data
 5563 service, accessed through the NWK layer data entity SAP (NLDE-SAP), and the NWK management service, accessed
 5564 through the NWK layer management entity SAP (NLME-SAP). These two services provide the interface between the
 5565 application and the MAC sub-layer, via the MCPS-SAP and MLME-SAP interfaces (see [B1]). In addition to these
 5566 external interfaces, there is also an implicit interface between the NLME and the NLDE that allows the NLME to use
 5567 the NWK data service.



5568
5569

Figure 3-1. The NWK Layer Reference Model

5570 3.2.1 NWK Data Service

5571 The NWK layer data entity SAP (NLDE-SAP) supports the transport of application protocol data units (APDUs)
 5572 between peer application entities. Table 3-1 lists the primitives supported by the NLDE-SAP and the sections in which
 5573 these primitives are discussed.

5574

Table 3-1. NLDE-SAP Primitives

NLDE-SAP Primitive	Request	Confirm	Indication
NLDE-DATA	3.2.1.1	3.2.1.2	3.2.1.3

5575 3.2.1.1 NLDE-DATA.request

5576 This primitive requests the transfer of a data PDU (NSDU) from the local APS sub-layer entity to a single or multiple
 5577 peer APS sub-layer entities.

5578

5579 **3.2.1.1.1 Semantics of the Service Primitive**

5580 The semantics of this primitive are as follows:

```

5581     NLDE-DATA.request      {
5582                             DstAddrMode,
5583                             DstAddr,
5584                             NsduLength,
5585                             Nsdu,
5586                             NsduHandle,
5587                             UseAlias,
5588                             AliasSrcAddr,
5589                             AliasSeqNumber,
5590                             Radius,
5591                             DiscoverRoute,
5592                             SecurityEnable
5593                             }

```

5594 Table 3-2 specifies the parameters for the NLDE-DATA.request primitive. Support of the additional parameters
5595 UseAlias, AliasSrcAddr, AliasSeqNumb in the NLDE-DATA.request primitive is required if GP feature is to be sup-
5596 ported by the implementation.

5597 **Table 3-2. NLDE-DATA.request Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x02	The type of destination address supplied by the DstAddr parameter. 0x02=16-bit network address of a device or a 16-bit broadcast address
DstAddr	16-bit address	0x0000 – 0xffff	Destination address.
NsduLength	Integer	0 to $aMaxPHYPacketSize - (nwkcMACFrameOverhead + nwkcMinHeaderOverhead)$	The number of octets comprising the NSDU to be transferred.
Nsdu	Set of octets	-	The set of octets comprising the NSDU to be transferred.
NsduHandle	Integer	0x00 – 0xff	The handle associated with the NSDU to be transmitted by the NWK layer entity.

Name	Type	Valid Range	Description
UseAlias	Boolean	TRUE or FALSE	The next higher layer MAY use the UseAlias parameter to request alias usage by NWK layer for the current frame. If the <i>UseAlias</i> parameter has a value of FALSE, meaning no alias usage, then the parameters <i>AliasSrcAddr</i> and <i>AliasSeqNumb</i> will be ignored. Otherwise, a value of TRUE denotes that the values supplied in <i>AliasSrcAddr</i> and <i>AliasSeqNumb</i> are to be used.
AliasSrcAddr	16-bit address	Any valid device address except a broadcast address	The source address to be used for this NSDU. If the <i>UseAlias</i> parameter has a value of FALSE, the <i>AliasSrcAddr</i> parameter is ignored.
AliasSeqNumb	Integer	0x00 – 0xff	The sequence number to be used for this NSDU. If the <i>UseAlias</i> parameter has a value of FALSE, the <i>AliasSeqNumb</i> parameter is ignored.
Radius	Unsigned integer	0x00 – 0xff	The distance, in hops, that a frame will be allowed to travel through the network.
DiscoverRoute	Integer	0x00 – 0x01	The DiscoverRoute parameter MAY be used to control route discovery operations for the transit of this frame (see section 3.6.4.5): 0x00 = suppress route discovery 0x01 = enable route discovery
SecurityEnable	Boolean	TRUE or FALSE	The SecurityEnable parameter MAY be used to enable NWK layer security processing for the current frame. If the <i>nwkSecurityLevel</i> attribute of the NIB has a value of 0, meaning no security, then this parameter will be ignored. Otherwise, a value of TRUE denotes that the security processing specified by the security level will be applied, and a value of FALSE denotes that no security processing will be applied.

5598 3.2.1.1.2 When Generated

5599 This primitive is generated by a local APS sub-layer entity whenever a data PDU (NSDU) is to be transferred to a
5600 peer APS sub-layer entity.

5601 3.2.1.1.3 Effect on Receipt

5602 If this primitive is received on a device that is not currently associated, the NWK layer will issue an NLDE-DATA.confirm primitive with a status of INV_REQUESTTYPE.
5603

5604 On receipt of this primitive, the NLDE first constructs an NPDU in order to transmit the supplied NSDU. If, during
5605 processing, the NLDE issues the NLDE-DATA.confirm primitive prior to transmission of the NSDU, all further pro-
5606 cessing is aborted. In constructing the new NPDU, the destination address field of the NWK header will be set to the
5607 value provided in the DstAddr parameter. If the UseAlias parameter has a value of TRUE, the source address field
5608 of the NWK header of the frame will be set to the value provided in the AliasSrcAddr parameter. If the UseAlias
5609 parameter has a value of FALSE, then the source address field will have the value of the *macShortAddress* attribute
5610 in the MAC PIB. The discover route sub-field of the frame control field of the NWK header will be set to the value
5611 provided in the DiscoverRoute parameter. If the supplied Radius parameter does not have a value of zero, then the
5612 radius field of the NWK header will be set to the value of the Radius parameter. If the Radius parameter has a value
5613 of zero, then the radius field of the NWK header will be set to twice the value of the *nwkMaxDepth* attribute of the
5614 NIB. If the UseAlias parameter has a value of TRUE, the sequence number field of the NWK header of the frame will
5615 be set to the value provided in the AliasSeqNumb parameter. If the UseAlias parameter has a value of FALSE, then
5616 the NWK layer will generate a sequence number for the frame as described in section 3.6.2.1 and the sequence number
5617 field of the NWK header of the frame will be set to this sequence number value.

5618 Once the NPDU is constructed, the NSDU is routed using the procedure described in section 3.6.4.3 if it is a unicast,
5619 or section 3.6.5 if it is a broadcast. When the routing procedure specifies that the NSDU is to be transmitted, this is
5620 accomplished as follows.

- 5621 1. The device SHALL find the next hop address determined by the addressing mode and the routing procedure.
- 5622 2. If the next hop is the MAC broadcast address 0xFFFF, do the following:
 - 5623 a) Determine the set of currently active MAC interfaces, comprising all entries in the *nwkMacInterfaceTable*,
5624 where the Enabled field is set as TRUE.
 - 5625 b) If this set is empty, proceed as follows:
 - 5626 i) Issue an NLDE-DATA.confirm primitive with a status value of INVALID_INTERFACE.
 - 5627 ii) No further processing SHALL be done.
 - 5628 c) If the set is not empty, go to step 6 and repeat for each MAC SAP instance in the set of active interfaces.
- 5629 3. Examine the *nwkNeighborTable* and find the entry where the Network Address element is equal to the next hop.
- 5630 4. If no entry can be found, the NLDE SHALL do the following.
 - 5631 a. Issue an NLDE-DATA.confirm with a status of ROUTE_ERROR.
 - 5632 b. No further processing SHALL be done.
- 5633 5. If an entry is found, do the following.
 - 5634 a. Using the value of the *MacInterfaceIndex* of the *nwkNeighborTable* entry lookup the corresponding index in
5635 the *nwkMacInterfaceTable*.
 - 5636 b. If the Enabled field of the *nwkMacInterfaceTable* entry has a value of FALSE, do the following.
 - 5637 i. The NLDE SHALL issue an NLDE-DATA.confirm primitive with a status value of INVALID_INTER-
5638 FACE.
 - 5639 ii. No further processing SHALL be done.
- 5640 6. If the DstAddr is set to All Devices in PAN (0xFFFF), *macRXOnWhenIdle=TRUE* (0xFFFFD) or All routers
5641 and coordinators (0xFFFFC) then examine that entry in the *nwkMacInterfaceTable*.
 - 5642 a. If *RoutersAllowed* is set to TRUE, do the following.
 - 5643 i. Issue an MCPS-DATA.request with the following parameters.
 - 5644 1. *SrcAddrMode* SHALL be set to SHORT.

- 5645 2. DstAddrMode SHALL be set to SHORT.
 5646 3. DstAddr SHALL be set to 0xFFFF.
 5647 4. IndirectTX SHALL be set to FALSE.
 5648 b. If RoutersAllowed is set to FALSE, keep processing.
 5649 7. Examine the nwkNeighborTable. For each device where macRxOnWhenIdle=FALSE, perform the following:
 5650 a. Issue an MCPS-DATA.request with the following values.
 5651 i. SrcAddrMode SHALL be set to SHORT.
 5652 ii. DstAddrMode SHALL be set to SHORT.
 5653 iii. DstAddr SHALL be set to the value of the NetworkAddress in entry of the nwkNeighborTable.
 5654 iv. IndirectTX SHALL be set to TRUE.

5655 The NWK layer will retry unicast transmissions to avoid transient failures at the MAC layer. These retries will be
 5656 delayed in time to avoid short term interference or collisions that cause all MAC retries to fail. Broadcast transmissions
 5657 at the Network layer use a passive acknowledgement mechanism to verify transmission.

5658 On receipt of the MCPS-DATA.confirm primitive on a unicast the NLDE SHALL examine the status. If the MCPS-
 5659 DATA status indicates a NO_ACK, TRANSACTION_OVERFLOW or a CHANNEL_ACCESS_FAILURE, the
 5660 NLDE SHALL issue additional MCPS-DATA.request attempts up to *nwkUnicastRetries*. Each attempt SHALL be
 5661 delayed by at least *nwkUnicastRetryDelay* and SHALL be re-encrypted with the newest network frame counter.
 5662 After a MCPS-DATA.confirm is generated indicating success or all retries are exhausted the NLDE issues the NLDE-
 5663 DATA.confirm primitive with a status equal to the last received status from the MAC sub-layer.

5664 On receipt of a MCPS-DATA.confirm primitive from a broadcast, the NLDE immediately issues the NLDE-
 5665 DATA.confirm primitive with the resulting status.

5666 If the *nwkSecurityLevel* NIB attribute has a non-zero value and the SecurityEnable parameter has a value of TRUE,
 5667 then NWK layer security processing will be applied to the frame before transmission as described in section 4.3.
 5668 Otherwise, no security processing will be performed at the NWK layer for this frame. The security processing SHALL
 5669 always be performed using device's own extended 64-bit IEEE address and Outgoing Frame Counter attribute of the
 5670 NIB, and those values SHALL be put into the auxiliary NWK header of the frame, even if UseAlias parameter has a
 5671 value of TRUE. If security processing is performed and it fails for any reason, then the frame is discarded and the
 5672 NLDE issues the NLDE-DATA.confirm primitive with a Status parameter value equal to that returned by the security
 5673 suite.

5674 **3.2.1.2 NLDE-DATA.confirm**

5675 This primitive reports the results of a request to transfer a data PDU (NSDU) from a local APS sub-layer entity to a
 5676 single peer APS sub-layer entity.

5677 **3.2.1.2.1 Semantics of the Service Primitive**

5678 The semantics of this primitive are as follows:

5679	NLDE-DATA.confirm	{
5680		Status
5681		NsduHandle,
5682		TxTime
5683		}

5684 Table 3-3 specifies the parameters for the NLDE-DATA.confirm primitive.

5685

Table 3-3. NLDE-DATA.confirm Parameters

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE, MAX_FRM_COUNTER, NO_KEY, BAD_CCM_OUTPUT, ROUTE_ERROR, BT_TABLE_FULL, FRAME_NOT_BUFFERED or any status values returned from security suite or the MCPS-DATA.confirm primitive (see [B1]).	The status of the corresponding request.
NsduHandle	Integer	0x00 – 0xff	The handle associated with the NSDU being confirmed.
TxTime	Integer	Implementation specific	A time indication for the transmitted packet based on the local clock. The time SHOULD be based on the same point for each transmitted packet in a given implementation. This value is only provided if <i>nwkTimeStamp</i> is set to TRUE.

5686 3.2.1.2.2 **When Generated**

5687 This primitive is generated by the local NLDE in response to the reception of an NLDE-DATA.request primitive.

5688 The Status field will reflect the status of the corresponding request, as described in section 3.2.1.1.3.

5689 3.2.1.2.3 **Effect on Receipt**

5690 On receipt of this primitive, the APS sub-layer of the initiating device is notified of the result of its request to transmit.
5691 If the transmission attempt was successful, the Status parameter will be set to SUCCESS. Otherwise, the Status pa-
5692 rameter will indicate the error.

5693 3.2.1.3 **NLDE-DATA.indication**

5694 This primitive indicates the transfer of a data PDU (NSDU) from the NWK layer to the local APS sub-layer entity.

5695 **3.2.1.3.1 Semantics of the Service Primitive**

5696 The semantics of this primitive are as follows:

```

5697     NLDE-DATA.indication      {
5698                               DstAddrMode,
5699                               DstAddr,
5700                               SrcAddr,
5701                               NsduLength,
5702                               Nsdu,
5703                               LQA*,
5704                               RxTime,
5705                               SecurityUse
5706                               }

```

5707 Table 3-4 specifies the parameters for the NLDE-DATA.indication primitive.

5708 **Table 3-4. NLDE-DATA.indication Parameters**

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x02	The type of destination address supplied by the DstAddr parameter. This MAY have the following value: 0x02=16-bit network address of a device or a 16-bit broadcast address
DstAddr	16-bit Address	0x0000 – 0xffff	The destination address to which the NSDU was sent.
SrcAddr	16-bit Device address	Any valid device address except a broadcast address	The individual device address from which the NSDU originated.
NsduLength	Integer	0 to $aMaxPHYPacketSize - (nwkcMACFrameOverhead + nwkcMinHeaderOverhead)$	The number of octets comprising the NSDU being indicated.
Nsdu	Set of octets	–	The set of octets comprising the NSDU being indicated.
LQA (LQI)	Integer	0x00 – 0xff	The estimated link quality for RF transmission from this device. See section 3.6.3 for a discussion of how this is calculated. This field SHALL be present in every neighbor table entry. When Active Power Control is used on this link, LQI SHALL be used instead of LQA.

Name	Type	Valid Range	Description
RxTime	Integer	Implementation specific	A time indication for the received packet based on the local clock. The time SHOULD be based on the same point for each received packet on a given implementation. This value is only provided if <i>nwkTimeStamp</i> is set to TRUE.
SecurityUse	Boolean	TRUE or FALSE	An indication of whether the received data frame is using security. This value is set to TRUE if security was applied to the received frame or FALSE if the received frame was unsecured.

5709 3.2.1.3.2 When Generated

5710 This primitive is generated by the NLDE and issued to the APS sub-layer on receipt of an appropriately addressed
5711 data frame from the local MAC sub-layer entity.

5712 3.2.1.3.3 Effect on Receipt

5713 On receipt of this primitive, the APS sub-layer is notified of the arrival of data at the device.

5714 3.2.2 NWK Management Service

5715 The NWK layer management entity SAP (NLME-SAP) allows the transport of management commands between the
5716 next higher layer and the NLME. Table 3-5 lists the primitives supported by the NLME through the NLME-SAP
5717 interface and the sections containing details on each of these primitives.

5718 **Table 3-5. Summary of the Primitives Accessed Through the NLME-SAP**

Name	Section Number in this Specification			
	Request	Indication	Response	Confirm
NLME-NETWORK-AND-PARENT-DISCOVERY	3.2.2.3			3.2.2.4
NLME-NETWORK-FORMATION	3.2.2.5			3.2.2.6
NLME-PERMIT-JOINING	3.2.2.7			3.2.2.8
NLME-START-ROUTER	3.2.2.9			3.2.2.10
NLME-ED-SCAN	3.2.2.11			3.2.2.12
NLME-JOIN	3.2.2.13	3.2.2.14		3.2.2.15
NLME-ADD-NEIGHBOR	3.2.2.16			3.2.2.17

Name	Section Number in this Specification			
	Request	Indication	Response	Confirm
NLME-LEAVE	3.2.2.18	3.2.2.19		3.2.2.20
NLME-RESET	3.2.2.21			3.2.2.22
NLME-SYNC	3.2.2.24			3.2.2.25
NLME-GET	3.2.2.27			3.2.2.28
NLME-SET	3.2.2.29			3.2.2.30
NLME-NWK-STATUS		3.2.2.31		
NLME-ROUTE-DISCOVERY	3.2.2.32			3.2.2.33
NLME-SET-INTERFACE	3.2.2.34			3.2.2.35
NLME-GET-INTERFACE	3.2.2.36			3.2.2.37

5719 3.2.2.1 MAC Interfaces

5720 The NWK layer MAY optionally support one or more MAC interface. Where there are multiple MAC interfaces to a
5721 single NWK layer, they SHALL all use the same PANID. Multi-MAC Devices SHALL use the same EUI64 and short
5722 address on all MAC interfaces. These interfaces MAY be enabled or disabled independently. At least one entry in the
5723 nwkMacInterfaceTable SHALL have an Enabled field set to TRUE for the network layer to be considered formed or
5724 joined.

5725 3.2.2.2 Network Management Data Structures

5726 The following network management data structures are utilized by the NLME primitives.

5727 3.2.2.2.1 Channel List Structure

5728 To convey channel information for a device that MAY be operating on multiple MAC interfaces it is necessary to
5729 utilize a data structure that can convey this complete information. Channels are divided into groups known as pages.
5730 Each page indicates information about a particular band while the list of channels is indicated via bits within the page.
5731 A ChannelList structure SHALL contain only one instance of each channel page. Table 3-6 describes the ChannelList
5732 structure.

5733

5734

Table 3-6. Field Descriptions of the ChannelList Structure

Name	Type	Valid Range	Description
Channel Page Count	Integer	0 – 255	The number of Channel Page Structures contained within the Channel List Structure
Channel Page Structure	Channel Page Structure	Variable	The set of channels for this channel page. See Table 3-7.

5735 Table 3-7 describes the fields of the Channel Page structure.

5736

Table 3-7. Field Descriptions of the Channel Page Structure

Name	Type	Valid Range	Description
Channels Field	Bitmap	32-bit field	The five most significant bits (b27,..., b31) represent the binary encoded Channel Page. The 27 least significant bits (b0, b1,... b26) indicate which channels are to be scanned (1 = scan, 0 = do not scan) for each of the 27 valid channels

5737 Annex D defines the list of valid channel pages and their frequency bands.

5738

Table 3-8. Over-the-air Format of the Channel List Structure

Octets: 1	Octets: 4	Octets: 0/4	Octets: 0/4...
Channel Page Count	First Channel Page	n Channel Page	n+1 Channel Page

5739 Note: 32-bit Channel Page structures are encoded as little-endian values in over-the-air frames.

5740 **3.2.2.2.2 Validating the List of Channels**

5741 Any primitive that accepts a Channel List Structure SHALL validate the list of channels is acceptable. For each chan-
 5742 nel specified in the primitive's Channel List Structure do the following. For each entry in the *nwkMacInterfaceTable*
 5743 where the Enabled field of the interface is set to TRUE, examine if the Channel page and Channel passed to the
 5744 primitive corresponds to a Channel Page and Channel enumerated in the Channel List Structure of the *nwkMacInter-*
 5745 *faceTable* entry. If all entries have been examined and the channel does not match then processing SHALL fail for
 5746 that primitive.

5747 **3.2.2.2.3 Energy Detect List Structure**

5748 The energy detect list structure is used to convey energy values for each channel that was scanned. Table 3-9 indicates
 5749 the format.

5750

Table 3-9. Field Descriptions of the EnergyDetectListStructure

Name	Type	Valid Range	Description
Channel Count	Integer	0 – 255	The number of EnergyDetectChannelInfo items in the EnergyDetectListStructure, which is the total number of channels scanned.
ChannelInfo	EnergyDetectChannelInfo	Variable	See Table 3-10.

5751 Table 3-10 describes the format of the EnergyDetectChannelInfo.

5752

Table 3-10. Field Descriptions of the EnergyDetectChannelInfo

Name	Type	Valid Range	Description
ChannelPage	Integer	0 - 31	The channel page of the channel that was scanned.
ChannelNumber	Integer	0 – 26	The channel number within the channel page.
EnergyDetected	Integer	0 – 255	The energy measurement.

5753

3.2.2.3 NLME-NETWORK-AND-PARENT-DISCOVERY.request

5754

This primitive allows the next higher layer to request that the NWK layer discover networks currently operating within the POS.

5755

5756

3.2.2.3.1 Semantics of the Service Primitive

5757

The semantics of this primitive are as follows:

5758

```

NLME-NETWORK-AND-PARENT-DISCOVERY.request {
    ScanChannelsListStructure,
    ScanDuration,
    OnlyPermitJoinNetworks,
    OnlyEndDeviceCapacity
}

```

5759

5760

5761

5762

5763

5764

Table 3-11 specifies the parameters for the NLME-NETWORK-AND-PARENT-DISCOVERY.request primitive.

5765

Table 3-11. NLME-NETWORK-And-PARENT-DISCOVERY.request Parameters

Name	Type	Valid Range	Description
ScanChannelsListStructure	Channel List Structure	Varies	A list of channel pages and the channels within those pages that the discovery SHALL be performed upon.
ScanDuration	Integer	0x00 – 0x0e	A value used to calculate the length of time to spend scanning each channel: The time spent scanning each channel is (<i>aBaseSuperframeDuration</i> * (2 ⁿ + 1)) symbols, where n is the value of the ScanDuration parameter. For more information on MAC sub-layer scanning (see [B1]).
OnlyPermitJoinNetworks	Boolean	TRUE or FALSE	This indicates that only beacons with Association Permit set to TRUE SHALL be considered as parents.
OnlyEndDeviceCapacity	Boolean	TRUE or FALSE	This indicates that only beacons with TRUE for the End Device Capacity field of the Zigbee Beacon Info field SHALL be considered.

5766

3.2.2.3.2 When Generated

5767

This primitive is generated by the next higher layer of a Zigbee device and issued to its NLME to request the discovery of networks operating within the device's personal operating space (POS).

5768

5769 3.2.2.3.3 Effect on Receipt

5770 For each interface in the `nwkMacInterfaceTable` perform the following:

- 5771 1. Determine if the `SupportedChannels` value in the entry contains channels that are to be scanned, as specified in
5772 the `ScanChannelsListStructure`. If no channels are supported, return `INVALID_PARAMETER` and no further
5773 processing SHALL be done.
- 5774 2. If a channel in the interface is to be scanned, perform an `MLME-SCAN.request` as follows:
 - 5775 i. Set the `ScanType` of `MLME ScanType` parameter to the `ScanType` of the `nwkMacInterfaceTable` entry.
 - 5776 ii. Set the `ScanChannels` to the intersection of the channels of the `SupportedChannels` item in the `nwkMacIn-`
5777 `terfaceTable` entry and the `ScanChannelsListStructure` passed to this primitive.
 - 5778 iii. For each `MLME-SCAN.confirm` primitive the beacons SHALL be processed according to section 3.6.1.3
5779 Network and Parent Discovery.

5780 On receipt of the last `MLME-SCAN.confirm` primitive, the NLME issues the `NLME-NETWORK-AND-PARENT-`
5781 `DISCOVERY.confirm` primitive containing the information about the discovered networks with a `Status` parameter
5782 value equal to that returned with the `MLME-SCAN.confirm`.

5783 3.2.2.4 NLME-NETWORK-AND-PARENT-DISCOVERY.confirm

5784 This primitive reports the results of a network and parent discovery operation. Details of the networks and parents
5785 discovered can be retrieved via the `NLME-GET.request` for the `nwkDiscoveryTable` NIB attribute.

5786 3.2.2.4.1 Semantics of the Service Primitive

5787 The semantics of this primitive are as follows:

5788	<code>NLME-NETWORK-AND-PARENT-DISCOVERY.confirm</code>	{
5789		<code>Status</code>
5790		}

5791 Table 3-12 describes the arguments of the `NLME-NETWORK-AND-PARENT-DISCOVERY.confirm` primitive.

5792 **Table 3-12. NLME-NETWORK-AND-PARENT-DISCOVERY.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	Any status value returned with the <code>MLME-SCAN.confirm</code> primitive.	See [B1].

5793 3.2.2.4.2 When Generated

5794 On receipt of all the `MLME-SCAN.confirm` primitive(s), the NLME issues the `NLME-NETWORK-AND-PARENT-`
5795 `DISCOVERY.confirm` primitive containing the `Status` parameter value equal to that returned with the `MLME-`
5796 `SCAN.confirm`.

5797 3.2.2.4.3 Effect on Receipt

5798 On receipt of this primitive, the next higher layer is notified of the results of a network search. The next higher layer
5799 MAY use the `NLME-GET.request` primitive to retrieve the results stored in the `nwkDiscoveryTable` of the NIB.

5800 3.2.2.5 NLME-NETWORK-FORMATION.request

5801 This primitive allows the next higher layer to request that the device start a new Zigbee network with itself as the
5802 coordinator and subsequently make changes to its superframe configuration.

5803 **3.2.2.5.1 Semantics of the Service Primitive**

5804 The semantics of this primitive are as follows:

```

5805     NLME-NETWORK-FORMATION.request      {
5806                                         ScanChannelsListStructure,
5807                                         ScanDuration,
5808                                         BeaconOrder,
5809                                         SuperframeOrder,
5810                                         BatteryLifeExtension
5811                                         DistributedNetwork
5812                                         DistributedNetworkAddress
5813
5814                                         }

```

5815 Table 3-13 specifies the parameters for the NLME-NETWORK-FORMATION.request primitive.

5816 **Table 3-13 NLME-NETWORK-FORMATION.request Parameters**

Name	Type	Valid Range	Description
ScanChannelsListStructure	Channel List Structure	Varies	The list of all channel pages and the associated channels that SHALL be scanned.
ScanDuration	Integer	0x00 – 0x0e	A value used to calculate the length of time to spend scanning each channel. The time spent scanning each channel is (<i>aBaseSuperframeDuration</i> * (2 <i>n</i> + 1)) symbols, where <i>n</i> is the value of the ScanDuration parameter (see [B1]).
BeaconOrder	Integer	0x00 – 0x0f	The beacon order of the network that the higher layers wish to form.
SuperframeOrder	Integer	0x00 – 0x0f	The superframe order of the network that the higher layers wish to form.
BatteryLifeExtension	Boolean	TRUE or FALSE	If this value is TRUE, the NLME will request that the Zigbee coordinator is started supporting battery life extension mode; If this value is FALSE, the NLME will request that the Zigbee coordinator is started without supporting battery life extension mode.
DistributedNetwork	Boolean	TRUE or FALSE	If this value is TRUE then it indicates that distributed network security will be used and therefore it is permissible for a Zigbee router to form the network. If FALSE, then this primitive MAY only be called by the Zigbee Coordinator.

Name	Type	Valid Range	Description
DistributedNetwork-Address	Integer	0x0001 – 0xFFFF7	The address the device will use when forming a distributed network.

5817 3.2.2.5.2 When Generated

5818 This primitive is generated by the next higher layer of a Zigbee coordinator-capable device and issued to its NLME
5819 to request the initialization of itself as the Zigbee coordinator of a new network.

5820 3.2.2.5.3 Effect on Receipt

5821 1. If DistributedNetwork is set to FALSE and the device is not capable of being a Zigbee coordinator, the NLME
5822 SHALL issue the NLME-NETWORK-FORMATION.confirm primitive with the Status parameter set to
5823 INV_REQUESTTYPE.

5824 2. If DistributedNetwork is set to TRUE and the device is not capable of being a Zigbee router then NLME issues
5825 the NLME-NETWORK-FORMATION.confirm primitive with the Status parameter set to INV_RE-
5826 QUESTTYPE.

5827 3. If DistributedNetwork is set to TRUE and the DistributedNetworkAddress is outside the valid range then pro-
5828 cessing SHALL fail with the Status parameter set to INV_REQUESTTYPE.

5829 4. On receipt of this primitive the NLME SHALL first validate the ChannelListStructure parameter according to
5830 section 3.2.2.2.2. If validation fails the NLME-NETWORK-FORMATION.confirm primitive SHALL be issued
5831 with a Status parameter set to INVALID_PARAMETER.

5832 5. Determine the corresponding interface entries in the nwkMacInterfaceTable where the SupportedChannels pa-
5833 rameter indicates support for the channels that were indicated within the ScanChannelsListStructure. If multiple
5834 Channel Pages are indicated the scan SHALL be repeated for each page. When multiple channels are provided to
5835 the NLME-FORMATION.request it is recommended to perform an energy detection scan to determine the chan-
5836 nel with the lowest energy. After selecting that channel, it is required to pick a random PANID and recommended
5837 to perform an active scan to ensure it does not conflict with any of the currently operating 802.15.4 PANs.

5838 6. Using those interfaces' MLME, do the following.

5839 a. Initiate one or more MLME-SCAN.request primitives with the following parameters.

5840 i. ScanType SHALL be set to ED.

5841 ii. ScanChannels SHALL be set to the channels indicated in the NLME ScanChannelsListStructure param-
5842 eter for the relevant page.

5843 iii. ChannelPage SHALL be set to the corresponding channel page indicated in the NLME ScanChan-
5844 nelsListStructure parameter.

5845 iv. ScanDuration SHALL be set to the value indicated in the NLME ScanDuration parameter.

5846 b. Upon receipt of each MLME-SCAN.confirm, do the following:

5847 i. If the MLME-SCAN.confirm status does not indicate SUCCESS, issue an NLME-NETWORK-FOR-
5848 MATION.confirm with the corresponding Status returned by the MLME. No further processing of the NLME
5849 SHALL be done.

5850 ii. If the Status indicates SUCCESS, pick a list of acceptable channels on which to perform an active scan.

5851 c. Initiate one or more MLME-SCAN.request primitives with the following parameters.

5852 i. ScanType SHALL be set to ACTIVE.

5853 ii. ScanChannels SHALL be set to the acceptable channels indicated in step b ii above

5854 iii. ChannelPage SHALL be set to the corresponding channel page indicated in step b ii above

- 5855 iv. ScanDuration SHALL be set to the value indicated in the NLME ScanDuration parameter.
- 5856 d. Upon receipt of each MLME-SCAN.confirm, do the following.
- 5857 i. If the Status does not indicate SUCCESS, or NO_BEACON, issue an NLME-NETWORK-FOR-
- 5858 MATION.confirm with the corresponding Status returned by the MLME. No further processing of the
- 5859 NLME SHALL be done.
- 5860 ii. After receipt of the last MLME-SCAN.confirm, if the Status indicates SUCCESS or NO_BEACON,
- 5861 review the list of returned PAN descriptors and, for each interface identified in 5. above, find the first
- 5862 channel with the lowest number of existing networks on which to form a network, favoring a channel
- 5863 with no detected networks. Pick a random PAN Identifier that does not match any of the values returned
- 5864 in the PANDescriptorList of the MLME-SCAN.confirm for the selected channels.
- 5865 e. If no suitable channel or PAN identifier can be found, the NLME issues the NLME-NETWORK-FOR-
- 5866 MATION.confirm primitive with the Status parameter set to STARTUP_FAILURE.
- 5867 f. Once suitable channel(s) and PAN identifier are found, an address SHALL be chosen and the MAC sub-layer
- 5868 informed of the resultant address.
- 5869 i. Issue an MLME-SET.request for the MIB value macShortAddress.
- 5870 1. If the DistributedNetwork parameter is set to FALSE, set the MIB value to 0x0000.
- 5871 2. If the DistributedNetwork parameter is set to TRUE, set the MIB value to the DistributedNetwork-
- 5872 Address.
- 5873 g. If the NIB attribute nwkExtendedPANId is equal to 0x0000000000000000, do the following:
- 5874 i. Perform an MLME-GET.request for the value macExtendedAddress.
- 5875 ii. Set the nwkExtendedPANId equal to the value of macExtendedAddress.
- 5876 h. The device SHALL issue an MLME-START.request to the appropriate MAC sub-layers.
- 5877 i. If DistributedNetwork is FALSE, set PANCoordinator parameter to TRUE. Otherwise, set PANCoordi-
- 5878 nator to FALSE.
- 5879 ii. Set BeaconOrder, SuperframeOrder, and BatteryLifeExtension parameters to the values indicated in the
- 5880 NLME-NETWORK-FORMATION.request.
- 5881 iii. CoordRealignment parameter SHALL be set to FALSE.
- 5882 i. On receipt of the last MLME-START.confirm primitive, issue an NLME-NETWORK-FORMATION.con-
- 5883 firm primitive to the next higher layer setting the Status to the Status value returned by the MLME-
- 5884 START.confirm primitive.

5885 Note: It is possible for the application to delay enabling additional MAC interfaces until it is necessary to do so. This

5886 MAY be done to conserve bandwidth or for other administrative reasons.

5887 **3.2.2.6 NLME-NETWORK-FORMATION.confirm**

5888 This primitive reports the results of the request to initialize a Zigbee coordinator in a network.

5889

5890 3.2.2.6.1 Semantics of the Service Primitive

5891 The semantics of this primitive are as follows:

5892	NLME-NETWORK-FORMATION.confirm	{
5893		Status
5894		}

5895 Table 3-14 specifies the parameters for the NLME-NETWORK-FORMATION.confirm primitive.

5896 **Table 3-14. NLME-NETWORK-FORMATION.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE, STARTUP_FAILURE, or any status value returned from the MLME-START.confirm primitive.	The result of the attempt to initialize a Zigbee coordinator.

5897 3.2.2.6.2 When Generated

5898 This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-NETWORK-
5899 FORMATION.request primitive. This primitive returns a status value of INV_REQUESTTYPE, STARTUP_FAIL-
5900 URE or any status value returned from the MLME-START.confirm primitive. Conditions under which these values
5901 MAY be returned are described in section 3.2.2.5.3.

5902 3.2.2.6.3 Effect on Receipt

5903 On receipt of this primitive, the next higher layer is notified of the results of its request to initialize the device as a
5904 Zigbee coordinator. If the NLME has been successful, the Status parameter will be set to SUCCESS. Otherwise, the
5905 Status parameter indicates the error.

5906 3.2.2.7 NLME-PERMIT-JOINING.request

5907 This primitive allows the next higher layer of a Zigbee coordinator or router to set its MAC sub-layer association
5908 permit flag for a fixed period when it MAY accept devices onto its network.

5909 3.2.2.7.1 Semantics of the Service Primitive

5910 The semantics of this primitive are as follows:

5911	NLME-PERMIT-JOINING.request	{
5912		PermitDuration
5913		}

5914 Table 3-15 specifies the parameters for the NLME-PERMIT-JOINING.request primitive.

5915 **Table 3-15. NLME-PERMIT-JOINING.request Parameters**

Name	Type	Valid Range	Description
PermitDuration	Integer	0x00 – 0xff	The length of time in seconds during which the Zigbee coordinator or router will allow associations. The value 0x00 and 0xff indicate that permission is disabled or enabled, respectively, without a specified time limit.

5916 3.2.2.7.2 When Generated

5917 This primitive is generated by the next higher layer of a Zigbee coordinator or router and issued to its NLME whenever
5918 it would like to permit or prohibit the joining of the network by new devices.

5919 3.2.2.7.3 Effect on Receipt

5920 It is only permissible that the next higher layer of a Zigbee coordinator or router issue this primitive. On receipt of this
5921 primitive by the NWK layer of a Zigbee end device, the NLME-PERMIT-JOINING.confirm primitive returns a status
5922 of INV_REQUESTTYPE.

5923 On receipt of this primitive with the PermitDuration parameter set to 0x00, the NLME sets the MAC PIB attribute,
5924 *macAssociationPermit*, to FALSE by issuing the MLME-SET.request primitive to the MAC sub-layer. Once the
5925 MLME-SET.confirm primitive is received, the NLME issues the NLME-PERMIT-JOINING.confirm primitive with
5926 a status equal to that received from the MAC sub-layer.

5927 On receipt of this primitive with the PermitDuration parameter set to 0xff, the NLME sets the MAC PIB attribute,
5928 *macAssociationPermit*, to TRUE by issuing the MLME-SET.request primitive to the MAC sub-layer. Once the
5929 MLME-SET.confirm primitive is received, the NLME issues the NLME-PERMIT-JOINING.confirm primitive with
5930 a status equal to that received from the MAC sub-layer.

5931 On receipt of this primitive with the PermitDuration parameter set to any value other than 0x00 or 0xff, the NLME
5932 sets the MAC PIB attribute, *macAssociationPermit*, to TRUE as described above. Following the receipt of the MLME-
5933 SET.confirm primitive, the NLME starts a timer to expire after PermitDuration seconds. Once the timer is set, the
5934 NLME issues the NLME-PERMIT-JOINING.confirm primitive with a status equal to that received by the MAC sub-
5935 layer. On expiration of the timer, the NLME sets *macAssociationPermit* to FALSE by issuing the MLME-SET.request
5936 primitive.

5937 Every NLME-PERMIT-JOINING.request primitive issued by the next higher layer supersedes all previous requests.

5938 3.2.2.8 NLME-PERMIT-JOINING.confirm

5939 This primitive allows the next higher layer of a Zigbee coordinator or router to be notified of the results of its request
5940 to permit the acceptance of devices onto the network.

5941 3.2.2.8.1 Semantics of the Service Primitive

5942 The semantics of this primitive are as follows:

5943	NLME-PERMIT-JOINING.confirm	{
5944		Status
5945		}

5946 Table 3-16 specifies the parameters for the NLME-PERMIT-JOINING.confirm primitive.

5947 **Table 3-16. NLME-PERMIT-JOINING.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE or any status returned from the MLME-SET.confirm primitive (see [B1]).	The status of the corresponding request

5948 3.2.2.8.2 When Generated

5949 This primitive is generated by the initiating NLME of a Zigbee coordinator or router and issued to its next higher layer
5950 in response to an NLME-PERMIT-JOINING.request. The Status parameter either indicates the status received from
5951 the MAC sub-layer or an error code of INV_REQUESTTYPE. The reasons for these status values are described in
5952 section 3.2.2.7.

5953 3.2.2.8.3 Effect on Receipt

5954 On receipt of this primitive, the next higher layer of the initiating device is notified of the results of its request to
5955 permit devices to join the network.

5956 3.2.2.9 NLME-START-ROUTER.request

5957 This primitive allows the next higher layer of a Zigbee router to initiate the activities expected of a Zigbee router
5958 including the routing of data frames, route discovery, and the accepting of requests to join the network from other
5959 devices.

5960 3.2.2.9.1 Semantics of the Service Primitive

5961 The semantics of this primitive are as follows:

5962	NLME-START-ROUTER.request	{
5963		BeaconOrder,
5964		SuperframeOrder,
5965		BatteryLifeExtension
5966		}

5967 Table 3-17 specifies the parameters for NLME-START-ROUTER.request.

5968 **Table 3-17. NLME-START-ROUTER.request Parameters**

Name	Type	Valid Range	Description
BeaconOrder	Integer	0x00 – 0x0f	The beacon order of the network that the higher layers wish to form.
SuperframeOrder	Integer	0x00 – 0x0f	The superframe order of the network that the higher layers wish to form.
BatteryLifeExtension	Boolean	TRUE or FALSE	If this value is TRUE, the NLME will request that the Zigbee router is started supporting battery life extension mode. If this value is FALSE, the NLME will request that the Zigbee router is started without supporting battery life extension mode.

5969 3.2.2.9.2 When Generated

5970 This primitive is generated by the next higher layer of a new device and issued to its NLME to request the initialization
5971 of itself as a Zigbee router.

5972 3.2.2.9.3 Effect on Receipt

5973 On receipt of this primitive by a device that is not already joined to a Zigbee network as a router, the NLME issues
5974 the NLME-START-ROUTER.confirm primitive with the Status parameter set to INV_REQUESTTYPE.

5975 On receipt of this primitive by the NLME of a device that is joined to a Zigbee network as a router, the NLME SHALL
5976 issue the MLME-START.request primitive to each MAC sub-layer entry in the nwkMacInterfaceTable where the
5977 Enabled element is set to TRUE. The BeaconOrder, SuperframeOrder, and BatteryLifeExtension parameters of the
5978 MLME-START.request primitive will have the values given by the corresponding parameters of the NLME-START-
5979 ROUTER.request. The CoordRealignment parameter in the MLME-START.request primitive is set to FALSE if the
5980 primitive is issued to start as a router for the first time. The CoordRealignment parameter is set to TRUE thereafter if
5981 the primitive is issued to change any of the PAN configuration attributes.

5982 On receipt of the associated MLME-START.confirm primitive, the NLME issues the NLME-START-ROUTER.confirm
 5983 primitive to the next higher layer with the status returned from the MLME-START.confirm primitive. If, and
 5984 only if, the status returned from the MLME-START.confirm primitive is SUCCESS, the device MAY then begin to
 5985 engage in the activities EXPECTED of a Zigbee router including the routing of data frames, route discovery, and the
 5986 accepting of requests to join the network from other devices. Otherwise, the device is expressly forbidden to engage
 5987 in these activities.

5988 Note after a router has gone through a joining process there MAY be interfaces that have not associated. Those re-
 5989 maining interfaces still need to select a suitable channel for operation. Those will need to execute the normal channel
 5990 selection process (see network formation text) before issuing the MLME-START.request. See section 3.6.12.2 for
 5991 starting a Multi-Mac interface device.

5992 **3.2.2.10 NLME-START-ROUTER.confirm**

5993 This primitive reports the results of the request to initialize a Zigbee router.

5994 **3.2.2.10.1 Semantics of the Service Primitive**

5995 The semantics of this primitive are as follows:

5996	NLME-START-ROUTER.confirm	{
5997		Status
5998		}

5999 Table 3-18 specifies the parameters for NLME-START-ROUTER.confirm.

6000 **Table 3-18. NLME-START-ROUTER.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE or any status value returned from the MLME-START.confirm primitive.	The result of the attempt to initialize a Zigbee router.

6001 **3.2.2.10.2 When Generated**

6002 This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-START-
 6003 ROUTER.request primitive. This primitive returns a status value of INV_REQUESTTYPE or any status value re-
 6004 turned from the MLME-START.confirm primitive. Conditions under which these values MAY be returned are de-
 6005 scribed in section 3.2.2.9.3.

6006 **3.2.2.10.3 Effect on Receipt**

6007 On receipt of this primitive, the next higher layer is notified of the results of its request to initialize a Zigbee router. If
 6008 the NLME has been successful, the Status parameter will be set to SUCCESS. Otherwise, the Status parameter indi-
 6009 cates the error.

6010 **3.2.2.11 NLME-ED-SCAN.request**

6011 This primitive allows the next higher layer to request an energy scan to evaluate channels in the local area.

6012

6013 3.2.2.11.1 Semantics of the Service Primitive

6014 The semantics of this primitive are as follows:

6015 NLME-ED-SCAN.request	{
6016	ScanChannelsListStructure,
6017	ScanDuration,
6018	}

6019 Table 3-19 specifies the parameters for the service primitive.

6020 **Table 3-19. NLME-ED-SCAN.request Parameters**

Name	Type	Valid Range	Description
ScanChannelsListStructure	Channel-ListStructure	Varies	The list of all channel pages and the associated channels that SHALL be scanned.
ScanDuration	Integer	0x00 – 0x0e	A value used to calculate the length of time to spend scanning each channel. The time spent scanning each channel is (<i>aBaseSuperframe-Duration</i> * (2 ⁿ + 1)) symbols, where <i>n</i> is the value of the ScanDuration parameter (see [B1]).

6021 3.2.2.11.2 When Generated

6022 The next higher layer of a device generates this primitive to request to conduct an energy scan of channels.

6023 3.2.2.11.3 Effect on Receipt

6024 On receipt the NLME SHALL first validate the ScanChannelsListStructure parameter according to section 3.2.2.2.2.
6025 If validation fails the NLME-ED-SCAN.confirm primitive SHALL be issued with a Status parameter set to INVA-
6026 LID_PARAMETER.

6027 If the device is currently joined to a network, the device will temporarily stop operating on the network to conduct an
6028 energy scan.

6029 The NLME SHALL issue the MLME-SCAN.request primitive to every valid channel page in each MAC sub-layer
6030 that has a nwkMacInterfaceTable entry where the SupportedChannels field corresponds to a bit in the ScanChan-
6031 nelsListStructure. The MLME-SCAN.request SHALL set the ScanType parameter to indicate an energy detection
6032 scan (ED) and the ScanChannelsListStructure and ScanDuration SHALL be set to the values passed from the NLME
6033 request.

6034 3.2.2.12 NLME-ED-SCAN.confirm

6035 This primitive provides the next higher layer results from an energy scan.

6036

6037 3.2.2.12.1 Semantics of the Service Primitive

6038 The semantics of this primitive are as follows:

```

6039     NLME-ED-SCAN.confirm      {
6040                               Status
6041                               EnergyDetectListStructure
6042                               }

```

6043 Table 3-20 specifies the parameters for the service primitive.

6044 **Table 3-20. NLME-ED-SCAN.confirm**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, or any valid code from the MAC	The status of the request.
EnergyDetect-ListStructure	EnergyDetect-ListStructure	Varies	The list of energy measurements in accordance with Table 3-9.

6045 3.2.2.12.2 When Generated

6046 This primitive is generated by the NLME of a Zigbee device in response to an NLME-ED-SCAN.request. The Status
6047 indicates the Status received from the MAC sub-layer primitive MLME-SCAN.confirm. The NLME SHALL con-
6048 struct an EnergyDetectListStructure that contains all received EnergyDetectList values of the MLME-SCAN.confirm
6049 primitives that were generated by the corresponding NLME-ED-SCAN.request.

6050 3.2.2.12.3 Effect on Receipt

6051 On receipt of this primitive, the next higher layer is notified of the results of an ED scan.

6052 3.2.2.13 NLME-JOIN.request

6053 This primitive allows the next higher layer to request to join or rejoin a network, or to change the operating channel
6054 for the device while within an operating network.

6055 3.2.2.13.1 Semantics of the Service Primitive

6056 The semantics of this primitive are as follows:

```

6057     NLME-JOIN.request          {
6058                               ExtendedPANId,
6059                               RejoinNetwork,
6060                               ScanChannelsListStructure,
6061                               ScanDuration,
6062                               CapabilityInformation,
6063                               SecurityEnable
6064                               }

```

6065 Table 3-21 specifies the parameters for the NLME-JOIN.request primitive.

6066

Table 3-21. NLME-JOIN.request

Name	Type	Valid Range	Description
ExtendedPANId	Integer	0x0000000000000001 – 0xfffffffffffffe	The 64-bit PAN identifier of the network to join.
RejoinNetwork	Integer	0x00 – 0x03	This parameter controls the method of joining the network. The parameter is 0x00 if the device is requesting to join a network through association. The parameter is 0x01 if the device is joining directly or rejoining the network using the orphaning procedure. The parameter is 0x02 if the device is joining the network using the NWK rejoining procedure. The parameter is 0x03 if the device is to change the operational network channel to that identified in the ScanChannels parameter.
ScanChannelsListStructure	Channel List Structure	Varies	The list of all channel pages and the associated channels that SHALL be scanned.
ScanDuration	Integer	0x00-0x0e	A value used to calculate the length of time to spend scanning each channel. The time spent scanning each channel is (<i>aBaseSuperframe-Duration</i> * (2 ⁿ + 1)) symbols, where <i>n</i> is the value of the ScanDuration parameter (see [B1]).
CapabilityInformation	Bitmap	See Table 3-67.	The operating capabilities of the device being directly joined.
SecurityEnable	Boolean	TRUE or FALSE	If the value of RejoinNetwork is 0x02 and this is TRUE than the device will try to rejoin securely. Otherwise, this is set to FALSE.

6067 3.2.2.13.2 When Generated

6068 The next higher layer of a device generates this primitive to request to:

- 6069 • Join a network using the MAC association procedure.
- 6070 • Join or rejoin a network using the orphaning procedure.
- 6071 • Join or rejoin a network using the NWK rejoin procedure.
- 6072 • Switch the operating channel for a device that is joined to a network.

6073 3.2.2.13.3 Effect on Receipt

6074 On receipt the NLME SHALL first validate the ChannelListStructure according to section 3.2.2.2.2. If validation fails
6075 the NLME-JOIN.confirm primitive SHALL be issued with a Status parameter set to INVALID_PARAMETER.

6076 When performing a join (Rejoin parameter is set to 0x00), the device SHALL verify that the ChannelListStructure
6077 indicates a single channel selected for the join. If more than a single channel has been selected, the NLME-JOIN.re-
6078 quest primitive SHALL be issued with a Status parameter set to INVALID_PARAMETER. When performing a rejoin
6079 (Rejoin parameter not equal to 0x00) the ChannelListStructure MAY indicate multiple channels to try.

6080 On receipt of this primitive by a device that is currently joined to a network and with the RejoinNetwork parameter
6081 equal to 0x00, the NLME issues an NLME-JOIN.confirm primitive with the Status parameter set to INV_RE-
6082 QUESTTYPE.

6083 On receipt of this primitive by a device that is not currently joined to a network and with the RejoinNetwork parameter
6084 equal to 0x00, the device attempts to join the network specified by the 64-bit ExtendedPANId parameter as described
6085 in section 3.6.1.6.1.1.

6086 Whether joining or rejoining, the device SHALL set the nwkParentInformation in the NIB to 0.

6087 If a device receives this primitive and the RejoinNetwork parameter is equal to 0x01, then it attempts to join or rejoin
6088 the network using orphaning as described in section 3.6.1.6.1.2.

6089 On receipt of this primitive with the RejoinNetwork parameter is equal to 0x02, the device attempts to rejoin the
6090 network with 64-bit extended PAN ID given by the ExtendedPANId parameter. The procedure for rejoining is given
6091 in section 3.6.1.6.1.2.

6092 Once the device has successfully joined a network, it will set the value of the *nwkExtendedPANId* NIB attribute to the
6093 extended PAN identifier of the network to which it joined.

6094 If a device receives this primitive and the RejoinNetwork parameter is equal to 0x03, and the device supports setting
6095 the value of phyCurrentChannel then the device attempts to switch the operating channel to that provided in the
6096 ScanChannels parameter. If more than one channel is provided in the ScanChannels parameter, the NLME issues an
6097 NLME-JOIN.confirm primitive with the Status parameter set to INV_REQUESTTYPE. If the channel change is per-
6098 formed successfully, then the device issues a NLME-JOIN.confirm with the Status parameter set to SUCCESS. If the
6099 device does not support the setting of phyCurrentChannel directly, then the NLME issues a NLME-JOIN.confirm
6100 primitive with the Status parameter set to UNSUPPORTED_ATTRIBUTE.

6101 If the MAC layer returned an error status during the channel change then this status SHALL be reported in the status
6102 field of the NLME-JOIN.confirm primitive.

6103 **3.2.2.14 NLME-JOIN.indication**

6104 This primitive allows the next higher layer of a Zigbee coordinator or Zigbee router to be notified when a new device
6105 has successfully joined its network by association or rejoined using the NWK rejoin procedure as described in section
6106 3.6.1.6.1.

6107 **3.2.2.14.1 Semantics of the Service Primitive**

6108 The semantics of this primitive are as follows:

6109	NLME-JOIN.indication	{
6110		InterfaceIndex,
6111		NetworkAddress,
6112		ExtendedAddress,
6113		CapabilityInformation,
6114		JoinerMethod,
6115		JoiningDeviceTLVs
6116		}

6117 Table 3-22 specifies the parameters for the NLME-JOIN.indication primitive.

6118

Table 3-22. NLME-JOIN.indication Parameters

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to set.
NetworkAddress	Network address	0x0001 – 0xffff7	The network address of an entity that has been added to the network.
ExtendedAddress	64-bit IEEE address	Any 64-bit, IEEE address	The 64-bit IEEE address of an entity that has been added to the network.
CapabilityInformation	Bitmap	See [B1].	Specifies the operational capabilities of the joining device.
JoinerMethod	Enumeration	0x00 – 0x05	This indicates the mechanism used to join or rejoin. It has the following status values. 0 – MAC Association 1 – Network rejoin without security 2 – Secure network rejoin 3 – Network commissioning join without security 4 – Network commissioning rejoin without security 5 – Secure network commissioning rejoin
JoiningDeviceTLVs	TLVs	Varies	This is a set of TLVs communicated by the Joining Device to the parent router. via the Network Commissioning Request Command Frame. See section 3.4.14.3 for the set of TLVs that can be communicated.”

6119 3.2.2.14.2 When Generated

6120 This primitive is generated by the NLME of a Zigbee coordinator or router and issued to its next higher layer on
6121 successfully adding a new device to the network using the MAC association procedure as shown in Figure 3-45, or on
6122 allowing a device to rejoin the network using the NWK rejoining procedure as shown in Figure 3-46.

6123 3.2.2.14.3 Effect on Receipt

6124 On receipt of this primitive, the next higher layer of a Zigbee coordinator or Zigbee router is notified that a new device
6125 has joined its network.

6126 3.2.2.15 NLME-JOIN.confirm

6127 This primitive allows the next higher layer to be notified of the results of its request to join a network.

6128

6129 **3.2.2.15.1 Semantics of the Service Primitive**

6130 The semantics of this primitive are as follows:

6131	NLME-JOIN.confirm	{
6132		Status,
6133		NetworkAddress,
6134		ExtendedPANID,
6135		ChannelListStructure
6136		Enhanced BeaconType
6137		MacInterface Index,
6138		JoinMethodUsed
6139		}

6140 Table 3-23 specifies the parameters for the NLME-JOIN.confirm primitive.

6141 **Table 3-23. NLME-JOIN.confirm**

Name	Type	Valid Range	Description
Status	Status	INV_REQUESTTYPE, NOT_PERMITTED, NO_NETWORKS, or any status value returned from the MLME-ASSOCIATE.confirm primitive, the MLME-SCAN.confirm primitive or the PLME-SET.confirm	The status of the corresponding request.
NetworkAddress	Integer	0x0001 – 0xff7 and 0xffff	The 16-bit network address that was allocated to this device. This parameter will be equal to 0xffff if the join attempt was unsuccessful.
ExtendedPANID	Integer	0x0000000000000001 – 0xffffffffffffe	The 64-bit extended PAN identifier for the network of which the device is now a member.
Channel List Structure	Channel-ListStructure	Varies	The structure indicating the current channel of the network that has been joined.
Enhanced BeaconType	Boolean	TRUE or FALSE	Returns TRUE if using Enhanced Beacons.
MacInterface Index	Integer	0 – 31	Value of Mac Interface Index from nwkMACInterfaceTable.

Name	Type	Valid Range	Description
JoinMethodUsed	Enumeration	0 – 31	0 – MAC Association 1 – Network rejoin without security 2 – Secure network rejoin 3 – Network commissioning join without security 4 – Network commissioning rejoin without security 5 – Secure network commissioning rejoin

6142 **3.2.2.15.2 When Generated**

6143 This primitive is generated by the initiating NLME and issued to its next higher layer in response to an NLME-
 6144 JOIN.request primitive. If the request was successful, the Status parameter will have a value of SUCCESS. Otherwise,
 6145 the Status parameter indicates an error code of INV_REQUESTTYPE, NOT_PERMITTED, NO_NETWORKS or
 6146 any status value returned from either the MLME-ASSOCIATE.confirm primitive, the MLME-SCAN.confirm primitive
 6147 or the PLME-SET.confirm primitive. The reasons for these status values are fully described in section 3.2.2.13.3.

6148 **3.2.2.15.3 Effect on Receipt**

6149 On receipt of this primitive, the next higher layer of the initiating device is notified of the results of its request to join
 6150 a network using the MAC sub-layer association procedure, to join directly using the MAC sub-layer orphaning pro-
 6151 cedure, or to re-join a network once it has been orphaned.

6152 **3.2.2.16 NLME-ADD-NEIGHBOR.request**

6153 This optional primitive allows the next higher layer of a Zigbee coordinator or router to request to directly insert
 6154 another device to the local device’s neighbor table.

6155 **3.2.2.16.1 Semantics of the Service Primitive**

6156 The semantics of this optional primitive are as follows:

6157 NLME-ADD-NEIGHBOR.request	{
6158	DeviceAddress,
6159	CapabilityInformation,
6160	InterfaceIndex
6161	}

6162 Table 3-24 specifies the parameters for the NLME-ADD-NEIGHBOR.request primitive.

6163 **Table 3-24. NLME-ADD-NEIGHBOR.request Parameters**

Name	Type	Valid Range	Description
DeviceAddress	64-bit IEEE address	Any 64-bit IEEE address	The IEEE address of the device to be directly joined.
CapabilityInformation	Bitmap	See Table 3-67.	The operating capabilities of the device being directly joined.

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	This is an index into the MAC Interface Table indicating what interface the neighbor or child is bound to. The neighbor table entry SHALL be set with the value of the MAC Interface Index passed to this primitive

6164 **3.2.2.16.2 When Generated**

6165 The next higher layer of a Zigbee coordinator or router generates this primitive to add a new device directly to its
6166 neighbor table. This process is completed without any over the air transmissions.

6167 **3.2.2.16.3 Effect on Receipt**

6168 On receipt of this primitive, the NLME will attempt to add the device specified by the DeviceAddress parameter to its
6169 neighbor table. The CapabilityInformation parameter will contain a description of the device being joined. The alter-
6170 nate PAN coordinator bit is set to 0 in devices implementing this specification. The device type bit is set to 1 if the
6171 device is a Zigbee router, or to 0 if it is an end device. The power source bit is set to 1 if the device is receiving power
6172 from the alternating current mains or to 0 otherwise. The receiver on when idle bit is set to 1 if the device does not
6173 disable its receiver during idle periods, or to 0 otherwise. The security capability bit is set to 1 if the device is capable
6174 of secure operation, or to 0 otherwise.

6175 If the NLME successfully adds the device to its neighbor table, the NLME issues the NLME-ADD-NEIGHBOR.confirm
6176 primitive with a status of SUCCESS. If the NLME finds that the requested device is already present in its neighbor
6177 tables, the NLME issues the NLME-ADD-NEIGHBOR.confirm primitive with a status of ALREADY_PRESENT. If
6178 no capacity is available to add a new device to the device list, the NLME issues the NLME-ADD-NEIGHBOR.confirm
6179 primitive with a status of NEIGHBOR_TABLE_FULL.

6180 **3.2.2.17 NLME-ADD-NEIGHBOR.confirm**

6181 This primitive allows the next higher layer of a Zigbee coordinator or router to be notified of the results of its request
6182 to directly add another device to its neighbor table.

6183 **3.2.2.17.1 Semantics of the Service Primitive**

6184 The semantics of this primitive are as follows:

6185	NLME-ADD-NEIGHBOR.confirm	{
6186		Status,
6187		DeviceAddress
6188		}

6189 Table 3-25 specifies the parameters for the NLME-ADD-NEIGHBOR.confirm primitive.

6190 **Table 3-25. NLME-ADD-NEIGHBOR.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, ALREADY_PRESENT, NEIGHBOR_TABLE_FULL	The status of the corresponding request.

Name	Type	Valid Range	Description
DeviceAddress	64-bit IEEE address	Any 64-bit IEEE address	The 64-bit IEEE address in the request to which this is a confirmation.

6191 3.2.2.17.2 When Generated

6192 This primitive is generated by the initiating NLME and issued to its next higher layer in response to an NLME-ADD-
6193 NEIGHBOR.request primitive. If the request was successful, the Status parameter indicates a successful join attempt.
6194 Otherwise, the Status parameter indicates an error code of ALREADY_PRESENT or NEIGHBOR_TABLE_FULL.
6195 The reasons for these status values are fully described in section 3.2.2.16.3.

6196 3.2.2.17.3 Effect on Receipt

6197 On receipt of this primitive, the next higher layer of the initiating device is notified of the results of its request to
6198 directly join another device to a network.

6199 3.2.2.18 NLME-LEAVE.request

6200 This primitive allows the next higher layer to request that it or another device leaves the network.

6201 3.2.2.18.1 Semantics of the Service Primitive

6202 The semantics of this primitive are as follows:

6203	NLME-LEAVE.request	{
6204		DeviceAddress,
6205		RemoveChildren,
6206		Rejoin
6207		}

6208 Table 3-26 specifies the parameters for the NLME-LEAVE.request primitive.

6209 **Table 3-26. NLME-LEAVE.request Parameters**

Name	Type	Valid Range	Description
DeviceAddress	Device address	Any 64-bit IEEE address	The 64-bit IEEE address of the entity to be removed from the network or NULL if the device removes itself from the network.
RemoveChildren	Boolean	FALSE	This parameter SHALL be set to FALSE for all NLME-Leave messages.
Rejoin	Boolean	TRUE or FALSE	This parameter has a value of TRUE if the device being asked to leave from the current parent is requested to rejoin the network. Otherwise, the parameter has a value of FALSE. Note that the Rejoin parameter is set by the application so cannot be relied upon by the networking layer to indicate whether a Join or Rejoin request will be accepted in the future.

6210 3.2.2.18.2 When Generated

6211 The next higher layer of a device generates this primitive to request to leave the network. The next higher layer of a
6212 Zigbee coordinator or router MAY also generate this primitive to remove a device from the network.

6213 3.2.2.18.3 Effect on Receipt

6214 On receipt of this primitive by the NLME of a device that is not currently joined to a network, the NLME issues the
6215 NLME-LEAVE.confirm primitive with a status of INV_REQUESTTYPE. On receipt of this primitive by the NLME
6216 of a device that is currently joined to a network, with the DeviceAddress parameter equal to the local device's IEEE
6217 address or NULL, the NLME will remove itself from the network using the procedure described in section 3.6.1.11.1,
6218 and the value of the Rejoin parameter SHALL be copied into the Network Leave command frame that is generated. If
6219 the Rejoin parameter is set to TRUE, no further action is taken. If the Rejoin parameter is set to FALSE the NLME
6220 will then clear its routing table and route discovery table and issue an MLME-RESET.request primitive to the MAC
6221 sub-layer. The NLME will also set the relationship field of the neighbor table entry corresponding to its former parent
6222 to 0x03, indicating no relationship. If the NLME-LEAVE.request primitive is received with the DeviceAddress pa-
6223 rameter equal to NULL and the RemoveChildren parameter equal to TRUE, then the NLME will attempt to remove
6224 the device's children, as described in section 3.6.1.11.3.

6225 On receipt of this primitive by a Zigbee coordinator or Zigbee router and with the DeviceAddress parameter not equal
6226 to NULL and not equal to the local device's IEEE address, the NLME determines whether the specified device is in
6227 the Neighbor Table and the device type is 0x02 (Zigbee End device). If the requested device does not exist or the
6228 device type is not 0x02, the NLME issues the NLME-LEAVE.confirm primitive with a status of UNKNOWN_DE-
6229 VICE. If the requested device exists, the NLME will attempt to remove it from the network using the procedure
6230 described in section 3.6.1.11.3. If the RemoveChildren parameter is equal to TRUE then the device will be requested
6231 to remove its children as well. Following the removal, the NLME will issue the NLME-LEAVE.confirm primitive
6232 with the DeviceAddress equal to the 64-bit IEEE address of the removed device and the Status parameter equal to the
6233 status delivered by the MCPS-DATA.confirm primitive. If the relationship field of the neighbor table entry corre-
6234 sponding to the leaving device has a value of 0x01 then it will be changed to 0x04 indicating previous child. If it has
6235 a value of 0x05, indicating that the child has not yet authenticated, it will be changed to 0x03, indicating no relation-
6236 ship.

6237 3.2.2.19 NLME-LEAVE.indication

6238 This primitive allows the next higher layer of a Zigbee device to be notified if that Zigbee device has left the network
6239 or if a neighboring device has left the network.

6240 3.2.2.19.1 Semantics of the Service Primitive

6241 The semantics of this primitive are as follows:

6242	NLME-LEAVE.indication	{
6243		DeviceAddress,
6244		Rejoin
6245		}

6246 Table 3-27 specifies the parameters for the NLME-LEAVE.indication primitive.

6247 **Table 3-27. NLME-LEAVE.indication Parameters**

Name	Type	Valid Range	Description
DeviceAddress	64-bit IEEE address	Any 64-bit IEEE address	The 64-bit IEEE address of an entity that has removed itself from the network or NULL in the case that the device issuing the primitive has been removed from the network by its parent.

Name	Type	Valid Range	Description
Rejoin	Boolean	TRUE or FALSE	This parameter has a value of TRUE if the device being asked to leave the current parent is requested to rejoin the network. Otherwise, this parameter has a value of FALSE.

6248 3.2.2.19.2 When Generated

6249 This primitive is generated by the NLME of a Zigbee coordinator or Zigbee router and issued to its next higher layer
 6250 on receipt of a broadcast leave command pertaining to a device on its PAN. It is also generated by the NLME of a
 6251 Zigbee router or end device and issued to its next higher layer to indicate that it has been successfully removed from
 6252 the network by its associated router or Zigbee coordinator.

6253 3.2.2.19.3 Effect on Receipt

6254 On receipt of this primitive, the next higher layer of a Zigbee coordinator or Zigbee router is notified that a device,
 6255 formerly on the network, has left. The primitive can also inform the next higher layer of a Zigbee router or end device
 6256 that it has been removed from the network by its associated Zigbee router or Zigbee coordinator parent. In this case,
 6257 the value of the Rejoin parameter indicates to the next higher layer whether the peer entity on the parent device wishes
 6258 the device that has been removed to rejoin the same network.

6259 When the local device receives a NLME-LEAVE.indication with Rejoin set to FALSE it SHALL remove any persis-
 6260 tent data within the stack related to the leaving device.

6261 When the higher layer is notified of an NLME-LEAVE.indication with Rejoin set to TRUE, it is recommended that
 6262 no action be taken to remove application information stored about the device (such as bindings).

6263 3.2.2.20 NLME-LEAVE.confirm

6264 This primitive allows the next higher layer of the initiating device to be notified of the results of its request for itself
 6265 or another device to leave the network.

6266 3.2.2.20.1 Semantics of the Service Primitive

6267 The semantics of this primitive are as follows:

6268	NLME-LEAVE.confirm	{
6269		Status,
6270		DeviceAddress
6271		}

6272 Table 3-28 specifies the parameters for the NLME-LEAVE.confirm primitive.

6273 **Table 3-28. NLME-LEAVE.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, INV_REQUESTTYPE, UNKNOWN_DEVICE or any status returned by the MCPS-DATA.confirm primitive	The status of the corresponding request.

Name	Type	Valid Range	Description
DeviceAddress	64-bit IEEE address	Any 64-bit, IEEE address	The 64-bit IEEE address in the request to which this is a confirmation or null if the device requested to remove itself from the network.

6274 3.2.2.20.2 When Generated

6275 This primitive is generated by the initiating NLME and issued to its next higher layer in response to an NLME-
6276 LEAVE.request primitive. If the request was successful, the Status parameter indicates a successful leave attempt.
6277 Otherwise, the Status parameter indicates an error code of INV_REQUESTTYPE, UNKNOWN_DEVICE or a status
6278 delivered by the MCPS-DATA.confirm primitive. The reasons for these status values are fully described in section
6279 3.2.2.18.3.

6280 3.2.2.20.3 Effect on Receipt

6281 On receipt of this primitive, the next higher layer of the initiating device is notified of the results of its request for
6282 itself or a child device to leave the network.

6283 3.2.2.21 NLME-RESET.request

6284 This primitive allows the next higher layer to request the NWK layer to perform a reset operation.

6285 3.2.2.21.1 Semantics of the Service Primitive

6286 The semantics of this primitive are as follows:

6287	NLME-RESET.request	{
6288		WarmStart
6289		}

6290 Table 3-29 specifies the parameters for this primitive.

6291 **Table 3-29. NLME-RESET.request Parameters**

Name	Type	Valid Range	Description
WarmStart	Boolean	TRUE or FALSE	This parameter has a value of FALSE if the request is expected reset all stack values to their initial default values. If this value is TRUE, the device is expected to resume operations according to the NIB settings prior to the call.

6292 3.2.2.21.2 When Generated

6293 This primitive is generated by the next higher layer and issued to its NLME to request the NWK layer be reset to its
6294 initial condition, or that it resume operations according to its current NIB values prior to this primitive being issued.

6295 3.2.2.21.3 Effect on Receipt

6296 On receipt of this primitive, where the WarmStart parameter has a value of FALSE, the NLME issues the MLME-
6297 RESET.request primitive to each MAC sub-layer with an entry in the *nwkMacInterfaceTable* with the SetDefaultPIB
6298 parameter set to TRUE. On receipt of the corresponding MLME-RESET.confirm primitive, the NWK layer resets
6299 itself by clearing all internal variables, routing table and route discovery table entries and by setting all NIB attributes
6300 to their default values. Once the NWK layer is reset, the NLME issues the NLME-RESET.confirm with the Status

6301 parameter set to SUCCESS if all the MAC sub-layers were successfully reset or DISABLE_TRX_FAILURE other-
6302 wise.

6303 On receipt of this primitive where WarmStart is set to TRUE, the network layer SHOULD NOT modify any NIB
6304 values, but rather SHOULD resume normal network operations and consider itself part of the network specified in the
6305 NIB. Routing table values and neighbor table values SHOULD be cleared. The method by which the network and
6306 MAC layers attributes are pre-loaded is left to the implementer.

6307 If this primitive is issued to the NLME of a device that is currently joined to a network, any required leave attempts
6308 using the NLME-LEAVE.request primitive SHOULD be made *a priori* at the discretion of the next higher layer.

6309 **3.2.2.22 NLME-RESET.confirm**

6310 This primitive allows the next higher layer of the initiating device to be notified of the results of the request to reset
6311 the NWK layer.

6312 **3.2.2.22.1 Semantics of the Service Primitive**

6313 The semantics of this primitive are as follows:

6314	NLME-RESET.confirm	{
6315		Status
6316		}

6317 Table 2-30 specifies the parameters for this primitive.

6318 **Table 3-30. NLME-RESET.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, DISABLE_TRX_FAILURE	Refer to section 3.2.2.22.2.

6319 **3.2.2.22.2 When Generated**

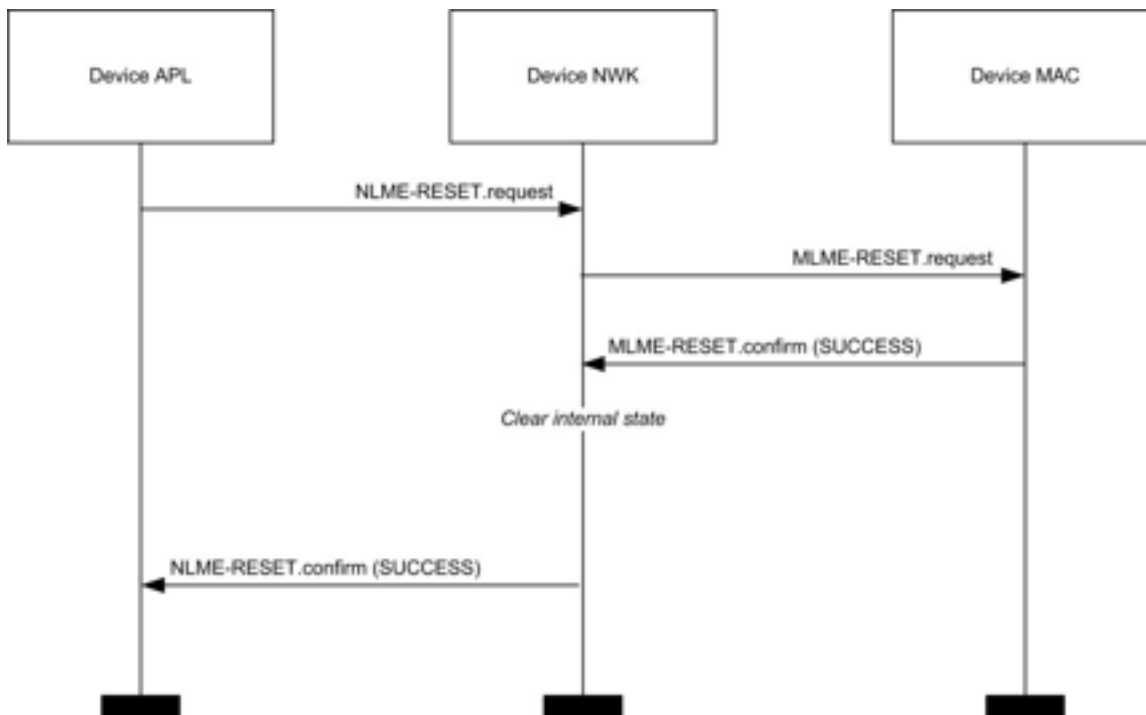
6320 This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-RESET.request
6321 primitive. If the request was successful for all MAC sub-layers in the nwkMacInterfaceTable, the Status parameter
6322 will have a value of SUCCESS. Otherwise, the Status parameter will indicate an error code of DISABLE_TRX_FAIL-
6323 URE. The reasons for these status values are fully described in section 3.2.2.21.3.

6324 **3.2.2.22.3 Effect on Receipt**

6325 On receipt of this primitive, the next higher layer is notified of the results of its request to reset the NWK layer.

6326 **3.2.2.23 Network Layer Reset Message Sequence Chart**

6327 Figure 3-2 illustrates the sequence of messages necessary for resetting the NWK layer.



6328

6329

Figure 3-2. Message Sequence Chart for Resetting the Network Layer

6330

3.2.2.24 NLME-SYNC.request

6331

This primitive allows the next higher layer to synchronize or extract data from its Zigbee coordinator or router.

6332

3.2.2.24.1 Semantics of the Service Primitive

6333

This primitive does not have any parameters.

6334

3.2.2.24.2 When Generated

6335

This primitive is generated whenever the next higher layer wishes to achieve synchronization or check for pending data at its Zigbee coordinator or router.

6337

3.2.2.24.3 Effect on Receipt

6338

The NLME issues the MLME-POLL.request primitive to the MAC sub-layer.

6339

If the MLME-POLL.confirm indicates a TRANSACTION_OVERFLOW or a CHANNEL_ACCESS_FAILURE, the device SHALL perform *nwkPerformAdditionalMacDataPollRetries* by issuing additional MLME-POLL.requests until success is returned by the MLME or all retries are exhausted.

6340

6342

Afterwards, an NLME-SYNC.confirm primitive SHALL be issued with the status set to the last result of the MLME-POLL.confirm.

6343

6344

3.2.2.25 NLME-SYNC.confirm

6345

This primitive allows the next higher layer to be notified of the results of its request to synchronize or extract data from its Zigbee coordinator or router.

6346

6347

6348 3.2.2.25.1 Semantics of the Service Primitive

6349 The semantics of this primitive are as follows:

6350	NLME-SYNC.confirm	{
6351		Status
6352		}

6353 Table 3-31 specifies the parameters for this primitive.

6354 **Table 3-31. NLME-SYNC.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status	SUCCESS, SYNC_FAILURE, INVALID_PARAMETER, or any status value returned from the MLME_POLL.confirm primitive (see [B1]).	The result of the request to synchronize with the Zigbee coordinator or router.

6355 3.2.2.25.2 When Generated

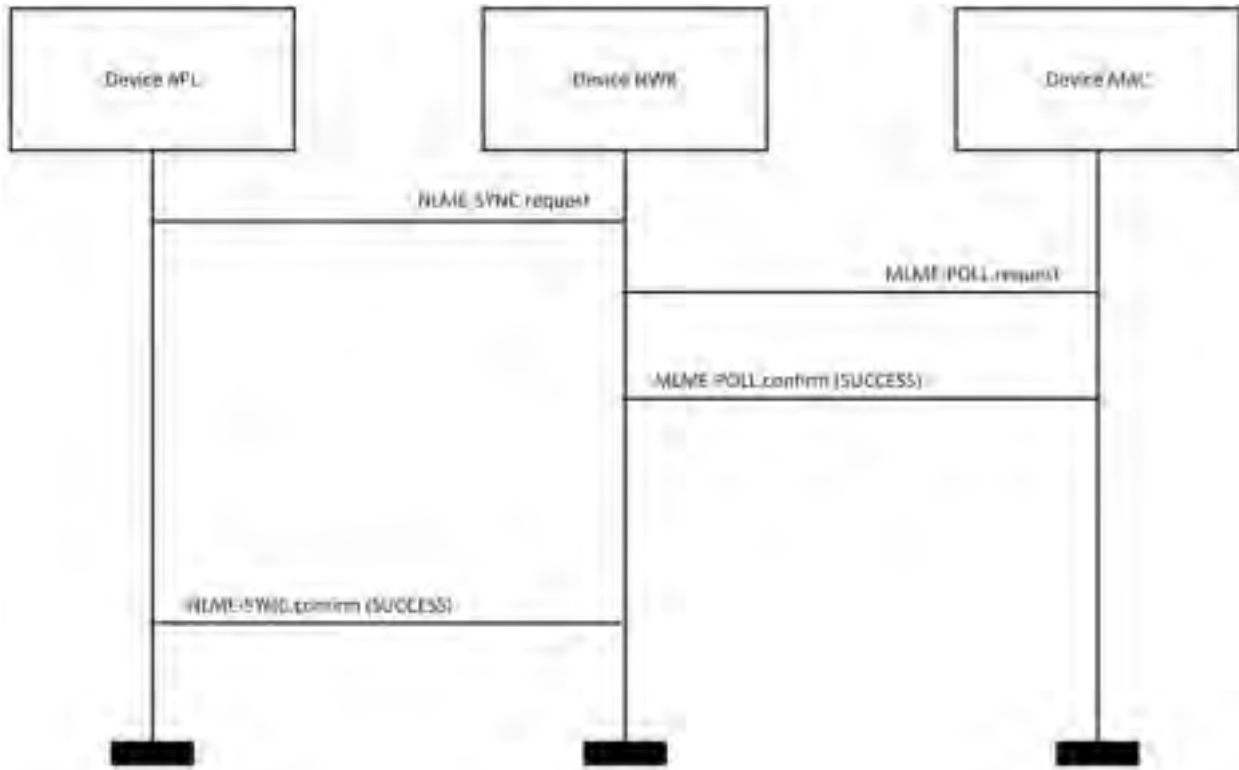
6356 This primitive is generated by the initiating NLME and issued to its next higher layer in response to an NLME-
 6357 SYNC.request primitive. If the request was successful, the Status parameter indicates a successful synchronization
 6358 attempt. Otherwise, the Status parameter indicates an error code. The reasons for these status values are fully described
 6359 in section 3.2.2.24.2.

6360 3.2.2.25.3 Effect on Receipt

6361 On receipt of this primitive, the next higher layer is notified of the results of its request to synchronize or extract data
 6362 from its Zigbee coordinator or router. If the NLME has been successful, the Status parameter will be set to SUCCESS.
 6363 Otherwise, the Status parameter indicates the error.

6364 3.2.2.26 Message Sequence Charts for Synchronization

6365 illustrates the sequence of messages necessary for a device to successfully synchronize with a Zigbee coordinator or
 6366 Zigbee router. illustrates the case for a non-beaconing network.



6367
6368

Figure 3-3. Message Sequence Chart for Synchronizing in a Non-Beaconing Network

6369 **3.2.2.27 NLME-GET.request**

6370 This primitive allows the next higher layer to read the value of an attribute from the NIB.

6371 **3.2.2.27.1 Semantics of the Service Primitive**

6372 The semantics of this primitive are as follows:

6373	NLME-GET.request	{
6374		NIBAttribute
6375		}

6376 Table 3-32 specifies the parameters for this primitive.

6377 **Table 3-32. NLME-GET.request Parameters**

Name	Type	Valid Range	Description
NIBAttribute	Integer	See Table 3-62.	The identifier of the NIB attribute to read.

6378 **3.2.2.27.2 When Generated**

6379 This primitive is generated by the next higher layer and issued to its NLME in order to read an attribute from the NIB.

6380 **3.2.2.27.3 Effect on Receipt**

6381 On receipt of this primitive, the NLME attempts to retrieve the requested NIB attribute from its database. If the identifier of the NIB attribute is not found in the database, the NLME issues the NLME-GET.confirm primitive with a status of UNSUPPORTED_ATTRIBUTE.

6384 If the requested NIB attribute is successfully retrieved, the NLME issues the NLME-GET.confirm primitive with a
6385 status of SUCCESS and the NIB attribute identifier and value.

6386 **3.2.2.28 NLME-GET.confirm**

6387 This primitive reports the results of an attempt to read the value of an attribute from the NIB.

6388 **3.2.2.28.1 Semantics of the Service Primitive**

6389 The semantics of this primitive are as follows:

6390	NLME-GET.confirm	{
6391		Status,
6392		NIBAttribute,
6393		NIBAttributeLength,
6394		NIBAttributeValue
6395		}

6396 Table 3-33 specifies the parameters for this primitive.

6397 **Table 3-33. NLME-GET.confirm Parameters**

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS or UNSUPPORTED_ATTRIBUTE	The results of the request to read a NIB attribute value.
NIBAttribute	Integer	See Table 3-62.	The identifier of the NIB attribute that was read.
NIBAttributeLength	Integer	0x0000 – 0xffff	The length, in octets, of the attribute value being returned.
NIBAttributeValue	Various	Attribute-specific (see Table 3-62)	The value of the NIB attribute that was read.

6398 **3.2.2.28.2 When Generated**

6399 This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-GET.request
6400 primitive. This primitive returns either a status of SUCCESS, indicating that the request to read a NIB attribute was
6401 successful, or an error code of UNSUPPORTED_ATTRIBUTE. The reasons for these status values are fully described
6402 in section 3.2.2.27.3.

6403 **3.2.2.28.3 Effect on Receipt**

6404 On receipt of this primitive, the next higher layer is notified of the results of its request to read a NIB attribute. If the
6405 request to read a NIB attribute was successful, the Status parameter will be set to SUCCESS. Otherwise, the Status
6406 parameter indicates the error.

6407 **3.2.2.29 NLME-SET.request**

6408 This primitive allows the next higher layer to write the value of an attribute into the NIB.

6409

6410 3.2.2.29.1 Semantics of the Service Primitive

6411 The semantics of this primitive are as follows:

```

6412     NLME-SET.request      {
6413                           NIBAttribute,
6414                           NIBAttributeLength,
6415                           NIBAttributeValue
6416                           }

```

6417 Table 3-34 specifies the parameters for this primitive.

6418 **Table 3-34. NLME-SET.request Parameters**

Name	Type	Valid Range	Description
NIBAttribute	Integer	See Table 3-62.	The identifier of the NIB attribute to be written.
NIBAttributeLength	Integer	0x0000 – 0xffff	The length, in octets, of the attribute value being set.
NIBAttributeValue	Various	Attribute-specific (see Table 3-62).	The value of the NIB attribute that SHOULD be written.

6419 3.2.2.29.2 When Generated

6420 This primitive is to be generated by the next higher layer and issued to its NLME in order to write the value of an
6421 attribute in the NIB.

6422 3.2.2.29.3 Effect on Receipt

6423 On receipt of this primitive the NLME attempts to write the given value to the indicated NIB attribute in its database.
6424 If the NIBAttribute parameter specifies an attribute that is not found in the database, the NLME issues the NLME-
6425 SET.confirm primitive with a status of UNSUPPORTED_ATTRIBUTE. If the NIBAttributeValue parameter speci-
6426 fies a value that is out of the valid range for the given attribute, the NLME issues the NLME-SET.confirm primitive
6427 with a status of INVALID_PARAMETER.

6428 If the requested NIB attribute is successfully written, the NLME issues the NLME-SET.confirm primitive with a status
6429 of SUCCESS.

6430 3.2.2.30 NLME-SET.confirm

6431 This primitive reports the results of an attempt to write a value to a NIB attribute.

6432 3.2.2.30.1 Semantics of the Service Primitive

6433 The semantics of this primitive are as follows:

```

6434     NLME-SET.confirm      {
6435                           Status,
6436                           NIBAttribute
6437                           }

```

6438 Table 3-35 specifies the parameters for this primitive.

6439

Table 3-35. NLME-SET.confirm Parameters

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, INVALID_PARAMETER, or UNSUPPORTED_ATTRIBUTE	The result of the request to write the NIB attribute.
NIBAttribute	Integer	See Table 3-62.	The identifier of the NIB attribute that was written.

6440 3.2.2.30.2 When Generated

6441 This primitive is generated by the NLME and issued to its next higher layer in response to an NLME-SET.request
 6442 primitive. This primitive returns a status of either SUCCESS, indicating that the requested value was written to the
 6443 indicated NIB attribute, or an error code of INVALID_PARAMETER or UNSUPPORTED_ATTRIBUTE. The rea-
 6444 sons for these status values are fully described in section 3.2.2.29.3.

6445 3.2.2.30.3 Effect on Receipt

6446 On receipt of this primitive, the next higher layer is notified of the results of its request to write the value of a NIB
 6447 attribute. If the requested value was written to the indicated NIB attribute, the Status parameter will be set to SUC-
 6448 CESS. Otherwise, the Status parameter indicates the error.

6449 3.2.2.31 NLME-NWK-STATUS.indication

6450 This primitive allows the next higher layer to be notified of network failures.

6451 3.2.2.31.1 Semantics of the Service Primitive

6452 The semantics of this primitive are as follows:

6453	NLME-NWK-STATUS.indication	{
6454		Status,
6455		NetworkAddr
6456		}

6457 Table 3-36 specifies the parameters for this primitive.

6458 **Table 3-36. NLME-NWK-STATUS.indication Parameters**

Name	Type	Valid Range	Description
Status	Status	Any network status code (see Table 3-52).	The error code associated with the failure.
NetworkAddr	Integer	0x0000 – 0xffff	The 16-bit network address of the device associated with the status information.

6459 3.2.2.31.2 When Generated

6460 This primitive is generated by the NWK layer on a device and passed to the next higher layer when one of the following
 6461 occurs:

6462 The device has failed to discover or repair a route to the destination given by the NetworkAddr parameter.

6463 The NWK layer on that device has failed to deliver a frame to its end device child with the 16-bit network address
6464 given by the NetworkAddr parameter, due to one of the reasons given in Table 3-52.

6465 The NWK layer has received a network status command frame destined for the device. In this case, the values of the
6466 NetworkAddr and Status parameters will reflect the values of the destination address and error code fields of the
6467 command frame.

6468 3.2.2.31.3 Effect on Receipt

6469 The next higher layer is notified of a failure to communicate with the identified device.

6470 3.2.2.32 NLME-ROUTE-DISCOVERY.request

6471 This primitive allows the next higher layer to initiate route discovery.

6472 3.2.2.32.1 Semantics of the Service Primitive

6473 The semantics of this primitive are as follows:

6474	NLME-ROUTE-DISCOVERY.request	{
6475		DstAddrMode,
6476		DstAddr,
6477		Radius,
6478		NoRouteCache
6479		}

6480 Table 3-37 specifies the parameters for this primitive.

6481

Table 3-37. NLME-ROUTE-DISCOVERY.request Parameters

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0x02	A parameter specifying the kind of destination address provided. The DstAddrMode parameter MAY take one of the following three values: 0x00 = No destination address 0x01 = Reserved 0x02 = 16-bit network address of an individual device
DstAddr	16-bit network address	Any network address	The destination of the route discovery. If the DstAddrMode parameter has a value of 0x00 then no DstAddr will be supplied. This indicates that the route discovery will be a many-to-one discovery with the device issuing the discovery command as a target. If the DstAddrMode parameter has a value of 0x02, this indicates unicast route discovery. The DstAddr will be the 16-bit network address of a device to be discovered.

Name	Type	Valid Range	Description
Radius	Integer	0x00 – 0xff	This optional parameter describes the number of hops that the route request will travel through the network.
NoRouteCache	Boolean	TRUE or FALSE	In the case where DstAddrMode has a value of zero, indicating many-to-one route advertisement, this flag determines whether the NWK SHOULD establish a route record table. TRUE = no route record table SHOULD be established FALSE = establish a route record table

6482 3.2.2.32.2 When Generated

6483 This primitive is generated by the next higher layer of a Zigbee coordinator or router and issued to its NLME to request
6484 the initiation of route discovery.

6485 3.2.2.32.3 Effect on Receipt

6486 On receipt of this primitive by the NLME of a Zigbee end device, the NLME will issue the NLME-ROUTE-DIS-
6487 COVERY.confirm primitive to the next higher layer with a status value of INV_REQUESTTYPE.

6488 On receipt of this primitive by the NLME with the DstAddrMode parameter not equal to 0x00 and the DstAddr pa-
6489 rameter equal to a broadcast address, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm primitive to
6490 the next higher layer with a status value of INV_REQUESTTYPE.

6491 In each of the three cases of actual route discovery described above, the NLME will initiate route discovery by at-
6492 tempting to transmit a route discovery command frame using the MCPS-DATA.request primitive of the MAC sub-
6493 layer. If a value has been supplied for the optional Radius parameter, that value will be placed in the Radius field of
6494 the NWK header of the outgoing frame. If a value has not been supplied then the radius field of the NWK header will
6495 be set to twice the value of the *nwkcMaxDepth* attribute of the NIB as would be the case for data frame transmissions.
6496 If the MAC sub-layer fails, for any reason, to transmit the route request command frame, the NLME will issue the
6497 NLME-ROUTE-DISCOVERY.confirm primitive to the next higher layer with a Status parameter value equal to that
6498 returned by the MCPS-DATA.confirm. If the route discovery command frame is sent successfully and if the DstAddr-
6499 Mode parameter has a value of 0x00, indicating many-to-one route advertisement, the NLME will immediately issue
6500 the NLME-ROUTE-DISCOVERY.confirm primitive with a value of SUCCESS. Otherwise, the NLME will wait until
6501 either a route reply or route record command frame is received or a reactive many-to-one route request command
6502 originating in the device identified by DstAddr is received or the route discovery operation times out as described in
6503 section 3.6.4.5. If a route reply or route record or matching reactive many-to-one route request command frame is
6504 received before the route discovery operation times out, the NLME will issue the NLME-ROUTE-DISCOVERY.con-
6505 firm primitive to the next higher layer with a status value of SUCCESS. If the operation times out, it will issue the
6506 NLME_ROUTE-DISCOVERY.confirm primitive with a Status of ROUTE_ERROR and with a NetworkStatusCode
6507 value reflecting the reason for failure as described in Table 3-52.

6508 3.2.2.33 NLME-ROUTE-DISCOVERY.confirm

6509 This primitive informs the next higher layer about the results of an attempt to initiate route discovery.

6510

6511 3.2.2.33.1 Semantics of the Service Primitive

6512 The semantics of this primitive are as follows:

6513	NLME-ROUTE-DISCOVERY.confirm	{
6514		Status,
6515		NetworkStatusCode
6516		}

6517 Table 3-38 specifies the parameters for the NLME-ROUTE-DISCOVERY.confirm primitive.

6518 **Table 3-38. NLME_ROUTE-DISCOVERY.confirm Parameters**

Name	Type	Valid Range	Description
Status	Status value	INV_REQUESTTYPE, ROUTE_ERROR, or any status value returned by the MCPS-DATA.confirm primitive.	The status of an attempt to initiate route discovery.
Network-StatusCode	Network status code	See Table 3-52.	In the case where the Status parameter has a value of ROUTE_ERROR, this code gives further information about the kind of error that occurred. Otherwise, it SHOULD be ignored.

6519 3.2.2.33.2 When Generated

6520 This primitive is generated by the NLME and passed to the next higher layer as a result of an attempt to initiate route
6521 discovery

6522 3.2.2.33.3 Effect on Receipt

6523 The next higher layer is informed of the status of its attempt to initiate route discovery. Possible values for the Status
6524 parameter and the circumstances under which they are generated are described in section 3.2.2.32.3.
6525 NLME-SET-INTERFACE.request.

6526 This primitive allows the next higher layer to request that the NWK layer enable or disable an interface in the MAC
6527 Interface Table.

6528 3.2.2.34 NLME-SET-INTERFACE.request

6529 This primitive allows the next higher layer to request that the NWK layer enable or disable an interface in the MAC
6530 Interface Table.

6531

6532 **3.2.2.34.1 Semantics of the Service Primitive**

6533 The semantics of this primitive are as follows:

6534	NLME-SET-INTERFACE.request	{
6535		InterfaceIndex
6536		State
6537		ChannelToUse
6538		SupportedChannels
6539		RoutersAllowed
6540		DutyCycleWarningThreshold
6541		DutyCycleCriticalThreshold
6542		DutyCycleRegulatedThreshold
6543		InterfaceLinkCostScalar
6544		}

6545 Table 3-39 specifies the parameters for the NLME-SET-INTERFACE.request primitive.

6546 **Table 3-39. NLME-SET-INTERFACE.request Parameters**

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to set.
State	Boolean	TRUE or FALSE	This enables or disables the interface. TRUE indicates to enable, FALSE indicates to disable.
ChannelToUse	Channel Page Structure	Variable	This indicates a single channel that the interface will be set to. NULL indicates unspecified channel.
SupportedChannels	Channel List Structure	Variable	This indicates the complete set of channels supported by the specified interface.
RoutersAllowed	Boolean	TRUE or FALSE	This indicates whether routers are allowed to join to this device on this interface.
DutyCycleWarningThreshold	Integer	0 – 1024	This enables the Duty Cycle Warning threshold to be set. The integer value set is 10x the required threshold in percent, for example, 1% is set by a value of 10. A value of 0 indicates that there is no limit on Duty Cycle.
DutyCycleCriticalThreshold	Integer	0 – 1024	This enables the Duty Cycle Critical threshold to be set. The integer value set is 10x the required threshold in percent, for example, 1% is set by a value of 10. A value of 0 indicates that there is no limit on Duty Cycle.

Name	Type	Valid Range	Description
DutyCycleRegulatedThreshold	Integer	0 – 1024	This enables the Duty Cycle Regulated threshold to be set. The integer value set is 10x the required threshold in percent, for example, 1% is set by a value of 10. A value of 0 indicates that there is no limit on Duty Cycle.
InterfaceLinkCostScalar	Integer	1 – 36	This is used to scale all of the Link costs on the interface.

6547 3.2.2.34.2 When Generated

6548 This primitive is generated by the next higher layer when it wants to change the interfaces of the NWK layer.

6549 3.2.2.34.3 Effect on Receipt

- 6550 1. Upon receipt of this primitive the NWK layer SHALL find the corresponding entry in the nwkMacInterfaceTable
6551 where the Index value matches the InterfaceIndex parameter passed via this primitive.
- 6552 a. If no such entry exists, then the NLME issues an NLME-SET-INTERFACE.confirm primitive with the Status
6553 parameter set to INV_REQUESTTYPE, and no more processing SHALL take place.
- 6554 2. If the State passed to this primitive is set to FALSE,
- 6555 a. The NLME SHALL examine the State values of all other interfaces in the nwkMacInterface table.
- 6556 i. If all other interfaces are set to FALSE then the NLME SHALL issue a NLME-SET-INTERFACE.con-
6557 firm with a Status of INV_REQUESTTYPE and no further processing SHALL be done.
- 6558 ii. If the MAC Interface Index in the entry is the same as the InterfaceIndex passed to this primitive, disable
6559 the entry from the nwkMacInterfaceTable.
- 6560 iii. Disabling the interface is done as follows:
- 6561 1. Issue an MLME-RX-ENABLE.request with the following parameters:
- 6562 a. DeferPermit SHALL be set to FALSE
- 6563 b. RxOnTime is set to 0.
- 6564 c. RxOnDuration is set to 0.
- 6565 2. Wait until an MLME-RX-ENABLE.confirm has been issued.
- 6566 3. For each MSDU in the MAC queue, issue an MCPS-PURGE.request.
- 6567 4. Issue an NLME-SET-INTERFACE.confirm with the Status set to the Status returned by the
6568 MLME-RX-ENABLE.confirm primitive.
- 6569 5. No more processing SHALL be done.
- 6570 3. If the State passed to this primitive is set to TRUE,
- 6571 a. The NWK layer SHALL verify that the Channel value requested for the corresponding index is valid for the
6572 interface entry.
- 6573 i. If not the NLME issues an NLME-SET-INTERFACE.confirm primitive with the Status of INV_RE-
6574 QUESTTYPE, and no more processing SHALL take place.
- 6575 b. The NLME SHALL examine the ChannelToUse parameter and validate that a single channel is specified. It
6576 SHALL then verify that the ChannelToUse corresponds to a channel indicated in the SupportedChannels
6577 parameter.

- 6578 i. If the tests in 3.b do not pass, then processing SHALL fail and the NLME issues an NLME-SET-IN-
6579 TERFACE.confirm primitive with the Status of INV_REQUESTTYPE and no further processing
6580 SHALL take place.
- 6581 c. If the tests in 3.b do pass, the NLME issues a NLME-SET-INTEFRACE.confirm primitive with the status
6582 parameter set to Success. It SHALL then set ChannelInUse of the interface entry in the nwkMacInterface-
6583 Table to the ChannelInUse value passed into this primitive. The NLME SHALL set the State value ac-
6584 cording to the State value passed into this primitive. The NLME SHALL set the RoutersAllowed of the
6585 interface entry to the RoutersAllowed parameter passed to this primitive.

3.2.2.35 NLME-SET-INTERFACE.confirm

This primitive allows the NLME to notify the next higher layer of the result of an NLME-SET-INTERFACE.request.

3.2.2.35.1 Semantics of the Service Primitive

The semantics of this primitive are as follows:

NLME-SET-INTERFACE.confirm	{	
	Status	
	}	

Table 3-40 specifies the parameters for the NLME-SET-INTERFACE.confirm primitive.

Table 3-40. NLME-SET-INTERFACE.confirm parameters

Name	Type	Valid Range	Description
Status	Status value	SUCCESS or INV_REQUESTTYPE	The result of a previous NLME-SET-INTERFACE.request call.

3.2.2.35.2 When Generated

This primitive is generated by the NLME when it wants to notify the next higher layer of the result of an NLME-SET-INTERFACE.request.

3.2.2.35.3 Effect on Receipt

The next higher layer will be informed about the result to change the interfaces of the NWK layer.

3.2.2.36 NLME-GET-INTERFACE.request

This primitive allows the next higher layer to request information from the NWK layer about an interface in the MAC Interface Table.

3.2.2.36.1 Semantics of the Service Primitive

The semantics of this primitive are as follows:

NLME-GET-INTERFACE.request	{	
	InterfaceIndex	
	}	

Table 3-41 specifies the parameters for the NLME-GET-INTERFACE.request primitive.

6609

Table 3-41. NLME-GET-INTERFACE.request primitive

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to retrieve.

6610 **3.2.2.36.2 When Generated**

6611 This primitive is generated by the next higher layer when it wants to retrieve information about an interface from the
6612 NWK layer.

6613 **3.2.2.36.3 Effect on Receipt**

6614 Upon receipt of this primitive the NWK layer SHALL find the corresponding entry in the *nwkMacInterfaceTable*
6615 where the Index value matches the InterfaceIndex parameter passed via this primitive. If no such entry exists, then the
6616 NLME issues an NLME-GET-INTERFACE.confirm primitive with the Status parameter set to INV_RE-
6617 QUESTTYPE, and no more processing SHALL be done.

6618 The NLME SHALL retrieve the parameters from the entry of the *nwkMacInterfaceTable* and issue the NLME-GET-
6619 INTERFACE.confirm primitive.

6620 **3.2.2.37 NLME-GET-INTERFACE.confirm**

6621 This primitive allows the NLME to notify the next higher layer of the result of a previous NLME-GET-INTE-
6622 FRACE.request primitive. The values of MacTxUcastTotal, MacTxUcastRetries, MacTxUcastFailures, and
6623 MacRxUcast for the interface SHALL be reset to zero upon successful generation of NLME-GET-INTERFACE.con-
6624 firm. Therefore subsequent NLME-GET-INTERFACE.request operations SHALL return the set of values since the
6625 last NLME-GET-INTERFACE.request

6626 **3.2.2.37.1 Semantics of the Service Primitive**

6627 The semantics of this primitive are as follows:

6628	NLME-GET-INTERFACE.confirm	{
6629		InterfaceIndex
6630		Status
6631		State
6632		ChannelInUse
6633		SupportedChannels
6634		RoutersAllowed
6635		PowerNegotiationSupported
6636		DutyCycleWarningThreshold
6637		DutyCycleCriticalThreshold
6638		DutyCycleRegulatedThreshold
6639		MacRxUcast
6640		MacTxUcastRetries
6641		MacTxUcastFailures
6642		MacTxUcastTotal
6643		InterfaceLinkCostScalar
6644		}

6645 Table 3-42 specifies the parameters for the NLME-GET-INTERFACE.confirm primitive.

Table 3-42. NLME-GET-INTERFACE.confirm parameters

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to set.
Status	Status value	SUCCESS or INV_REQUESTTYPE	The result of a previous NLME-GET-INTERFACE.request call.
State	Boolean	TRUE or FALSE	This returns the state of the interface. TRUE indicates to enable, FALSE indicates to disable.
ChannelInUse	Channel Page Structure	Variable	This indicates a single channel that the interface is currently set to. This only applies when the State is set to TRUE.
SupportedChannels	Channel List Structure	Variable	This indicates the complete set of channels supported by the specified interface.
RoutersAllowed	Boolean	TRUE or FALSE	A Boolean indicating whether or not routers are allowed to join to this device on this interface.
PowerNegotiationSupported	Boolean	TRUE or FALSE	Indicates whether this interface supports dynamic power control and negotiation to reduce power output on a per neighbor basis.
DutyCycleWarningThreshold	Integer	0 – 10000	This indicates the value currently set for the Duty Cycle Warning threshold. The integer value set is 100x the required threshold in percent, for example, 1% is set by a value of 100. A value of 0 indicates that there is no limit on Duty Cycle.
DutyCycleCriticalThreshold	Integer	0 – 10000	This indicates the value currently set for the Duty Cycle Critical threshold. The integer value set is 100x the required threshold in percent, for example, 1% is set by a value of 100. A value of 0 indicates that there is no limit on Duty Cycle.
DutyCycleRegulatedThreshold	Integer	0 – 10000	This indicates the value currently set for the Duty Cycle Regulated threshold. The integer value set is 100x the required threshold in percent, for example, 1% is set by a value of 100. A value of 0 indicates that there is no limit on Duty Cycle.

Name	Type	Valid Range	Description
MacRxUcast	Integer	0 – 0xffff	Total received packets, counting retried messages but not counting ACKs or CRC failures.
MacTxUcastRetries	Integer	0 – 0xffff	Total number of Mac Retries regardless of whether the transactions resulted in success or failure
MacTxUcastFailures	Integer	0 – 0xffff	Total number of failed Tx Transactions. So if the Mac sent a single packet, and it is retried 4 times without ACK, that counts as 1 failure
MacTxUcastTotal	Integer	0 – 0xffff	Total number of Mac Tx Transactions to attempt to send a message (but not counting retries)
InterfaceLinkCostScalar	Integer	1 – 36	This is used to scale all of the Link costs on the interface.

6647 3.2.2.37.2 When Generated

6648 This primitive is generated by the NLME to return the result of a previous NLME-GET-INTERFACE.request primitive.
6649

6650 3.2.2.37.3 Effect on Receipt

6651 The higher level application MAY use the information to inform its operation.

6652 3.2.2.38 NLME-DUTY-CYCLE-MODE.indication

6653 3.2.2.38.1 Semantics of this primitive

6654 The semantics of this primitive are as follows:

```

6655 NLME-DUTY-CYCLE-MODE.indication      {
6656                                     InterfaceIndex
6657                                     Status
6658                                     }

```

6659 Table 3-43 specifies the parameters for the NLME-DUTY-CYCLE-MODE.indication primitive.

6660 **Table 3-43. NLME-DUTY-CYCLE-MODE.indication parameters**

Name	Type	Valid Range	Description
InterfaceIndex	Integer	0 – 31	The index of the interface in the Mac Interface Table to set.

Name	Type	Valid Range	Description
Status	Enumeration	Any valid status returned from the MLME-DUTY-CYCLE-MODE.indication primitive.	The duty cycle mode that the device is currently operating in.

6661 3.2.2.38.2 When Generated

6662 This primitive is generated by the NLME with the new duty cycle status every time MLME-DUTY-CYCLE-
6663 MODE.indication changes on any MAC interface.

6664 3.2.2.38.3 Effect on Receipt

6665 The higher level application MAY use the information to inform its operation.

6666 3.2.2.39 NLME-END-DEVICE-NEGOTIATE.request

6667 This primitive allows the next higher layer of an End Device to negotiate or re-negotiate the parameters with its parent.
6668 This includes elements like the end device timeout or enabling other features that require both parent and end device
6669 to synchronize.

6670 3.2.2.39.1 Semantics of this primitive

6671 The semantics of this primitive are as follows:

6672	NLME-END-DEVICE-NEGOTIATE.request	{
6673		EndDeviceTLVs
6674		}

6675 Table 3-44 specifies the parameters for the NLME- END-DEVICE-NEGOTIATE.request primitive.

6676 **Table 3-44. Parameters of the NLME-END-DEVICE-NEGOTIATE.request primitive**

Name	Type	Valid Range	Description
EndDeviceTLVs	TLVs	Variable	This may contain a set of TLVs that indicate information that is being provided to the parent or features that are being requested. This parameter may also be omitted.

6677 3.2.2.39.2 When Generated

6678 This primitive is generated whenever the next higher layer wishes to change the timeout, provide information to the
6679 parent, or request features be enabled by the parent.

6680 If the application layer wishes to change the End Device Timeout it will first set the NIB value *nwkEndDeviceTimeout*
6681 via NLME-SET.req and then call this primitive. If the application layer does not wish to change the timeout value
6682 then it will leave the NIB value alone. The Application layer may still initiate this primitive to transmit other TLVs to
6683 the parent.

6684 3.2.2.39.3 Effect on Receipt

6685 On receipt of this primitive the stack will generate an End Device Timeout Request command frame with the value of
6686 the *nwkEndDeviceTimeout* from the NIB. If EndDeviceTLVs have been specified it will include this in the network
6687 command frame.

6688 To obtain the result of the End Device Timeout Request and report the result to the application, the stack SHALL
6689 issue one or more NLME-SYNC.request primitives to poll for the result.

6690 The result of the operation is returned to the application via the NLME-END-DEVICE-NEGOTIATE.confirm.

6691 **3.2.2.40 NLME-END-DEVICE-NEGOTIATE.confirm**

6692 This primitive allows the next higher layer to be notified of the results of its request to negotiate or re-negotiate the
6693 parameters with its parent.

6694 **3.2.2.40.1 Semantics of this primitive**

6695 The semantics of this primitive are as follows:

```
6696     NLME-END-DEVICE-NEGOTIATE.confirm    {
6697                                         Status
6698                                         }
```

6699 Table 3-45 specifies the parameters for the NLME- END-DEVICE-NEGOTIATE.confirm primitive.

6700 **Table 3-45. Parameters of the NLME-END-DEVICE-NEGOTIATE.confirm primitive**

Name	Type	Valid Range	Description
Status	Enumeration	Variable	This contains the result of the attempt to negotiate the parameters of the end device to parent connection.

6701 **3.2.2.40.2 When Generated**

6702 This is generated by the Network layer to inform the application layer about a recent attempt to negotiate the param-
6703 eters of the end device to parent connection, such as the timeout.

6704 **3.2.2.40.3 Effect on Receipt**

6705 When the status is SUCCESS, the application usually does not need to take any action. When the status indicates a
6706 failure, the application may wish to retry the operation and vary the parameters of the negotiation attempt.

6707

Table 3-46. NIB Attributes

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkMaxRejoinParentAttempts</i>	0xC3	Integer	No	0 – 255	The maximum number of attempts to rejoin to parent devices for the current network.	3
<i>nwkEndDeviceTimeout</i>	0xC4	Integer	No	0 – 14	The enumerated timeout value that the local end device stack will use when negotiating its end device timeout. This value is converted to seconds by referencing Table 3-52 Requested Timeout Enumerated Values. This value is ignored for a router or coordinator device. This value is only used when the local device is an end device.	

6708

3.3 Frame Formats

6709 This section specifies the format of the NWK frame (NPDU). Each NWK frame consists of the following basic components:
6710

- 6711 • A NWK header, which comprises frame control, addressing and sequencing information
- 6712 • A NWK payload, of variable length, which contains information specific to the frame type

6713 The frames in the NWK layer are described as a sequence of fields in a specific order. All frame formats in this section
6714 are depicted in the order in which they are transmitted by the MAC sub-layer, from left to right, where the leftmost
6715 bit is transmitted first. Bits within each field are numbered from 0 (leftmost and least significant) to k-1 (rightmost
6716 and most significant), where the length of the field is k bits. Fields that are longer than a single octet are sent to the
6717 MAC sub-layer in the order from the octet containing the lowest-numbered bits to the octet containing the highest-
6718 numbered bits.

6719

3.3.1 General NPDU Frame Format

6720 The NWK frame format is composed of a NWK header and a NWK payload. The fields of the NWK header appear
6721 in a fixed order. The NWK frame SHALL be formatted as illustrated in Figure 3-4.

Octets: 2	2	2	1	1	0/8	0/8		Variable	Variable
Frame control	Destination address	Source address	Radius	Sequence number	Destination IEEE Address	Source IEEE Address		Source route subframe	Frame payload
NWK Header									Payload

6722 **Figure 3-4. General NWK Frame Format**

6723 **3.3.1.1 Frame Control Field**

6724 The frame control field is 16 bits in length and contains information defining the frame type, addressing and sequenc-
6725 ing fields and other control flags. The frame control field SHALL be formatted as illustrated in Figure 3-5.

Bits: 0-1	2-5	6-7	8	9	10	11	12	13	14-15
Frame type	Protocol version	Discover route	Deprecated (Multicast flag)	Security	Source Route	Destination IEEE Address	Source IEEE Address	End Device Initiator	Reserved

6726 **Figure 3-5. Frame Control Field**

6727 Table 3-47 shows the allowable frame control sub-field configurations for NWK data frames. Note that all frames
6728 listed below will have a frame type sub-field equal to 00 indicating data and a protocol version sub-field reflecting the
6729 version of the Zigbee specification implemented.

6730 **Table 3-47. Allowable Frame Control Sub-Field Configurations**

Data Transmission Method	Discover Route	Multicast	Security	Destination IEEE Address	Source IEEE Address
Unicast	00 or 01	0	0 or 1	0 or 1	0 or 1
Broadcast	00	0	0 or 1	0	0 or 1
Source routed	00	0	0 or 1	0 or 1	0 or 1

6731 **3.3.1.1.1 Frame Type Sub-Field**

6732 The frame type sub-field is 2 bits in length and SHALL be set to one of the non-reserved values listed in Table 3-48.

6733

Table 3-48. Values of the Frame Type Sub-Field

Frame Type Value $b_1 b_0$	Frame Type Name
00	Data
01	NWK command
10	Reserved
11	Inter-PAN

6734 **3.3.1.1.2 Protocol Version Sub-Field**

6735 The protocol version sub-field is 4 bits in length and SHALL be set to a number reflecting the Zigbee NWK protocol
 6736 version in use. The protocol version in use on a particular device SHALL be made available as the value of the NWK
 6737 constant *nwkProtocolVersion*.

6738 **3.3.1.1.3 Discover Route Sub-Field**

6739 The discover route sub-field MAY be used to control route discovery operations for the transit of this frame (see
 6740 section 3.6.4.5).

6741

Table 3-49. Values of the Discover Route Sub-Field

Discover Route Field Value	Field Meaning
0x00	Suppress route discovery
0x01	Enable route discovery
0x02, 0x03	Reserved

6742 For NWK layer command frames, the discover route sub-field SHALL be set to 0x00 indicating suppression of route
 6743 discovery.

6744 **3.3.1.1.4 Security Sub-Field**

6745 The security sub-field SHALL have a value of 1 if, and only if, the frame is to have NWK security operations enabled.
 6746 If security for this frame is implemented at another layer or disabled entirely, it SHALL have a value of 0.

6747 **3.3.1.1.5 Source Route Sub-Field**

6748 The source route sub-field SHALL have a value of 1 if and only if a source route subframe is present in the NWK
 6749 header. If the source route subframe is not present, the source route sub-field SHALL have a value of 0.

6750 **3.3.1.1.6 Destination IEEE Address Sub-Field**

6751 The destination IEEE address sub-field SHALL have a value of 1 if, and only if, the NWK header is to include the
 6752 full IEEE address of the destination.

6753 **3.3.1.1.7 Source IEEE Address Sub-Field**

6754 The source IEEE address sub-field SHALL have a value of 1 if, and only if, the NWK header is to include the full
 6755 IEEE address of the source device.

6756 3.3.1.1.8 End Device Initiator

6757 If the source of the message is an end device and the *nwkParentInformation* field of the NIB is a value other than 0,
6758 then this sub-field SHALL be set to 1. Otherwise this sub-field SHALL be set to 0. After validating the source (see
6759 section 3.6.2.2), a router parent device SHALL clear this field when relaying a message sent by one of its end device
6760 children.

6761 3.3.1.2 Destination Address Field

6762 The destination address field SHALL always be present and SHALL be 2 octets in length. If the multicast flag sub-
6763 field of the frame control field has the value 0, the destination address field SHALL hold the 16-bit network address
6764 of the destination device or a broadcast address (see Table 3-76). Note that the network address of a device SHALL
6765 be set to the value of the *macShortAddress* attribute of the MAC PIB.

6766 3.3.1.3 Source Address Field

6767 The source address field SHALL always be present. It SHALL always be 2 octets in length and SHALL hold the
6768 network address of the source device of the frame. Note that the network address of a device SHALL be set to value
6769 of the *macShortAddress* attribute of the MAC PIB.

6770 3.3.1.4 Radius Field

6771 The radius field SHALL always be present. It will be 1 octet in length and specifies the range of a radius-limited
6772 transmission. The field SHALL be decremented by 1 by each receiving device.

6773 3.3.1.5 Sequence Number Field

6774 The sequence number field is present in every frame and is 1 octet in length. The sequence number value SHALL be
6775 incremented by 1 with each new frame transmitted. The values of the source address and sequence number fields of a
6776 frame, taken as a pair, MAY be used to uniquely identify a frame within the constraints imposed by the sequence
6777 number's one-octet range. For more details on the use of the sequence number field, see section 3.6.2.

6778 3.3.1.6 Destination IEEE Address Field

6779 The destination IEEE address field, if present, contains the 64-bit IEEE address corresponding to the 16-bit network
6780 address contained in the destination address field of the NWK header. Upon receipt of a frame containing a 64-bit
6781 IEEE address, the contents of the *nwkAddressMap* and neighbor table SHOULD be checked for consistency, and
6782 updated if necessary. Section 3.6.1.10.2 describes the actions to take in detecting address conflicts. If the 16-bit net-
6783 work address is a broadcast or multicast address then the destination IEEE address field SHALL NOT be present.

6784 3.3.1.7 Source IEEE Address Field

6785 The source IEEE address field, if present, contains the 64-bit IEEE address corresponding to the 16-bit network ad-
6786 dress contained in the source address field of the NWK header. Upon receipt of a frame containing a 64-bit IEEE
6787 address, the contents of the *nwkAddressMap* and Neighbor Table SHOULD be checked for consistency, and updated
6788 if necessary. Section 3.6.1.10.2 describes the actions to take in detecting address conflicts.

6789 3.3.1.8 Source Route Subframe Field

6790 The source route subframe field SHALL only be present if the source route sub-field of the frame control field has a
6791 value of 1. It is divided into three sub-fields as illustrated in Figure 3-6.

6792

Octets: 1	1	Variable
Relay count	Relay index	Relay list

Figure 3-6. Source Route Subframe Format

6793

6794 **3.3.1.8.1 Relay Count Sub-Field**

6795 The relay count sub-field indicates the number of relays contained in the relay list sub-field of the source route sub-
6796 frame.

6797 **3.3.1.8.2 Relay Index**

6798 The relay index sub-field indicates the index of the next relay in the relay list sub-field to which the packet will be
6799 transmitted. This field is initialized to 1 less than the relay count by the originator of the packet, and is decremented
6800 by 1 by each receiving relay.

6801 **3.3.1.8.3 Relay List Sub-Field**

6802 The relay list sub-field SHALL contain the list of relay addresses. The relay closest to the destination SHALL be listed
6803 first. The relay closest to the originator SHALL be listed last.

6804 **3.3.1.8.4 Frame Payload Field**

6805 The frame payload field has a variable length and contains information specific to individual frame types.

6806 **3.3.2 Format of Individual Frame Types**

6807 There are two defined NWK frame types: data and NWK command. Each of these frame types is discussed in the
6808 following sections.

6809 **3.3.2.1 Data Frame Format**

6810 The data frame SHALL be formatted as illustrated in Figure 3-7.

Octets: 2	Variable	Variable
Frame control	Routing fields	Data payload
NWK header		NWK payload

Figure 3-7. Data Frame Format

6811

6812 The order of the fields of the data frame SHALL conform to the order of the general NWK frame format as illustrated
6813 in Figure 3-4.

6814 **3.3.2.1.1 Data Frame NWK Header Field**

6815 The data frame NWK header field SHALL contain the frame control field and an appropriate combination of routing
6816 fields as required.

6817 In the frame control field, the frame type sub-field SHALL contain the value that indicates a data frame, as shown in
6818 Table 3-48. All other sub-fields shall be set according to the intended use of the data frame.

6819 The routing fields SHALL contain an appropriate combination of address and broadcast fields, depending on the
6820 settings in the frame control field (see Figure 3-5).

6821 3.3.2.1.2 Data Payload Field

6822 The data frame data payload field SHALL contain the sequence of octets that the next higher layer has requested the
6823 NWK layer to transmit.

6824 3.3.2.2 NWK Command Frame Format

6825 The NWK command frame SHALL be formatted as illustrated in Figure 3-8.

Octets: 2	Variable	1	Variable
Frame control	Routing fields	NWK command identifier	NWK command payload
NWK header		NWK payload	

6826 **Figure 3-8. NWK Command Frame Format**

6827 The order of the fields of the NWK command frame SHALL conform to the order of the general NWK frame as
6828 illustrated in .

6829 3.3.2.2.1 NWK Command Frame NWK Header Field

6830 The NWK header field of a NWK command frame SHALL contain the frame control field and an appropriate combi-
6831 nation of routing fields as required.

6832 In the frame control field, the frame type sub-field SHALL contain the value that indicates a NWK command frame,
6833 as shown in Table 3-48. All other sub-fields shall be set according to the intended use of the NWK command frame.

6834 The routing fields SHALL contain an appropriate combination of address and broadcast fields, depending on the
6835 settings in the frame control field.

6836 3.3.2.2.2 NWK Command Identifier Field

6837 The NWK command identifier field indicates the NWK command being used. This field SHALL be set to one of the
6838 non-reserved values listed in Table 3-50.

6839 3.3.2.2.3 NWK Command Payload Field

6840 The NWK command payload field of a NWK command frame SHALL contain the NWK command itself.

6841 3.4 Command Frames

6842 The command frames defined by the NWK layer are listed in Table 3-50. The following sections detail how the NLME
6843 SHALL construct the individual commands for transmission.

6844 For each of these commands, the following applies to the NWK header fields unless specifically noted in the section
6845 on NWK header in each command:

- 6846 • The frame type sub-field of the NWK frame control field SHALL be set to indicate that this frame is a NWK
6847 command frame.
- 6848 • The discover route sub-field in the NWK header SHALL be set to suppress route discovery (see Table 3-49).
- 6849 • The source address field in the NWK header SHALL be set to the address of the originating device.

6850

Table 3-50. NWK Command Frames

Command Frame Identifier	Command Name	Network Encryption	Reference
0x01	Route Request	Required	3.4.1
0x02	Route Reply	Required	3.4.2
0x03	Network Status	Required	3.4.3
0x04	Leave	Required	3.4.4
0x05	Route Record	Required	3.4.5
0x06	Rejoin Request	Optional	3.4.6
0x07	Rejoin Response	Optional	3.4.7
0x08	Link Status	Required	3.4.8
0x09	Network Report	Required	
0x0a	Network Update	Required	3.4.10
0x0b	End Device Timeout Request	Required	3.4.11
0x0c	End Device Timeout Response	Required	3.4.12
0x0d	Link Power Delta	Required	3.4.13
0x0e	Network Commissioning Request	Optional	3.4.14
0x0f	Network Commissioning Response	Optional	3.4.15
0x10 – 0xff	Reserved	-	—

6851

3.4.1 Route Request Command

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The route request command allows a device to request other devices within radio range to engage in a search for a particular destination device and establish a state within the network that will allow messages to be routed to that destination more easily and economically in the future. The payload of a route request command SHALL be formatted as illustrated in Figure 3-9.

Octets: 1	1	2	1	0/8	Variable
Command options	Route request identifier	Destination address	Path cost	Destination IEEE Address	TLVs
NWK command payload					

6856 **Figure 3-9. Route Request Command Frame Format**

6857 **3.4.1.1 MAC Data Service Requirements**

6858 In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the following information SHALL be included in the MAC frame header:

- 6860 • The destination PAN identifier SHALL be set to the PAN identifier of the device sending the route request command.
- 6861
- 6862 • The destination address SHALL be set to the broadcast address of 0xffff.
- 6863 • The source address and PAN identifier SHALL be set to the network address and PAN identifier of the device sending the route request command, which MAY or MAY NOT be the device from which the command originated.
- 6864
- 6865
- 6866 • The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled, since any secured frame originating from the NWK layer SHALL use NWK layer security. Because the frame is broadcast, no acknowledgment request SHALL be specified.
- 6867
- 6868
- 6869 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

6870 **3.4.1.2 NWK Header Fields**

6871 In order for this route request to reach its destination and for the route discovery process to complete correctly, the following information SHALL be provided:

- 6873 • The destination address in the NWK header SHALL be set to the broadcast address for all routers and the coordinator (see Table 3-76).
- 6874
- 6875 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of the frame.
- 6876
- 6877

6878 **3.4.1.3 NWK Payload Fields**

6879 The NWK frame payload contains a command identifier field, a command options field, the route request identifier field, the address of the intended destination, an up-to-date summation of the path cost, and the destination IEEE address.

6882 The command frame identifier SHALL contain the value indicating a route request command frame.

6883 **3.4.1.3.1 Command Options Field**

6884 The format of the 8-bit command options field is shown in Figure 3-10.

Bit: 0-2	3-4	5	6	7
Reserved	Many-to-one	Destination IEEE address	Deprecated (Multicast)	Reserved

6885 **Figure 3-10. Route Request Command Options Field**

6886 3.4.1.3.1.1 **Many-to-One**

6887 The many-to-one field SHALL have one of the non-reserved values shown in Table 3-51.

6888 **Table 3-51. Many-to-One Field Values**

Value	Description
0	The route request is not a many-to-one route request.
1	The route request is a many-to-one route request and the sender supports a route record table.
2	The route request is a many-to-one route request and the sender does not support a route record table.
3	Reserved

6889 3.4.1.3.1.2 **Destination IEEE Address**

6890 The destination IEEE address field is a single-bit field. It SHALL have a value of 1 if, and only if, the command frame
6891 contains the destination IEEE address. The Destination IEEE Address field SHOULD always be added if it is known.

6892 3.4.1.3.2 **Route Request Identifier**

6893 The route request identifier is an 8-bit sequence number for route requests and is incremented by 1 every time the
6894 NWK layer on a particular device issues a route request.

6895 3.4.1.3.3 **Destination Address**

6896 The destination address SHALL be 2 octets in length and represents the intended destination of the route request
6897 command frame.

6898 3.4.1.3.4 **Path Cost**

6899 The path cost field is eight bits in length and is used to accumulate routing cost information as a route request command
6900 frame moves through the network (see section 3.6.4.5.2).

6901 3.4.1.3.5 **Destination IEEE Address**

6902 The destination IEEE address SHALL be 8 octets in length and represents the IEEE address of the destination of the
6903 route request command frame. It SHALL be present only if the destination IEEE address sub-field of the command
6904 frame options field has a value of 1.

6905 3.4.2 Route Reply Command

6906 The route reply command allows the specified destination device of a route request command to inform the originator
 6907 of the route request that the request has been received. It also allows Zigbee routers along the path taken by the route
 6908 request to establish state information that will enable frames sent from the source device to the destination device to
 6909 travel more efficiently. The payload of the route reply command SHALL be formatted as illustrated in Figure 3-11.

Octets: 1	1	2	2	1	0/8	0/8	Variable
Command options	Route request identifier	Originator address	Responder address	Path cost	Originator IEEE address	Responder IEEE address	TLVs
NWK command payload							

6910 **Figure 3-11. Route Reply Command Format**

6911 3.4.2.1 MAC Data Service Requirements

6912 In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the follow-
 6913 ing information SHALL be included in the MAC frame header:

6914 The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier, respec-
 6915 tively, of the first hop in the path back to the originator of the corresponding route request command frame. The
 6916 destination PAN identifier SHALL be the same as the PAN identifier of the originator.

6917 The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device
 6918 sending the route reply command, which MAY or MAY NOT be the device from which the command originated.

6919 The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled,
 6920 since any secured frame originating from the NWK layer SHALL use NWK layer security. The transmission options
 6921 SHALL be set to require acknowledgment. The addressing mode and intra-PAN flags SHALL be set to support the
 6922 addressing fields described here.

6923 3.4.2.2 NWK Header Fields

6924 In order for this route reply to reach its destination and for the route discovery process to complete correctly, the
 6925 following information SHALL be provided:

- 6926 • The source address in the NWK header SHALL be set to the 16-bit network address of the device transmitting
 6927 the frame.
- 6928 • The destination address field in the NWK header SHALL be set to the network address of the first hop in the
 6929 path back to the originator of the corresponding route request.
- 6930 • Since this is a NWK layer command frame, the source IEEE address sub-field of the frame control field
 6931 SHALL be set to 1 and the source IEEE address field of the NWK header SHALL be present and SHALL con-
 6932 tain the 64-bit IEEE address of the originator of the frame. The destination IEEE address sub-field of the frame
 6933 control field SHALL also have a value of 1 and the destination IEEE address field of the NWK header shall be
 6934 present and SHALL contain the 64-bit IEEE address of the first hop in the path back to the originator of the cor-
 6935 responding route request.
- 6936 • The Sequence Number field in the NWK header SHALL be created for every hop during the route reply pro-
 6937 cess. The Radius Field SHALL be set to $nwkcMaxDepth * 2$ by the target of the route request. Every hop during
 6938 the Route Reply process SHALL decrement the radius by 1. If the value of the radius in the received Route Re-
 6939 ply message is 1, the relaying router SHALL set the radius of the message to 1. The Sequence Number SHALL
 6940 be created as if it were a new frame from the device transmitting the frame replacing the sequence number with

6941 the device's next available sequence number. The Route Reply frame is not a forwarded frame, but is newly
6942 created by each hop during the route reply process.

6943 3.4.2.3 NWK Payload Fields

6944 The NWK frame payload contains a command identifier field, a command options field, the route request identifier,
6945 originator and responder addresses and an up-to-date summation of the path cost.

6946 The command frame identifier SHALL contain the value indicating a route reply command frame.

6947 3.4.2.3.1 Command Options Field

6948 The format of the 8-bit command options field is shown in Figure 3-12.

Bit: 0 – 3	4	5	6	6-7
Reserved	Originator IEEE address	Responder IEEE address	Deprecated (Multicast)	Reserved

6949 **Figure 3-12. Route Reply Command Options Field**

6950 3.4.2.3.1.1 Originator IEEE Address

6951 The originator IEEE address sub-field is a single-bit field. It SHALL have a value of 1 if and only if the originator
6952 IEEE address field is included in the payload. This bit SHALL always be set.

6953 3.4.2.3.1.2 Responder IEEE Address

6954 The responder IEEE address sub-field is a single-bit field. It SHALL have a value of 1 if, and only if, the responder
6955 IEEE address field is included in the payload. This bit SHALL always be set.

6956 3.4.2.3.2 Route Request Identifier

6957 The route request identifier is the 8-bit sequence number of the route request to which this frame is a reply.

6958 3.4.2.3.3 Originator Address

6959 The originator address field SHALL be 2 octets in length and SHALL contain the 16-bit network address of the
6960 originator of the route request command frame to which this frame is a reply.

6961 3.4.2.3.4 Responder Address

6962 The responder address field SHALL be 2 octets in length and SHALL always be the same as the value in the destina-
6963 tion address field of the corresponding route request command frame.

6964 3.4.2.3.5 Path Cost

6965 The path cost field is used to sum link cost as the route reply command frame transits the network (see section
6966 3.6.4.5.2).

6967 3.4.2.3.6 Originator IEEE Address

6968 The originator IEEE address field SHALL be 8 octets in length and SHALL contain the 64-bit address of the originator
6969 of the route request command frame to which this frame is a reply. This field SHALL only be present if the originator
6970 IEEE address sub-field of the command options field has a value of 1.

6971 3.4.2.3.7 Responder IEEE Address

6972 The responder IEEE address field SHALL be 8 octets in length and SHALL contain the 64-bit address of the destina-
6973 tion of the route request command frame to which this frame is a reply. This field SHALL only be present if the
6974 responder IEEE address sub-field of the command options field has a value of 1.

6975

3.4.3 Network Status Command

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A device uses the network status command to report errors and other conditions arising in the NWK layer of a particular device to the peer NWK layer entities of other devices in the network. The NWK status command MAY be also used to diagnose network problems, *for example* address conflicts. The payload of a network status command SHALL be formatted as illustrated in Figure 3-13.

Octets: 1	2	Variable
Status code	Target address	TLVs
NWK command payload		

6980

Figure 3-13. Network Status Command Frame Format

6981

3.4.3.1 MAC Data Service Requirements

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6983

In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the following information SHALL be provided:

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6985
6986

- The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier, respectively, of the first hop in the path to the destination of the command frame or to the broadcast address 0xffff in the case where the command frame is being broadcast at the NWK layer.

6987
6988

- The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device sending the network status command.

6989
6990
6991
6992

- The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled, since any secured frame originating from the NWK layer SHALL use NWK layer security. The transmission options SHALL NOT be set to require acknowledgement if the destination MAC address is the broadcast address 0xffff.

6993

- The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

6994

3.4.3.2 NWK Header Fields

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6996

Network status commands MAY be either unicast or broadcast. The fields of the NWK header SHALL be set as follows:

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6998

- The source address field SHALL always be set to the 16-bit network address of the device originating the command frame.

6999
7000
7001

- The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of the frame.

7002
7003

- When sent in response to a routing error, the destination address field in the NWK header SHALL be set to the same value as the source address field of the data frame that encountered a forwarding failure.

7004
7005
7006
7007

- If and only if, the network status command frame is not broadcast, the destination IEEE address sub-field of the frame control field SHALL have a value of 1 and the destination IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE corresponding to the 16-bit network address in the destination address field if this IEEE address is known.

7008 **3.4.3.3 NWK Payload Fields**

7009 The NWK frame payload of the network status command frame contains a command frame identifier field, a status
 7010 code field and a destination address field as described below. The command frame identifier SHALL be set to specify
 7011 the network status command frame as defined in Table 3-52.

7012 **3.4.3.3.1 Status Code**

7013 The status code SHALL be set to one of the non-reserved values shown in Table 3-52.

7014 **Table 3-52. Status Codes for Network Status Command Frame**

Value	Status Code	NLME-NWK-STATUS.indication Usage	Sent over-the-air in NWK Status Command	Description
0x00	Legacy No Route Available	No	Yes	This link code indicates a failure to route across a link. This was used in previous specifications. Revision 23 devices SHALL no longer SEND this error code but SHALL accept and act on it. It SHALL be treated the same as 0x02, Link failure.
0x01	Legacy Link Failure	No	Yes	This link code indicates a failure to route across a link. This was used in previous specifications. Revision 23 devices SHALL no longer SEND this error code but SHALL accept and act on it. It SHALL be treated the same as 0x02, Link failure.
0x02	Link failure	No	Yes	This link code indicates a failure to route across a link.
0x03 – 0x08	Deprecated	-	-	These are deprecated error codes and SHOULD NOT be used in a future specification version.
0x09	Parent link failure	Yes	No	The failure occurred as a result of a failure in the RF link to the

Value	Status Code	NLME-NWK-STATUS.indication Usage	Sent over-the-air in NWK Status Command	Description
				device's parent. This status is only used locally on a device to indicate loss of communication with the parent.
0x0A	Deprecated	-	-	These are deprecated error codes and SHOULD NOT be used in a future specification version.
0x0B	Source Route failure	Yes	Yes	Source routing has failed, probably indicating a link failure in one of the source route's links.
0x0C	Many-to-one route failure	Yes	Yes	A route established as a result of a many-to-one route request has failed.
0x0D	Address Conflict	Yes	Yes	The address in the destination address field has been determined to be in use by two or more devices.
0x0E	Deprecated	-	-	These are deprecated error codes and SHOULD NOT be used in a future specification version.
0x0F	PAN Identifier Update	Yes	No	The operational network PAN identifier of the device has been updated.
0x10	Network Address Update	Yes	No	The network address of the local device has been updated.
0x13	Unknown Command	No	Yes	The NWK command ID is not known to the device.

Value	Status Code	NLME-NWK-STATUS.indication Usage	Sent over-the-air in NWK Status Command	Description
0x14	PAN ID Conflict Report	Yes	No	Notification to the local application that a PAN ID Conflict Report has been received by the local Network Manager.
0x15 – 0xFF	Reserved	-	-	Reserved for future use

7015 These status codes are used both as values for the status code field of a network status command frame and as values
7016 of the Status parameter of the NLME-NWK-STATUS.indication primitive.

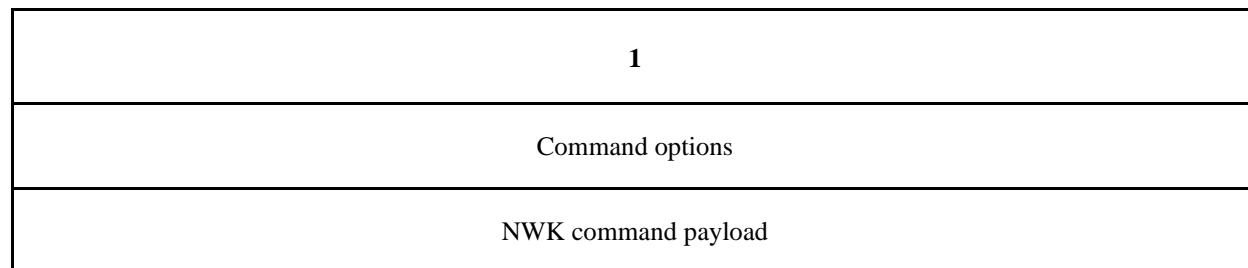
7017 A device receiving a reserved or deprecated status code SHALL ignore it.

7018 3.4.3.3.2 Destination Address

7019 The destination address field SHALL be 2 octets in length and SHALL be present if, and only if, the network status
7020 command frame is being sent in response to a routing failure or a network address conflict. In case of a routing failure,
7021 it SHALL contain the destination address from the data frame that encountered the failure; in case of an address
7022 conflict, it SHALL contain the offending network address.

7023 3.4.4 Leave Command

7024 The leave command is used by the NLME to inform other devices on the network that a device is leaving the network
7025 or else to request that a device leave the network. The payload of the leave command SHALL be formatted as shown
7026 in Figure 3-14.



7027 **Figure 3-14. Leave Command Frame Format**

7028 3.4.4.1 MAC Data Service Requirement

7029 In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the follow-
7030 ing information SHALL be provided:

7031 The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier, respec-
7032 tively, of the neighbor device to which the frame is being sent or else to the MAC broadcast address 0xffff in the case
7033 where the NWK header also contains a broadcast address.

7034 The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the device
7035 sending the leave command.

7036 The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disabled,
 7037 since any secured frame originating from the NWK layer SHALL use NWK layer security. Acknowledgment SHALL
 7038 be requested.

7039 The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

7040 3.4.4.2 NWK Header Fields

7041 The NWK header fields of the leave command frame SHALL be set as follows:

- 7042 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address
 7043 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of
 7044 the frame.
- 7045 • If the request sub-field of the command options field is set to 1 then the destination address field in the NWK
 7046 header SHALL be set to the network address of the child device being requested to leave.
- 7047 • If the request sub-field is set to 0 then the destination address field in the NWK header SHALL be set to 0xffff
 7048 so that the indication is received by devices with *macRxOnWhenIdle* equal to TRUE.
- 7049 • The destination address sub-field of the frame control MAY be set to 0 or 1. The choice SHALL be based on
 7050 whether the local device has knowledge of the IEEE address for the device being requested to leave. If the local
 7051 device knows the IEEE address then the field SHALL be set to 1 and the destination IEEE address field SHALL
 7052 be present..
- 7053 • The radius field SHALL be set to 1.

7054 3.4.4.3 NWK Payload Fields

7055 The NWK payload of the leave command frame contains a command frame identifier field and a command options
 7056 field. The command frame identifier field SHALL be set to specify the leave command frame as described in Table
 7057 3-50.

7058 3.4.4.3.1 Command Options Field

7059 The format of the 8-bit Command Options field is shown in Figure 3-15.

Bit: 0 – 4	5	6	7
Reserved	Rejoin	Request	Remove children

7060 **Figure 3-15. Leave Command Options Field**

7061 3.4.4.3.1.1 Rejoin Sub-Field

7062 The Rejoin sub-field is a single-bit field. If the value of this sub-field is 1, the device that is leaving from its current
 7063 parent will rejoin the network. If the value of this sub-field is 0, the device will not rejoin the network.

7064 3.4.4.3.1.2 Request Sub-Field

7065 The request sub-field is a single-bit field. If the value of this sub-field is 1, then the leave command frame is a request
 7066 for another device to leave the network. If the value of this sub-field is 0, then the leave command frame is an indica-
 7067 tion that the sending device plans to leave the network.

7068 3.4.4.3.1.3 Remove Children Sub-Field

7069 The remove children sub-field is a single-bit field. If this sub-field has a value of 1, then the children of the device
 7070 that is leaving the network will also be removed. If this sub-field has a value of 0, then the children of the device
 7071 leaving the network will not be removed.

7072 3.4.5 Route Record Command

7073 The route record command allows the route taken by a unicast packet through the network to be recorded in the
7074 command payload and delivered to the destination device. The payload of the route record command SHALL be
7075 formatted as illustrated in Figure 3-16.

Octets: 1	Variable
Relay count	Relay list
NWK command payload	

7076 **Figure 3-16. Route Record Command Format**

7077 3.4.5.1 MAC Data Service Requirements

7078 In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the follow-
7079 ing information SHALL be provided:

- 7080 • The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier,
7081 respectively, of the neighbor device to which the frame is being sent.
- 7082 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
7083 device sending the route record command.
- 7084 • The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disa-
7085 bled, since any secured frame originating from the NWK layer SHALL use NWK layer security. Acknowledg-
7086 ment SHALL be requested.
- 7087 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

7088 3.4.5.2 NWK Header Fields

7089 The NWK header fields of the route record command frame SHALL be set as follows:

- 7090 • If the route record is being initiated as the result of a NLDE-DATA.request primitive from the next higher layer,
7091 the source address field SHALL be set to the 16-bit network address of the originator of the frame. If the route
7092 record is being initiated as a result of the relaying of a data frame on behalf of one of the device's end device
7093 children, the source address field SHALL contain the 16-bit network address of that end device child.
- 7094 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address
7095 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address corresponding to the
7096 16-bit network address contained in the source address field.
- 7097 • The destination address field in the NWK header SHALL be set to the 16-bit network address of the concentra-
7098 tor device that is the destination of the frame.
- 7099 • The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE
7100 address field SHALL be set to the IEEE address of the concentrator device that is the destination of the frame, if
7101 this address is known.
- 7102 • The Source Route sub-field of the frame control field SHALL be set to 0.

7103 3.4.5.3 NWK Payload

7104 The NWK frame payload contains a command identifier field, a relay count field, and a relay list field. The command
7105 frame identifier SHALL contain the value indicating a route record command frame.

7106 3.4.5.3.1 Relay Count Field

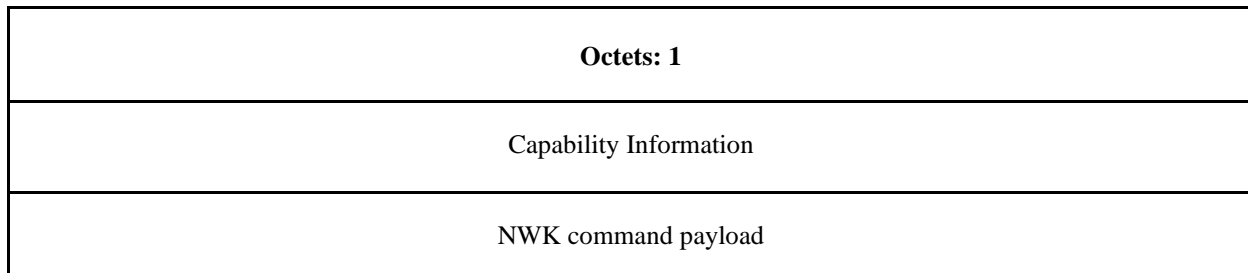
7107 This field contains the number of relays in the relay list field of the route record command. If the route record is being
7108 initiated as the result of a NLDE-DATA.request primitive from the next higher layer, the relay count field is initialized
7109 to 0. If the route record is being initiated as a result of the relaying of a data frame on behalf of one of the device's
7110 end device children, the relay count field is initialized to 1. In either case, it is incremented by each receiving relay.

7111 3.4.5.3.2 Relay List Field

7112 The relay list field is a list of the 16-bit network addresses of the nodes that have relayed the packet. If the route record
7113 is being initiated as a result of the relaying of a data frame on behalf of one of the device's end device children, the
7114 initiating device will initialize this field with its own 16-bit network address. Receiving relay nodes append their
7115 network address to the list before forwarding the packet.

7116 3.4.6 Rejoin Request Command

7117 The rejoin request command allows a device to rejoin its network. This is normally done in response to a communi-
7118 cation failure, such as when an end device can no longer communicate with its original parent. The rejoin request
7119 command SHALL be formatted as shown in Figure 3-17.



7120 **Figure 3-17. Rejoin Request Command Frame Format**

7121 3.4.6.1 MAC Data Service Requirements

7122 In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4.-2015, [B1], the fol-
7123 lowing information SHALL be provided:

- 7124 • The destination address and PAN identifier SHALL be set to the network address and PAN identifier, respec-
7125 tively, of the prospective parent.
- 7126 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
7127 device transmitting the rejoin command frame.
- 7128 • The transmission options SHALL be set to require acknowledgement.
- 7129 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

7130 3.4.6.2 NWK Header Fields

7131 The NWK header fields of the rejoin request command frame SHALL be set as follows:

- 7132 • The source address field of the NWK header to the 16-bit network address SHALL be as follows. If the value of
7133 the *nwkNetworkAddress* in the NIB is within the valid range, then it SHALL use that value. If the value of the
7134 *nwkNetworkAddress* in the NIB is not within the valid range, then it SHALL randomly generate a value within
7135 the valid range, excluding the value of 0x0000, and use that.
- 7136 • The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address
7137 field SHALL be set to the IEEE address of the device issuing the request.
- 7138 • The destination address field in the NWK header SHALL be set to the 16-bit network address of the prospective
7139 parent.

- 7140 • The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE
7141 address field SHALL be set to the IEEE address of the prospective parent, if this address is known.
- 7142 • The radius field SHALL be set to 1.

7143 3.4.6.3 NWK Payload Fields

7144 The NWK frame payload contains a command identifier field and a capability information field. The command frame
7145 identifier SHALL contain the value indicating a rejoin request command frame.

7146 3.4.6.3.1 Capability Information Field

7147 This one-octet field has the format of the capability information field in the association request command in [B1],
7148 which is also described in Table 3-67.

7149 3.4.7 Rejoin Response Command

7150 The rejoin response command is sent by a device to inform a child of its network address and rejoin status. The rejoin
7151 request command SHALL be formatted as shown in Figure 3-18.

Octets: 2	1
Network address	Rejoin status
NWK command payload	

7152 **Figure 3-18. Rejoin Response Command Frame Format**

7153 3.4.7.1 MAC Data Service Requirements

7154 In order to transmit this command using the MAC data service, specified in [B1], the following information SHALL
7155 be provided:

- 7156 • The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier,
7157 respectively, of the device that sent the rejoin request to which this frame is a response.
- 7158 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
7159 device that received and processed the rejoin request command frame.
- 7160 • Acknowledgment SHALL be requested.
- 7161 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here. The
7162 TXOptions SHALL request ‘indirect transmission’ to be used if the *Receiver on when idle* bit of the *nwkCapa-*
7163 *bilityInformation* contained in the corresponding rejoin request command is equal to 0x00. Otherwise, ‘direct
7164 transmission’ SHALL be used.

7165 3.4.7.2 NWK Header Fields

7166 The NWK header fields of the rejoin response command frame SHALL be set as follows:

- 7167 • The source address field SHALL be set to the 16-bit network address of the device that is sending the response.
- 7168 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address
7169 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the parent device
7170 that is sending the response.
- 7171 • The destination address field of the NWK header SHALL be set to the current network address of the rejoining
7172 device, *i.e.* the device that sent the join request to which this frame is a response.

7173 • The destination IEEE address sub-field of the frame control field SHALL have a value of 1 and the destination
7174 IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the
7175 child device that is source of the rejoin request command to which this frame is a response.

7176 • The NWK layer will set the security of the Network Rejoin Response command frame to the same level as that
7177 of the received rejoin request command frame to which it is a response.

7178 **3.4.7.3 NWK Payload Fields**

7179 **3.4.7.3.1 Network Address Field**

7180 If the rejoin was successful, this two-octet field contains the new network address assigned to the rejoining device. If
7181 the rejoin was not successful, this field contains the broadcast address (0xffff).

7182 **3.4.7.3.2 Rejoin Status Field**

7183 This field SHALL contain one of the non-reserved association status values specified in [B1].

7184 **3.4.8 Link Status Command**

7185 The link status command frame allows neighboring routers to communicate their incoming link costs to each other as
7186 described in section 3.6.4.4. Link status frames are transmitted as one-hop broadcasts without retries.

7187 **3.4.8.1 MAC Data Service Requirements**

7188 In order to transmit this command using the MAC data service, specified in IEEE Std 802.15.4-2020 [B1], the follow-
7189 ing information SHALL be included in the MAC frame header:

7190 • The destination PAN identifier SHALL be set to the PAN identifier of the device sending the link status com-
7191 mand.

7192 • The destination address SHALL be set to the broadcast address of 0xffff.

7193 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
7194 device sending the link status command.

7195 • The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disa-
7196 bled, since any secured frame originating from the NWK layer SHALL use NWK layer security. Because the
7197 frame is broadcast, no acknowledgment request SHALL be specified.

7198 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here.

7199 **3.4.8.2 NWK Header Fields**

7200 The NWK header field of the link status command frame SHALL be set as follows:

7201 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address
7202 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of
7203 the frame.

7204 • The destination address in the NWK header SHALL be set to the router-only broadcast address (see Table
7205 3-76).

7206 • The destination IEEE address sub-field of the frame control field SHALL have a value of 0 and the destination
7207 IEEE address field of the NWK header SHALL NOT be present.

7208 • The radius field SHALL be set to 1.

7209 **3.4.8.3 NWK Payload Fields**

7210 The NWK command payload of the link status command SHALL be formatted as illustrated in Figure 3-19.

Octets: 1	Variable
Command options	Link status list
NWK command payload	

7211 **Figure 3-19. Link Status Command Format**7212 **3.4.8.3.1 Command Options Field**

7213 The format of the 8-bit command options field is shown in Figure 3-20.

Bit: 0 – 4	5	6	7
Entry count	First frame	Last frame	Reserved

7214 **Figure 3-20. Link Status Command Options Field**

7215 The entry count sub-field of the command options field indicates the number of link status entries present in the link
 7216 status list. The first frame sub-field is set to 1 if this is the first frame of the sender's link status. The last frame sub-
 7217 field is set to 1 if this is the last frame of the sender's link status. If the sender's link status fits into a single frame, the
 7218 first frame and last frame bits SHALL both be set to 1.

7219 **3.4.8.3.2 Link Status List Field**

7220 An entry in the link status list is formatted as shown in Figure 3-21.

Octets: 2	1
Neighbor network address	Link status

7221 **Figure 3-21. Link Status Entry**

7222 Link status commands SHALL be transmitted on every active MAC interface in the MAC Interface table where the
 7223 state is TRUE (active) and RoutersAllowed is also TRUE. The set of link status entries in the link status command
 7224 derived from the neighbor table SHALL be specific to the interface that the command is to be transmitted on. Link
 7225 status entries are sorted in ascending order by network address. If all router neighbors do not fit in a single frame,
 7226 multiple frames are sent. When sending multiple frames, the last network address in the link status list for frame N is
 7227 equal to the first network address in the link status list for frame N+1.

7228 Each link status entry contains the network address of a router neighbor, least significant octet first, followed by the
 7229 link status octet. The incoming cost field contains the device's estimate of the link cost for the neighbor, which is a
 7230 value between 1 and 7. The outgoing cost field contains the value of the outgoing cost field from the neighbor table.

7231 The link status field in a link status entry is formatted as in Figure 3-22.

7232

Bits: 0-2	3	4-6	7
Incoming cost	Reserved	Outgoing cost	Reserved

7233 **Figure 3-22. Link Status Entry Format**7234

3.4.9 Network Report Command

7235 The network report command allows a device to report network events to the device identified by the address contained
7236 in the *nwkManagerAddr* in the NIB in an unsolicited way. Such events are radio channel condition and PAN ID
7237 conflicts. The payload of a network report command SHALL be formatted as illustrated in Figure 3-23.

7238 Starting with Revision 23 of this specification this is considered a legacy command. Revision 23 devices SHALL
7239 NOT generate this command. Generating unsolicited messages on the network due to unencrypted traffic must be
7240 limited to avoid introducing security problems. Statistics on PAN ID conflicts are collected by the device and reported
7241 via the higher layer (such as ZDO).

Octets: 1	8	Variable
Command options (see Figure 3-24)	EPID	Report information
NWK command payload		

7242 **Figure 3-23. Network Report Command Frame Format**7243

3.4.9.1 MAC Data Service Requirements

7244 In order to transmit this command using the MAC data service, specified in [B1], the following information SHALL
7245 be included in the MAC frame header:

- 7246 • The destination PAN identifier SHALL be set to the PAN identifier of the device sending the network report
7247 command.
- 7248 • The destination address SHALL be set to the value of the next-hop address field in the routing table entry for
7249 which the destination address field has the same value as the *nwkManagerAddr* field in the NIB. If no such rout-
7250 ing table entry exists, then the NWK MAY attempt route discovery as described in section 3.6.4.5.
- 7251 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
7252 device sending the network report command, which MAY or MAY NOT be the device from which the com-
7253 mand originated.
- 7254 • The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disa-
7255 bled, since any secured frame originating from the NWK layer SHALL use NWK layer security. The transmis-
7256 sion options SHALL be set to require acknowledgment.

7257

3.4.9.2 NWK Header Fields

7258 The NWK header fields of the network report command frame SHALL be set as follows:

- 7259 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address
7260 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of
7261 the frame.

- 7262 • The destination address field in the NWK header SHALL be set to the 16-bit network address contained in the
7263 *nwkManagerAddr* attribute of the NIB.
- 7264 • The destination IEEE address sub-field of the frame control field SHALL have a value of 1 and the destination
7265 IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the
7266 corresponding to the 16-bit network address contained in the *nwkManagerAddr* attribute of the NIB, if this
7267 IEEE address is known.

7268 3.4.9.3 NWK Payload Fields

7269 The NWK frame payload contains a command identifier field, a command options field, an EPID field, and a report
7270 information payload.

7271 The command frame identifier SHALL contain the value indicating a network report command frame.

7272 3.4.9.3.1 Command Options Field

7273 The format of the 8-bit command options field is shown in Figure 3-24.

Bits 0 - 4	5 - 7
Report information count	Report command identifier (see Figure 3-25)

7274 **Figure 3-24. Network Report Command Options Field**

7275 3.4.9.3.1.1 Report Information Count Sub-Field

7276 The report information count sub-field contains an integer indicating the number of records contained within the Re-
7277 port Information field. The size of a record depends in the value of the Report Command Identifier.

7278 3.4.9.3.1.2 Report Command Identifier Sub-Field

7279 The report command identifier sub-field contains an integer indicating the type of report information command. Figure
7280 3-25 contains the values that can be inserted into this field.

Report Command Identifier Value	Report Type
0x00	PAN identifier conflict
0x01 - 0x07	Reserved

7281 **Figure 3-25. Report Command Identifier Sub-Field**

7282 3.4.9.3.2 EPID Field

7283 The EPID field SHALL contain the 64-bit EPID that identifies the network that the reporting device is a member of.

7284 3.4.9.3.3 Report Information

7285 The report information field provides the information being reported, the format of this field depends upon the value
7286 of the Report Command Identifier sub-field.

7287 3.4.9.3.3.1 PAN Identifier Conflict Report

7288 If the value of the Report Command Identifier sub-field indicates a PAN identifier conflict report then the Report
7289 Information field will have the format shown in Figure 3-26.

Octets: 2	2	2
1st PAN ID	...	nth PAN ID

7290 **Figure 3-26. PAN Identifier Conflict Report**

7291 The PAN ID conflict report SHALL be made up of a list of 16-bit PAN identifiers that are operating in the neighbor-
 7292 hood of the reporting device. The number of PAN identifiers in the PAN ID conflict report SHALL be equal to the
 7293 value of the report information count sub-field of the command options field.

7294 3.4.10 Network Update Command

7295 The network update command allows the device identified by the *nwkManagerAddr* attribute of the NIB to broadcast
 7296 the change of configuration information to all devices in the network. For example, broadcasting the fact that the
 7297 network is about to change its short PAN identifier.

7298 The payload of a network update command SHALL be formatted as illustrated in Figure 3-27.

Octets: 1	8	1	Variable
Command Options (see Figure 3-28)	EPID	Update Id	Update Information
NWK command payload			

7299 **Figure 3-27. Network Update Command Frame Format**

7300 3.4.10.1 MAC Data Service Requirements

7301 In order to transmit this command using the MAC data service specified in [B1], the following information SHALL
 7302 be included in the MAC frame header:

- 7303 • The destination PAN identifier SHALL be set to the old PAN identifier of the Zigbee coordinator in order for
 7304 the command frame to reach network devices which have not received this update. The destination address
 7305 SHALL be set according to the procedures for broadcast transmission outlined in section 3.6.6.
- 7306 • The source MAC address and PAN identifier SHALL be set to the network address and the old PAN identifier
 7307 of the device sending the network report command, which MAY or MAY NOT be the device from which the
 7308 command originated.
- 7309 • The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security disa-
 7310 bled, since any secured frame originating from the NWK layer SHALL use NWK layer security.

7311 3.4.10.2 NWK Header Fields

7312 The NWK header fields of the network update command frame SHALL be set as follows:

- 7313 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE address
 7314 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the originator of
 7315 the frame.
- 7316 • The destination address in the NWK header SHALL be set to the broadcast address 0xffff.
- 7317 • The destination IEEE address sub-field of the frame control field SHALL have a value of 0 and the destination
 7318 IEEE address field SHALL NOT be present in the NWK header.

7319 **3.4.10.3 NWK Payload Fields**

7320 The NWK frame payload contains a command identifier field, a command options field, an EPID field and an Update
7321 Information variable field.

7322 The command frame identifier SHALL contain the value indicating a network update command frame.

7323 **3.4.10.3.1 Command Options Field**

7324 The format of the 8-bit command options field is shown in Figure 3-28.

Bits 0 - 4	5 - 7
Update Information Count	Update Command Identifier (see Figure 3-29)

7325 **Figure 3-28. Network Update Command Options Field**

7326 **3.4.10.3.1.1 Update Information Count Sub-Field**

7327 The update information count sub-field contains an integer indicating the number of records contained within the
7328 Update Information field. The size of a record depends on the value of the Update Command Identifier sub-field.

7329 **3.4.10.3.1.2 Update Command Identifier Sub-Field**

7330 The update command identifier sub-field contains an integer indicating the type of update information command.
7331 Figure 3-29 contains the values that can be inserted into this field.

Update Command Identifier Value	Report Type
0x00	PAN Identifier Update
0x01 – 0x07	Reserved

7332 **Figure 3-29. Update Command Identifier Sub-Field**

7333 **3.4.10.3.2 EPID Field**

7334 The EPID field SHALL contain the 64bit EPID that identifies the network that is to be updated.

7335 **3.4.10.3.3 Update Id Field**

7336 The update Id field will reflect the current value of the *nwkUpdateId* attribute of the device sending the frame.

7337 **3.4.10.3.4 Update Information**

7338 The update information field provides the information being updated, the format of this field depends upon the value
7339 of the Update Command Identifier sub-field.

7340 **3.4.10.3.4.1 PAN Identifier Update**

7341 If the value of the Update Command Identifier sub-field indicates a PAN identifier update, then the Update Infor-
7342 mation field SHALL have the format shown in Figure 3-30.

Octets: 2
New PAN ID

7343

Figure 3-30. PAN Identifier Update

7344 The PAN identifier update SHALL be made up of a single 16-bit PAN identifier that is the new PAN identifier for
 7345 this network to use. The Update Information count sub field SHALL be set equal to 1 as there is only a single PAN
 7346 identifier contained within the Update Information field.

7347

3.4.11 End Device Timeout Request Command

7348 The End Device Timeout Request command is sent by an end device informing its parent of its timeout requirements.
 7349 This allows the parent the ability to delete the child entry from the neighbor table if the child has not communicated
 7350 with the parent in the specified amount of time.

7351 The payload of an End Device Timeout Request command SHALL be formatted as illustrated in Figure 3-31.

Octets: 1	1
Request Timeout Enumeration	End Device Configuration

7352

Figure 3-31. Format of the End Device Timeout Request Command

7353

3.4.11.1 MAC Data Service Requirements

7354 In order to transmit this command using the MAC data service, specified in [B1], the following information SHALL
 7355 be provided:

- 7356 • The destination address and PAN identifier SHALL be set to the network address and PAN identifier, respec-
 7357 tively, of the end device’s parent.
- 7358 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
 7359 device transmitting the End Device Timeout Request command.
- 7360 • The transmission options SHALL be set to require acknowledgement.
- 7361 • The address mode and intra-PAN flags SHALL be set to support the addressing fields described here.

7362

3.4.11.2 NWK Header fields

7363 The NWK header fields of the End Device Timeout Request command frame SHALL be set as follows:

- 7364 • The source address field of the NWK header SHALL be set to the 16-bit network address.
- 7365 • The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address
 7366 field SHALL be set to the IEEE address of the device issuing the request.
- 7367 • The destination address field in the NWK header SHALL be set to the 16-bit network address of the parent.
- 7368 • The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE
 7369 address field SHALL be set to the IEEE address of the parent.
- 7370 • The radius field SHALL be set to 1.

7371

3.4.11.3 NWK Payload Fields

7372 The NWK frame payload contains a command identifier field and the payload of the End Device Timeout Request as
 7373 described in Table 3-53.

7374

Table 3-53. Fields of the End Device Timeout Request

Name	Type	Valid Range	Description
Requested Timeout Enumeration	Enumerated type	0 – 14	The requested timeout enumera- tion. This will be converted into

			actual timeout value based on Table 2-54.
End Device Configuration	Bitmask	0x00 – 0x00	This is an enumeration of the child's requested configuration.

7375 3.4.11.3.1 Requested Timeout Field

7376 The valid values for the requested timeout will be an enumerated type between 0 and 14. This will be converted to an
7377 actual timeout value according to Table 3-54.

7378 **Table 3-54. Requested Timeout Enumerated Values**

Requested Timeout Enumeration Value	Actual Timeout Value
0	10 seconds
1	2 minutes
2	4 minutes
3	8 minutes
4	16 minutes
5	32 minutes
6	64 minutes
7	128 minutes
8	256 minutes
9	512 minutes
10	1024 minutes
11	2048 minutes
12	4096 minutes
13	8192 minutes
14	16384 minutes

7379 This allows for an actual timeout value between 10 seconds and 16384 minutes (~ 11 days).

7380 3.4.11.3.2 End Device Configuration Field

7381 **Table 3-55. End Device Configuration Field Values**

Bit	Description
0 – 15	Reserved for future use

7382 This is a bitmask indicating the end device's requested configuration. At this time there are no enumerated bits in the
7383 configuration field. Devices adhering to this standard SHALL set the field to 0. To allow for future compatibility this
7384 field is left in place. Devices that receive the End Device Timeout Request message with an End Device Configuration
7385 field set to anything other than 0 SHALL reject the message.

7386 This will allow parents to correctly report their lack of support for unknown end device features. The receiving de-
7387 vice SHALL reject the request by sending an End Device Timeout Response with a status of 0x01 (UNSUP-
7388 PORTED_FEATURE).

7389 3.4.12 End Device Timeout Response Command

7390 The End Device Timeout Response is sent by a router parent informing the end device whether it has accepted the
7391 timeout value that it was previously sent, and what its capabilities are.

Octets: 1	1
Status	Parent Information

7392 **Figure 3-32. Format of the End Device Timeout Response Command**

7393 3.4.12.1 MAC Data Service Requirements

7394 In order to transmit this command using the MAC data service, specified in reference [B1], the following information
7395 SHALL be provided:

- 7396 • The destination address and PAN identifier SHALL be set to the network address and PAN identifier, respec-
7397 tively, of the end device.
- 7398 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
7399 device transmitting the End Device Timeout Response command.
- 7400 • The transmission options SHALL be set to require acknowledgement.
- 7401 • The address mode and intra-PAN flags SHALL be set to support the addressing fields described here.

7402 3.4.12.2 NWK Header fields

7403 The NWK header fields of the End Device Timeout Response command frame SHALL be set as follows:

- 7404 • The source address field of the NWK header SHALL be set to the 16-bit network address.
- 7405 • The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address
7406 field SHALL be set to the IEEE address of the device issuing the command.
- 7407 • The destination address field in the NWK header SHALL be set to the 16-bit network address of the end device.
- 7408 • The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE
7409 address field SHALL be set to the IEEE address of the end device.
- 7410 • The radius field SHALL be set to 1.

7411 3.4.12.2.1 NWK Payload Fields

7412 The NWK frame payload contains a command identifier field and a capability information field. The payload of the
7413 End Device Timeout Response is described in Table 3-56.

7414 **Table 3-56. Payload fields of the End Device Timeout Response**

Name	Type	Valid Range	Description
Status	Enumeration	0 – 0xFF	The success or failure result of the previously received End Device Timeout Request command. See Table 3-57 for an enumeration of the status codes.
Parent Information	Bitmask	0 – 0xFF	This bitmask indicates the parent router's support information to the child device. The bitmask's values are described in Table 3-58.

7415

7416

Table 3-57. Enumeration of the End Device Timeout Response Status

Status	Value	Description
SUCCESS	0x00	The End Device Timeout Request message was accepted by the parent.
INCORRECT_VALUE	0x01	The received timeout value in the End Device Timeout Request command was outside the allowed range.
UNSUPPORTED_FEATURE	0x02	The requested feature is not supported by the parent router.
Reserved	0x03 – 0xFF	Reserved for future use.

7417

7418

Table 3-58. Values of the Parent Information Bitmask

Bits	Description
0	MAC Data Poll Keepalive Supported
1	End Device Timeout Request Keepalive Supported
2	Power Negotiation Support
3 – 15	Reserved for future use

7419

3.4.13 Link Power Delta Command

7420 The Link Power Delta command frame allows neighboring devices to communicate the value of the difference in dB
7421 between its optimal receive power level and the actual received power level (ΔP) of the last packet received with
7422 each other as described in section 3.4.13.7.

7423 The Link power delta notification command frame also allows end devices to exchange the value of the difference in
7424 dB between its optimal receive power level and the actual received power level (ΔP) of the last packet received
7425 frame with its parent device as described in section 3.4.13.7.

7426

3.4.13.1 MAC Data Service Requirements

7427 Before any power negotiation has been performed, all transmissions SHALL be at the maximum transmit power. Once
7428 power levels have been negotiated as described in this section, all communications SHALL be at the last set power
7429 level. If the channel is changed or a rejoin performed, the joining SHALL be performed at the maximum power level.

7430 The data transmission is done using the MAC data service, specified in [B1], the following information SHALL be
7431 included in the MAC frame header:

- 7432 • The destination PAN identifier SHALL be set to the PAN identifier of the device sending the Link Power Delta
7433 command.
- 7434 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
7435 device sending the Link Power Delta command.
- 7436 • The destination address SHALL be set to the broadcast address of 0xffff when the Command Options field is
7437 set to Notification
- 7438 • The destination address SHALL be set to the unicast destination address when the Command Options field is
7439 set to Request or Response.

- 7440 • The frame control field SHALL be set to specify that the frame is a MAC data frame with MAC security dis-
7441 abled, since any secured frame originating from the NWK layer SHALL use NWK layer security.
- 7442 • If the destination address of the frame is broadcast, no acknowledgment request SHALL be specified.
- 7443 • If the destination address of the frame is a unicast network address, acknowledgment request SHALL be speci-
7444 fied.
- 7445 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here. The
7446 TxOptions SHALL request ‘indirect transmission’ to be used if the *Receiver on when idle* bit of the *nwkCapa-*
7447 *bilityInformation* contained in the NIB is 0x00. Otherwise, ‘direct transmission’ SHALL be used.

7448 **3.4.13.2 NWK Header Fields**

7449 The NWK header fields of the link power delta notification command frame SHALL be set as follows:

- 7450 • The source address field of the NWK header SHALL be set to the 16-bit network address.
- 7451 • The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address
7452 field SHALL be set to the IEEE address of the device issuing the request.
- 7453 • If the sender is an end device, or responding to a request, the destination address field in the NWK header
7454 SHALL be set to the 16-bit network address of the parent. The destination IEEE address sub-field of the NWK
7455 frame control field SHALL be set to 1.
- 7456 • If it is communicating power delta values for neighboring devices that have *macRxOnWhenIdle* = TRUE, the
7457 destination address in the NWK header SHALL be set to the *macRxOnWhenIdle* = TRUE broadcast address
7458 (see Table 3-64). In this case the destination IEEE address sub-field of the frame control field SHALL have a
7459 value of 0 and the destination IEEE address field of the NWK header SHALL NOT be present.
- 7460 • The radius field SHALL be set to 1.

7461 **3.4.13.3 NWK Payload Fields**

1 Octet	1 Octet	Variable
Command Options	List Count	Power List

7462 **Figure 3-33. NWK Payload Fields**7463 **3.4.13.4 Command Options Field**

Bit: 0-1	2-7
Type	Reserved

7464 **Figure 3-34. Command Options Fields**7465 **Table 3-59. Command Options: Type Values**

Value	Type	Description
0	Notification	An unsolicited notification. These frames are typically sent periodically from an RxOn device. If the device is a FFD, it is broadcast to all RxOn devices (0xffff), and includes power information for all neighboring RxOn devices. If the device is an RFD with RxOn, it is sent unicast to its Parent, and includes only power information for the Parent device.
1	Request	Typically used by sleepy RFD devices that do not receive the periodic Notifications from their Parent. The sleepy RFD will wake up periodically to send this frame to its Parent, including only the Parent's power information in its payload. Upon receipt, the Parent sends a Response (Type = 2) as an indirect transmission, with only the RFD's power information in its payload. After macResponseWaitTime, the RFD polls its Parent for the Response, before going back to sleep. Request commands are sent as unicast. Note: any device MAY send a Request to solicit a Response from another device. These commands SHALL be sent as unicast and contain only the power information for the destination device. If this command is received as a broadcast, it SHALL be discarded with no action.
2	Response	This command is sent in response to a Request. Response commands are sent as unicast to the sender of the Request. The response includes only the power information for the requesting device.
3	Reserved	

7466 **3.4.13.5 List Count**

7467 Number of power delta records in the power list.

7468 **3.4.13.6 Power List**

2 Octets	1 Octet
Device Address	Power Delta

7469 **Figure 3-35. Power List**7470 **3.4.13.6.1 Device Address**

7471 Network address of the device whose power delta is conveyed in this notification.

7472 **3.4.13.6.2 Delta Power**7473 Delta power (ΔP) calculated as $P_{opt} - P_{rx}$. This is the value of the difference in dB between its optimal receive power level (P_{opt}) and the actual received power level (P_{rx}) of the last packet received.
74747475 **3.4.13.7 Link Power Delta command behavior**7476 When joined to a network, a Zigbee router or coordinator that supports Power Control SHALL periodically send a
7477 Link Power Delta command with Type = Notification (0), every $nwkLinkPowerDeltaTransmitRate$ seconds plus a
7478 one off random jitter of between 0 and 10 seconds, as a one-hop broadcast (0xfffd) without retries. A value of 0 for
7479 $nwkLinkPowerDeltaTransmitRate$ indicates that Link Power Delta commands are never sent. It is allowed for End
7480 Devices to use a value other than the default rate to reduce the transmission rate and save battery life.7481 An end device that supports Power Control SHALL generate a Link Power Delta message only if the $nwkParentIn$ -
7482 formation in the NIB indicates bit 2 is set to 1, meaning the parent supports Power Negotiation. The Link Power Delta
7483 SHALL be sent as follows:

- 7484 1. The message SHALL be unicast to the router parent of the end device.
-
- 7485 2. The message SHALL only contain the router parent information in the Link Power Delta message.

7486 The Power List SHALL contain all active devices in its neighbor table with $macRxOnWhenIdle = TRUE$. Multiple
7487 Link Power Delta commands MAY be sent if not all the devices from the neighbor table can fit within a single frame.
7488 Subsequent commands SHOULD have additional random jitter applied.7489 When joined to a network, a Zigbee end device with $macRxOnWhenIdle = TRUE$ and that supports Power Control ,
7490 SHALL periodically send a Link Power Delta command with Type = Notification (0) as a unicast its Parent, every
7491 $nwkLinkPowerDeltaTransmitRate$ seconds plus a one off random jitter of between 0 and 10 seconds. The Power List
7492 SHALL contain only the Parent.7493 When joined to a network, a Zigbee end device with $macRxOnWhenIdle = FALSE$ and that supports Power Control,
7494 SHALL periodically wake up and send a Link Power Delta command with Type = Request (1) as a unicast to its
7495 Parent, every $nwkLinkPowerDeltaTransmitRate$ seconds plus a one off random jitter of between 0 and 10 seconds.
7496 The Power List SHALL contain only the Parent device. The end device SHALL wait $macResponseWaitTime$ before
7497 polling its Parent for the link power delta command with Type = Response (2). The Power List in the Response
7498 SHALL contain only the end device.7499 The Power Delta to be included for each device in the Power List SHALL be the difference in dBm between the
7500 optimal level (defined as 20 dB above the sensitivity requirement, see Annex D.9.2.4.2) and the last available RSSI
7501 for that device.

7502 Upon receipt of a Link Power Delta command, a device that supports Power Control SHALL do the following.

- 7503 1. Find an entry in the
- $nwkNeighborTable$
- where the NWK Source Address of the Link Power Delta com-
-
- 7504 mand corresponds to the Network Address value of the entry. If no entry is found, the message SHALL be
-
- 7505 dropped and no further processing SHALL be done.
-
- 7506 2. Examine Link Power Delta command and find the Device Address in the payload of the message that
-
- 7507 matches the
- $nwkNetworkAddress$
- value in its NIB. If no match is found and the receiving device is an End
-
- 7508 Device, then the message SHALL be dropped and no further processing SHALL be done.

- 7509 3. Using the MLME of the MAC interface that the message arrived on, execute a MLME-SET-POWER-IN-
7510 FORMATION-TABLE.request with the following parameters.
- 7511 a. Set the Short address to the NWK Source of the Link Power Delta Command.
- 7512 b. Set the IEEE address to the Source IEEE of the Link Power Delta Command.
- 7513 c. Set the TX Power level as described from section D.11.2.
- 7514 d. Set the last RSSI level according to the RSSI parameter of the MCPS-DATA.indication.
- 7515 4. If the receiving device is an end device, processing is complete. No further processing SHALL be done.
- 7516 5. If the receiving device is a router, it SHALL do the following.
- 7517 a. If the entry in the *nwkNeighborTable* indicates a Device Type value other than 0x02 (Zigbee End De-
7518 vice), processing is complete. No further processing SHALL be done.
- 7519 b. Otherwise this message is from an End Device child of the router. The router SHALL generate a re-
7520 sponse Link Power Delta Command accordingly:
- 7521 c. The NWK destination SHALL be the NWK Source of the received Link Power Delta Command, not a
7522 broadcast address.

7523 3.4.14 Network Commissioning Request Command

7524 The Network Commissioning Request command allows a device to request joining or rejoining to the network. This
7525 MAY be used for negotiating a dynamic link key prior to joining or rejoining, or it can be used to join or rejoin and
7526 receive a transport key sent by the trust center using the device's existing link key [PICS-NWK-ASSOCIATE-RE-
7527 QUEST.1].

7528 This command SHALL be the preferred mechanism to join or rejoin when both sender and receiver support it.

7529 If the *nwkNetworkAddress* value of the NIB is unset, the device SHALL generate a random short address. That
7530 value SHALL be used for sending this command frame.

7531 The Network Commissioning Request Command SHALL be formatted as shown in Figure 3-36.

Octets: 1	1	Variable
Network Commissioning Type	Capability Information	Zigbee TLVs
Network Command Payload		

7532 **Figure 3-36. Network Commissioning Request Command Format**

7533 3.4.14.1 MAC Data Service Requirements

7534 In order to transmit this command using the MAC data service, specified in IEEE-Std 802.15.4-2020, [B1], the fol-
7535 lowing information SHALL be provided [PICS-NWK-ASSOCIATE-REQUEST.2]:

- 7536 • The destination address and PAN identifier SHALL be set to the network address and PAN identifier, respec-
7537 tively, of the prospective parent.
- 7538 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
7539 device transmitting the rejoin command frame.
- 7540 • The destination and source address modes SHALL be set to short.
- 7541 • The transmission options SHALL be set to require acknowledgement.
- 7542 • The address mode and intra-PAN flags SHALL be set to support the addressing fields described above.

7543 3.4.14.2 NWK Header Fields

7544 The NWK header fields of the rejoin request command frame SHALL be set as follows:

7545 The source address field of the NWK header to the 16-bit network address SHALL be as follows. If the value of the
7546 *nwkNetworkAddress* in the NIB is within the valid range, then it SHALL use that value. If the value of the *nwkNet-*
7547 *workAddress* in the NIB is not within the valid range, then it SHALL randomly generate a value within the valid
7548 range, excluding the value of 0x0000, and use that.

- 7549 • The source IEEE address sub-field of the frame control field SHALL be set to 1, and the source IEEE address
7550 field SHALL be set to the IEEE address of the device issuing the request.
- 7551 • The destination address field in the NWK header SHALL be set to the 16-bit network address of the prospective
7552 parent.
- 7553 • The destination IEEE address sub-field of the frame control field SHALL be set to 1, and the destination IEEE
7554 address field SHALL be set to the IEEE address of the prospective parent, if this address is known.
- 7555 • The radius field SHALL be set to 1.

7556 3.4.14.3 NWK Payload Fields

7557 The NWK frame payload contains a command identifier field, a capability information field, and one or more TLVs.
7558 The command frame identifier SHALL contain the value indicating a network associate command frame.

7559 3.4.14.3.1 Network Commissioning Type

7560 Table 3-60 defines the Commissioning Types that can be used.

7561 **Table 3-60. Network Commissioning Types**

ID	Description
0x00	Initial Join
0x01	Rejoin

7562 3.4.14.3.2 Capability Information Field

7563 This one-octet field has the format of the capability information field in the association request command in [B1],
7564 which is also described in Table 3-67.

7565 3.4.14.3.3 TLVs

7566 The remainder of this message MAY contain one or more TLVs as defined by Zigbee. The total size of the TLVs
7567 SHALL NOT exceed *capsJoinerTLVsUnfragmentedMaxSize* bytes. This allows for the APS Update Device message
7568 sent by the parent router to fit the TLV data without fragmentation.

7569 The device sending the Network Commissioning Request command communicates information to the parent device
7570 by including TLVs directly in the message. The device SHALL include the Joiner Encapsulation Global TLV. The
7571 remainder of this message MAY contain other TLVs as defined by Zigbee. In a multi-hop joining scenario the Trust
7572 Center and parent device will not be the same entity. Information about the sending device is communicated to the
7573 Trust Center through the Joiner Encapsulation Global TLV, which will be relayed in its entirety. To avoid fragmen-
7574 tation when forwarding TLV data to the Trust Center via APS UpdateDevice message from a parent router, the total
7575 size of TLVs SHALL NOT exceed *apscJoinerTlvsUnfragmentedMaxSize* bytes.

7576 When a device creates the Joiner Encapsulation Global TLV it SHALL contain the following TLVs inside it:

- 7577 • Fragmentation Parameters Global TLV
- 7578 • If the device is not rejoining: Supported Key Negotiation Methods Global TLV

7579 At this time this Revision of the specification does not support negotiating a new link key during rejoin. Therefore,
7580 devices certified to this Revision SHALL not include the Supported Key Negotiation Methods Global TLV inside

7581 the Joiner Encapsulation TLV so it is clear to the Trust Center that the device does not support this behavior. Future
7582 revisions of this specification that support this would include this TLV as a clear sign the rejoining device supports
7583 this new functionality.

7584 Additional TLVs MAY be included inside the Joiner Encapsulation Global TLV to be relayed to the Trust Center or
7585 MAY be included outside the Joiner Encapsulation Global TLV to be communicated only to the parent router.

7586 The General TLV Processing rules in section I.4.8 SHALL be executed on receipt of the Network Commissioning
7587 Request Command frame.

7588 3.4.15 Network Commissioning Response Command

7589 The Network Commissioning Response command is sent by a device to inform a requesting device of its network
7590 address and network commissioning request status. The Network Commissioning Response command SHALL be
7591 formatted as shown in Figure 3-37.

Octets: 2	1
Network address	Status
NWK Command payload	

7592 **Figure 3-37. Network Commissioning Response Format**

7593 3.4.15.1 MAC Data Service Requirements

7594 In order to transmit this command using the MAC data service, specified in [B1], the following information SHALL
7595 be provided:

- 7596 • The destination MAC address and PAN identifier SHALL be set to the network address and PAN identifier,
7597 respectively, of the device that sent the Network Commissioning Response to which this frame is a response.
- 7598 • The source MAC address and PAN identifier SHALL be set to the network address and PAN identifier of the
7599 device that received and processed the Network Commissioning Response command frame.
- 7600 • Acknowledgment SHALL be requested.
- 7601 • The addressing mode and intra-PAN flags SHALL be set to support the addressing fields described here. The
7602 TXOptions SHALL request ‘indirect transmission’ to be used if the Receiver on when idle bit of the *nwkCapa-*
7603 *bilityInformation* contained in the corresponding Network Commissioning Request command is equal to 0x00.
7604 Otherwise, ‘direct transmission’ SHALL be used.

7605 3.4.15.2 NWK Header Fields

7606 The NWK header fields of the rejoin response command frame SHALL be set as follows:

- 7607 • The source address field SHALL be set to the 16-bit network address of the device that is sending the response.
- 7608 • The source IEEE address sub-field of the frame control field SHALL be set to 1 and the source IEEE ad-dress
7609 field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the parent device
7610 that is sending the response.
- 7611 • The destination address field of the NWK header SHALL be set to the current network address of the device
7612 that sent the NWK Commissioning Request frame, i.e. the device that sent the join request to which this frame
7613 is a response.
- 7614 • The destination IEEE address sub-field of the frame control field SHALL have a value of 1 and the destination
7615 IEEE address field of the NWK header SHALL be present and SHALL contain the 64-bit IEEE address of the
7616 child device that is source of the Network Commissioning Request frame.
- 7617 • The NWK layer will set the security of the Network Commissioning Response frame to the same level as that of
7618 the received Network Commissioning Request frame.

7619 **3.4.15.2.1 NWK Payload Fields**7620 **3.4.15.2.1.1 Network Address Field**

7621 If the network commissioning request was successful, this two-octet field contains the network address assigned to
 7622 the device and will be the same as the value used in the Network Commissioning Request. This address could be
 7623 different than the value used for the Network & MAC Destination header fields if the requesting device's address is
 7624 already being used on the network. In that case, the Status field will also contain value of 0xF0, indicating that the
 7625 commissioning request has not succeeded due to address conflict, but the device should retry the operation with the
 7626 new address. If the network commissioning was not successful and should not be retried, this field contains the
 7627 broadcast address (0xffff).

7628 **3.4.15.2.1.2 Status Field**

7629 In the special case of an address conflict the status SHALL be the value 0xF0, which is normally a reserved value
 7630 for the association status in [B1]. In this context it indicates a short address conflict. The receiving device can retry
 7631 the operation using the new short address specified in the Network Address field. Otherwise, this field SHALL con-
 7632 tain one of the non-reserved association status values specified in [B1]. Refer to section 3.6.1.6.1.3 for further clari-
 7633 fication on selecting a status value.

7634 **3.5 Constants and NIB Attributes**7635 **3.5.1 NWK Constants**

7636 The constants that define the characteristics of the NWK layer are presented in Table 3-61.

7637 **Table 3-61. NWK Layer Constants**

Constant	Description	Value
<i>nwkcCoordinatorCapable</i>	A Boolean flag indicating whether the device is capable of becoming the Zigbee coordinator. A value of 0x00 indicates that the device is not capable of becoming a coordinator while a value of 0x01 indicates that the device is capable of becoming a coordinator.	Configuration dependent
<i>nwkcMinHeaderOverhead</i>	The minimum number of octets added by the NWK layer to an NSDU.	0x08
<i>nwkcProtocolVersion</i>	The version of the Zigbee NWK protocol in the device.	0x02
<i>nwkcRouteDiscoveryTime</i>	The number of OctetDurations until a route discovery expires.	0x4c4b4 (0x2710 msec on 2.4GHz)
<i>nwkcMaxBroadcastJitter</i>	The maximum broadcast jitter time measured in OctetDurations.	0x7d0 (0x40 msec on 2.4GHz)

Constant	Description	Value
<i>nwkcInitialRREQRetries</i>	The number of times the first broadcast transmission of a route request command frame is retried.	0x03
<i>nwkcRREQRetries</i>	The number of times the broadcast transmission of a route request command frame is retried on relay by an intermediate Zigbee router or Zigbee coordinator.	0x02
<i>nwkcRREQRetryInterval</i>	The number of OctetDurations between retries of a broadcast route request command frame.	0x1f02 (0xfe msec on 2.4Ghz)
<i>nwkcMinRREQJitter</i>	The minimum jitter, in OctetDurations, for broadcast retransmission of a route request command frame.	0x3f (2 msec on 2.4GHz)
<i>nwkcMaxRREQJitter</i>	The maximum jitter, in OctetDurations, for broadcast retransmission of a route request command frame.	0xfa0 (128 msec on 2.4GHz)
<i>nwkcMACFrameOverhead</i>	The size of the MAC header used by the Zigbee NWK layer.	0x0b
<i>nwkcMaxDepth</i>	The maximum depth of the network (number of hops) used for various calculations of network timing and limitations.	15
<i>nwkcUnicastRetries</i>	The number of network layer retries on unicast messages that are attempted before reporting the result to the higher layer.	3
<i>nwkcUnicastRetryDelay</i>	The delay between network layer retries.	50 ms
<i>nwkcMinRouterBootstrapJitter</i>	The minimum jitter, in OctetDurations, for transmission of a gratuitous link status message. Refer to section 3.6.4.4.2 for further clarification on link status message transmission.	0x3d09 (500 msec on 2.4GHz)
<i>nwkcMaxRouterBootstrapJitter</i>	The maximum jitter, in OctetDurations, for transmission of a gratuitous link status message.	0x7a12 (1 sec on 2.4GHz)
<i>nwkcBroadcastDeliveryTime</i>	The total delivery time for a broadcast transmission to be delivered to all RxOnWhenIdle=TRUE devices in the network.	9 seconds

7638

3.5.2 NWK Information Base

7639

The NWK information base (NIB) comprises the attributes required to manage the NWK layer of a device. Each of these attributes can be read or written using the NLME-GET.request and NLME-SET.request primitives, respectively,

7640

7641 except for attributes for which the Read Only column contains a value of Yes. In that case, the attributes value MAY
 7642 be read using the NLME-GET.request primitive but MAY NOT be set using the NLME-SET.request primitive. Gen-
 7643 erally, these read-only attribute are set using some other mechanism. For example, the *nwkSequenceNumber* attribute
 7644 is set as specified in section 3.6.2.1 and incremented every time the NWK layer sends a frame. The attributes of the
 7645 NIB are presented in Table 3-62.

7646

Table 3-62. NIB Attributes

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkSequenceNumber</i>	0x81	Integer	Yes	0x00 – 0xff	A sequence number used to identify outgoing frames (see section 3.6.2).	Random value from within the range
<i>nwkPassiveAckTimeout</i>	0x82	Integer	No	0x000000 – 0xffff	The maximum time duration in OctetDurations allowed for the parent and all child devices to retransmit a broadcast message (passive acknowledgment time-out).	500 ms
<i>nwkMaxBroadcastRetries</i>	0x83	Integer	No	0x00 – 0x5	The maximum number of retries allowed after a broadcast transmission failure.	0x02
<i>nwkMaxChildren</i>	0x84	Integer	No	0x00 – 0xff	The number of children a device is allowed to have on its current network. Note that the value of this attribute is implementation-dependent.	Implementation-dependent
<i>nwkMaxDepth</i>	0x85	Integer	Yes	0x00 – 0xff	The depth a device can have.	15
<i>Deprecated</i>	0x86					
<i>nwkNeighborTable</i>	0x87	Set	No	Variable	The current set of neighbor table entries in the device (see Table 3-71).	Null set

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkNetworkBroadcastDeliveryTime</i>	0x88	Integer	No	0 – 0xffffffff	Time duration in OctetDurations that a broadcast message needs to encompass the entire network. This is a calculated quantity based on other NIB attributes.	Defined in stack profile
<i>Deprecated</i>	0x89					
<i>Deprecated</i>	0x8a					
<i>nwkRouteTable</i>	0x8b	Set	No	Variable	The current set of routing table entries in the device (see Table 3-73).	Null set
<i>Deprecated</i>	0x8e					
<i>nwkCapabilityInformation</i>	0x8f	Bit vector	Yes	See Table 3-67.	This field SHALL contain the device capability information established at network joining time.	0x00
<i>Deprecated</i>	0x90					
<i>Deprecated</i>	0x91					
<i>nwkManagerAddr</i>	0x92	Integer	No	0x0000 – 0xffff7	The address of the designated network channel manager function.	0x0000
<i>nwkMaxSourceRoute</i>	0x93	Integer	No	0x00 – 0xff	The maximum number of hops in a source route.	0x0c
<i>nwkUpdateId</i>	0x94	Integer	No	0x00 – 0xff	The value identifying a snapshot of the network settings with which this node is operating with.	0x00
<i>nwkTransactionPersistenceTime</i>	0x95	Integer	No	0x0000 – 0xffff	The maximum time (in superframe periods) that a transaction is stored by a coordinator and indicated in its beacon. This attribute reflects the value of the MAC PIB attribute	7680 ms

Attribute	Id	Type	Read Only	Range	Description	Default
					macTransactionPersistenceTime (see [B1]) and any changes made by the higher layer will be reflected in the MAC PIB attribute value as well.	
<i>nwkNetworkAddress</i>	0x96	Integer	No	0x0000 – 0xffff7	The 16-bit address that the device uses to communicate with the PAN. This attribute reflects the value of the MAC PIB attribute <i>mac-ShortAddress</i> (see [B1]) and any changes made by the higher layer will be reflected in the MAC PIB attribute value as well.	0xffff
<i>nwkStackProfile</i>	0x97	Integer	No	0x00 – 0x0f	The identifier of the Zigbee stack profile in use for this device.	
<i>nwkBroadcastTransactionTable</i>	0x98	Set	Yes	-	The current set of broadcast transaction table entries in the device (see Table 3-77).	Null set
<i>Deprecated</i>	0x99					
<i>nwkExtendedPANID</i>	0x9a	64-bit extended address	No	0x00000000 00000000 – 0xffffffffffffe	The Extended PAN Identifier for the PAN of which the device is a member. The value 0x0000000000000000 means the Extended PAN Identifier is unknown.	0x00000000 00000000
<i>Deprecated</i>	0x9b					
<i>nwkRouteRecordTable</i>	0x9c	Set	No	Variable	The route record table (see Table 3-63).	Null Set

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkIsConcentrator</i>	0x9d	Boolean	No	TRUE or FALSE	A flag determining if this device is a concentrator. This only applies when the device is operating as a Concentrator. TRUE = Device is a concentrator. FALSE = Device is not a concentrator.	FALSE
<i>nwkConcentratorRadius</i>	0x9e	Integer	No	0x00 – 0xff	The hop count radius for concentrator route discoveries. This only applies when the device is operating as a Concentrator. This only applies when the device is operating as a Concentrator.	0x0000
<i>nwkConcentratorDiscoveryTime</i>	0x9f	Integer	No	0x00 – 0xff	The time in seconds between concentrator route discoveries. If set to 0x0000, the discoveries are done at start up and by the next higher layer only. This only applies when the device is operating as a Concentrator.	0x0000
<i>nwkSecurityLevel</i>	0xa0		No		Security attribute defined in Chapter 4.	
<i>nwkSecurityMaterialSet</i>	0xa1		No		Security attribute defined in Chapter 4.	
<i>nwkActiveKeySeqNumber</i>	0xa2		No		Security attribute defined in Chapter 4.	
<i>nwkAllFresh</i>	0xa3		No		Security attribute defined in Chapter 4.	
<i>nwkConcentratorDiscoverySeparationTime</i>	0xa4	Integer	No	0x00 – 0xff	The minimum time, in seconds, between two consecutive concentrator route discoveries. If set to 0x00, there is no minimum separation. This only applies when the	

Attribute	Id	Type	Read Only	Range	Description	Default
					device is operating as a Concentrator.	
<i>nwkLinkStatusPeriod</i>	0xa6	Integer	No	0x00 – 0xff	The time in seconds between link status command frames.	0x0f
<i>nwkRouterAgeLimit</i>	0xa7	Integer	No	0x00 – 0xff	The number of missed link status command frames before resetting the link costs to zero.	3
<i>Deprecated</i>	0xa8					
<i>nwkAddressMap</i>	0xa9	Set	No	Variable	The current set of 64-bit IEEE to 16-bit network address map (see Table 3-64).	Null Set
<i>nwkTimeStamp</i>	0x8C	Boolean	No	TRUE or FALSE	A flag that determines if a time stamp indication is provided on incoming and outgoing packets. TRUE= time indication provided. FALSE = no time indication provided.	FALSE
<i>nwkPANId</i>	0x80	16-bit PAN ID	No	0x0000 – 0xffff	This NIB attribute should, at all times, have the same value as <i>macPANId</i> .	0xffff
<i>nwkTxTotal</i>	0x8D	Integer	No	0x0000 – 0xffff	A count of unicast transmissions made by the NWK layer on this device. Each time the NWK layer transmits a unicast frame, by invoking the MCPS-DATA.request primitive of the MAC sub-layer, it SHALL increment this counter. When either the NHL performs an NLME-SET.request on this attribute or if the value of <i>nwkTxTotal</i> rolls over past 0xffff the	0

Attribute	Id	Type	Read Only	Range	Description	Default
					NWK layer SHALL reset to 0x00 each Transmit Failure field contained in the neighbor table.	
<i>nwkLeaveRequestAllowed</i>	0xAA	Boolean	No	TRUE or FALSE	This policy determines whether or not a remote NWK leave request command frame received by the local device is accepted.	TRUE
<i>nwkParentInformation</i>	0xAB	Bitmask	No	0x00 – 0xFF	The behavior depends upon whether the device is an FFD or RFD. For an RFD, this records the information received in an End Device Timeout Response command indicating the parent information. The bitmask values are defined in Table 3-58. For an FFD, this records the device's local capabilities.	0x00
<i>nwkEndDeviceTimeoutDefault</i>	0xAC	Integer	No	0x00 – 0xFF	This is an index into Table 3-54. It indicates the default timeout in minutes for any end device that does not negotiate a different timeout value.	8

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkLeaveRequestWithoutRejoinAllowed</i>	0xAD	Boolean	No	TRUE or FALSE	This policy determines whether a NWK leave request is accepted when the Rejoin bit in the message is set to FALSE	TRUE
<i>nwkIeeeAddress</i>	0xAE	64-bit address	Yes	0x00000000 00000001 – 0xFFFFFFFF FFFFFFFF	The IEEE address of the local device.	
<i>nwkMacInterfaceTable</i>	0xAF	Set	No	Variable	A table of lower-layer interfaces managed by the network layer. See Table 3-65.	
<i>nwkNetworkWideBeacon-AppendixTLVs</i>	0xB0	Array	No	0 – 127 bytes	This is a list of TLVs that are global to the Zigbee network.	0 byte length array
<i>nwkDeviceLocalBeacon-AppendixTLVs</i>	0xB1	Array	No	0-127 bytes	This is a list of TLVs that are specific to the local device. It is mandatory for routers to always include the Router Information TLV.	Router Information TLV
<i>Deprecated</i>	0xB2					
<i>Deprecated</i>	0xB3					
<i>nwkDiscoveryTable</i>	0xB4	Array	No	Varies	This stores the set of potential networks and parents that the device is considering when joining or rejoining. See Table 3-64 for the fields of each entry.	None
<i>nwkDiscoveryTableSize</i>	0xB5	Integer	Yes	6 – 100	The number of entries the <i>nwkDiscoveryTable</i> can hold.	6

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkNextPanId</i>	0xB6	Integer	No	0x0000 – 0xFFFF	This indicates what the next PAN ID received in the NWK Update Command frame SHALL be in order for a PAN ID change to be accepted. A value of 0xFFFF allows any PAN ID to be accepted.	0xFFFF
<i>nwkNextChannelChange</i>	0xB7	ChannelPage Structure	No	Any valid	This indicates the next channel that will be used once a command to change channels has been received. A value of 0 indicates any channel is valid as the next channel.	0
<i>nwkPerformAdditional-MacDataPollRetries</i>	0xB9	Integer	No	0 – 10	This indicates that the network layer will perform additional attempts upon receipt of a MAC Data poll failure.	0
<i>Reserved</i>	0xBA					
<i>Reserved</i>	0xBB					
<i>nwkPreferredParent</i>	0xBC	Boolean	No	TRUE or FALSE	Indicates to a potential child capacity to act as a parent as defined by a next higher-level application. Defaults to FALSE for routers that do not make a determination.	
<i>nwkHubConnectivity</i>	0xBD	Boolean	No	TRUE or FALSE	This indicates whether the router has Hub Connectivity as defined by a higher level application. The higher level application sets this value and the stack advertises it.	FALSE

Attribute	Id	Type	Read Only	Range	Description	Default
<i>nwkRoutingSequenceNumber</i>	0xBE	Integer	Yes	0-0xFFFF	A strictly increasing sequence number included in all route request and route reply command frames to allow other routers to determine the chronological order of such route discovery messages.	Previously persisted value; 0 when factory-new
<i>nwkGoodParentLQA</i>	0xBF	Integer	Yes	0 – 255	This indicates the lowest LQA value for beacons received from routers so that they will be preferred for joining or re-joining. LQI is used instead of LQA when Active Power Control is used on this link. See section 3.6.1.5.2 for its usage.	75
<i>nwkPanIdConflictCount</i>	0xC0	Integer	Yes	0 – 65,535	This indicates the total number of PAN ID conflicts that have been seen by the local device. This value can be reset to 0 by the higher layer. This value SHALL be required for routers and coordinators. It is optional for End Devices.	0
<i>nwkMaxInitialJoinParentAttempts</i>	0xC2	Integer	No	0 – 255	The maximum number of attempts to join parent devices for a particular network.	1
<i>nwkMaxRejoinParentAttempts</i>	0xC3	Integer	No	0 – 255	The maximum number of attempts to rejoin to parent devices for the current network.	3

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Table 3-63. Route Record Table Entry Format

Field Name	Field Type	Valid Range	Reference
Network Address	Integer	0x0000 – 0xffff7	The destination network address for this route record.
Relay Count	Integer	0x0000 – 0xffff	The count of relay nodes from concentrator to the destination.
Path	Set of Network Addresses		The set of network addresses that represent the route in order from the concentrator to the destination.

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Table 3-64. Network Address Map

64-bit IEEE Address	16-bit Network Address
A valid 64-bit IEEE Address or Null if not known	0x0000 – 0xffff7

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Table 3-65. Fields of the MAC Interface Table (nwkMacInterfaceTable)

Field Name	Field Type	Valid Range	Description
Index	Integer	0 – 31	A unique index that can be used to identify an entry in this table.
State	Boolean	TRUE or FALSE	A Boolean indicating whether the interface is currently enabled for sending and receiving messages. TRUE indicates the interface is enabled, FALSE means it is disabled.
Supported Channels	Channel List Structure	Varies	A Channel List Structure indicating the pages and channels that are supported by this interface. NOTE: The interfaces SHALL have mutually exclusive Supported Channels lists.

Field Name	Field Type	Valid Range	Description
Channel In Use	Channel Page Structure	Varies	The current channel in use by the device. Only a single channel in the Channel Page Structure MAY be selected at one time.
RoutersAllowed	Boolean	TRUE or FALSE	A Boolean indicating whether routers are allowed to join to this device on this interface.
<i>nwkLinkPowerDeltaTransmitRate</i>	Integer	0 – 65,535	The rate, in seconds, of how often a Link Power Delta request is generated. In bands where this is optional, it SHOULD be set to 0, disabling the function. The default value SHOULD be 16.
Beacons supported	Boolean	TRUE or FALSE	A Boolean indicating whether this interface supports beacons
Enhanced Beacons supported	Boolean	TRUE or FALSE	A Boolean indicating whether this interface supports enhanced beacons
ScanType	Boolean	ACTIVE or ENHANCED_ACTIVE	The type of scan to be used when performing a scan for NLME-NETWORK-AND-PARENT-DISCOVERY.request. The ENHANCED_ACTIVE ScanType uses Enhanced Beacons.
InterfaceLinkCostScalar	Integer	1 – 34	This is used to scale all of the Link costs on the interface.. Default is 1.

7653 3.5.2.1 Broadcast Delivery Time

7654 The total delivery time for a broadcast transmission is set to `nwkcBroadcastDeliveryTime`. This is the amount of time
7655 it takes to deliver to all devices in a reasonably large network and was chosen based on empirical analysis of tests that
7656 were performed. It is a balance between storing broadcasts for long periods of time and allowing greater throughput
7657 of transmitting broadcasts.

7658 3.6 Functional Description

7659 3.6.1 Network and Device Maintenance

7660 All Zigbee devices SHALL provide the following functionality:

7661 • Join a network.

7662 • Leave a network.

7663 • Rejoin a network.

7664 Both Zigbee coordinators and routers SHALL provide the following additional functionality:

7665 • Permit devices to join the network using the following:

7666 ○ Association indications from the MAC

7667 ○ Explicit join requests from the application

7668 ○ Rejoin requests

7669 ○ Permit devices to leave the network using the following:

7670 ○ Network leave command frames

7671 ○ Explicit leave requests from the application

7672 ○ Participate in assignment of logical network addresses

7673 ○ Maintain a list of neighboring devices

7674 Zigbee coordinators SHALL provide functionality to establish a new network. Zigbee routers and end devices SHALL
7675 provide the support of portability within a network.

7676 **3.6.1.1 Establishing a New Network**

7677 The procedure to establish a new network is initiated through use of the NLME-NETWORK-FORMATION.request
7678 primitive. Only devices for which the *nwkcCoordinatorCapable* constant has a value of 0x01, and which are not
7679 currently joined to a network SHALL attempt to establish a new network. If this procedure is initiated on any other
7680 device, the NLME SHALL terminate the procedure and notify the next higher layer of the illegal request. This is
7681 achieved by issuing the NLME-NETWORK-FORMATION.confirm primitive with the Status parameter set to
7682 INV_REQUESTTYPE.

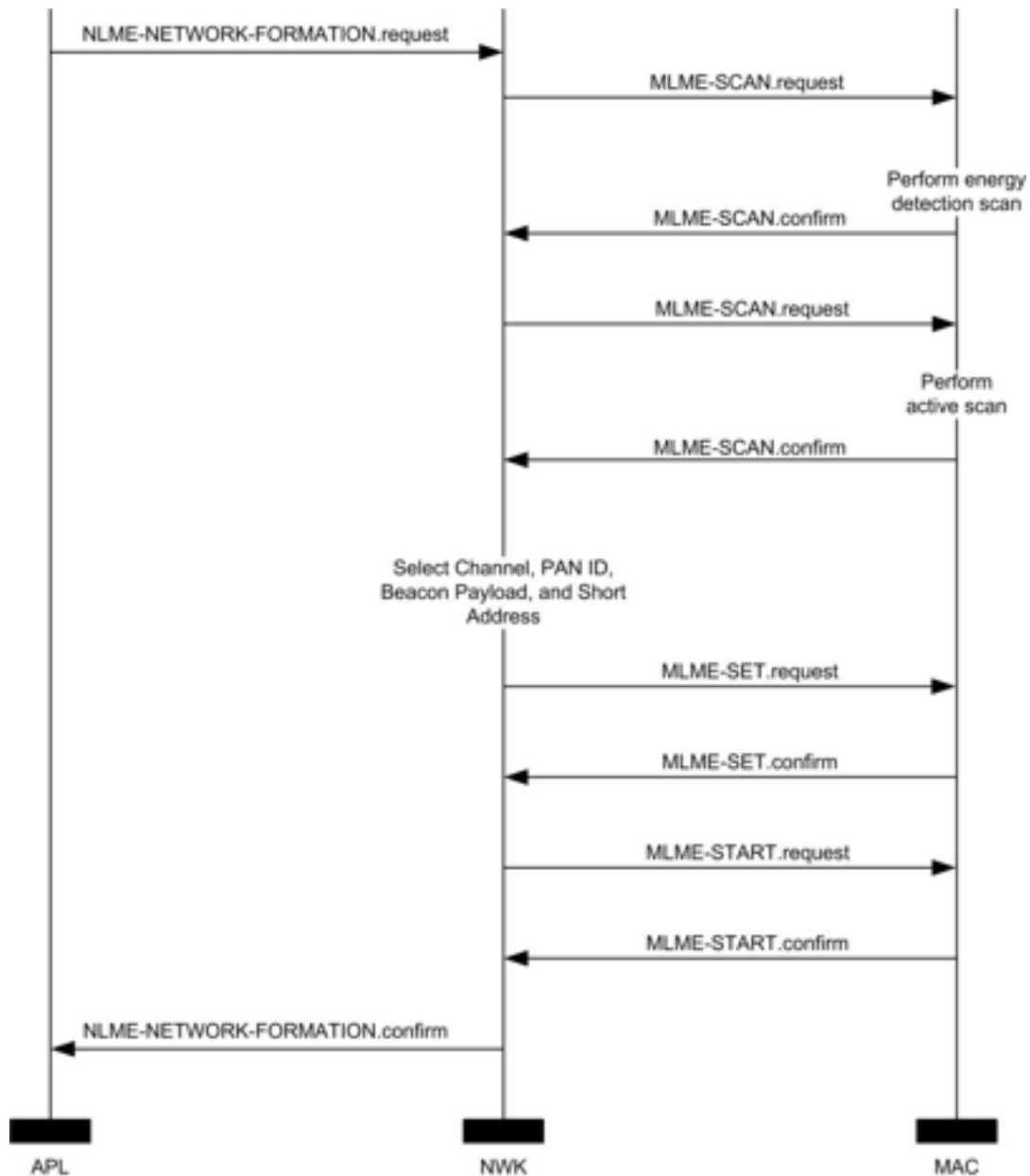
7683 When this procedure is initiated, the NLME SHALL first request that the MAC sub-layer(s) perform an energy detec-
7684 tion scan over either a specified set of channels or, by default, the complete set of available channels, as dictated by
7685 the PHY layer(s) (see [B1]), to search for possible interferers. A channel scan is initiated by issuing an MLME-
7686 SCAN.request primitive for each relevant channel mask page to the MAC sub-layer with the ScanType parameter set
7687 to energy detection scan. The results are communicated back via the MLME-SCAN.confirm primitive (one for each
7688 channel mask page). This scan is not necessary if there is only one channel specified.

7689 On receipt of the results from a successful energy detection scan, the NLME SHALL order the channels on each
7690 interface according to increasing energy measurement and discard those channels whose energy levels are beyond an
7691 acceptable level. The choice of an acceptable energy level is left to the implementation. The NLME SHALL then
7692 perform an active scan, by issuing the MLME-SCAN.request primitive on each MAC Interface with the ScanType
7693 parameter set to active scan and ChannelList set to the list of acceptable channels and ChannelPage set to the relevant
7694 value for that interface, to search for other Zigbee devices. To determine the best channel on which to establish a new
7695 network, the NLME SHALL review the list of returned PAN descriptors and find the first channel for each MAC
7696 Interface with the lowest number of existing networks, favoring a channel with no detected networks.

7697 If no suitable channel is found, the NLME SHALL terminate the procedure and notify the next higher layer of the
7698 startup failure. This is achieved by issuing the NLME-NETWORK-FORMATION.confirm primitive with the Status
7699 parameter set to STARTUP_FAILURE.

7700 If a suitable channel is found, the NLME SHALL select a PAN identifier for the new network. To do this the device
7701 SHALL choose a random PAN identifier less than 0xffff that is not already in use on the selected channel. Once the
7702 NLME makes its choice, it SHALL set the *macPANID* attribute in the MAC sub-layer to this value by issuing the
7703 MLME-SET.request primitive.

- 7704 If no unique PAN identifier can be chosen, the NLME SHALL terminate the procedure and notify the next higher
7705 layer of the startup failure by issuing the NLME-NETWORK-FORMATION.confirm primitive with the Status pa-
7706 rameter set to STARTUP_FAILURE.
- 7707 Once a PAN identifier is selected, the NLME SHALL select a 16-bit network address equal to 0x0000 and set the
7708 *nwkNetworkAddress* attribute of the NIB equal to the selected network address.
- 7709 Once a network address is selected, the NLME SHALL check the value of the *nwkExtendedPANId* attribute of the
7710 NIB. If this value is 0x0000000000000000 this attribute is initialized with the value of the MAC constant *aEx-*
7711 *tendedAddress*.
- 7712 Once the value of the *nwkExtendedPANId* is checked, the NLME SHALL begin operation of the new PAN by issuing
7713 the MLME-START.request primitive to each MAC sub-layer. The parameters of the MLME-START.request primi-
7714 tive SHALL be set according to those passed in the NLME-NETWORK-FORMATION.request, the results of the
7715 channel scan, and the chosen PAN identifier. The status of the PAN startup for each MAC Interface is communicated
7716 back via the MLME-START.confirm primitive.
- 7717 On receipt of the status of the PAN startup, the NLME SHALL inform the next higher layer of the status of its request
7718 to initialize the Zigbee coordinator. This is achieved by issuing a single NLME-NETWORK-FORMATION.confirm
7719 primitive for all MAC Interfaces enabled during the NLME-NETWORK-FORMATION.request. The Status parame-
7720 ter SHALL be set to the value in the primitive returned in the MLME-START.confirm from the MAC sub-layer.
- 7721 The procedure to successfully start a new network is illustrated in the message sequence chart (MSC) shown in Figure
7722 3-38.



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Figure 3-38. Establishing a New Network

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3.6.1.2 Permitting Devices to Join a Network

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The procedure for permitting devices to join a network is initiated through the `NLME-PERMIT-JOINING.request` primitive. Only devices that are either the Zigbee coordinator or a Zigbee router SHALL attempt to permit devices to join the network.

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When this procedure is initiated with the `PermitDuration` parameter set to `0x00`, the NLME SHALL set the `macAssociationPermit` PIB attribute in the MAC sub-layer to `FALSE`. A MAC sub-layer attribute setting is initiated by issuing the `MLME-SET.request` primitive.

7732

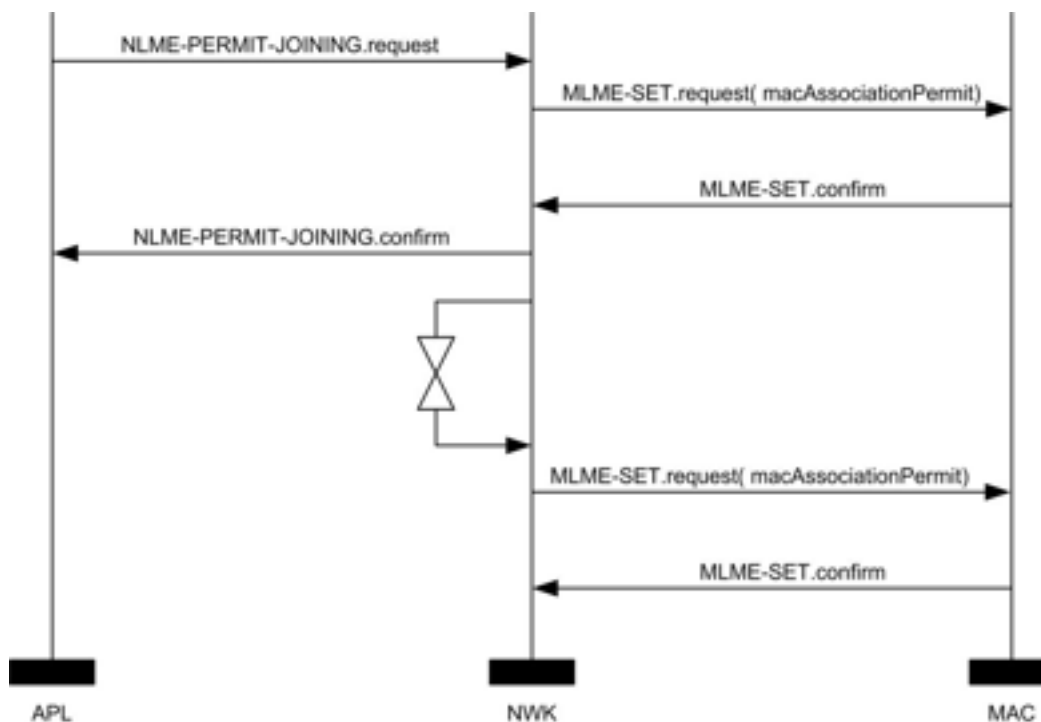
7733

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When this procedure is initiated with the `PermitDuration` parameter set to a value between `0x01` and `0xfe`, the NLME SHALL set the `macAssociationPermit` PIB attribute in the MAC sub-layer to `TRUE`. The NLME SHALL then start a timer to expire after the specified duration. On expiration of this timer, the NLME SHALL set the `macAssociationPermit` PIB attribute in the MAC sub-layer to `FALSE`.

- 7736 When this procedure is initiated with the PermitDuration parameter set to 0xff, the NLME SHALL set the *macAssociationPermit* attribute in the MAC sub-layer to TRUE for an unlimited amount of time, unless another NLME-
 7737 *PERMIT-JOINING.request* primitive is issued.
 7738
 7739 The procedure for permitting devices to join a network is illustrated in the MSC shown in Figure 3-39.



7740

APL

NWK

MAC

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Figure 3-39. Permitting Devices to Join a Network

7742 3.6.1.3 Hub Connectivity

7743 Hub Connectivity is an application layer defined mechanism for detecting the presence of an important application
 7744 entity, known as a Hub. The mechanism to detect connectivity to it is outside the scope of this specification. The
 7745 Application has the ability to modify the Hub Connectivity attribute of the core stack so that the core stack advertises
 7746 this connectivity to the Joining or rejoining devices. Devices SHALL prefer joining or rejoining to Hub Connected
 7747 routers, but if need be will try routers that do not advertise Hub Connectivity. There MAY be a lag in detection
 7748 mechanism for Hub connectivity and thus routers advertising they do not have Hub connectivity SHALL still be tried.
 7749 In Centralized networks the Hub is likely to be the trust center. Distributed networks MAY also make use of hub
 7750 connectivity even though no trust center is present.

7751 3.6.1.4 Preferred Parent

7752 Preferred Parent is an optional, application defined, mechanism for routers to advertise their capacity to act a parent
 7753 for another device. Hub Connectivity takes priority over Preferred Parent. When supported, Preferred Parent helps
 7754 joining devices select between potential parents with the same Hub Connectivity. Details of how routers make this
 7755 determination is outside the scope of this specification and MAY include next hop LQA to the hub, cost, number of
 7756 neighbors, and current duty cycle for Sub-GHz devices. Devices that do not make such a determination SHALL always
 7757 advertise a value of 0. Joining and rejoining devices SHALL prefer parents with a value of 1.

7758 3.6.1.5 Network and Parent Discovery

7759 The NWK layer enables higher layers to discover what networks, if any, are operational in the POS of a device.

7760 The procedure for network discovery SHALL be initiated by issuing the NLME-NETWORK-AND-PARENT-DIS-
 7761 COVERY.request primitive with the ScanChannelsListStructure parameter set to indicate which channels are to be

7762 scanned for networks and the ScanDuration parameter set to indicate the length of time to be spent scanning each
7763 channel. Upon receipt of this primitive, the NWK layer SHALL issue a MLME-SCAN.request primitives asking each
7764 MAC sub-layer to perform an active scan.

7765 When processing and managing beacons for joining or rejoining the network, the device SHALL follow both sec-
7766 tions 3.6.1.6 and 3.6.1.6.1.

7767 The Network layer is responsible for filtering beacons based on the parameters passed to the discovery primitive and
7768 the device's current state. Beacons that are not suitable for joining or rejoining are discarded. Only beacons repre-
7769 senting Zigbee devices that can also act as potential parents are stored in the Discovery Table (*nwkDiscoveryTable*).
7770 Potential networks and candidate parents are stored in the Discovery Table.

7771 Once all MAC sub-layer(s) signal the completion of the scan by issuing the MLME-SCAN.confirm primitive to the
7772 NLME, the NWK layer SHALL issue the NLME-NETWORK-AND-PARENT-DISCOVERY.confirm primitive con-
7773 taining a description of each network that was heard. Every network description contains the Zigbee version, stack
7774 profile, Extended PAN Id, PAN Id, logical channel, and information on whether it is permitting joining.

7775 3.6.1.5.1 Network Discovery

7776 Beacons received during network and parent discovery SHALL be processed as follows.

- 7777 1) If the MAC beacon has zero length MAC beacon payload, it SHALL be discarded and no further processing
7778 SHALL be done.
- 7779 2) If the ZigbeeVersion is not equal to 0x02 the beacon SHALL be discarded and no further processing SHALL be
7780 done.
- 7781 3) If the StackProfile is not equal to 0x02 the beacon SHALL be discarded and no further processing SHALL be
7782 done.
- 7783 4) If the OnlyPermitJoinNetworks parameter from the NLME-NETWORK-AND-PARENT-DISCOVERY.request
7784 was set to TRUE and the MAC beacon indicates PermitJoining is FALSE, the beacon SHALL be discarded and
7785 no further processing SHALL be done.
- 7786 5) If OnlyEndDeviceCapacity parameter from the NLME-NETWORK-AND-PARENT-DISCOVERY.request is
7787 set to TRUE and the EndDeviceCapacity is FALSE, the beacon SHALL be discarded and no further processing
7788 SHALL be done.
- 7789 6) If the *nwkExtendedPanId* of the NIB is not equal to 0x0000000000000000 then the following SHALL be done.
7790 a) The *nwkExtendedPanId* SHALL be compared to the ExtendedPanID field in the beacon.
7791 b) If the values do not match then the beacon SHALL be discarded and no further processing SHALL be
7792 done.
7793 c) Otherwise continue to step 7.
- 7794 7) The Beacon data SHALL be added to the Discovery Table described in section 3.6.1.6.1.4.

7795 3.6.1.5.2 Parent Selection

7796 A Zigbee device SHALL maintain an ordered list of possible parents to join or rejoin in the Discovery Table
7797 (*nwkDiscoveryTable*). This list is acquired via NLME-NETWORK-AND-PARENT-DISCOVERY.request. The
7798 contents MAY be discarded on generation of NLME-JOIN.confirm. The minimum size of the list is 5. When consid-
7799 ering how to rank parents on the list, the following SHALL be considered from highest to lowest priority.

7800 Parents are divided into two categories based on LQA. Any parent with LQA of *nwkGoodParentLQA* or higher is
7801 considered good. Any parent with a signal below that is considered marginal. The selection procedure SHALL be
7802 evaluated first considering only potential parents with a Good LQA. If and only if this fails to successfully attach this
7803 procedure shall be followed a second time, in its entirety, using only potential parents a Marginal LQA. When Active
7804 Power Control is used on this link, LQI SHALL be used instead of LQA.

- 7805 1. A parent that indicates Hub Connectivity is 1 SHALL be preferred over a parent with Hub Connectivity of 0.
- 7806 2. A parent that indicates a Preferred Parent of 1 SHALL be preferred to a parent with a Preferred Parent of 0.
- 7807 3. A parent that indicates Long Uptime over a device that indicates Short Uptime.
- 7808 4. A parent that has the newest NWK Update ID value, considering wrap for an 8-bit value.
- 7809 5. If the device is rejoining, is an End Device, and the parent is the current parent for the device.
- 7810 6. Other manufacturer specific feature support that is desired.

7811 When the list becomes full, the lowest rank parent SHALL be dropped for a more favorable parent. The application
 7812 MAY reorder the potential parents based on higher level knowledge, for example, previous attempts that did not
 7813 succeed and are not likely to succeed if tried again.

7814 3.6.1.6 Joining or Rejoining a Network

7815 For purposes of the ensuing discussion, a parent-child relationship is formed when a device having membership in the
 7816 network allows a new device to join. On joining, the new device becomes the child, while the first device becomes
 7817 the parent.

7818 After joining is complete, a joining or rejoining router device will no longer have a parent child relationship with the
 7819 device it has joined or rejoined to; rather, both devices will have a relationship of 'sibling' (0x02).

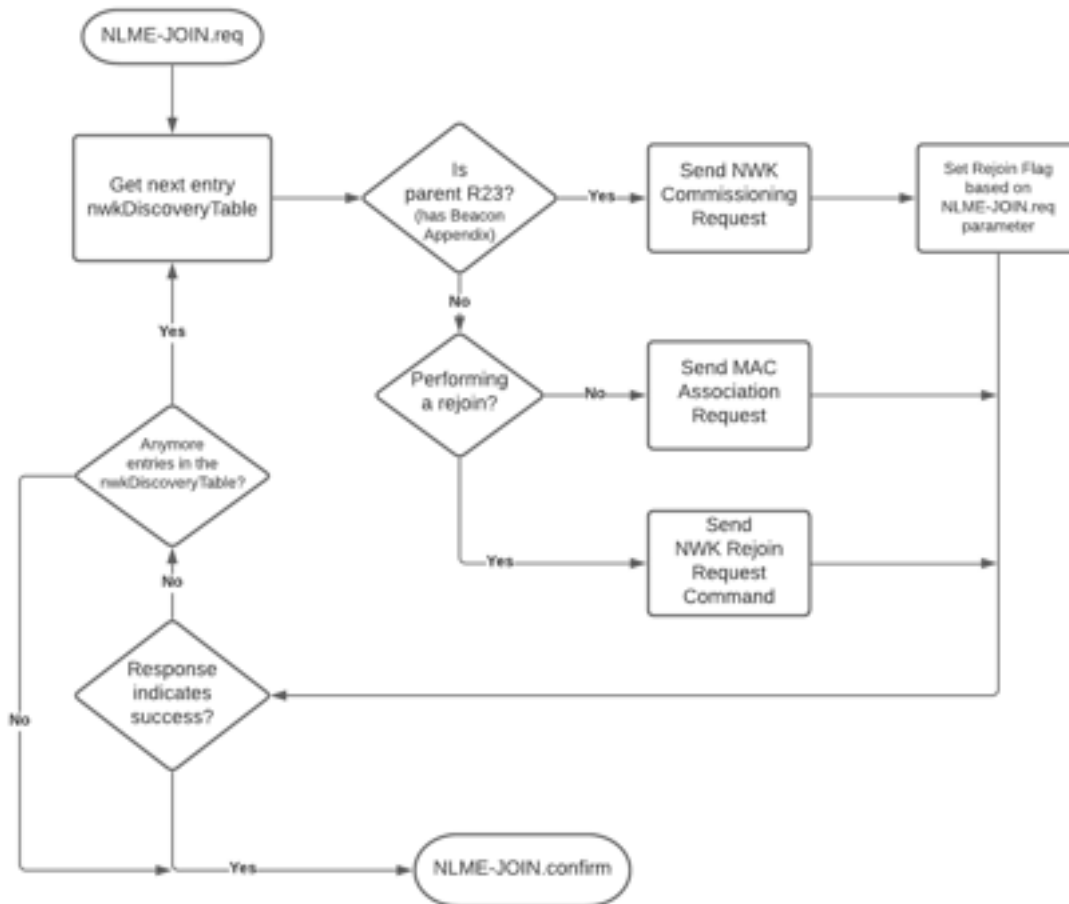
7820 There are many attachment mechanisms to a Zigbee network. When both parent and child support the Network Com-
 7821 missioning commands, the Network Commissioning attach mechanisms SHALL be used. Table 3-66 details what
 7822 mechanism MAY be used for what operations.

7823

Table 3-66. Zigbee Network Attach Mechanisms

Attach Mechanism	Network Security	Description
MAC Association	None	Join and get network key.
Network Rejoin	None	Rejoin and get network key
Network Rejoin	Encrypted and authenticated	Rejoin, no network key needed
Network Commissioning Commissioning Type = Initial Join	None	Initial join. Trust Center MAY decide whether to negotiate a dynamic link key or simply send the network key with the device's current link key. The network advertises its initial link-key exchange capabilities in Beacon Appendix TLVs. The joiner notifies the trust center of its dynamic link key feature support in TLVs attached to the network commissioning frame. The trust center makes its decision by taking the common subset of supported key negotiation methods into account, preferring the suite with the highest level of security.
Network Commissioning (Trust Center Rejoin) Commissioning Type = Rejoin	None	Rejoin. Trust Center MAY decide whether to negotiate a dynamic link key again or simply send the network with the device's current link key. The network advertises its initial link-key exchange capabilities in Beacon Appendix TLVs. The joiner notifies the trust center of its dynamic link key feature support in TLVs attached to the network commissioning frame. The trust center makes its decision by taking the common subset of supported key negotiation methods into account, preferring the suite with the highest level of security.
Network Commissioning (Secure Rejoin) Commissioning Type = Rejoin	Encrypted and authenticated	Rejoin, no network key needed.

7824 An attach using Network Commissioning with network security and a Commissioning Type of Initial Join is NOT
 7825 allowed. The diagram in Figure 3-40 shows the decision path for when to select the specific attach mechanism.



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Figure 3-40. Attach Mechanism Decision Tree

7828 3.6.1.6.1 Procedure for Joining or Rejoining a Network

7829 This section specifies the procedure a device (child) SHALL follow if it opts to join or rejoin a network, as well as the
 7830 procedure a Zigbee coordinator or router (parent) SHALL follow upon receipt of an indication of a device wishing to
 7831 join or rejoin a network.

7832 3.6.1.6.1.1 Child Procedure

7833 The procedure for joining or rejoining a network SHALL be preceded by network and parent discovery as described
 7834 in section 3.6.1.5. The next higher layer SHALL wait for receipt of the NLME-NETWORK-AND-PARENT-DIS-
 7835 COVERY.confirm primitive before starting the joining or rejoining process.

7836 If the device is joining, the next higher layer SHALL either choose a network to join from the discovered networks or
 7837 redo the network discovery. Once a network is selected, it SHALL then issue the NLME-JOIN.request with the Re-
 7838 joinNetwork parameter set to 0x00 and the JoinAsRouter parameter set to indicate whether the device wants to join
 7839 as a routing device.

7840 Only those devices that are not already joined to a network SHALL initiate the join procedure. If an NLME-JOIN.re-
 7841 quest is received with RejoinNetwork = 0x00 and the device is already joined, the NLME SHALL terminate the
 7842 procedure and notify the next higher layer of the illegal request by issuing the NLME-JOIN.confirm primitive with
 7843 the Status parameter set to INV_REQUESTTYPE.

- 7844 For both joining and rejoining the NLME-JOIN.request primitive SHALL cause the NWK layer to search its discovery
7845 table (nwkDiscoveryTable) for a suitable parent device.
- 7846 The criteria for determining what order to try potential parents is described in section 3.6.1.5.2.
- 7847 If the discovery table contains no devices that are suitable parents, the NLME SHALL respond with an NLME-
7848 JOIN.confirm with a Status parameter of NOT_PERMITTED. If the discovery table has more than one device that
7849 could be a suitable parent the order in which parent devices are tried SHALL follow the rules described in section
7850 3.6.1.5.2.
- 7851 Once a suitable parent is identified the device SHALL set its *nwkParentInformation* value in the NIB to 0.
- 7852 The network attach mechanism used to join or rejoin to the network will vary based on the discovery table and the
7853 NLME-JOIN.request parameters. The network layer SHALL do the following to determine the attach mechanism.
- 7854 1) If the potential parent has Beacon Appendix in the nwkDiscoveryTable that is indicative of an R23 or later device,
7855 the network layer SHALL do the following.
- 7856 a) Network Commissioning SHALL be used as the attach mechanism.
- 7857 i) If the RejoinNetwork parameter is set to TRUE then the device SHALL set Commission Type to 0x01,
7858 Rejoin.
- 7859 (1) If *SecurityEnable* parameter is set to TRUE then the message SHALL be encrypted at the Network
7860 Layer with the current network key.
- 7861 ii) Else, the Commission type SHALL be set to 0x00, Initial Join with Key Negotiation.
- 7862 (1) The *SecurityEnable* parameter SHALL be ignored
- 7863 2) Otherwise if the RejoinNetwork is set to TRUE, the network layer SHALL use Network Rejoin Request as the
7864 attach mechanism.
- 7865 a) If Security is set to TRUE then the message SHALL be encrypted at the Network Layer with the current
7866 network key.
- 7867 3) Else, MAC Association SHALL be used as the attach mechanism via the MLME-ASSOCIATE.request primitive.
- 7868 If MAC Association is used as the attach mechanism, the NLME SHALL issue an MLME-ASSOCIATE.request
7869 primitive to the MAC sub-layer and the LogicalChannel parameter of the MLME-ASSOCIATE.request primitive
7870 SHALL be set to that found in the discovery table entry corresponding to the coordinator address of the potential
7871 parent.
- 7872 When using Network Rejoin as the attach mechanism, the NLME SHALL issue a Network Rejoin Request Command
7873 frame and security SHALL be applied to the command frame if *SecurityEnable* parameter of the NLME-JOIN.request
7874 is set to TRUE. It SHALL then follow the procedure in section 3.6.1.6.1.2.
- 7875 When using Network Commissioning as the attach mechanism, the NLME SHALL issue a Network Commissioning
7876 Request and security SHALL be applied to the command frame if *SecurityEnable* parameter of the NLME-JOIN.re-
7877 quest is set to TRUE. It SHALL then follow the procedure in section 3.6.1.6.1.2.
- 7878 For all attach methods, the bit-fields of the CapabilityInformation parameter SHALL have the values shown in Table
7879 3-67 and the capability information SHALL be stored as the value of the *nwkCapabilityInformation* NIB attribute (see
7880 Table 3-62).
- 7881

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Table 3-67. Capability Information Bit-Fields

Bit	Name	Description
0	Alternate PAN coordinator	This field will always have a value of 0 in implementations of this specification.
1	Device type	This field will have a value of 1 if the joining device is a Zigbee router. It will have a value of 0 if the device is a Zigbee end device or else a router-capable device that is joining as an end device.
2	Power source	This field will be set to the value of lowest-order bit of the PowerSource parameter passed to the NLME-JOIN-request primitive. The values are: 0x01 = Mains-powered device 0x00 = other power source
3	Receiver on when idle	This field will be set to the value of the lowest-order bit of the RxOnWhenIdle parameter passed to the NLME-JOIN.request primitive. 0x01 = The receiver is enabled when the device is idle 0x00 = The receiver MAY be disabled when the device is idle
4 – 5	Reserved	This field will always have a value of 0 in implementations of this specification.
6	Security capability	This field SHALL have a value of 0. Note that this overrides the default meaning specified in [B1].
7	Allocate address	This field will have a value of 1 in implementations of this specification.

7883 For joining, if the JoinAsRouter parameter is set to TRUE, the device will function as a Zigbee router in the network.
7884 If the JoinAsRouter parameter is FALSE, then it will join as an end device and not participate in routing.

7885 The addressing parameters in the MLME-ASSOCIATE.request primitive (see Chapter 2) SHALL be set to contain
7886 the addressing information for the device chosen from the discovery table. The status of the association is communi-
7887 cated back to the NLME via the MLME-ASSOCIATE.confirm primitive.

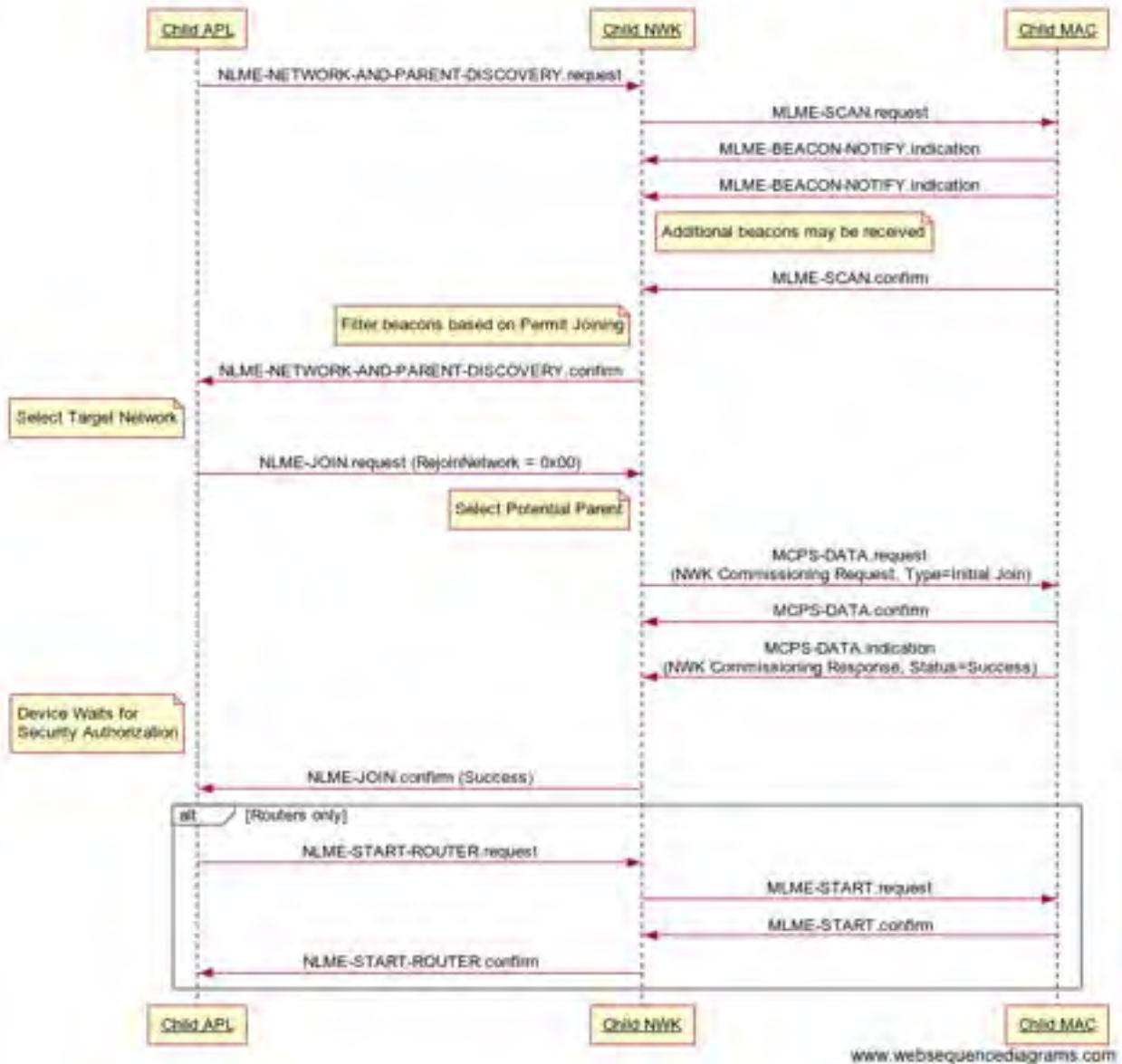
7888 The result of the attempt to join or rejoin will be reported to the NWK Layer via one of the following means:

- 7889 • Status parameter of the MLME-ASSOCIATE.confirm
- 7890 • Status code from the NWK Rejoin Response command
- 7891 • Status code from the NWK Commissioning Response command
- 7892 • Timeout

7893 If the attempt to join or rejoin was unsuccessful, the NLME SHALL pick the next potential parent in the ordered list
7894 from the discovery table. It SHALL repeat the procedure described in this section until any of the criteria is met:

- 7895 1. A device is joining and has made a total of nwkMaxInitialJoinParentAttempts.

- 7896 2. A device is rejoining and has made a total of `nwkMaxRejoinParentAttempts`.
- 7897 3. There are no more potential parents for the specified network.
- 7898 When one of the criteria is met the NLME SHALL then terminate the procedure and issue the NLME-JOIN.confirm primitive with the Status parameter set to the corresponding reason for the failure
- 7899
- 7900 If `RejoinNetwork` is 0x00, or `RejoinNetwork` is 0x02 and `SecurityEnable` is FALSE, the device SHALL wait for security data to be received to become fully authenticated on the Zigbee network. The device SHALL set the `SecurityTimer` for the corresponding `nwkNeighborTable` entry of its parent to `apsSecurityTimeOutPeriod`. It SHALL decrement the `SecurityTimer` for every second that has passed before giving up. If the NIB is not updated with the NWK security key then the device SHALL issue an NLME-JOIN.confirm primitive with a status of NO_KEY. Note that the higher layer MAY reset the `SecurityTimer` due to higher level application messages received.
- 7901
- 7902
- 7903
- 7904
- 7905
- 7906 If the attempt to join or rejoin was successful, the NWK SHALL issue the NLME-JOIN.confirm primitive with a status value of SUCCESS. In this case, the response of the MAC association, NWK Rejoin, or NWK Commissioning SHALL contain a 16-bit logical address unique to that network which the child can use in future transmissions. The NWK layer SHALL then set the Relationship field in the corresponding neighbor table entry to indicate that the neighbor is its parent. By this time, the parent SHALL have added the new device to its neighbor table. Furthermore, the NWK layer will update the values of `nwkNetworkAddress`, `nwkUpdateId` and `nwkPANId` in the NIB.
- 7907
- 7908
- 7909
- 7910
- 7911
- 7912 Once the device has successfully joined the network, if it is a router and the next higher layer has issued a NLME-START-ROUTER.request, the NWK layer SHALL issue the MLME-START.request primitive to its MAC sub-layer. The PANId, LogicalChannel, BeaconOrder and SuperframeOrder parameters SHALL be set equal to the corresponding values held in the neighbor table entry for its parent. The network depth is set to one more than the parent network depth unless the parent network depth has a value of 0x0f, *i.e.* the maximum value for the 4-bit device depth field in the beacon payload. In this case, the network depth SHALL also be set to 0x0f. The PANCoordinator and CoordRealignment parameters SHALL both be set to FALSE. Upon receipt of the MLME-START.confirm primitive, the NWK layer SHALL issue an NLME-START-ROUTER.confirm primitive with the same status value.
- 7913
- 7914
- 7915
- 7916
- 7917
- 7918
- 7919
- 7920 Figure 3-41 shows the procedure for a device to join to a network where the parent supports Revision 23 or later of this specification. In this case the MCPS-DATA.request is used to transmit a NWK Commissioning Request command and the MCPS-DATA.indication will contain a NWK Commissioning Response.
- 7921
- 7922



7923

7924

Figure 3-41. Procedure for Joining to a Network (R23+ Parent)

7925

Figure 3-42 shows the procedure for a device joining to a network where the parent supports a version of the specification prior to Revision 23. In this case the MLME-ASSOCIATE primitives are used to join.

7926

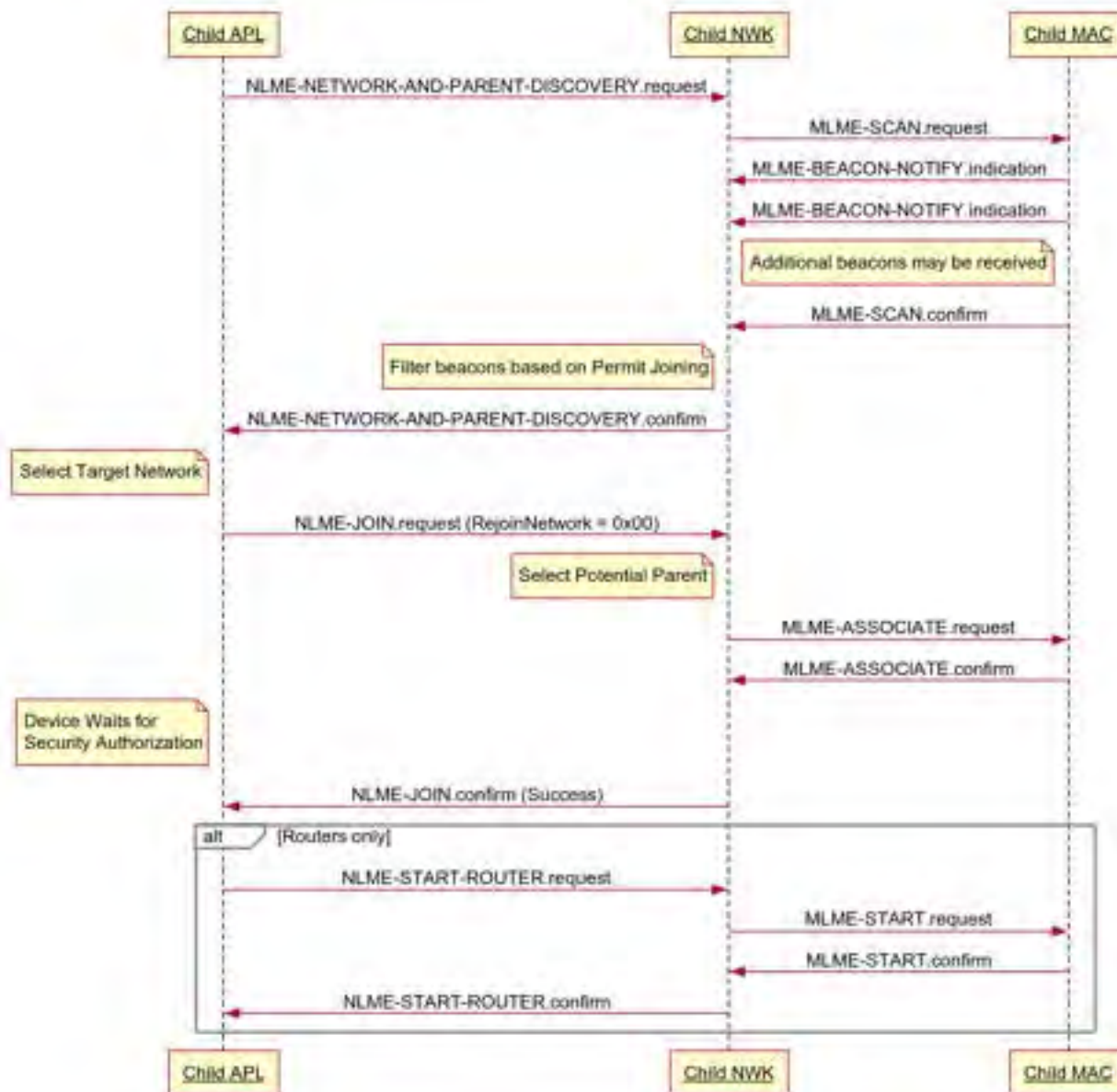


Figure 3-42. Procedure for Joining a Network with a Legacy Parent (Pre-R23)

7927

7928

7929 3.6.1.6.1.2 **Transmission and Reception of the NWK Rejoin Request and NWK Commissioning**
 7930 **Request**

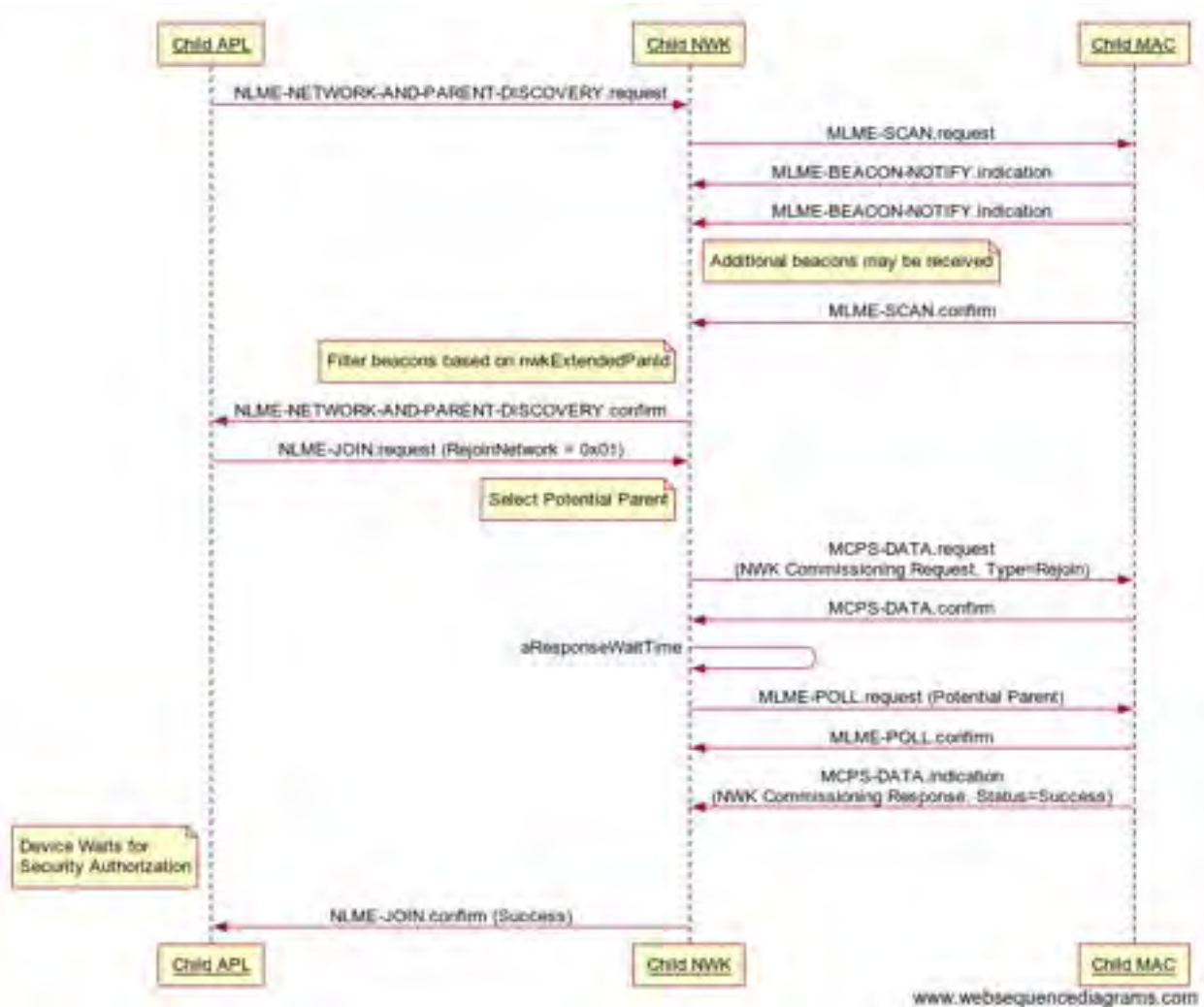
7931 After the successful transmission of the network rejoin request or network commissioning request command using
 7932 the MAC data service, the network layer SHALL load a countdown timer with a value of *macResponseWaitTime*
 7933 ([B1]). If the receiver on when idle field of the Capability Information parameter is equal to 0, the device SHALL
 7934 issue at least one MLME-POLL.request to the potential parent to retrieve the response command before the timer
 7935 expires. If the receiver on when idle field is equal to 1, polling is not required. Polling more than once before
 7936 *macResponseWaitTime* ([B1]) elapses is permitted.

7937 If this timer elapses before the appropriate response command frame is received, then the attachment mechanism
 7938 was unsuccessful.

7939 On receipt of the appropriate response command frame, after the above procedure or at any other time, the device
 7940 SHALL check the destination IEEE address field and the source IEEE address fields of the command frame NWK

7941 header. If the destination IEEE address field is not equal in value to the IEEE address of the receiving device or if
 7942 the source IEEE address field is not equal in value to the IEEE address of the most recent potential parent to which a
 7943 rejoin request command frame was sent (or the current parent in the case of an unsolicited rejoin response), then the
 7944 rejoin response command frame shall be discarded without further processing.

7945 Figure 3-43 shows the procedure for rejoining to a parent that supports Revision 23 or later of the specification. The
 7946 Network commissioning request is used for the attach mechanism.

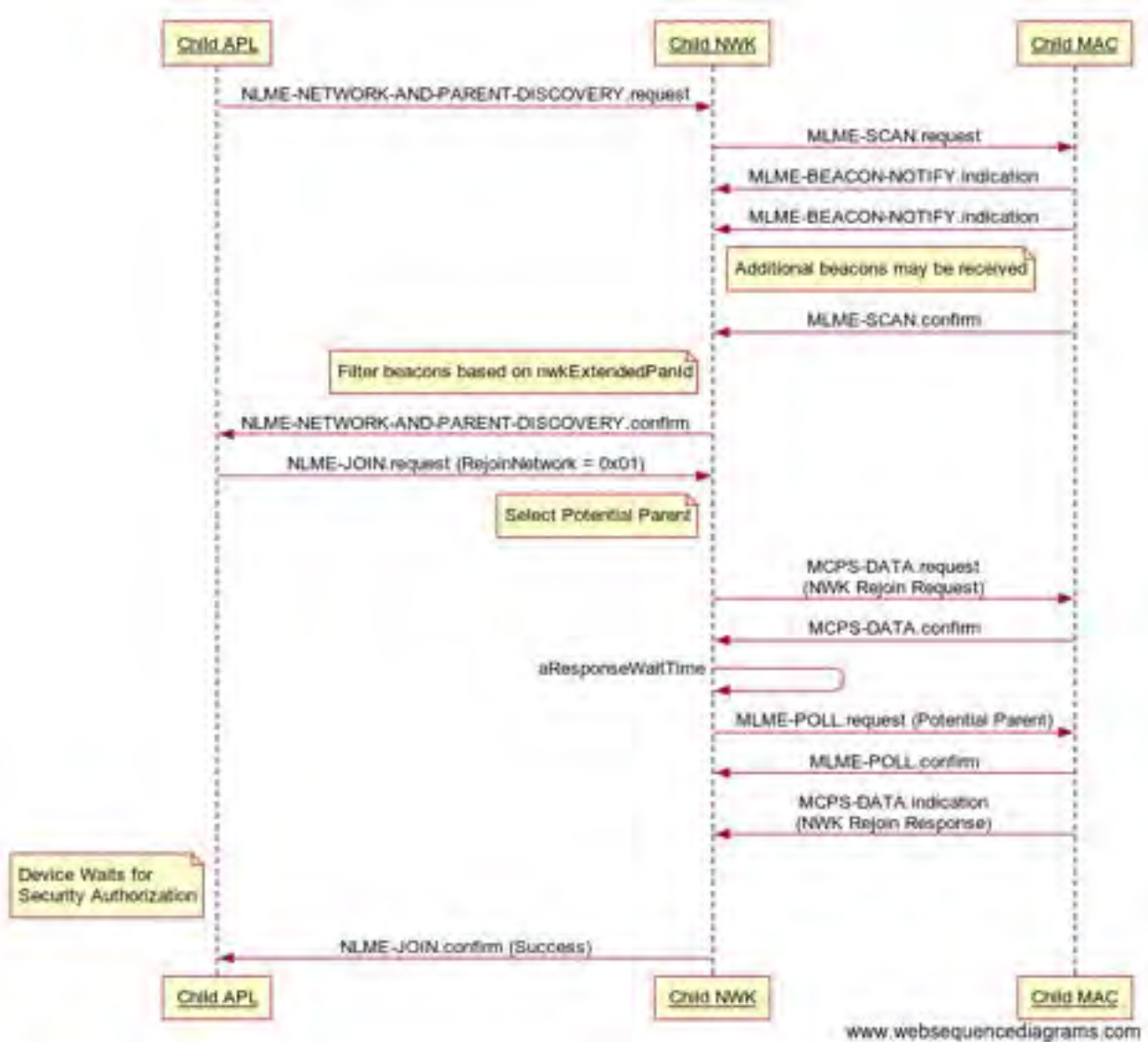


7947

7948

Figure 3-43. Procedure for Rejoining to a Network with a Parent that Supports R23+

7949 Figure 3-44 shows the procedure for rejoining to the network when the parent supports a version prior to Revision
 7950 23. The NWK Rejoin Request command is used for the attach mechanism.



7951

7952

Figure 3-44. Child Rejoining the Network to a Legacy Parent (Pre-R23)

7953 3.6.1.6.1.3 **Parent Procedure**

7954 The procedure for a Zigbee coordinator or router to allow a device join or rejoin to its network using one of the
 7955 following mechanisms described in the attach mechanism in Table 3-68.

7956

Table 3-68. Incoming Attach and Response Mechanisms

Incoming Mechanism	Response Method
MLME-ASSOCIATE.indication	MLME-ASSOCIATE.response
NWK Rejoin Request Command Frame	NWK Rejoin Response Command Frame
NWK Commissioning Request Command Frame	NWK Commissioning Response Command Frame

- 7957 Only those devices that are either a Zigbee coordinator or a Zigbee router and that are permitting devices to join the
7958 network SHALL initiate this procedure. If this procedure is initiated on any other device, the NLME SHALL terminate
7959 the procedure.
- 7960 If the parent receives a MLME-ASSOCIATE.indication primitive and the MAC PIB attribute *macAssociationPermit*
7961 is FALSE it SHALL issue MLME-ASSOCIATE.response with a status of 0x02, PAN_ACCESS_DENIED.
- 7962 If the parent receives a NWK Commissioning Request from the NWK layer, and the Commissioning Type is set to
7963 0x00 (Initial Join), and the MAC PIB attribute *macAssociationPermit* is FALSE, it SHALL issue a Network Commis-
7964 sioning Response with a status of 0x02, PAN ACCESS DENIED and halt processing. Otherwise it SHALL continue
7965 processing.
- 7966 If the NWK Commissioning Request command is NWK encrypted and the Commissioning Type indicates Initial Join,
7967 the request SHALL be dropped and no further processing SHALL be done.
- 7968 When this procedure is initiated, , regardless of the incoming mechanism, the NLME of the local device acting as a
7969 potential parent SHALL determine whether the device wishing to join or rejoin already exists on its network. To do
7970 this, the NLME SHALL search its neighbor table in order to determine whether a matching 64-bit, extended address
7971 can be found. If an extended address match is found, the NLME SHALL follow the rules for matching device infor-
7972 mation below. If no match is found the NLME will continue to process the request.
- 7973 A rejoin attempt can be received at any time by a parent router. A NWK Rejoin command without security, known as
7974 a Trust Center Rejoin, needs to be handled carefully by the stack to prevent state changes on the parent. Unsecured
7975 Packets at the network layer claiming to be from existing neighbors (coordinators, routers or end devices) must not
7976 rewrite legitimate data in the *nwkNeighborTable*.
- 7977 If the NWK Rejoin Request command frame received at the parent router does not have network layer encryption, the
7978 parent router SHALL look at the *apsTrustCenterAddress* in the AIB. If the value of *apsTrustCenterAddress* is
7979 0xFFFFFFFFFFFFFFFF, the rejoin attempt SHALL be rejected. The Status parameter of the NWK Rejoin Response
7980 command shall indicate PAN ACCESS DENIED.
- 7981 If the value of *apsTrustCenterAddress* is NOT 0xFFFFFFFFFFFFFFFF and an existing, matching entry in the
7982 *nwkNeighborTable* has been found, then the rules for matching device information SHALL be applied as follows:
- 7983 1. Compare the following: the Network Address of the *nwkNeighborTable* to the NWK Short Address of the com-
7984 mand, the Device Type enum of the *nwkNeighborTable* to the Device type bit of the MAC Capabilities in the
7985 command, and the RxOnWhenIdle bit to the RxOnWhenIdle bit of the MAC Capabilities in the command.
 - 7986 2. If the NWK Rejoin Request command frame has network layer encryption that passes security processing in
7987 section 4.3.1.2, then NLME shall consider the rejoin attempt successful. Any values that changed in step 1 can be
7988 updated in the *nwkNeighborTable*.
 - 7989 3. If the NWK Rejoin Request command frame does not have network layer encryption and the NWK short address
7990 and/or capabilities values are different but all other values in step 1 are the same including the 64 bit extended
7991 address, then the NLME SHALL reject such a rejoin attempt. It SHALL send a rejoin response with PAN AC-
7992 CESS DENIED. No changes SHALL be made to the existing *nwkNeighborTable* entry corresponding to the
7993 attempted rejoin.
- 7994 If no match to an existing device in the *nwkNeighborTable* was found and the potential parent does not have the
7995 capacity to accept more children, the NLME SHALL terminate the procedure and indicate this fact in the subsequent
7996 response mechanism described in Figure 3-18. The Status parameter of that primitive or command frame SHALL
7997 indicate that the PAN is at capacity.
- 7998 If the request to join or rejoin is granted by the parent, the NLME of the parent SHALL create a new entry for the
7999 child in its neighbor table using the supplied device information and indicate a successful join or rejoin via the response
8000 mechanism noted in Figure 3-18. The relationship field of the new neighbor table entry SHALL be set to the value
8001 0x01 only if the mechanism was NWK Rejoin and had NWK Layer security. Otherwise, the relationship field SHALL
8002 be set to 0x05 indicating an unauthenticated child. The status of the response transmission to the child is communicated
8003 back to the network layer via the MLME-COMM-STATUS.indication primitive.

8004 When a new entry for the child has been created in the parent’s neighbor table, the parent SHALL search its routing
8005 table for an entry where the destination address equals the address of the rejoining device and delete such entry, if one
8006 exists.

8007 If the transmission was unsuccessful (*i.e.* the MLME-COMM-STATUS.indication primitive contained a Status pa-
8008 rameter not equal to SUCCESS), the NLME SHALL terminate the procedure. If the transmission was successful, the
8009 NLME SHALL notify the next higher layer that a child has just joined the network by issuing the NLME-JOIN.indi-
8010 cation primitive.

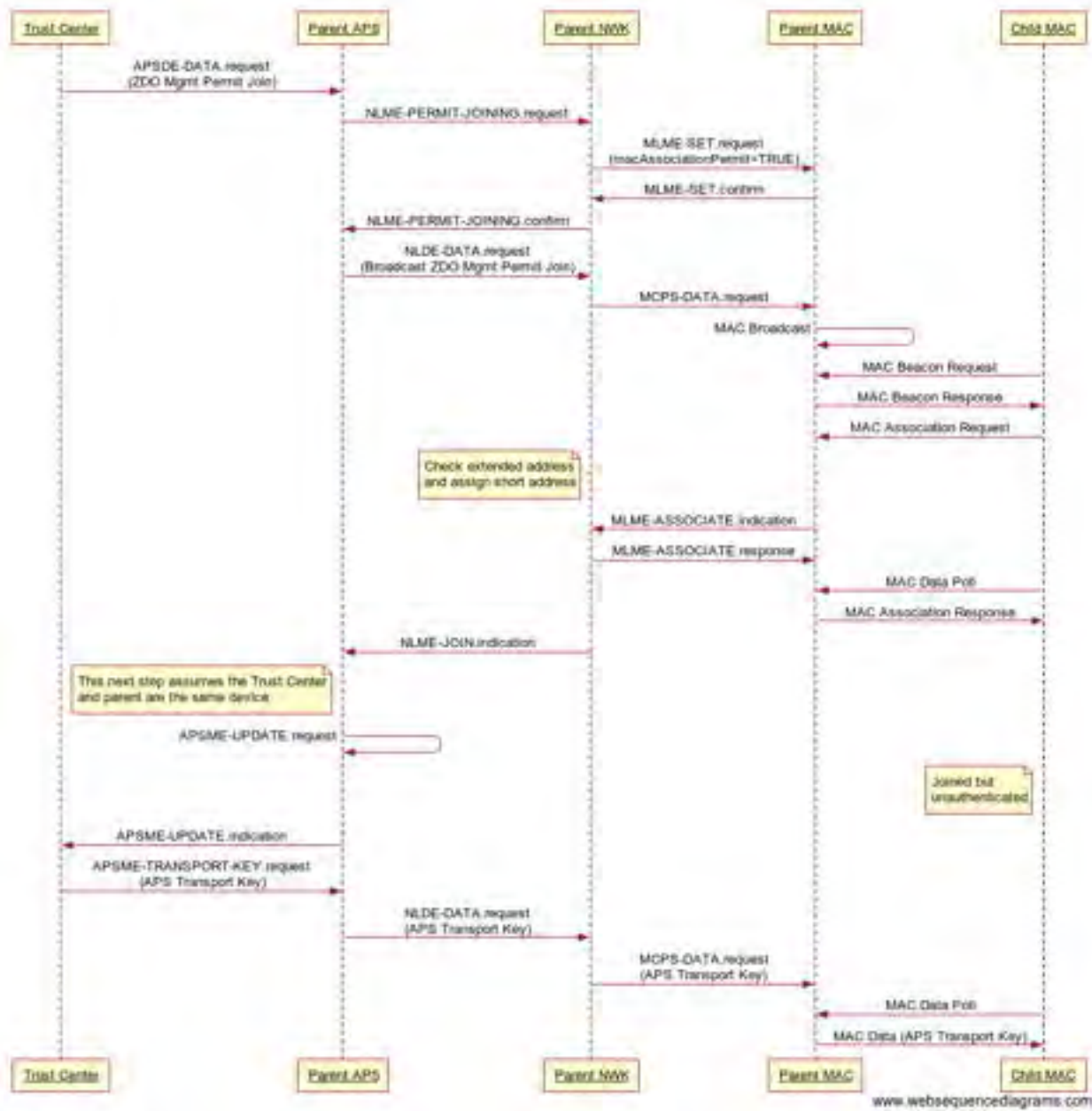
8011 Authorization onto the Zigbee network is not performed by the NLME. The NLME on the parent SHALL result in an
8012 APSME-UPDATE-DEVICE.request issued locally to the router to request the Trust Center authorize or reject the new
8013 device. . If the Joiner Encapsulation Global TLV is present it SHALL be passed directly to the JoiningDeviceTLVs
8014 parameter of the APSME-UPDATE-DEVICE.request interface. For the TLVs received by the NLME-JOIN.indica-
8015 tion, only the Joiner Encapsulation Global TLV SHALL be passed to the APSME-UPDATE-DEVICE.request, all
8016 other TLVs shall be processed by the parent locally by the NLME. The JoinerMethod of the NLME-JOIN.indication
8017 request SHALL be mapped to the APSME-UPDATE-DEVICE.request per Table 3-69.

8018 **Table 3-69. NLME-JOIN.indication JoinerMethod and APSME-UPDATE-DEVICE.request status mapping**

JoinerMethod of NLME-JOIN.indication	Status of APSME-DEVICE-UPDATE.request
0x00 = MAC Association	0x01 = Standard Device Unsecured Join
0x01 = Network Rejoin without Security	0x03 = Standard Device Trust Center Rejoin
0x02 = Secured Network Rejoin	0x00 = Standard Device Secured Rejoin
0x03 = Network Commissioning Join without Security	0x01 = Standard Device Unsecured Join
0x04 = Network Commissioning Rejoin without Security	0x03 = Standard Device Trust Center Rejoin
0x05 = Secure Network Commissioning Rejoin	0x00 = Standard Device Secured Rejoin

8019 The parent SHALL set the SecurityTimer for the corresponding neighbor table entry to *apsSecurityTimeOutPeriod*.
8020 The parent will wait to receive any network encrypted message from the device indicating it has been authorized onto
8021 the network. If the SecurityTimer has reached zero and no message is received the parent SHALL delete the unau-
8022 thenticated child from its neighbor table. Note that the higher layer of the parent can reset the SecurityTimer value for
8023 the neighbor table entry as higher layer messages are relayed to the device indicating it is still being authorized for
8024 joining or rejoining to the network.

8025 The procedure for successfully joining a device to the network via MAC Association is illustrated in the message
8026 sequence chart shown in Figure 3-45.



8027

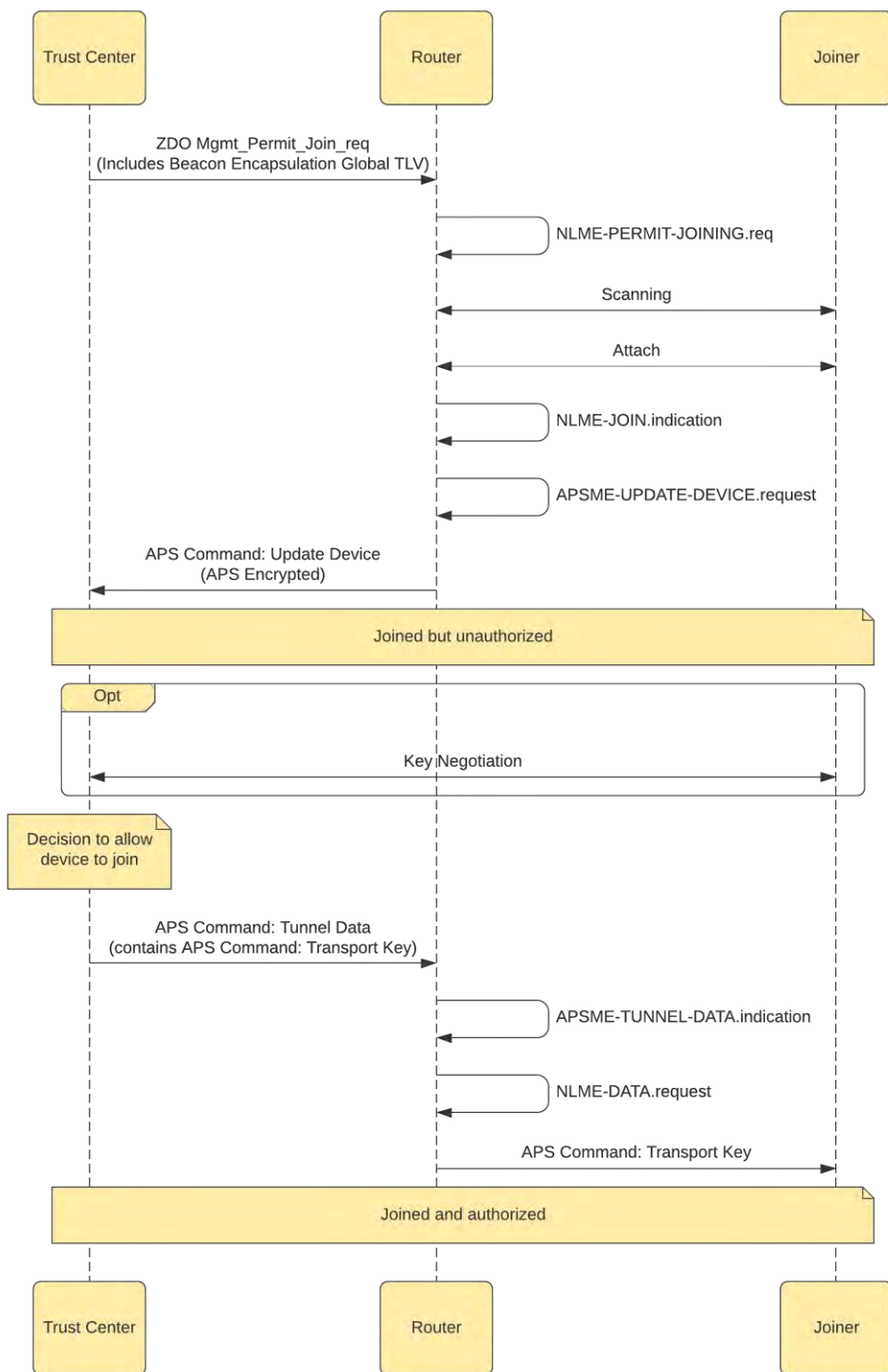
8028

Figure 3-45. Parent Process for Receiving a Request to Join Using MAC Association

8029

The procedure for successfully rejoining a device to the network via NWK Rejoin is illustrated in the message sequence chart shown in .

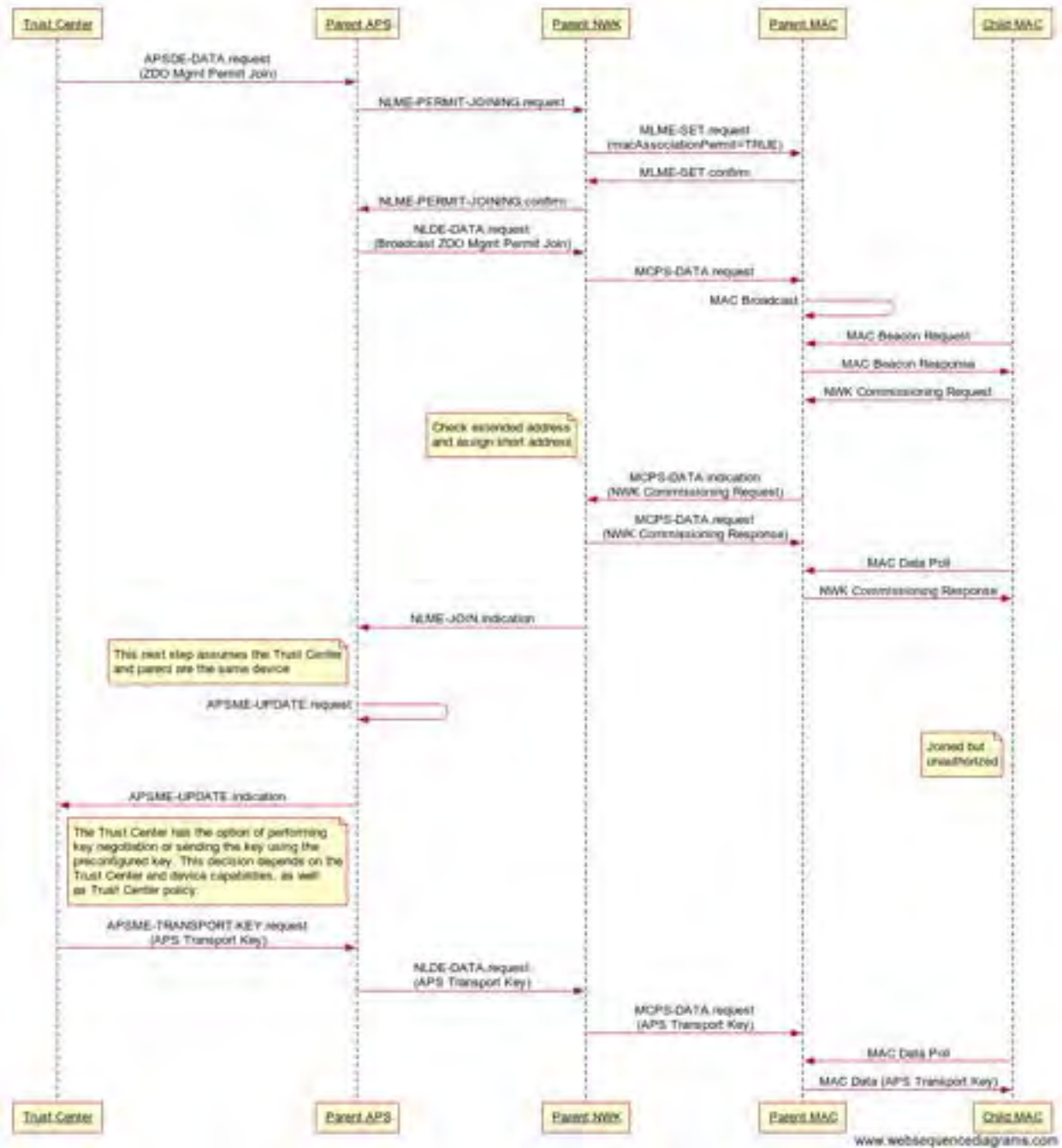
8030



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 8032
 8033
 8034

Figure 3-46. Parent Processing for receiving a request to rejoin via NWK Rejoin Command

The procedure for successfully joining or rejoining a device to the network via NWK Commissioning is illustrated in the message sequence chart shown in Figure 3-47.



8035

8036

Figure 3-47. Parent Procedure for Processing a Received Join or Rejoin via NWK Commissioning

8037 3.6.1.6.1.4 **Discovery Table**

8038 The stack SHALL maintain a separate table for storing potential networks and parents during join and rejoin opera-
 8039 tions. The minimum size of this table is 6 entries. This table is described in Table 3-70.

8040

8041

Table 3-70. Discovery Table Fields (nwkDiscoveryTable)

Field Name	Field Type	Valid Range	Description
Extended PAN ID	Integer	Any	The 64-bit unique identifier of the network to which the device belongs to.
Logical Channel	Integer	Selecting from the available logical channels support by the PHY	The logical channel on which the network is operating.
Parent Priority	Integer	1 – nwkDiscoveryTableSize	This is the priority that the potential parents SHALL be considered for join or rejoin attempts. 1 is considered the highest priority and 10 is the lowest.
Short ID	Integer	0x0000 – 0xFFFF	The short ID of the potential parent.
LQA (LQI)	Integer	0 – 255	The LQA (LQI) value of the potential parent.
Update ID	Integer	0x00 – 0xFF	The value of the NWK Update ID of the beacon.
Beacon Appendix TLVs	Array of bytes	Any	A set of TLVs indicating information about the network.

8042 3.6.1.7 Neighbor Tables

8043 The neighbor table of a device SHALL contain information on every device on the current Zigbee network within
8044 transmission range, up to some implementation-dependent limit.

8045 The neighbor does not store information about potential networks and candidate parents to join or rejoin. The Discov-
8046 ery table SHALL be used for this.

8047 The neighbor table is used to store information about neighbors, whether they are fully authorized in the network or
8048 are in the process of joining or rejoining. After the device has been authorized on a network, it is used to store rela-
8049 tionship and link-state information about neighboring devices in that network. A table entry SHALL be updated every
8050 time a device receives any frame from the corresponding neighbor.

8051 The outgoing cost field contains the cost of the link as measured by the neighbor. The value is obtained from the most
8052 recent link status command frame received from the neighbor. A value of 0 indicates that no link status command
8053 listing this device has been received.

8054 The age field indicates the number of *nwkLinkStatusPeriod* intervals that have passed since the last link status com-
8055 mand frame was received, up to a maximum value of *nwkRouterAgeLimit*.

8056 Mandatory and optional data that are used in normal network operation are listed in Table 3-71.

Table 3-71. Neighbor Table Entry Format (*nwkNeighborTable*)

Field Name	Field Type	Valid Range	Description
Extended address	Integer	An extended 64-bit, IEEE address	64-bit IEEE address that is unique to every device.
Network address	Network address	0x0000 – 0xffff	The 16-bit network address of the neighboring device. This field SHALL be present in every neighbor table entry.
Device type	Integer	0x00 – 0x02	The type of neighbor device: 0x00 = Zigbee coordinator 0x01 = Zigbee router 0x02 = Zigbee end device This field SHALL be present in every neighbor table entry.
RxOnWhenIdle	Boolean	TRUE or FALSE	Indicates if neighbor's receiver is enabled during idle periods: TRUE = Receiver is on FALSE = Receiver is off This field SHOULD be present for entries that record the parent or children of a Zigbee router or Zigbee coordinator.
End Device Configuration	Bitmask	0x0000 – 0xFFFF	The end device's configuration. See section 3.4.11.3.2. The default value SHALL be 0.
Timeout Counter	Integer	0x00000000 – 0x00F00000	This field indicates the current time remaining, in seconds, for the end device.
Device Timeout	Integer	0x00000000 – 0x0001FA40	This field indicates the timeout, in seconds, for the end device child. The default value for end device entries is calculated by using the <i>nwkEndDeviceTimeoutDefault</i> value and indexing into Table 3-54, then converting the value to seconds. End Devices MAY negotiate a longer or shorter time using the NWK Command End Device Timeout Request.

Field Name	Field Type	Valid Range	Description
Relationship	Integer	0x00 – 0x09	<p>The relationship between the neighbor and the current device:</p> <p>0x00=neighbor is the parent 0x01=neighbor is a child 0x02=neighbor is a sibling 0x03=none of the above 0x04=previous child 0x05=unauthenticated child 0x06=unauthorized child with relay allowed 0x07=neighbor is a lost child 0x08=neighbor is a child with address conflict 0x09=neighbor is a backbone mesh sibling</p> <p>This field SHALL be present in every neighbor table entry.</p>
Transmit Failure	Integer	0x00 – 0xff	<p>A value indicating if previous transmissions to the device were successful or not. Higher values indicate more failures.</p> <p>This field SHALL be present in every neighbor table entry.</p>
LQA (LQI)	Integer	0x00 – 0xff	<p>The estimated link quality for RF transmissions from this device. See section 3.6.4.1 for a discussion of how this is calculated.</p> <p>This field SHALL be present in every neighbor table entry.</p>
Outgoing Cost	Integer	0x00 – 0xff	<p>The cost of an outgoing link as measured by the neighbor. A value of 0 indicates no outgoing cost is available.</p> <p>This field is mandatory.</p>
Age	Integer	0x00 – 0xff	<p>The number of nwkLinkStatusPeriod intervals since a link status command was received.</p> <p>This field is mandatory.</p>

Field Name	Field Type	Valid Range	Description
Incoming beacon timestamp	Integer	0x000000 – 0xfffff	The time, in symbols, at which the last beacon frame was received from the neighbor. This value is equal to the timestamp taken when the beacon frame was received, as described in IEEE Std 802.15.4-2020 [B1]. This field is optional.
Beacon transmission time offset	Integer	0x000000 – 0xfffff	The transmission time difference, in symbols, between the neighbor's beacon and its parent's beacon. This difference MAY be subtracted from the corresponding incoming beacon timestamp to calculate the beacon transmission time of the neighbor's parent. This field is optional.
Keepalive Received	Boolean	TRUE or FALSE	This value indicates at least one keepalive has been received from the end device since the router has re-booted.
MAC Interface Index	Integer	0 – 31	This is an index into the MAC Interface Table indicating what interface the neighbor or child is bound to.
MacUnicastBytesTransmitted	Integer	0 – 4,294,967,296	The number of bytes transmitted via MAC unicast to the neighbor. This is an optional field.
MacUnicastBytesReceived	Integer	0 – 4,294,967,296	The number of bytes received via MAC unicasts from this neighbor. This is an optional field.
RouterAge	Integer	0x0000 - 0xffff	The number of nwkLinkStatusPeriod intervals, which elapsed since this router neighbor was added to the neighbor table. This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of 'parent', 'sibling' or 'backbone mesh sibling'. This is a saturating up-counter, which does not roll-over.

Field Name	Field Type	Valid Range	Description
RouterConnectivity	Integer	0x00 - 0xb6	<p>An indicator for how well this router neighbor is connected to other routers in its vicinity. Higher numbers indicate better connectivity. This metric takes the number of mesh links and their incoming and outgoing costs into account.</p> <p>This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of ‘parent’, ‘sibling’ or ‘backbone mesh sibling’.</p>
RouterNeighborSetDiversity	Integer	0x00 - 0xff	<p>An indicator for how different the sibling router’s set of neighbors is compared to the local router’s set of neighbors. Higher numbers indicate a higher degree of diversity.</p> <p>This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of ‘parent’, ‘sibling’ or ‘backbone mesh sibling’.</p>
RouterOutboundActivity	Integer	0x00 - 0xff	<p>A saturating counter, which is pre-loaded with nwkRouterAgeLimit when this neighbor table entry is created; incremented whenever this neighbor is used as a next hop for a data packet; and decremented unconditionally once every nwkLinkStatusPeriod.</p> <p>This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of ‘parent’, ‘sibling’ or ‘backbone mesh sibling’.</p>
RouterInboundActivity	Integer	0x00 - 0xff	<p>A saturating counter, which is pre-loaded with nwkRouterAgeLimit when this neighbor table entry is created; incremented whenever the local device is used by this neighbor as a next hop for a data packet; and decremented unconditionally once every nwkLinkStatusPeriod.</p> <p>This value is only maintained on routers and the coordinator and is only valid for entries with a relationship of ‘parent’, ‘sibling’ or ‘backbone mesh sibling’.</p>

Field Name	Field Type	Valid Range	Description
SecurityTimer	Integer	0 – 255	<p>If the local device is joined to the network this is a countdown timer indicating how long an “unauthorized child” neighbor is allowed to be kept in the neighbor table. If the timer reaches zero the entry SHALL be deleted.</p> <p>If the local device is an unauthorized child and not fully joined to the network, this is a timer indicating how long it will maintain its parent before giving up the join or rejoin. If the timer reaches zero then the device SHALL leave the network.</p>

8058 3.6.1.8 Stochastic Address Assignment Mechanism

8059 Network short addresses SHALL be chosen at random. The random address assigned SHALL conform to the NIST
8060 testing regimen described in reference [B10]. When a device joins the network using MAC association, its parent
8061 SHALL choose a random address that does not already appear in any entry in the parent’s NIB. Under stochastic
8062 addressing, once a device has been assigned an address, it has no reason to relinquish that address and SHOULD retain
8063 it unless it receives an indication that its address is in conflict with that of another device on the network. Furthermore,
8064 devices MAY self-assign random addresses under stochastic addressing and retain them, as in the case of joining a
8065 network using the rejoin command frame (see section 3.6.1.6.1.2). The Zigbee coordinator, which has no parent,
8066 SHALL always have the address 0x0000.

8067 3.6.1.9 Installation and Addressing

8068 ZigbeeUnder stochastic address assignment, *nwkMaxDepth* is related to the number of hops across the network, i.e.
8069 the maximum number of hops equals $2 * nwkMaxDepth$. This is not a controlled value in networks using stochastic
8070 address assignment.

8071 3.6.1.10 Address Conflicts

8072 An address conflict occurs when two devices in the same network have identical values for *nwkNetworkAddress*.
8073 Preventing all such conflicts, for example by using tree address assignment and prohibiting the reuse of assigned
8074 addresses, is not always practical. This section describes how address conflicts that do occur can be detected and
8075 corrected. Address conflict detection SHALL always be enabled.

8076 Note that the network addresses used in routing messages are verified during the route discovery process. The
8077 *device_annce* now is also used to verify addresses. The verification applies only to devices, links, and information present
8078 at the time of the discovery or *device_annce*. Verification can be achieved at other times, such as before sending a
8079 unicast directly to a neighbor, by sending a network status command with a status code value of 0x0e, indicating
8080 address verification.

8081 If a device receives a broadcast data frame and discovers an address conflict as a result of the receipt, as discussed
8082 below in section 3.6.1.10.2, it SHOULD NOT retransmit the frame as usual but SHALL discard it before taking the
8083 resolution actions described in section 3.6.1.10.3.

8084 3.6.1.10.1 Obtaining Address Information

8085 The NWK layer obtains address information from incoming messages, including both NWK commands and data
8086 messages. Address information from data messages is passed to the NWK layer by being added to the network address
8087 map table in the NIB.

8088 The ability to detect address conflicts is enhanced by adding one or both of the Destination IEEE Address and Source
8089 IEEE Address fields to a message's NWK frame. All NWK command messages SHALL contain the source IEEE
8090 address and also the destination IEEE address if it is known by the source device, unless explicitly stated otherwise.

8091 Route request commands SHALL include the sender's IEEE address in the Sender IEEE address field. This ensures
8092 that devices are aware of their neighbors' IEEE addresses.

8093 **3.6.1.10.2 Detecting Address Conflicts**

8094 Routers and coordinators are responsible for detecting address conflicts before new devices join the network. When
8095 answering requests to join a network at the network layer (for example, answering a Network Rejoin Request), parent
8096 routers and coordinators SHALL ensure that new device addresses do not conflict within their local address map,
8097 *nwkAddressMap*. This will not detect all possible conflicts in the network but is intended to resolve obvious conflicts
8098 before the device starts using a conflicting address. If an address conflict is detected the process for resolving is
8099 described in section 3.6.1.10.3.

8100 After joining a network or changing address due to a conflict, a ZDO device SHALL send either a *device_annce* or
8101 initiate a route discovery prior to sending messages. This allows all routers and the coordinator in the entire network
8102 to detect conflicts by examining a message that contains both the short address and the long address.

8103 Upon receipt of a frame containing a 64-bit IEEE address in the NWK header, the contents of the *nwkAddressMap*
8104 attribute of the NIB and neighbor table SHOULD be checked for consistency.

8105 If the destination address field of the NWK Header of the incoming frame is equal to the *nwkNetworkAddress* attribute
8106 of the NIB then the NWK layer SHALL check the destination IEEE address field, if present and even if it is the
8107 0xffffffff address, against the value of *aExtendedAddress*. If the IEEE addresses are not identical then a local
8108 address conflict has been detected on *nwkNetworkAddress*.

8109 If a neighbor table or address map entry is located in which the 64-bit address is the null IEEE address (0x00....00),
8110 the 64-bit address in the table can be updated. However, if the 64-bit address is not the null IEEE address and does
8111 not correspond to the received 64-bit address, the device has detected a conflict elsewhere in the network.

8112 A new address map entry SHALL be added if all of the following are true:

- 8113 1. No address conflict was detected.
- 8114 2. No entry for neither the short nor long address exists.
- 8115 3. There is space in the *nwkAddressMap* table.

8116 When a broadcast frame is received that creates a new BTR, if the Source Address field in the NWK Header is equal
8117 to the *nwkNetworkAddress* attribute of the NIB then a local address conflict has been detected on *nwkNetworkAddress*.
8118 This SHALL only apply if the broadcast is received after *nwkNetworkBroadcastDeliveryTime* of being powered up
8119 and operating on the network. If the device has been operating on the network for less than *nwkNetworkBroadcastDe-*
8120 *liveryTime*, it SHALL NOT trigger an address conflict.

8121 Address conflicts are resolved as described in section 3.6.1.10.3.

8122 **3.6.1.10.3 Resolving Address Conflicts before a Device Joins or Rejoins**

8123 During the joining process, parent routers and coordinators are expected to resolve address conflicts locally when
8124 receiving a join or rejoin request message. Routers and coordinators are expected to assign unique addresses that do
8125 not conflict with their local address map. The mechanism for doing so will vary based on the attach mechanism used
8126 by the device and is described below.

8127 It is important to note that network wide notification of address conflicts SHALL NOT be generated due to unen-
8128 crypted messages. This includes the join and rejoining message exchanges. In other words, an unencrypted network
8129 frame SHALL NOT generate a Network Status message.

8130 If the joining device uses MAC association request command, then the router or coordinator parent will assign a short
8131 address by randomly generating an address and ensuring it does not conflict with its local address map, *nwkAddress-*
8132 *Map*. It uses this value in the Short Address field in the payload of the MAC Association response command frame.

8133 If the device uses NWK Rejoin request command (secured or unsecured) it will use short MAC addressing at the
8134 MAC layer and the network header will include both the short and long addresses. The router or coordinator parent
8135 SHALL check the short address against its local address map, *nwkAddressMap*. If the device is not allowed to attach,
8136 the parent router can simply respond back to the same short address with the appropriate status code, such as Pan at
8137 Capacity. If the device is allowed to attach to the parent and no conflict is detected, then the parent SHALL allow the
8138 use of that short address and return that same short address in the Network Address field in the payload of the Network
8139 Rejoin Response command frame. If the device is allowed to attach but the parent has detected an address conflict,
8140 the parent SHALL NOT allow use of that address. The parent SHALL randomly select a new, non-conflicting address
8141 and return that field in the Network Address field in the payload of the Network Rejoin Response. The message will
8142 still be addressed to the old short address at the MAC layer. The parent SHALL still allow the rejoin to succeed in
8143 that case. The device SHALL change its address on receipt of the Network Rejoin Response before sending any more
8144 messages on the network.

8145 If the device uses NWK Commissioning Request command (secured or unsecured), the procedure is different than
8146 rejoin. This is due to the fact that the Network Commissioning request can contain TLV data that uses the short address
8147 that the device wants to use. In the case of short address conflict with the Network Commissioning Request command,
8148 the parent will reject the attempt and tell the device to retry. The procedure is described below.

8149 If the device is not allowed to attach, the parent router can simply respond back to the same short address with the
8150 appropriate status code, such as Pan at Capacity.

8151 If the parent allows the device to attach with the Network Commissioning Request and the short address does not
8152 conflict, then the parent SHALL allow the use of that short address and return that same short address in the Network
8153 Address field in the payload of the Network Commissioning Response command frame.

8154 If the parent allows the device to attach with the Network Commissioning Request and the short address conflicts with
8155 its local address map, *nwkAddressMap*, the parent SHALL NOT allow the use of that address. The parent SHALL
8156 randomly select a new, non-conflicting address and return that field in the Network Address field in the payload of
8157 the Network Commissioning Response. The message will still be addressed to the old short address at the MAC layer.
8158 The parent SHALL NOT indicate SUCCESS in the status field of the Network Commissioning Response. In that case,
8159 it SHALL indicate 0xF0 for the status, Address Conflict. The device is expected to retry the Network Commissioning
8160 Request using that new address in all fields that reference a short address.

8161 **3.6.1.10.4 Trust Center Swap-out Special Consideration**

8162 A Trust Center swap-out is a rare occurrence that is detailed in section 4.7.4. During that event a device may be
8163 rejoining directly to the coordinator that has short address 0x0000 but whose long address has changed unbeknownst
8164 to the rejoining device. This may result in the short address of network messages being correct but the long address
8165 being different than what is expected. As dictated previously, network wide address conflict notifications SHALL
8166 NOT be generated due to unencrypted messages at the network layer.

8167 Devices SHALL accept *unencrypted* network layer messages that contain both long and short destination addresses
8168 where only the short address matches the local *nwkNetworkAddress* NIB parameter. The processing of these messages
8169 is limited based on the contents and the policies dictated in the specification.

8170 Devices SHALL generate address conflict notifications normally when receiving network *encrypted* messages as de-
8171 scribed in section 3.6.1.10.2.

8172 **3.6.1.10.5 Resolving Address Conflicts during Normal Operation**

8173 If a Zigbee coordinator or Router determines that there are multiple users of an address that is not its own, it SHALL
8174 inform the network by broadcasting a network status command with a status code of 0x0d indicating address conflict,
8175 and with the offending address in the destination address field. The network status command SHALL be broadcast to
8176 0xFFFFD, i.e. all devices with *macRxOnWhenIdle* = TRUE. The device SHALL delay initiation of this broadcast by a
8177 random jitter amount bounded by *nwkcMaxBroadcastJitter*. If during this delay a network status is received with the
8178 identical payload, the device SHALL cancel its own broadcast.

8179 If the device has learned of the conflict other than receiving a network status command with a status of 0x0d, then it
8180 SHALL inform the network by broadcasting a network status command with a status code of 0x0d indicating address
8181 conflict, and with its previous address in the destination address field. The network status command SHALL be broad-
8182 cast to 0xFFFFD—that is, all devices with *macRxOnWhenIdle*= TRUE. The device SHALL delay initiation of this

8183 broadcast by a random jitter amount bounded by `nwkcMaxBroadcastJitter`. If during this delay a network status is
8184 received with the identical payload, the device SHALL cancel its own broadcast. Regardless of how it learned of the
8185 conflict, it SHALL implement the procedure on detecting address conflicts detailed in section 3.6.1.10.2.

8186 End devices SHALL NOT resolve any conflicts. The conflicts for end devices would be resolved by their parent
8187 device.

8188 A Zigbee Coordinator SHALL not change its address.

8189 If a parent device detects or is informed of a conflict with the address of an end device child, the parent SHALL pick
8190 a new address for the end device child. If the end device is a non-sleepy then the router SHALL send immediately an
8191 unsolicited rejoin response command frame to inform the end device child of the new address. If the end device is a
8192 sleepy device it SHALL send the unsolicited rejoin response to inform the end device child of the new address upon
8193 receiving the next keepalive message, and this message SHALL take precedence over any other network or application
8194 layer message. The unsolicited rejoin response SHALL always use network encryption. To notify the next higher layer
8195 of an address change the end device SHALL issue an `NLME-NWK-STATUS.indication` with status 'Network Address
8196 Update' and the new network address as the value of the `ShortAddr` parameter.

8197 A Zigbee Router or End Device that changes its address SHALL do the following:

8198 1. Send a broadcast `ZDO Device_announce_req` with its new short address. The device SHALL jitter the message
8199 by `nwkcMaxBroadcastJitter`.

8200 3.6.1.10.6 **Security Considerations for Rejoin Response and Network Commis-** 8201 **sioning Response**

8202 The Network Rejoin Response and the Network Commissioning Response can be sent with or without network secu-
8203 rity depending on the usage as detailed in the specification. The end device needs to check the security of these mes-
8204 sages only when the end device explicitly initiates a rejoin request or commissioning request is the response allowed
8205 to be unencrypted.

8206 A Network Rejoin Response and the Network Commissioning Response SHALL only be accepted without network
8207 encryption when the device has made a corresponding unencrypted Network Rejoin Request or a Network Commis-
8208 sioning Request. Unencrypted, unsolicited Network Rejoin Response and the Network Commissioning Response
8209 SHALL be dropped and no further processing SHALL be done.

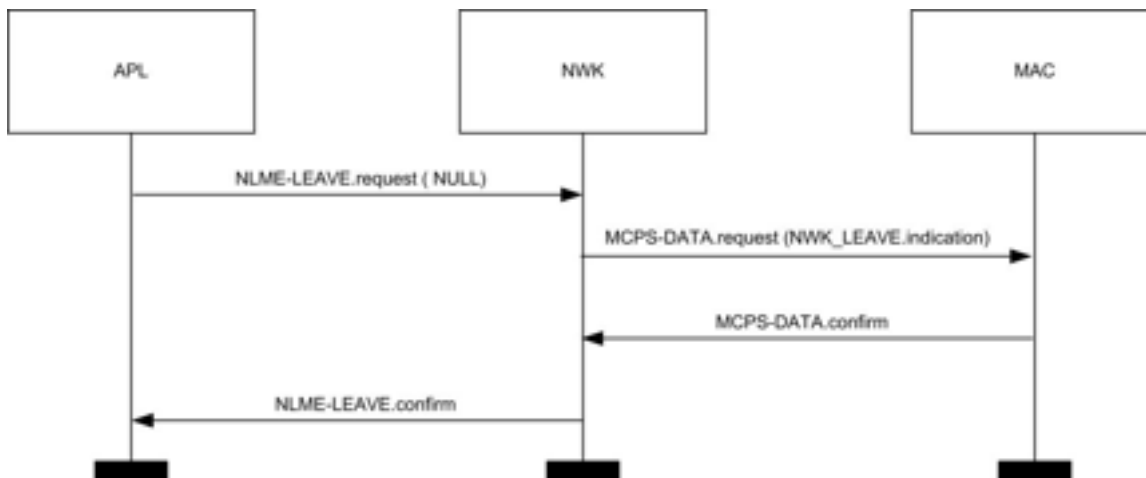
8210 For further clarity, the unsolicited Network Rejoin Response used when an address conflict is detected SHALL be
8211 network encrypted. A device that receives an unsolicited and unencrypted network rejoin response or an unsolicited
8212 and unencrypted network commissioning response SHALL drop the message and no further processing SHALL be
8213 done.

8214 3.6.1.11 **Leaving a Network**

8215 This section specifies methods for a device to remove itself from the network and for the parent of a device to request
8216 its removal. In both cases, the children of the removed device, if any, MAY also be removed.

8217 3.6.1.11.1 **Method for a Device to Initiate Its Own Removal from the Network**

8218 This section describes how a device can initiate its own removal from the network in response to the receipt of an
8219 `NLME-LEAVE.request` primitive from the next higher layer as shown in Figure 3-48.



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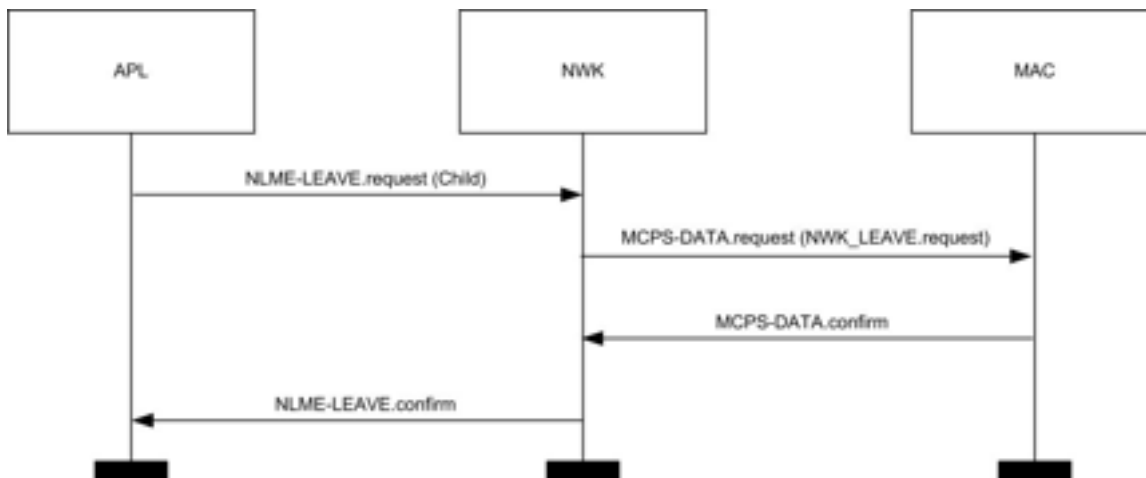
Figure 3-48. Initiation of the Leave Procedure

8222 When the NWK layer of a Zigbee router or Zigbee coordinator, receives the NLME-LEAVE.request primitive with
 8223 the DeviceAddress parameter equal to NULL or equal to the local device's IEEE address (indicating that the device
 8224 is to remove itself) the device SHALL send a leave command frame using the MCPS-DATA.request primitive with
 8225 the DstAddr parameter set to 0xffff indicating a MAC broadcast. The request sub-field of the command options field
 8226 of the leave command frame SHALL be set to 0. The value of the remove children sub-field of the command options
 8227 field of the leave command SHALL reflect the value of the RemoveChildren parameter of the NLME-LEAVE.request
 8228 primitive, and the value of the Rejoin sub-field of the leave command SHALL reflect the value of the Rejoin parameter
 8229 of the NLME-LEAVE.request primitive. After transmission of the leave command frame, it SHALL issue a NLME-
 8230 LEAVE.confirm primitive to the higher layer with the DeviceAddress parameter equal to NULL. The Status parameter
 8231 SHALL be SUCCESS if the leave command frame was transmitted successfully. Otherwise, the Status parameter of
 8232 the NLME-LEAVE.confirm SHALL have the same value as the Status parameter returned by the MCPS-DATA.con-
 8233 firm primitive. Regardless of the Status parameter to the NLME-LEAVE.confirm, the device SHALL leave the net-
 8234 work employing the procedure in 3.6.1.11.4.

8235 If the device receiving the NLME-LEAVE.request primitive is a Zigbee end device, then the device SHALL send a
 8236 leave command frame using the MCPS-DATA.request primitive with the DstAddr parameter set to the 16-bit network
 8237 address of its parent device, indicating a MAC unicast. The request and remove children sub-fields of the command
 8238 options field of the leave command frame SHALL be set to 0, and the rejoin flag in the command options SHALL be
 8239 copied from the rejoin parameter of the NLME-LEAVE.request primitive. After transmission of the leave command
 8240 frame, it SHALL set the *nwkExtendedPANId* attribute of the NIB to 0x0000000000000000 and issue a NLME-
 8241 LEAVE.confirm primitive to the higher layer with the DeviceAddress parameter equal to NULL. The Status parameter
 8242 SHALL be SUCCESS if the leave command frame was transmitted successfully. Otherwise, the Status parameter of
 8243 the NLME-LEAVE.confirm SHALL have the same value as the Status parameter returned by the MCPS-DATA.con-
 8244 firm primitive. Regardless of the Status parameter to the NLME-LEAVE.confirm, the device SHALL leave the net-
 8245 work employing the procedure in 3.6.1.11.4.

8246 3.6.1.11.2 Method for a Device to Remove Its Child from the Network

8247 This section describes how a device can initiate the removal from the network of one of its child devices in response
 8248 to the receipt of an NLME-LEAVE.request primitive from the next higher layer as shown in Figure 3-49.



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Figure 3-49. Procedure for a Device to Remove Its Child

8251 When the NWK layer of a Zigbee coordinator or Zigbee router, receives the NLME-LEAVE.request primitive with
 8252 the DeviceAddress parameter equal to the 64-bit IEEE address of a child device, if the relationship field of the neighbor
 8253 table entry corresponding to that child device does not have a value of 0x05 indicating that the child has not yet
 8254 authenticated, the device SHALL send a network leave command frame using the MCPS-DATA.request primitive
 8255 with the DstAddr parameter set to the 16-bit network address of that child device. The request sub-field of the com-
 8256 mand options field of the leave command frame SHALL have a value of 1, indicating a request to leave the network.
 8257 The value of the remove children sub-field of the command options field of the leave command SHALL reflect the
 8258 value of the RemoveChildren parameter of the NLME-LEAVE.request primitive, and the value of the Rejoin sub-
 8259 field of the leave command SHALL reflect the value of the Rejoin parameter of the NLME-LEAVE.request primitive.

8260 If the relationship field of the neighbor table entry corresponding to the device being removed has a value of 0x05,
 8261 indicating that it is an unauthenticated child, the device SHALL NOT send a network leave command frame.

8262 Next, the NWK layer SHALL issue the NLME-LEAVE.confirm primitive with the DeviceAddress parameter set to
 8263 the 64-bit IEEE address of the child device being removed. The Status parameter of the NLME-LEAVE.confirm
 8264 primitive SHALL have a value of SUCCESS if the leave command frame was not transmitted, *i.e.* in the case of an
 8265 unauthenticated child. Otherwise, the Status parameter of the NLME-LEAVE.confirm SHALL have the same value
 8266 as the Status parameter returned by the MCPS-DATA.confirm primitive.

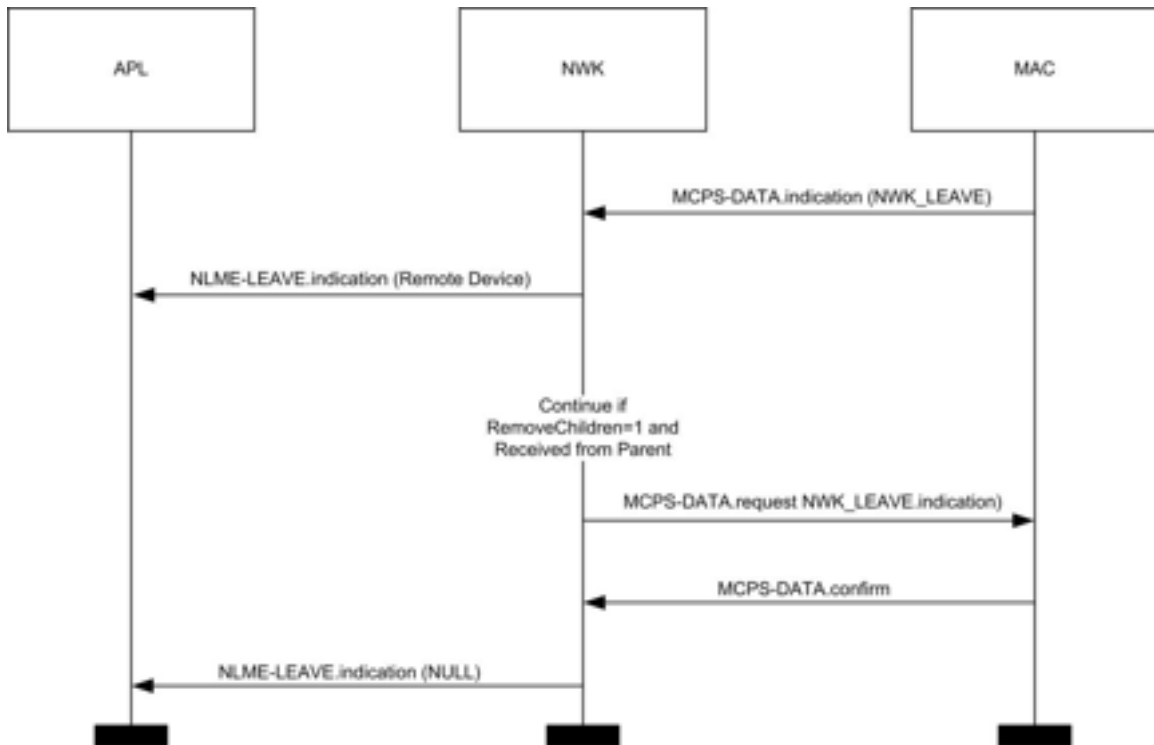
8267 After the child device has been removed, the NWK layer of the parent SHOULD modify its neighbor table, and any
 8268 other internal data structures that refer to the child device, to indicate that the device is no longer on the network. It is
 8269 an error for the next higher layer to address and transmit frames to a child device after that device has been removed.

8270 If an unauthenticated child device is removed from the network before it is authenticated, then the address formerly
 8271 in use by the device being asked to leave MAY be assigned to another device that joins subsequently.

8272 Zigbee end devices have no child devices to remove and SHOULD NOT receive NLME-LEAVE.request primitives
 8273 with non-NULL DeviceAddress parameters.

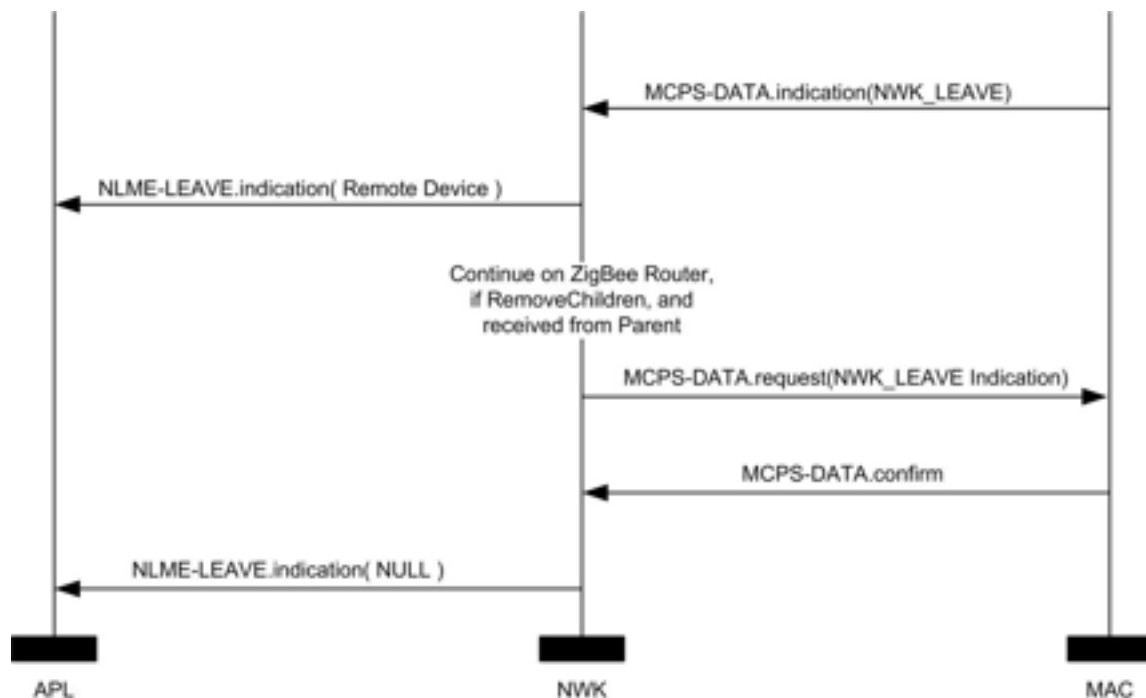
8274 3.6.1.11.3 Upon Receipt of the Leave Command Frame

8275 Upon receipt of the leave command frame by the NWK layer via the MCPS-DATA.indication primitive, as shown in
 8276 Figure 3-50, the device SHALL check the value of the request sub-field of the command options field of the command
 8277 frame. If the request sub-field has a value of 0, then the NWK layer SHALL issue the NLME-LEAVE.indication
 8278 primitive to the next higher layer with the device address parameter equal to the value in the source IEEE Address
 8279 sub-field of the leave command frame and the rejoin parameter equal to the value in the Rejoin sub-field of the leave
 8280 command frame. The device SHOULD also modify its neighbor table, to indicate that the leaving device is no longer
 8281 a neighbor, regardless of the value of the rejoin flag in the primitive.



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Figure 3-50. On Receipt of a Leave Command



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Figure 3-51. On Receipt of a Leave Command by a ZED

8287 If, on receipt by the NWK layer of a Zigbee router of a leave command frame as described above, the SrcAddr pa-
 8288 rameter of the MCPS-DATA.indication that delivered the command frame is the 16-bit network address of the parent
 8289 of the recipient, and the value of the remove children sub-field of the command options field is found to have a value
 8290 of 1, then the recipient SHALL send a leave command frame using the MCPS-DATA.request primitive with the

8291 DstAddr parameter set to 0xffff indicating a MAC broadcast. The request sub-field of the command options field of
8292 the leave command frame shall be set to 0.

8293 The value of the remove children sub-field and the rejoin sub-field of the command options field of the outgoing leave
8294 command SHALL reflect the value of the same field for the incoming leave command frame. After transmission of
8295 the leave command frame, it SHALL set the *nwkExtendedPANId* attribute of the NIB to 0x0000000000000000 and it
8296 SHALL issue a NLME-LEAVE.indication primitive to the higher layer with DeviceAddress parameter equal to
8297 NULL.

8298 If the request sub-field has a value of 1 then the procedure in section 3.6.1.11.3.1 shall be executed.

8299 3.6.1.11.3.1 **Validation of the Leave Request**

8300 The following procedure applies to processing of the NWK Leave (request) command frame and the ZDO
8301 Mgmt_leave_req.

8302 1. If the device is a Zigbee Coordinator or if the message was sent to a broadcast address, the message SHALL be
8303 dropped and no further processing SHALL be done.

8304 2. If the device is Zigbee Router, the following SHALL be performed:

8305 a. The device SHALL NOT consider the Relationship field within the *nwkNeighborTable* entry corresponding
8306 to the sending device.

8307 b. If the *nwkLeaveRequestAllowed* in the NIB is TRUE, the device SHALL perform the procedure described
8308 in 3.6.1.11.1. No further processing SHALL be done.

8309 c. Otherwise if *nwkLeaveRequestAllowed* in the NIB is FALSE, no further processing SHALL be done.

8310 3. If the device is a Zigbee End Device, the following SHALL be performed:

8311 a. Examine the *nwkNeighborTable* for an entry where the Network Address is the same as the SrcAddr param-
8312 eter of the MCPS-DATA.indication primitive that delivered the NWK command.

8313 i. If no entry is found, then no further processing SHALL be done.

8314 b. If the corresponding entry in the *nwkNeighborTable* has a Relationship value that is not 0x00 (neighbor is
8315 the parent), then no further processing SHALL be done.

8316 c. The sending device is the parent of the receiving device, the receiving device shall perform the procedure
8317 described in 3.6.1.11.1, with the following exception.: it SHOULD not send a leave command frame using
8318 the MCPS-DATA.request primitive, and otherwise continue as if it had sent the leave command frame suc-
8319 cessfully.⁴ No further processing SHALL be done.

8320 4. No further processing SHALL be done.

8321 If a Zigbee end device receives a leave command frame as described above and the SrcAddr parameter of the MCPS-
8322 DATA.indication that delivered the command frame is the 16-bit network address of the parent of the recipient, it
8323 SHALL set the *nwkExtendedPANId* attribute of the NIB to 0x0000000000000000 and SHALL issue a NLME-
8324 LEAVE.indication primitive to the higher layer with DeviceAddress parameter equal to NULL.

8325 The NWK layer MAY employ retry techniques, as described in section 3.2.1.1.3 to enhance the reliability of the leave
8326 procedure but, beyond this note, these mechanisms are outside the scope of this specification.

⁴ CCB 3322

8327 3.6.1.11.4 Local Process for Leaving the Network

8328 Upon receipt of a NLME-LEAVE.request primitive or the NWK layer leave command, the following SHALL be
8329 employed.

8330 1. If the Rejoin value is set to 1 in either the NLME-LEAVE.request primitive or the NWK Leave command, it
8331 SHALL do the following.

8332 a. The device MAY execute the rejoin procedure by issuing an NLME-JOIN.request with the RejoinNetwork
8333 set to 1.

8334 b. No further processing SHALL be done.

8335 2. If the Rejoin value is set to 0, it SHALL clear the following values in the NIB:

8336 a. nwkNeighborTable

8337 b. nwkRouteTable

8338 c. nwkManagerAddr

8339 d. nwkUpdateId

8340 e. nwkNetworkAddress

8341 f. nwkExtendedPANID

8342 g. nwkRouteRecordTable

8343 h. nwkIsConcentrator

8344 i. nwkConcentratorRadius

8345 j. nwkSecurityMaterialSet

8346 k. nwkActiveKeySeqNumber

8347 l. nwkAddressMap

8348 m. nwkPANID

8349 n. nwkTxTotal

8350 o. nwkParentInformation

8351 3. The device is no longer operating on the network.

8352 3.6.1.12 Resetting a Device

8353 The NWK layer of a device shall be reset immediately following initial power-up, before a join attempt to a new
8354 network and after a leave attempt where the device is not intending to rejoin the network. This process SHOULD
8355 NOT be initiated at any other time. A reset is initiated by issuing the NLME-RESET.request primitive to the NLME
8356 and the status of the attempt is communicated back via the NLME-RESET.confirm primitive. The reset process
8357 SHALL clear the routing table entries of the device.

8358 Some devices MAY store NWK layer quantities in non-volatile memory and restore them after a reset. The WarmStart
8359 parameter of the NLME-RESET.request MAY also be used for this purpose. A device SHALL use the same address
8360 on rejoining a network and therefore SHOULD NOT discard its address on reset unless it does not intend to rejoin the
8361 same network.

8362 3.6.1.13 Managing a PANId Conflict

8363 Since the 16-bit PANID is not a unique number there is a possibility of a PANId conflict. The next section explains
8364 how — through the use of the Network Report and Network Update command frames — the PANId of a network can
8365 be updated.

8366 3.6.1.13.1 Detecting a PANID Conflict

8367 Any device that is operational on a network and receives an MLME-BEACON-NOTIFY.indication in which the PAN
8368 identifier of the beacon frame matches its own PAN identifier but the EPID value contained in the beacon payload is
8369 either not present or not equal to *nwkExtendedPANID*, SHALL be considered to have detected a PAN Identifier con-
8370 flict.

8371 The device SHALL increment the *nwkPanIdConflictsCount* NIB value. If it is already at the maximum value for the
8372 NIB value, then it SHALL NOT be incremented and stay at the maximum value.

8373 A node that has detected a PAN identifier conflict SHALL NOT send an unsolicited Network Report Command frame
8374 of type PAN Identifier Conflict. Versions of the specification prior to Revision 23 required devices to report conflicts
8375 unsolicited to the device identified by the *nwkManagerAddr* attribute in their NIB. Therefore, it is possible that older
8376 devices will still generate these messages.

8377 3.6.1.13.2 Upon Receipt of a Network Report Command Frame

8378 The device identified by the 16-bit network address contained within the *nwkManagerAddr* attribute of the NIB
8379 SHALL be the recipient of network report command frames of type PAN identifier conflict.

8380 The Network Manager SHALL notify the local application by generating an NLME-NETWORK-STATUS.indication
8381 with a status of 0x14, PAN ID Conflict Report, and the NetworkAddr equal to the device that sent the report.

8382 The Network Manager SHOULD NOT automatically change the PAN ID of the network due to unsolicited PAN ID
8383 conflicts reported by devices in the network. PAN ID conflicts may be triggered by a malicious device that is not part
8384 of the network. A Network Manager SHOULD utilize other metrics from the higher layer to determine whether a PAN
8385 ID conflict is causing application connectivity problems. Changing a network's PAN ID SHOULD be done rarely as
8386 it will be very disruptive to network communications, especially to sleepy end devices that will not receive the notifi-
8387 cation of the PAN ID change.

8388 If the vendor specific configurable mechanism is set to disallow automatic resolution of PAN ID conflict, the desig-
8389 nated network layer function manager SHALL NOT unconditionally select a new 16-bit identifier for the network and
8390 SHALL NOT change to the new PAN ID immediately. The decision to change PAN IDs in this case should be based
8391 on other factors outside the scope of the stack behavior and related to the application performance.

8392 If the designated network manager decides to resolve an actual PAN identifier conflict, it SHALL proceed as follows.⁵
8393 The new PAN identifier is chosen at random, but a check is performed to ensure that the chosen PAN identifier is not
8394 already in use in the local neighborhood and also not contained within the Report Information field of the network
8395 report command frame.

8396 3.6.1.13.3 Changing the PAN ID of the Network

8397 If the higher layer has determined that a PAN ID change is warranted, it MAY stage the PAN ID change by sending
8398 unicast ZDO Security_Set_Configuration_req to all the devices and notify the local Network Manager by setting
8399 the *nwkNextPanId* value of the NIB. Regardless of whether the next PAN ID has been staged or not, this procedure
8400 SHALL be followed:

- 8401 1. If the local *nwkNextPanId* NIB value is not 0xFFFF then network manager SHALL use the value of *nwkNext-*
8402 *PanId*, otherwise it SHALL choose a random PAN ID that is not in use.
- 8403 2. Once a new PAN identifier has been selected, the designated network layer function manager SHALL first in-
8404 crement the NIB attribute *nwkUpdateId* (wrapping around to 0 if necessary) and then SHALL construct a net-
8405 work update command frame of type PAN identifier update. The update information field shall be set to the

⁵ CCB 2713

- 8406 value of the new PAN identifier. The network update command frame shall be sent to all devices broadcast ad-
8407 dress (0xFFFF).
- 8408 3. After it sends out this command frame, the designated network layer function manager SHALL start a timer
8409 with a value equal to *nwkNetworkBroadcastDeliveryTime* OctetDurations. When the timer expires, the Zigbee
8410 coordinator SHALL change its current PAN ID to the newly selected one by reissuing the MLME-START.re-
8411 quest with the new PANID.
- 8412 4. Upon transmission of the Network Update command frame the designated network layer function manager
8413 SHALL create a NLME-NWK-STATUS.indication primitive with the NetworkAddr parameter set to 0 and the
8414 Status parameter set to PAN Identifier Update.

8415 3.6.1.13.4 Upon Receipt of a Network Update Command Frame

8416 On receipt of a network update command frame of type PAN identifier update the device SHALL first determine
8417 whether a PAN ID update is allowed. This provides a mechanism for the network manager to stage the PAN ID update
8418 and provide security for the update.

8419 The receiver of the Network Update Command SHALL check the *nwkNextPanId* value of the NIB to see if it has a
8420 value of 0xFFFF or a value corresponding to the PAN Identifier Update field in the Network Update command. If
8421 neither is TRUE, the Network Update command SHALL be dropped and no further processing SHALL be done.

8422 It SHALL then start a timer with a value equal to *nwkNetworkBroadcastDeliveryTime* OctetDurations. When the timer
8423 expires, the device SHALL change its current PAN Identifier to the value contained within the Update Information
8424 field.

8425 Upon transmission of the network update command frame the device SHALL create a NLME-NWK-STATUS.indi-
8426 cation primitive with the NetworkAddr parameter set to 0 and the Status parameter set to PAN Identifier Update.

8427 Upon receipt of the Network Update command from the device identified by the *nwkManagerAddr* attribute of the
8428 NIB, the value contained in the update id field SHALL be stored in *nwkUpdateId* attribute in the NIB. The beacon
8429 payload SHALL also be updated.

8430 3.6.1.14 Security for Changes to the PAN ID or Channel

8431 In earlier versions of this specification a change to the PAN ID or channel change can be made with a single broadcast
8432 command. The mechanism for changing the PAN ID was to broadcast a NWK Update Command, while the mecha-
8433 nism for changing the channel is to broadcast a ZDO Mgmt_NWK_Update_Req with the new channel and the Scan-
8434 Duration set to 0xFE.

8435 Since these commands are broadcast there is only NWK layer security and thus any device on the network can make
8436 this change. A change to the channel or PAN ID is extremely disruptive and as such additional protections have been
8437 added in Revision 23 of this specification to allow the Trust Center, acting as the Network Manager, to restrict channel
8438 and PAN ID changes.

8439 The effect of a single broadcast mechanism to change channels or the PAN ID is restricted by a new security mecha-
8440 nism that the Trust Center MAY make use of. All devices implementing Revision 23 SHALL support the new secure
8441 mechanism. Devices implementing earlier versions of the specification will only support the broadcast mecha-
8442 nism. The new mechanism complements the old mechanism allowing channel and PAN ID changes to work for a
8443 network of devices with mixed versions.

8444 The mechanism for securely changing PAN IDs and channels is similar to the process of changing the network key.
8445 If the Trust Center chooses to use the mechanism it will pre-announce the change via a unicast to each device. It will
8446 do this with a ZDO Security_Set_Configuration_req containing the Next PAN ID Global TLV and or the Next Chan-
8447 nel Change Global TLV. Devices will set their local NIB with *nwkNextPanId* and or *nwkNextChannelChange* respec-
8448 tively. These messages will be APS encrypted with each device specific link key.

8449 The Trust Center SHOULD send the change to all routers in the network and MAY optionally send to end devices,
8450 but their long sleep cycles can make this too difficult to do so.

8451 Revision 23 devices will report their successful acceptance of the next PAN ID or channel via a SUCCESS in the
8452 ZDO Security_Set_Configuration_rsp. Devices implementing early specifications will respond with a status of UN-
8453 SUPPORTED.

8454 When the Trust Center, acting as Network Manager, wants to change the PAN ID or Channel it will broadcast the
8455 corresponding NWK Update Command or ZDO Mgmt_NWK_Update_Req. It can do this with networks of devices
8456 that implement Revision 23 or later, or devices that implement the earlier specifications.

8457 Those devices that do not support Revision 23 or later will receive the broadcast and process it as specified in previous
8458 specifications. Those devices take the broadcast both as the notification of what channel or PAN ID to change to, and
8459 the command to switch now.

8460 Devices supporting Revision 23 or later will:

- 8461 a. If nwkNextPanId is 0xFFFF they will change their PAN ID and SHALL NOT update nwkNextPanId.
- 8462 b. If nwkNextPanId is not 0xFFFF (i.e. has been reset by a Security_set_Configuration_req) and this matches the
8463 broadcast NWK Update Command the device SHALL update both the active PAN ID and the nwkNextPanId
8464 NIB value to be the new matching value.
- 8465 c. Otherwise they shall ignore the broadcast NWK Update Command.

8466 It is important to note that after the channel or PAN ID change, the nwkNextPanId and nwkNextChannelChange NIB
8467 values SHALL NOT be modified. They will remain at the same value as their current value. If value is a valid PAN
8468 ID or channel this will protect the network from further PAN ID or channel changes via broadcast messages. A Trust
8469 Center can make additional changes to the PAN ID or channel change via the same unicast mechanism as described
8470 above.

8471 A Trust Center that wishes to make use of this functionality after forming the network SHOULD send a ZDO Secu-
8472 rity_Set_Configuration_req to each newly joined device with the current PAN and channel. This will replace the
8473 default value of 0xFFFF for nwkNextPanId and prevent any changes to the PAN or channel before the first time the
8474 Trust Center decides to make any change.

8475 Lastly, it is always possible a device could have missed a legitimate change to the PAN ID or channel. For example,
8476 it could have been switched off when that occurred. As a result if the application initiates a rejoin via the NLME-
8477 JOIN.req primitive, the device SHALL accept a change to the PAN ID or channel upon successfully finding and
8478 rejoining the network and correctly passing security checks (e.g. an APS Transport Key encrypted with its current link
8479 key). If a device has rejoined and the channel or PAN ID has changed it does the following:

- 8480 1. If the nwkNextPanId is not 0xFFFF, it SHALL be updated to match the current PAN ID after rejoining.
- 8481 2. If the nwkNextChannelChange is not 0, it SHALL be updated to match the current channel after rejoining

8482 **3.6.1.15 Polling Considerations for Sleepy End Devices**

8483 During attaching RxOnWhenIdle=FALSE devices SHALL continually poll at a rate of 250 ms or less. This includes
8484 MAC Association, NWK Rejoin, NWK Commissioning, and any security commands that are being transmitted as
8485 part of authentication. The higher layer will time out the attach operation upon failure to receive the network key or
8486 failure to receive the next message in the negotiation.

8487 **3.6.2 Transmission and Reception**

8488 **3.6.2.1 Transmission**

8489 Only those devices that are currently associated SHALL send data frames from the NWK layer. If a device that is not
8490 associated receives a request to transmit a frame, it SHALL discard the frame and notify the higher layer of the error
8491 by issuing an NLDE-DATA.confirm primitive with a status of INV_REQUESTTYPE.

8492 All frames handled by or generated within the NWK layer SHALL be constructed according to the general frame
8493 format specified in Figure 3-4 and transmitted using the MAC sub-layer data service.

8494 For data frames originating at a higher layer, the value of the source address field MAY be supplied using the Source
8495 address parameter of the NLDE-DATA.request primitive. If a value is not supplied or when the NWK layer needs to
8496 construct a new NWK layer command frame, then the source address field SHALL be set to the value of the *mac-*
8497 *ShortAddress* attribute in the MAC PIB. Support of this parameter in the NLDE-DATA.request primitive is required
8498 if GP feature is to be supported by the implementation.

8499 In addition to source address and destination address fields, all NWK layer transmissions SHALL include a radius
8500 field and a sequence number field. For data frames originating at a higher layer, the value of the radius field MAY be
8501 supplied using the Radius parameter of the NLDE-DATA.request primitive. If a value is not supplied, then the radius
8502 field of the NWK header SHALL be set to twice the value of the *nwkMaxDepth* attribute of the NIB (see Constants
8503 and NIB Attributes). For data frames originating at a higher layer, the value of the sequence number field MAY be
8504 supplied using the Sequence number parameter of the NLDE-DATA.request primitive. If a value is not supplied or
8505 when the NWK layer needs to construct a new NWK layer command frame, then the NWK layer SHALL supply the
8506 value. Support of this parameter in the NLDE-DATA.request primitive is required if GP feature is to be supported by
8507 the implementation. The NWK layer on every device SHALL maintain a sequence number that is initialized with a
8508 random value. The sequence number SHALL be incremented by 1, each time the NWK layer supplies a new sequence
8509 number value for a NWK frame. The value of the sequence number SHALL be inserted into the sequence number
8510 field of the frame's NWK header.

8511 Once an NPDU is complete, if security is required for the frame, it SHALL be passed to the security service provider
8512 for subsequent processing according to the specified security suite (see section 4.2.2). Security processing is not re-
8513 quired if the SecurityEnable parameter of the NLDE-DATA.request is equal to FALSE. If the NWK security level as
8514 specified in *nwkSecurityLevel* is equal to 0, then the security sub-field of the frame control field SHALL always be
8515 set to 0.

8516 On successful completion of the secure processing, the security suite returns the frame to the NWK layer for trans-
8517 mission. The processed frame will have the correct auxiliary header attached. If security processing of the frame fails
8518 and the frame was a data frame, the frame will inform the higher layer of the NLDE-DATA.confirm primitive's status.
8519 If security processing of the frame fails and the frame is a network command frame, it is discarded and no further
8520 processing SHALL be done.

8521 When the frame is constructed and ready for transmission, it SHALL be passed to the MAC data service. An NPDU
8522 transmission is initiated by issuing the MCPS-DATA.request primitive to the MAC sub-layer. The MCPS-DATA.con-
8523 firm primitive then returns the results of the transmission.

8524 **3.6.2.2 Reception and Rejection**

8525 In order to receive data, a device SHALL enable its receiver. The next higher layer MAY initiate reception using the
8526 NLME-SYNC.request primitive. On a beacon-enabled network, receipt of this primitive by the NWK layer SHALL
8527 cause a device to synchronize with its parent's next beacon and, optionally, to track future beacons. The NWK layer
8528 SHALL accomplish this by issuing an MLME-SYNC.request to the MAC sub-layer. On a non-beacon-enabled net-
8529 work, the NLME-SYNC.request SHALL cause the NWK layer of a device with *macRxOnWhenIdle* set to FALSE to
8530 poll the device's parent using the MLME-POLL.request primitive.

8531 On a non-beacon-enabled network, the NWK layer on a Zigbee coordinator or Zigbee router SHALL ensure, to the
8532 maximum extent feasible, that the receiver is enabled whenever the device is not transmitting. On a beacon-enabled
8533 network, the NWK layer SHOULD ensure that the receiver is enabled when the device is not transmitting during the
8534 active period of its own superframe and of its parent's superframe. The NWK layer MAY use the *macRxOnWhenIdle*
8535 attribute of the MAC PIB for this purpose.

8536 Once the receiver is enabled, the NWK layer will begin to receive frames via the MAC data service. On receipt of
8537 each frame, the radius field of the NWK header SHALL be decremented by 1. If, as a result of being decremented,
8538 this value falls to 0, the frame SHALL NOT, under any circumstances, be retransmitted. It MAY, however, be passed
8539 to the next higher layer or otherwise processed by the NWK layer as outlined elsewhere in this specification.

8540 The NWK layer SHALL accept non-incremental NWK-level values in the Sequence number field of the Zigbee Net-
8541 work header for consecutive packets with the same value of the Source address field of the Zigbee Network header.

8542 On receipt of a frame with the End Device Initiator sub-field of the frame control set to 1, the following processing
8543 SHALL take place.

- 8544 1. If the receiving device is an end device the message SHALL be dropped and no further processing SHALL be
8545 done.
- 8546 2. The receiving device SHALL search the neighbor table for an entry where the value of the Network Address
8547 matches the value of the Source Address field of the message, and the device type is 0x02 (end device).
- 8548 a. If an entry is found continue processing the frame normally.
- 8549 b. If no entry is found then the message SHALL be dropped and no further processing SHALL be done.
- 8550 i. The routing device SHALL issue either a Mgmt_Leave_req or NWK Leave command to the sender with
8551 the Rejoin parameter set to 1 and the RemoveChildren parameter set to 0. This message SHALL be sent
8552 via the MCPS-DATA.request with the IndirectTx parameter set to FALSE.
- 8553 1. Additionally, the receiving device may submit a second copy of the message to the MAC using
8554 MCPS-DATA.request with the IndirectTx set to TRUE in the case the device is a sleepy end device.⁶
- 8555 The following data frames SHALL be passed to the next higher layer using the NLDE-DATA.indication primitive:
8556 Frames with a broadcast address that matches a broadcast group of which the device is a member.
- 8557 Unicast data frames and source-addressed data frames for which the destination address matches the device's network
8558 address.
- 8559 If the receiving device is a Zigbee coordinator or an operating Zigbee router, that is, a router that has already invoked
8560 the NLME-START-ROUTER.request primitive, it SHALL process data frames as follows:
- 8561 Messages SHALL be verified to determine if an end device has switched router parents. This is outlined in section
8562 3.6.2.3.
- 8563 Broadcast data frames SHALL be relayed according to the procedures outlined in section 3.6.5.
- 8564 Unicast data frames with a destination address that does not match the device's network address SHALL be relayed
8565 according to the procedures outlined in section . (Under all other circumstances, unicast data frames SHALL be dis-
8566 carded immediately.)
- 8567 Source-routed data frames with a destination address that does not match the device's network address SHALL be
8568 relayed according to the procedures outlined in section 3.6.4.3.2.
- 8569 The procedure for handling route request command frames is outlined in section 3.6.4.5.2.
- 8570 The procedure for handling route reply command frames for which the destination address matches the device's net-
8571 work address is outlined in section 3.6.4.5.2.
- 8572 Route reply command frames for which the destination address does not match the device's network address SHALL
8573 be discarded immediately. Network status command frames SHALL be handled in the same manner as data frames.
- 8574 The NWK layer SHALL indicate the receipt of a data frame to the next higher layer using the NLDE-DATA.indication
8575 primitive.
- 8576 On receipt of a frame, the NLDE SHALL check the value of the security sub-field of the frame control field. If this
8577 value is non-zero, the NLDE SHALL pass the frame to the security service provider (see section 4.2.2) for subsequent
8578 processing according to the specified security suite. If the security sub-field is set to 0, the *nwkSecurityLevel* attribute
8579 in the NIB is non-zero, the device is currently joined and authenticated, and the incoming frame is a NWK data frame,
8580 the NLDE SHALL discard the frame. If the security sub-field is set to 0, the *nwkSecurityLevel* attribute in the NIB is
8581 non-zero, and the incoming frame is a NWK command frame and the command ID is 0x06 (rejoin request), the NLDE

⁶ CCB 2255

8582 SHALL only accept the frame if it is destined to itself, that is, if it does not need to be forwarded to another device.
8583 Otherwise the frame SHALL be dropped and no further processing SHALL be done.

8584 If the device is not joined and authenticated, or undergoing the Trust Center Rejoin process, it SHALL perform the
8585 following checks. If the frame is a NWK command where the security sub-field of the frame is set to zero then it
8586 SHALL only accept the frame if the command ID is 0x07 (rejoin response). If the frame is a NWK data frame where
8587 the security sub-field is set to 0, the device SHALL further examine the APDU and determine if it contains an APS
8588 command ID of 0x05 (Transport Key). If the message does not contain an APS Command of 0x05 (Transport Key),
8589 then the message SHALL be dropped and no further processing SHALL be done. All other messages where the secu-
8590 rity sub-field is set to 0 SHALL be dropped and no further processing SHALL be done.

8591 **3.6.2.3 Examination for End Devices that have changed Router Parents**

8592 A router and coordinator upon receipt of a NWK command or data message SHALL perform the following:

- 8593 1. Search the neighbor table for an entry where the Network Address matches the value of the NWK Source field in
8594 the message. If no match is found then go to step 6.
- 8595 2. Examine if the Device Type of the entry corresponds to a Zigbee End Device. If it does not, go to step 6.
- 8596 3. Examine if the MAC source field of the message matches the NWK source field. If it does go to step 6.
- 8597 4. If the message is a broadcast, examine if an entry exists in nwkBroadcastTransactionTable, if it does then go to
8598 step 6. If the message is a unicast, continue processing.
- 8599 5. At this point this could mean the message has been relayed by another device on the network acting as the end
8600 device's router parent; set the Relationship field of the corresponding neighbor table entry to 0x07, neighbor is a
8601 lost child..
- 8602 6. Continue to process the message.

8603 Routers and Coordinators need to allow for sufficient time (as an example 1 second) for the APS layer to process the
8604 message and examine whether the stack has an address conflict, such as is done for ZDO Device_annce. Once the
8605 APS layer has processed the message the NLME can delete all end devices from the neighbor table that changed to a
8606 different parent as indicated in the neighbor table when the Relationship field has a value of 0x07 (neighbor is a lost
8607 child). The NLME SHALL evaluate the neighbor table after the APS layer has processed the message to ascertain if
8608 the Relationship field has a value of 0x07, neighbor is a lost child. If the field is still 0x07 then the neighbor table
8609 entry SHALL be deleted. If the relationship indicates 0x08, neighbor is a child with address conflict, then an address
8610 conflict has been detected by the higher layer and SHALL follow the procedure in section 3.6.1.10.3 to resolve the
8611 address conflict.

8612 **3.6.3 Link Quality Indicator in Neighbor Table Entries**

8613 For all intents and purposes, when link quality is of relevance, e.g. for routing decisions, election of parents, etc. the
8614 network layer SHALL apply the Link Quality Assessment (LQA) metric. Filtering SHOULD be applied to raw LQA
8615 values (specified in section D.13) for links, which correspond to neighbors maintained in the neighbor table in order
8616 to mitigate the effect of singular outliers in raw LQA assessments, e.g., due to short, transitional radio interference.
8617 Such filtering is currently only recommended for neighbor table entries due to associated per-link memory require-
8618 ments.

8619 The final LQA value, based on a series of the n most recent raw LQA measurements, SHOULD be determined as
8620 follows:

$$8621 \quad LQA = \text{med}\{LQA_{raw,1}, LQA_{raw,2}, \dots, LQA_{raw,n}\}$$

8622 where $LQA_{raw,i}, i = 1 \dots n$, are the n most recent raw LQA samples; and $\text{med}(A)$ is the median value of set A , i.e. the
8623 "middle" value (as opposed to the average value). Only valid LQA measurements SHALL be taken into account,
8624 which might be less than n . For instance, shortly after a parent router reboots the LQA values for its child devices are
8625 undefined until it receives the first data frames from these devices. Similarly, when a new neighbor table entry is
8626 created for a sibling router, there is only one raw LQA measurement available, which is also the resulting final LQA
8627 value.

8628 The number of the most recent samples taken into consideration SHOULD be $n = 3$, which eliminates single outliers,
8629 maintains a fast response to real changes in link quality, and keeps memory requirements to a minimum.

8630 3.6.4 Routing

8631 Zigbee coordinators and routers SHALL provide the following functionality:

- 8632 • Relay data frames on behalf of higher layers.
- 8633 • Relay data frames on behalf of other Zigbee routers.
- 8634 • Participate in route discovery in order to establish routes for subsequent data frames.
- 8635 • Participate in route discovery on behalf of end devices.
- 8636 • Participate in route repair.
- 8637 • Employ the Zigbee path cost metric as specified in route discovery.

8638 Zigbee coordinators or routers MAY provide the following functionality:

- 8639 • Maintain routing tables in order to remember best available routes.
- 8640 • Initiate route discovery on behalf of higher layers.
- 8641 • Initiate route discovery on behalf of other Zigbee routers.
- 8642 • Initiate route repair.
- 8643 • Conduct neighbor routing.

8644 3.6.4.1 Routing Cost

8645 The Zigbee routing algorithm uses a path cost metric for route comparison during route discovery and maintenance.
8646 In order to compute this metric, a cost, known as the link cost, is associated with each link in the path and link cost
8647 values are summed to produce the cost for the path as a whole.

8648 More formally, if we define a path P of length L as an ordered set of devices and a link, as a sub-path of length 2, then
8649 the path cost

$$C\{P\} = \min \left\{ \begin{array}{l} \sum_{i=1}^{L-1} \alpha_i \cdot C\{[D_i, D_{i+1}]\} \\ 0xff \end{array} \right.$$

8650

8651 where each of the values is referred to as a link cost and α is the InterfaceLinkCostScalar for the link. The link cost
8652 for a link is mapped to the LQA value as described in Table 3-72.

8653

Table 3-72. Link Cost to LQA Mapping

Link Cost C(i)	LQA Range
0	No LQA
1	$192 < LQA \leq 255$
2	$128 < LQA \leq 192$

Link Cost C(i)	LQA Range
3	$96 < LQA \leq 128$
4	$64 < LQA \leq 96$
5	$32 < LQA \leq 64$
6	$16 < LQA \leq 32$
7	$LQA \leq 16$

8654 3.6.4.2 Routing Tables

8655 A Zigbee router or Zigbee coordinator MAY maintain a routing table. The information that SHALL be stored in a
 8656 Zigbee routing table entry is shown in Table 3-73. The aging and retirement of routing table entries in order to reclaim
 8657 table space from entries that are no longer in use is a recommended practice; it is, however, out of scope of this
 8658 specification.

8659

Table 3-73. Routing Table Entry

Field Name	Size	Description
Destination address	2 octets	The 16-bit network address or Group ID of this route. If the destination device is a Zigbee router, Zigbee coordinator, or an end device, this field SHALL contain the actual 16-bit address of that device.
Status	3 bits	The status of the route. See Table 3-74 for values.
No route cache	1 bit	A flag indicating that the destination indicated by this address does not store source routes.
Many-to-one	1 bit	A flag indicating that the destination is a concentrator that issued a many-to-one route request.
Route record required	1 bit	A flag indicating that a route record command frame SHOULD be sent to the destination prior to the next data packet.
Expired	1-bit	When set to TRUE, this flag indicates that an expected regular many-to-one route request was missed, i.e. the last many-to-one route request for this destination was received more than $nwkConcentratorDiscoveryTime + nwkRouteDiscoveryTime$ seconds ago. When the entry is created, this field is initially set to FALSE. This flag only has meaning for entries, which have the many-to-one field set to TRUE
Sequence Number Valid	1 bit	A flag indicating that the Sequence Number is valid.

Field Name	Size	Description
Next-hop address	2 octets	The 16-bit network address of the next hop on the way to the destination.
Sequence Number	2 octets	The 16-bit sequence number associated with this entry, obtained from the last route message that successfully updated this entry and conveyed a sequence number. Notice that routers prior to R23 did neither maintain nor convey a sequence number. The value stored in this field is only valid if the Sequence Number Valid flag is set.
TotalUsageCount	4 octets	A 32-bit saturating counter, which is incremented whenever this routing table entry is used to forward a data packet towards its destination.
RecentActivity	1 octet	An 8-bit saturating counter, which is pre-loaded with nwkRouterAge-Limit when the routing table entry is created; incremented whenever this routing table entry is used to forward a data packet towards its destination; and decremented unconditionally once every nwkLinkStatusPeriod. A value of 0 indicates no packets have recently been forwarded along this route.

8660 Table 3-74 enumerates the values for the route status field.

8661 **Table 3-74. Route Status Values**

Numeric Value	Status
0x0	ACTIVE
0x1	DISCOVERY_UNDERWAY
0x2	DISCOVERY_FAILED
0x3	INACTIVE
0x4 – 0x7	Reserved

8662 This section describes the routing algorithm. The term “routing table capacity” is used to describe a situation in which
 8663 a device has the ability to use its routing table to establish a route to a particular destination device. A device is said
 8664 to have routing table capacity if:

- 8665 • It is a Zigbee coordinator or Zigbee router.
- 8666 • It maintains a routing table.
- 8667 • It has a free routing table entry or it already has a routing table entry corresponding to the destination.

8668 If a Zigbee router or Zigbee coordinator maintains a routing table, it SHALL also maintain a route discovery table
 8669 containing the information shown in Table 3-75. Routing table entries are long-lived, while route discovery table
 8670 entries last only as long as the duration of a single route discovery operation and MAY be reused.

8671

Table 3-75. Route Discovery Table Entry

Field Name	Size (octets)	Description
Route request ID	1	A sequence number for a route request command frame that is incremented each time a device initiates a route request. Notice that this 8-bit identifier is distinct from the 16-bit Routing Sequence Number. The former is used to discern route requests originating in a particular router; the latter is used to identify stale routing information.
Source address	2	The 16-bit network address of the route request's initiator.
Sender address	2	The 16-bit network address of the device that has sent the most recent lowest cost route request command frame corresponding to this entry's route request identifier and source address. This field is used to determine the path that an eventual route reply command frame SHOULD follow.
Forward cost	1	The accumulated path cost from the source of the route request to the current device.
Residual cost	1	The accumulated path cost from the current device to the destination device.
Expiration time	2	A countdown timer indicating the number of milliseconds until route discovery expires. The initial value is <i>nwkcRouteDiscoveryTime</i> .

8672 A device is said to have “route discovery table capacity” if:

- 8673
- It has a free entry in its route discovery table.
- 8674
- Routing table capacity and route discovery table capacity are separate resources of the device.

8675 During route discovery, the information that a Zigbee router or Zigbee coordinator is required to maintain in order
 8676 participate in the discovery of a particular route is distributed between a routing table entry and a route discovery table
 8677 entry. Once discovery has been completed, only the routing table entry need be maintained in order for the NWK layer
 8678 to perform routing along the discovered route. Throughout this section, references are made to this relationship be-
 8679 tween a routing table entry and its “corresponding” route discovery table entry and vice versa. The maintenance of
 8680 this correspondence is up to the implementer since entries in the tables have no elements in common, but it is worth
 8681 noting in this regard that the unique “keys” that define a route discovery are the source address of the route discovery
 8682 command frame and the route request ID generated by that device and carried in the command frame payload.

8683 If a device has the capability to initiate a many-to-one route request, it MAY also maintain a route record table (see
 8684 Table 3-63).

8685 3.6.4.3 Upon Receipt of a Unicast Frame

8686 On receipt of a unicast frame from the MAC sub-layer, or an NLDE-DATA.request from the next higher layer, the
 8687 NWK layer routes it according to the following procedure.

8688 If the receiving device is a Zigbee router or Zigbee coordinator, and the destination of the frame is a Zigbee end device
 8689 and also the child of the receiving device, the frame SHALL be routed directly to the destination using the MCPS-
 8690 DATA.request primitive, as described in section . The frame SHALL also set the next hop destination address equal
 8691 to the final destination address. Otherwise, for purposes of the ensuing discussion, define the *routing address* of a
 8692 device to be its network address if it is a router or the coordinator or an end device. Define the *routing destination* of
 8693 a frame to be the routing address of the frame's NWK destination.

- 8694 A Zigbee router or Zigbee coordinator MAY check the neighbor table for an entry corresponding to the routing destination of the frame. If there is such an entry, the device MAY route the frame directly to the destination using the MCPS-DATA.request primitive as described in section 3.6.1.6.1.1.
- 8695
- 8696
- 8697 A device that has routing capacity SHALL check its routing table for an entry corresponding to the routing destination of the frame. If there is such an entry, and if the value of the route status field for that entry is ACTIVE, the device SHALL relay the frame using the MCPS-DATA.request primitive. It SHALL increment the routing table entry's TotalUsageCount and RecentActivity fields, saturating at the maximum permissible value, and also increment the next hop's RouterOutboundActivity counter, again saturating at the maximum permissible value. If the many-to-one field of the routing table entry is set to TRUE, the NWK SHALL follow the procedure outlined in section 3.6.4.5.5 to determine whether a route record command frame SHALL be sent.
- 8698
- 8699
- 8700
- 8701
- 8702
- 8703
- 8704 When relaying a unicast frame, the SrcAddrMode and DstAddrMode parameters of the MCPS-DATA.request primitive SHALL both have a value of 0x02, indicating 16-bit addressing. The SrcPANId and DstPANId parameters SHALL both have the value provided by the macPANId attribute of the MAC PIB for the relaying device. The SrcAddr parameter SHALL be set to the value of *macShortAddress* from the MAC PIB of the relaying device, and the DstAddr parameter SHALL be the value provided by the next-hop address field of the routing table entry corresponding to the routing destination. Bit *b0* of the TxOptions parameter SHOULD be set to 1, indicating acknowledged transmission.
- 8705
- 8706
- 8707
- 8708
- 8709
- 8710
- 8711 The NWK Sequence Number of a replayed packet SHALL NOT be changed by a router device relaying the packet. The router device relaying a packet SHALL leave the NWK Sequence Number of the originating device in the NWK Sequence Number field.
- 8712
- 8713
- 8714 If the device has a routing table entry corresponding to the routing destination of the frame but the value of the route status field for that entry is DISCOVERY_UNDERWAY, the device SHALL determine if it initiated the discovery by consulting its discovery table. If the device initiated the discovery, the frame SHALL be treated as though route discovery has been initiated for this frame, otherwise, the device SHALL initiate route discovery as described in section 3.6.4.5.1. The frame MAY optionally be buffered pending route discovery
- 8715
- 8716
- 8717
- 8718
- 8719 If the device does not have a routing table entry for the routing destination with a status value of ACTIVE, and it received the frame from the next higher layer, it SHALL check its source route table for an entry corresponding to the routing destination. If such an entry is found and the length is less than *nwkMaxSourceRoute*, the device SHALL transmit the frame using source routing as described in section 3.6.4.3.1. If the device does not have a routing table entry for the routing destination and it is not originating the frame using source routing, it SHALL examine the discover route sub-field of the NWK header frame control field. If the discover route sub-field has a value of 0x01, the device SHALL initiate route discovery, as described in section 3.6.4.5.1. If the discover route sub-field has a value of 0 and there is no routing table corresponding to the routing destination of the frame, the frame SHALL be discarded and the NLDE SHALL issue the NLDE-DATA.confirm primitive with a status value of ROUTE_ERROR.
- 8720
- 8721
- 8722
- 8723
- 8724
- 8725
- 8726
- 8727
- 8728 A device without routing capacity SHALL discard the frame. If the frame is the result of an NLDE-DATA.request from the NHL of the current device, the NLDE SHALL issue the NLDE-DATA.confirm primitive with a status value of ROUTE_ERROR. If the frame is being relayed on behalf of another device, the NLME SHALL issue a network status command frame destined for the device that is the source of the frame with a status of 0x04, indicating a lack of routing capacity. It SHALL also issue the NLME-NWK-STATUS.indication to the next higher layer with the NetworkAddr parameter equal to the 16-bit network address of the frame, and the Status parameter equal to 0x04, indicating a lack of routing capacity.
- 8729
- 8730
- 8731
- 8732
- 8733
- 8734
- 8735 If the destination is an end device, delivery of the frame can fail due to the *macRxOnWhenIdle* state of the child device.
- 8736 If the NWK layer on a Zigbee router or Zigbee coordinator fails to deliver a unicast frame for any reason, the router or coordinator SHALL make additional attempts by calling MCPS-DATA.request up to *nwkUnicastRetries*. Each attempt SHALL be delayed by at least *nwkUnicastRetryDelay* and SHALL be re-encrypted with the newest network frame counter. After all NWK Retries have been exhausted the device will make its best effort to report the failure. No failure SHOULD be reported as the result of a failure to deliver a NLME-NWK-STATUS. The failure reporting MAY take one of two forms. If the failed frame was being relayed as a result of a request from the next higher layer, then the NWK layer SHALL issue an NLDE-DATA.confirm with the error to the next higher layer. The value of the NetworkAddr parameter of the primitive SHALL be the intended destination of the frame. If the frame was being relayed on behalf of another device, then the relaying device SHALL send a network status command frame back to
- 8737
- 8738
- 8739
- 8740
- 8741
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- 8743
- 8744

8745 the source of the frame. The destination address field of the network status command frame SHALL be taken from
8746 the destination address field of the failed data frame.

8747 In either case, the reasons for failure that MAY be reported appear in Table 3-52.

8748 If SrcAddrMode and DstAddrMode are both equal to 0x02, i.e. the frame is a unicast addressed frame, the NWK layer
8749 SHALL increment the RouterInboundActivity of the neighbor table entry that belongs to the SrcAddr parameter of
8750 the MCPS-DATA.indication, if such an entry exists.

8751 3.6.4.3.1 Originating a Source Routed Data Frame

8752 If, on receipt of a data frame from the next higher layer, it is determined that the frame SHOULD be transmitted using
8753 source routing as described above, the source route SHALL be retrieved from the route record table.

8754 If there are no intermediate relay nodes, the frame SHALL be transmitted directly to the routing destination without
8755 source routing by using the MCPS-DATA.request primitive, with the DstAddr parameter value indicating the routing
8756 destination.

8757 If there is at least one relay node, the source route flag of the NWK header frame control field SHALL be set, and the
8758 NWK header source route subframe SHALL be present. The relay count sub-field of the source route subframe
8759 SHALL have a value equal to the number of relays in the relay list. The relay index sub-field SHALL have a value
8760 equal to 1 less than the number of relays. The relay list sub-field SHALL contain the list of relay addresses, least
8761 significant octet first. The relay closest to the destination SHALL be listed first. The relay closest to the originator
8762 SHALL be listed last.

8763 The device SHALL relay the frame using the MCPS-DATA.request primitive. The DstAddr parameter SHALL have
8764 the value of the final relay address in the relay list.

8765 3.6.4.3.2 Relaying a Source Routed Data Frame

8766 Upon receipt of a source routed data frame from the MAC sub-layer as described in section , if the relay index sub-
8767 field of the source route sub-frame has a value of 0, the device SHALL check the destination address field of the NWK
8768 header of the frame. If the destination address field of the NWK header of the frame is equal in value to the *nwkNet-*
8769 *workAddress* attribute of the NIB, then the frame SHALL be passed to the next higher layer using the NLDE-
8770 DATA.indication primitive. If the destination address field is not equal to the *nwkNetworkAddress* attribute of the
8771 NIB, and the receiving device is a Zigbee router or Zigbee coordinator, the device SHALL relay the frame directly to
8772 the NWK header destination using the MCPS-DATA.request primitive, otherwise the frame SHALL be discarded
8773 silently.

8774 If the relay index sub-field has a value other than 0, the device SHALL compare its network address with the address
8775 found at the relay index in the relay list. If the addresses do not match, the frame SHALL be discarded and no further
8776 action SHALL be taken. Otherwise, as long as the destination address is not the address of an end device where the
8777 relaying device is the parent, the device SHALL decrement the relay index sub-field by 1, and relay the frame to the
8778 address immediately prior to its own address in the relay list sub-field. If the destination address of the frame is an end
8779 device child of the relaying device, the frame SHALL be unicast using the MCPS-DATA.request primitive.

8780 When relaying a source routed data frame, the NWK layer of a device SHALL also examine the routing table entry
8781 corresponding to the source address of the frame. If the no route cache field of the routing table entry has a value of
8782 FALSE, then the route record required field of the routing table entry SHALL be set to FALSE.

8783 3.6.4.4 Link Status Messages

8784 Wireless links can be asymmetric, that is, they can work well in one direction but not the other. This can cause route
8785 replies to fail, since they travel backwards along the links discovered by the route request.

8786 For many-to-one routing and two-way route discovery, it is a requirement to discover routes that are reliable in both
8787 directions. To accomplish this, routers exchange link cost measurements with their neighbors by periodically trans-
8788 mitting link status frames as a one-hop broadcast. The reverse link cost information is then used during route discovery
8789 to ensure that discovered routes use high-quality links in both directions.

8790 3.6.4.4.1 Initiation of a Link Status Command Frame

8791 When joined to a network, a Zigbee router or coordinator SHALL periodically send a link status command every
8792 *nwkLinkStatusPeriod* seconds, as a one-hop broadcast without retries. It MAY be sent more frequently if desired.
8793 Random jitter SHOULD be added to avoid synchronization with other nodes. See section 3.4.8 for the link status
8794 command frame format.

8795 End devices do not send link status command frames.

8796 3.6.4.4.2 Upon Receipt of a Link Status Command Frame

8797 Upon receipt of a link status command frame by a Zigbee router or coordinator, the age field of the neighbor table
8798 entry, if any, corresponding to the transmitting device and corresponding interface is reset to 0. If the link status
8799 message is marked as the first fragment:

- 8800 1. The RouterAge field of this neighbor table entry is incremented, unless it had already reached the maximum
8801 permissible value for this field before, and
- 8802 2. The RouterConnectivity field is determined by subtracting the maximum of the incoming and outgoing cost fields
8803 of each entry in the link status list, which exhibits a non-zero outgoing cost, from 7 and accumulating the differ-
8804 ence, this is

$$8807 \text{RouterConnectivity} = \sum_{\forall i | C_i^{out} \neq 0} \{7 - \max(C_i^{in}, C_i^{out})\}$$

8808 where C_i^{in} is the incoming cost of the i -th element in the link status list, and C_i^{out} is the outgoing cost of the i -th
8805 element in the link status list, and
8806

- 8809 3. The RouterNeighborSetDiversity field is determined by regarding the set of neighbors advertised in the link sta-
8810 tus, which are also not neighbors of the local device, that is

$$8814 D = A \setminus B |_{C_{a \in A}^{out} \neq 0, C_{b \in B}^{out} \neq 0}$$

8815 where A is the set of addresses in the link status list with non-zero outgoing cost and B is the set of neighbors in
8811 the local neighbor table with non-zero outgoing cost, and subtracting the maximum of the incoming and outgoing
8812 cost fields of each element of this set from 7 and accumulating the difference, that is
8813

$$8827 \text{RouterNeighborSetDiversity} = \sum_{\forall d \in D} \{7 - \max(C_d^{in}, C_d^{out})\}$$

8816 If no such entry in the neighbor table existed, one SHALL be created with the RouterAge field initialized to 0, and
8817 RouterConnectivity and RouterNeighborSetDiversity calculated as above. The list of addresses covered by a frame is
8818 determined from the first and last addresses in the link status list, and the first frame and last frame bits of the command
8819 options field. If the receiver's network address is outside the range covered by the frame, the frame is discarded and
8820 processing is terminated, unless the link status list was completely empty. If the receiver's network address falls within
8821 the range covered by the frame, then the link status list is searched. If the receiver's address is found, the outgoing cost
8822 field of the neighbor table entry corresponding to the sender is set to the incoming cost value of the link status entry.
8823 If the receiver's address is not found, the outgoing cost field is set to 0. Whenever the outgoing cost field is set to 0,
8824 the link to this neighbor SHOULD be considered unidirectional or completely broken, and, as a result, all routing table
8825 entries where this neighbor appears as a next hop MAY be considered invalid and their statuses MAY be changed to
8826 INACTIVE.

8828 If the link status field was empty, and a neighbor table entry corresponding to the transmitting device did not exist,
8829 the receiver SHALL initiate a gratuitous Link Status command frame at a point in time randomly chosen within
8830 *nwkMinRouterBootstrapJitter* and *nwkMaxRouterBootstrapJitter*.

8831 End devices do not process link status command frames.

8832 3.6.4.4.3 Maintaining Neighbor Table Entries

8833 The set of current neighbors maintained at each router determines network connectivity and impacts overall network
8834 performance. Care SHALL be taken to select the optimal set of neighbors once the neighbor table has reached its

8835 implementation-specific capacity. All routers and the coordinator SHALL converge on a stable mesh backbone for
8836 the network using the distributed algorithm described here, which elevates a number of sibling routers to backbone
8837 mesh siblings.

8838 A scoring heuristic is applied to rank neighbors by their relevance for optimal network performance on a global scale
8839 – despite only having local network topology information available in the neighbor table. In order to assess the rele-
8840 vance of each candidate neighbor, a portion of the neighbor table is reserved for accepting new neighbors, for instance
8841 to allow exchange of link status command frames during an initial observation window. Below the implementation-
8842 specific size limit of the neighbor table, all candidate neighbors can be accommodated and SHOULD therefore be
8843 accepted and there is no requirement to reject new neighbors at this stage.

8844 3.6.4.4.3.1 Ranking Candidate Devices in Radio Range for Addition to or Removal from the 8845 Neighbor Table

8846 A coordinator or router that receives a link status message SHALL create or maintain a corresponding entry in the
8847 neighbor table as specified in section 3.6.1.7 if it has sufficient space available; otherwise, if its neighbor table is
8848 already at full capacity, it SHALL determine whether it MAY safely remove one of the entries in the neighbor table
8849 without disturbing network performance in order to make room for the new neighbor. It MAY remove only those
8850 neighbors, which are (i) none of the distinguished backbone mesh routers, (ii) none of the end-device child devices,
8851 and (iii) have been observed for a certain period of time.

8852 In case the local router is operating at capacity, it SHALL first determine the set of peer router devices currently in its
8853 assessment pool by including all neighbors with a relationship of ‘sibling’, where the TotalRouterAgeField is higher
8854 than *nwkcMinRouterObservationTime*. If this assessment pool is empty, i.e. all neighbors under observation have just
8855 recently been added, the link status message SHALL be dropped and no further processing SHALL be done.

8856 The local device then ranks the candidate sibling routers in its assessment pool by regarding RouterNeighborSetDi-
8857 versity, RouterConnectivity, RouterTotalAge, RouterInboundActivity, RouterOutboundActivity, LQA, TransmitFail-
8858 ure and the TotalUsageCount and RecentActivity fields of any routing table entries on record where the sibling router
8859 is listed as the next hop.

8860 $rank(n_i) = 4 * RouterNeighborSetDiversity_i + RouterConnectivity_i + 8 * RouterOutboundActivity_i + 8$
8861 $* RouterInboundActivity_i$

8862 The lowest ranking neighbor SHALL then be removed from the neighbor table, and a new neighbor table entry SHALL
8863 be created for the candidate router according to section 3.6.4.4.2.

8864 Once the TotalRouterAge of an entry for a sibling router exceeds *nwkcBackboneMeshFormationTime* it SHALL be
8865 considered for promotion to a backbone mesh sibling router applying the same ranking paradigm as above, now for
8866 the combined set of mesh backbone routers and routers in the assessment pool. The relationship field of the entries in
8867 the neighbor table, which correspond to the topmost *nwkcBackboneMeshRouterNeighbors* entries of the resulting set
8868 SHALL be set to ‘backbone mesh sibling’, whereas the remaining elements SHALL be set to ‘sibling’. This classifi-
8869 cation MAY be revised during normal operation when any of the metrics, which influence the ranking, change con-
8870 siderably.

8871 3.6.4.4.4 Aging the Neighbor Table

8872 For devices using link status messages, the age fields for routers in the neighbor table are incremented every *nwkcLink-*
8873 *StatusPeriod*. If the value exceeds *nwkcRouterAgeLimit*, the outgoing cost field of the neighbor table entry is set to 0.
8874 In other words, if a device fails to receive *nwkcRouterAgeLimit* link status messages from a router neighbor in a row,
8875 the old outgoing cost information is discarded. In this case, the neighbor entry is considered stale and MAY be reused
8876 if necessary to make room for a new neighbor. End devices do not issue link status messages and therefore SHOULD
8877 never be considered stale.

8878 3.6.4.5 Route Discovery and Advertisement

8879 Route discovery is the procedure whereby network devices cooperate to find and establish routes through the NWK.
8880 *Unicast route discovery* is always performed with regard to a particular source device and a particular destination
8881 device. *Many-to-one route advertisement, also known as many-to-one route discovery*, is performed by a source device
8882 to establish routes to itself from all Zigbee routers and Zigbee coordinator, within a given radius. A source device that

8883 initiates a many-to-one route advertisement is designated as a concentrator and referred to as such in this document.
8884 Throughout section 3.6.4.5 a *destination address* MAY be a 16-bit broadcast address or the 16-bit network address of
8885 a particular device. A route request command whose destination address is the routing address of a particular device
8886 is a *unicast route request*. A route request command whose destination address sub-field is a broadcast address (see
8887 Table 3-76) is a *many-to-one route request*.

8888 Note that on RREP new frames SHALL be created at every hop. In all other cases the packets SHALL NOT be not
8889 considered a “new” frame. A new frame SHALL be one with a new route request identifier. For RREP the sequence
8890 number is regenerated every hop. For RREC the sequence number does not change with every hop.

8891 3.6.4.5.1 Initiation of Route Discovery

8892 There are 3 cases when route discovery is initiated.

- 8893 1. An NLME-ROUTE-DISCOVERY.request primitive is received from the next higher layer AND DstAddrMode
8894 is set to 0x02.
- 8895 2. An NLDE-DATA.request is received from the next higher layer AND all of the following are TRUE
8896 a. The DstAddrMode parameter is set to 0x02 (16-bit network address)
8897 b. The DiscoverRoute parameter set to 0x01
8898 c. There is no associated routing table entry for the DstAddr parameter.
- 8899 3. Upon receipt of a frame from the MAC layer where ALL of the following are TRUE.
8900 a. The discover route sub-field in the NWK header is set to 0x01
8901 b. The value of the NWK source address of the NWK Header of the received frame is the same as a 16-bit
8902 network address of an end device child (i.e. an entry in the *nwkNeighborTable* where Device Type is to 0x2,
8903 Zigbee End Device).

8904 In all other circumstances a route discovery SHALL NOT be initiated.

8905 If the device initiating route discovery is currently operating as a concentrator, as indicated by the *nwkIsConcentrator*
8906 flag, and has not been specifically instructed by the NHLE to seek a normal ad-hoc route versus a source route, it
8907 SHOULD prefer discovery of source routes over discovery of ad-hoc routes. It still MAY perform normal ad-hoc
8908 route discovery, e.g. to avoid the per-frame source route overhead.

8909 If the device initiating route discovery has no routing table entry corresponding to the routing address of the destination
8910 device, and intends to perform a normal ad-hoc route discovery, it SHALL establish a routing table entry with status
8911 equal to DISCOVERY_UNDERWAY. If the device has an existing routing table entry corresponding to the routing
8912 address of the destination with status equal to ACTIVE, that entry SHALL be used and the status field of that entry
8913 SHALL retain its current value. If it has an existing routing table entry with a status value other than ACTIVE, that
8914 entry SHALL be used and the status of that entry SHALL be set to DISCOVERY_UNDERWAY. The device SHALL
8915 also establish the corresponding route discovery table entry if one with the same initiator and route request ID does
8916 not already exist.

8917 Each device issuing a route request command frame SHALL maintain a counter used to generate route request iden-
8918 tifiers. When a new route request command frame is created, the route request counter is incremented and the value
8919 is stored in the device’s route discovery table in the Route request identifier field. The device SHALL increment
8920 *nwkRoutingSequenceNumber*. Other fields in the routing table and route discovery table are set as described in section
8921 3.6.4.2.

8922 The NWK layer MAY choose to buffer the received frame pending route discovery.

8923 Once the device creates the route discovery table and routing table entries, the route request command frame SHALL
8924 be created with the payload depicted in . The individual fields are populated as follows:

- 8925 • The command frame identifier field SHALL be set to indicate the command frame is a route request, see Table
8926 3-50.
- 8927 • The Route request identifier field SHALL be set to the value stored in the route discovery table entry.

8928 • The destination address field SHALL be set in accordance with the destination address for which the route is to
8929 be discovered.

8930 • The path cost field SHALL be set to 0.

8931 Once created, the route request command frame is ready for broadcast and is passed to the MAC sub-layer using the
8932 MCPS-DATA.request primitive.

8933 When broadcasting a route request command frame at the initiation of route discovery, the NWK layer SHALL retry
8934 the broadcast *nwkInitialRREQRetries* times after the initial broadcast, resulting in a maximum of *nwkInitialRREQRetries* + 1 transmissions. The retries will be separated by a time interval of *nwkRREQRetryInterval* OctetDurations.
8935
8936

8937 The many-to-one route advertisement procedure SHALL be initiated by the NWK layer of a Zigbee router or coordi-
8938 nator on receipt of an NLME-ROUTE-DISCOVERY.request primitive from the next higher layer where the DstAddr-
8939 Mode parameter has a value of 0x00. A many-to-one route request command frame is not retried; however, a discovery
8940 table entry is still created to provide loop detection during the *nwkRouteDiscoveryTime* period. If the NoRouteCache
8941 parameter of the NLME-ROUTE-DISCOVERY.request primitive is TRUE, the many-to-one sub-field of the com-
8942 mand options field of the command frame payload SHALL be set to 2. Otherwise, the many-to-one sub-field SHALL
8943 be set to 1. Note that in this case, the NWK layer should maintain a route record table. The destination address field
8944 of the NWK header SHALL be set to 0xffff, the all-router broadcast address. The broadcast radius SHALL be set to
8945 the value in *nwkConcentratorRadius*. A source device that initiates a many-to-one route advertisement is designated
8946 as a concentrator and referred to as such in this document and the NIB attribute *nwkIsConcentrator* should be set to
8947 TRUE. If a device has *nwkIsConcentrator* equal to TRUE and there is a non-zero value in *nwkConcentratorDiscovery-*
8948 *Time*, the network layer should issue a route request command frame each *nwkConcentratorDiscoveryTime*, making
8949 sure that any two consecutive many-to-one route request commands with different route request identifier are sepa-
8950 rated in time by at least *nwkConcentratorDiscoverySeparation*.

8951 Upon receipt of a route request command frame, if the device is an end device, it SHALL drop the frame. Otherwise,
8952 it SHALL determine if it has routing capacity.

8953 If the device does not have routing capacity and the route request is a many-to-one-route request, the route request
8954 SHALL be discarded and route request processing SHALL be terminated.

8955 3.6.4.5.1.1 Initiating a Route Reply or Reactive Many-to-One Route Request

8956 The device SHALL check if it is the intended destination. If it is the intended destination and the device is currently
8957 operating as a concentrator, it SHALL check if the destination of the command frame is one of its end device children
8958 by comparing the destination address field of the route request command frame payload with the address of each of
8959 its end device children, if any. If either the device or one of its end device children is the destination of the route
8960 request command frame, and it is not issuing a reactive many-to-one route request, it SHALL reply with a route reply
8961 command frame.

8962 When replying to a route request with a route reply command frame, the device SHALL construct a frame with the
8963 frame type field set to 0x01. The route reply's source address SHALL be set to the 16-bit network address of the
8964 device creating the route reply and the destination address SHALL be set to the calculated next hop address, consid-
8965 ering the originator of the route request as the destination. The link cost from the next hop device to the current device
8966 shall be computed as described in section 3.6.4.1 and inserted into the path cost field of the route reply command
8967 frame. The device SHALL increment *nwkRoutingSequenceNumber*. The route reply command frame SHALL be
8968 unicast to the next hop device by issuing an MCPS-DATA.request primitive.

8969 3.6.4.5.1.2 Routing and Route Discovery Table Maintenance, Route Request Forwarding

8970 If the device is not the destination of the route request command frame, the device SHALL compute the link cost from
8971 the previous device that transmitted the frame, as described in section 3.6.4.1. This value SHALL be added to the path
8972 cost value stored in the route request command frame.

8973 If the device does have routing capacity and the received request is a unicast route request, the device SHALL check
8974 if it is the destination of the command frame by comparing the destination address field of the route request command
8975 frame payload with its own address. It SHALL also check if the destination of the command frame is one of its end
8976 device children by comparing the destination address field of the route request command frame payload with the

8977 address of each of its end device children, if any. If neither the device nor one of its end device children is the desti-
8978 nation of the route request command frame, the device SHALL determine if a route discovery table (see Table 3-75)
8979 entry exists with the same route request identifier and source address field. If no such entry exists, one SHALL be
8980 created.

8981 For both many-to-one and regular router requests, upon receipt of a route request command frame, the neighbor table
8982 is searched for an entry corresponding to the transmitting device. If no such entry is found, or if the outgoing cost field
8983 of the entry has a value of 0, the frame is discarded and route request processing is terminated. The maximum of the
8984 incoming and outgoing costs for the neighbor is used for the purposes of the path cost calculation, instead of the
8985 incoming cost. This includes the value used to increment the path cost field of the route request frame prior to retrans-
8986 mission.

8987 When creating the route discovery table entry, the fields are set to the corresponding values in the route request com-
8988 mand frame. The only exception is the forward cost field, which is determined by using the previous sender of the
8989 command frame to compute the link cost, as described in section 3.6.4.1, and adding it to the path cost contained the
8990 route request command frame. The result of the above calculation is stored in the forward cost field of the newly
8991 created route discovery table entry. The device SHALL also create a routing table entry with the destination address
8992 field set to the source address of the route request command frame and the next hop field set to the address of the
8993 previous device that transmitted the command frame. The status field SHALL be set to ACTIVE. The device SHALL
8994 then issue a route reply command frame to the source of the route request command frame. In the case that the device
8995 already has a route discovery table entry for the source address and route request identifier pair, the device SHALL
8996 determine if the path cost in the route request command frame is less than the forward cost stored in the route discovery
8997 table entry. The comparison is made by first computing the link cost from the previous device that sent this frame, as
8998 described in section 3.6.4.1, then adding it to the path cost value in the route request command frame. If this value is
8999 greater than the value in the route discovery table entry, the frame SHALL be dropped and no further processing
9000 SHALL be done. Similarly, if incoming route information is considered unsuitable as defined in section 3.6.4.5.3, the
9001 frame SHALL be dropped and no further processing SHALL be done. Otherwise, the forward cost and sender address
9002 fields in the route discovery table are updated with the new cost and the previous device address from the route request
9003 command frame.

9004 If the received route request command frame is a unicast route request, the device SHALL also create a routing table
9005 entry with the destination address field set to the source address of the route request command frame and the next hop
9006 field set to the address of the previous device that transmitted the command frame. The status field SHALL be set to
9007 ACTIVE. The device SHALL then respond with a route reply command frame. In either of these cases, if the device
9008 is responding on behalf of one of its end device children, the responder address in the route reply command frame
9009 payload SHALL be set equal to the address of the end device child and not of the responding device.

9010 When a device with routing capacity is not the destination of the received route request command frame, it SHALL
9011 determine if a route discovery table entry (see Table 3-75) exists with the same route request identifier and source
9012 address field. If no such entry exists, one SHALL be created. The route request timer SHALL be set to expire in
9013 *nwkRouteDiscoveryTime* OctetDurations. If a routing table entry corresponding to the routing address of the desti-
9014 nation exists and its status is not ACTIVE, the status SHALL be set to DISCOVERY_UNDERWAY. If no such entry
9015 exists and the frame is a unicast route request, an entry SHALL be created and its status set to DISCOVERY_UN-
9016 DERWAY. If the frame is a many-to-one route request, the device SHALL also create a routing table entry with the
9017 destination address field equal to the source address of the route request command frame by setting the next hop field
9018 to the address of the previous device that transmitted the command frame. If the frame is a many-to-one route request
9019 (*i.e.* the many-to-one sub-field of the command options field of the command frame payload has a non-zero value),
9020 the many-to-one field in the routing table entry SHALL be set to TRUE, the route record required field SHALL be set
9021 to TRUE, and the no route cache flag SHALL be set to TRUE if the many-to-one sub-field of the command options
9022 field of the command frame payload has a value of 2 or to FALSE if it has a value of 1. If the routing table entry is
9023 new, or if the no route cache flag is set to TRUE, or if the next hop field changed, the route record required field
9024 SHALL be set to TRUE.. The status field SHALL be set to ACTIVE.

9025 If an entry in the route discovery table already exists, the path cost in the route request command frame shall be
9026 compared to the forward cost value in the route discovery table entry. The comparison is made by computing the link
9027 cost from the previous device, as described in section 3.6.4.1, and adding it to the path cost value in the route request
9028 command frame. If this path cost is greater, the route request command frame is dropped and no further processing
9029 SHALL be done. Similarly, if incoming route information is considered unsuitable as defined in section 3.6.4.5.3, the

9030 frame SHALL be dropped and no further processing SHALL be done. Otherwise, the forward cost and sender address
 9031 fields in the route discovery table are updated with the new cost and the previous device address from the route request
 9032 command frame. Additionally, the path cost field in the route request command frame SHALL be updated with the
 9033 cost computed for comparison purposes. If the received route request command frame is a unicast route request, the
 9034 device SHALL also update any routing table entry with the destination address field set to the source address of the
 9035 route request command frame, and the next hop field set to the address of the previous device that transmitted the
 9036 command frame. The status field SHALL be set to ACTIVE.

9037 3.6.4.5.1.3 Processing Reactive Many-To-One Route Requests

9038 If the frame is a many-to-one route request (i.e. the many-to-one sub-field of the command options field of the com-
 9039 mand frame payload has a non-zero value), and the receiving device has changed the status field of the corresponding
 9040 routing table entry from DISCOVERY_UNDERWAY to ACTIVE due to the processing steps above, the receiving
 9041 device SHALL process any NLDE data requests that might be pending. It SHALL also issue an NLME-ROUTE-
 9042 DISCOVERY.confirm primitive with a status of SUCCESS for each related route discovery table entry, if no such
 9043 confirmation has been issued before. It SHALL keep these route discovery table entries until they expire.

9044 3.6.4.5.1.4 Transmitting Relayed Route Requests

9045 The device SHALL then broadcast the route request command frame using the MCPS-DATA.request primitive.

9046 When broadcasting a route request command frame, the NWK layer SHALL delay retransmission by a random jitter
 9047 amount calculated using the formula:

$$9048 \quad 2 \times R[nwkcMinRREQJitter, nwkcMaxRREQJitter]$$

9049 where R is a random function on the interval. The units of this jitter amount are milliseconds. Implementers MAY
 9050 adjust the jitter amount so that route request command frames arriving with large path cost are delayed more than
 9051 frames arriving with lower path cost. The NWK layer SHALL retry the broadcast *nwkcRREQRetries* times after the
 9052 original relay resulting in a maximum of *nwkcRREQRetries* + 1 relays per relay attempt. Implementers MAY choose
 9053 to discard route request command frames awaiting retransmission in the case that a frame with the same source and
 9054 route request identifier arrives with a lower path cost than the one awaiting retransmission.

9055 The device SHALL also set the status field of the routing table entry corresponding to the routing address of the
 9056 destination field in the payload to DISCOVERY_UNDERWAY. If no such entry exists, it shall be created.

9057 3.6.4.5.1.5 Transmitting Route Replies

9058 When replying to a route request with a route reply command frame, a device that has a route discovery table entry
 9059 corresponding to the source address and route request identifier of the route request SHALL construct a command
 9060 frame with the frame type field set to 0x01. The source address field of the NWK header SHALL be set to the 16-bit
 9061 network address of the current device and the destination address field SHALL be set to the value of the sender address
 9062 field from the corresponding route discovery table entry. The device constructing the route reply SHALL populate the
 9063 payload fields in the following manner.

9064 The NWK command identifier SHALL be set to route reply.

9065 The route request identifier field SHALL be set to the same value found in the route request identifier field of the
 9066 route request command frame.

9067 The originator address field SHALL be set to the source address in the NWK header of the route request command
 9068 frame.

9069 Using the sender address field from the route discovery table entry corresponding to the source address in the NWK
 9070 header of the route request command frame, the device SHALL compute the link cost as described in section 3.6.4.1.
 9071 This link cost SHALL be entered in the path cost field.

9072 The route reply command frame is then unicast to the destination by using the MCPS-DATA.request primitive and
 9073 the sender address obtained from the route discovery table as the next hop.

9074 3.6.4.5.1.6 Transmitting Reactive Many-To-One Route Requests

9075 See Annex K.5.3.

9076 3.6.4.5.1.7 Route Discovery Expiration

9077 When the route request timer expires, the device deletes the route request entry from the route discovery table. When
9078 this happens, the routing table entry corresponding to the routing address of the destination SHALL also be deleted,
9079 if its status field has a value of DISCOVERY_UNDERWAY and there are no other entries in the route discovery table
9080 created as a result of a route discovery for that destination address.

9081 3.6.4.5.1.8 Preventing many-to-one to ad hoc route change-over

9082 When a device receives a route request command, which is not a many-to-one route request, where a routing table
9083 entry for the destination exists, which has the many-to-one flag set to TRUE, it will not reset this flag to FALSE.

9084 3.6.4.5.2 Upon Receipt of a Route Reply Command Frame

9085 On receipt of a route reply command frame, a device SHALL perform the following procedure.

9086 If the receiving device has no routing capacity it SHALL discard the command frame. Before forwarding the route
9087 reply command frame the device SHALL update the path cost field in the payload by computing the link cost from
9088 the next hop device to itself as described in section 3.6.4.1 and adding this to the value in the route reply path cost
9089 field.

9090 To support legacy devices, a route reply received with a radius of 1 SHALL NOT be dropped. It SHALL continue to
9091 be processed as follows.

9092 If the receiving device has routing capacity, it SHALL check whether it is the destination of the route reply command
9093 frame by comparing the contents of the originator address field of the command frame payload with its own address.
9094 If it is, it SHALL search its route discovery table for an entry corresponding to the route request identifier in the route
9095 reply command frame payload. If there is no such entry, the route reply command frame SHALL be discarded and
9096 route reply processing SHALL be terminated. If a route discovery table entry exists, the device SHALL search its
9097 routing table for an entry with a destination address field equal to the routing address corresponding to the responder
9098 address in the route reply command frame. If there is no such routing table entry, the route reply command frame
9099 SHALL be discarded and, if a route discovery table entry corresponding to the route request identifier in the route
9100 reply command frame exists, it SHALL also be removed and route reply processing SHALL be terminated. If a routing
9101 table entry and a route discovery table entry exist and if the status field of the routing table entry is set to DISCOV-
9102 ERY_UNDERWAY, it SHALL be changed to ACTIVE; the next hop field in the routing table SHALL be set to the
9103 previous device that forwarded the route reply command frame. The residual cost field in the route discovery table
9104 entry SHALL be set to the path cost field in the route reply payload.

9105 If the status field was already set to ACTIVE, the device SHALL compare the path cost in the route reply command
9106 frame to the residual cost recorded in the route discovery table entry, and update the residual cost field and next hop
9107 field in the routing table entry if the cost in the route reply command frame is smaller. If the path cost in the route
9108 reply is not smaller, the route reply shall be discarded and no further processing SHALL be done. Similarly, if incom-
9109 ing route information is considered unsuitable as defined in section 3.6.4.5.3, the route reply shall be discarded and
9110 no further processing SHALL be done.

9111 Note that NLDE data requests MAY be processed as soon as the first valid route is determined.

9112 If the device receiving the route reply is not the destination, the device SHALL find the route discovery table entry
9113 corresponding to the originator address and route request identifier in the route reply command frame payload. If no
9114 such route discovery table entry exists, the route reply command frame shall be discarded. If a route discovery table
9115 entry exists, the path cost value in the route reply command frame and the residual cost field in the route discovery
9116 table entry shall be compared. If the route discovery table entry value is less than the route reply value, the route reply
9117 command frame shall be discarded. Similarly, if incoming route information is considered unsuitable as defined in
9118 section 3.6.4.5.3, the frame shall be discarded.

9119 Otherwise, the device SHALL find the routing table entry with a destination address field equal to the routing address
9120 corresponding to the responder address in the route reply command frame. In this case, it is an error if the route
9121 discovery table entry exists and there is no corresponding routing table entry, and the route reply command frame
9122 SHOULD be discarded. The routing table entry SHALL be updated by replacing the next hop field with the address
9123 of the previous device that forwarded the route reply command frame. The route discovery table entry SHALL also
9124 be updated by replacing the residual cost field with the value in the route reply command frame.

9125 3.6.4.5.2.1 **Transmitting Relayed Route Replies**

9126 After updating its own route entry, the device SHALL forward the route reply to the destination. Before forwarding
 9127 the route reply, the path cost value shall be updated. The sender SHALL find the next hop to the route reply's desti-
 9128 nation by searching its route discovery table for the entry matching the route request identifier and the source address
 9129 and extracting the sender address. It SHALL use this next hop address to compute the link cost as described in section
 9130 3.6.4.1. This cost SHALL be added to the path cost field in the route reply. The destination address in the command
 9131 frame NWK header SHALL be set to the next hop address and the frame SHALL be unicast to the next hop device
 9132 using the MCPS-DATA.request primitive. The DstAddr parameter of the MCPS-DATA.request primitive SHALL be
 9133 set to the next-hop address from the route discovery table.

9134 If relaying the route reply failed even after exhausting all network-level retries and the reason for such failure is
 9135 originating in a MAC layer status of NO_ACK, the device SHALL issue a network status command towards the
 9136 responder, i.e. the originator of the route reply message, which is also the destination of the related route discovery
 9137 with a status of Link Failure (0x02). It SHALL further invalidate all routing table entries that used the broken link as
 9138 their next hop. The device SHALL not create a reverse routing table as described below; it MAY update such an entry
 9139 if it already exists.

9140 The NWK layer SHALL, upon relaying the route reply command frame, also create a reverse routing table entry if
 9141 such an entry does not yet exist. The value of the destination address field of the routing table entry SHALL correspond
 9142 to the value of the originator address field of the route reply command frame. The status field SHALL have a value of
 9143 ACTIVE. The next-hop address field SHALL have a value corresponding to the next hop address in the route reply
 9144 command being relayed, as determined in the previous paragraph. If the reverse routing table entry already exists the
 9145 next-hop address field shall be updated, if necessary subject to suitability of incoming route information as defined in
 9146 section 3.6.4.5.3.

9147 3.6.4.5.2.2 **Preventing many-to-one to ad hoc route change-over**

9148 When a device receives a route reply command, where a routing table entry for the destination exists, which has the
 9149 many-to-one flag set to TRUE, it will keep the flag set to TRUE for the forward and the reverse path.

9150 3.6.4.5.3 **Assessing Suitability of Incoming Route Information**

9151 An incoming advertised route is compared to existing local routes to determine whether the advertised route is to be
 9152 used to update the routing table. The incoming route information SHALL be processed as follows:

- 9153 1. Search for an entry in the routing table where Destination address matches the address in the incoming route
 9154 information. If no matching entry exists, one SHALL be created. Otherwise continue to step 2.
- 9155 2. Check that the incoming advertised route is safe against routing loops by executing the LoopFree(R1, R2) func-
 9156 tion:

9157
$$\text{LoopFree}(R1, R2) := \text{path-cost}(R1) \leq \text{path-cost}(R2)$$

- 9158 3. LoopFree(R1, R2) verifies that a route R2 is not a sub-section of another route R1. It returns FALSE to indicate
 9159 that an advertised route R1 is not to be used to update an existing route R2, as this MAY potentially cause a
 9160 routing loop.

9161 (i) If LoopFree(advertised route, local route) returns FALSE, the advertised incoming route SHALL be ignored
 9162 and SHALL NOT be used to update the routing table.

9163 (ii) otherwise continue to step 4.

- 9164 3. Compare route costs:

9165 (i) If the advertised incoming route is better than the existing one, it SHALL be used to update the routing table.

9166 (ii) If the advertised incoming route is as good as the existing one and the existing route is ACTIVE, the incoming
 9167 route SHOULD NOT be used to update the routing table, because it will offer no improvement.

9168 (iii) If the advertised incoming route is worse than the existing one and the existing route is ACTIVE, the incom-
 9169 ing route SHALL NOT be used to update the routing table.

9170 (iv) If the advertised incoming route is as good as, or worse than the existing route, and the existing route is not
9171 ACTIVE, the incoming route SHOULD be used to update the routing table, as it can safely be used to repair
9172 the existing invalid entry.

9173 **3.6.4.5.4 Calculating the Distance Between Routers and Concentrators**

9174 See Annex K.5.4.

9175 **3.6.4.5.5 Initiation and Processing of a Route Record Command Frame**

9176 If the NWK layer of a Zigbee router or Zigbee coordinator is initiating a unicast data frame as a result of an NLDE-
9177 DATA.request from the next higher layer and the many-to-one field of the routing table entry corresponding to the
9178 destination address of the frame has a value of TRUE, then the NWK layer SHALL examine the route record required
9179 field of that same routing table entry. If the route record required field also has a value of TRUE, the NWK SHALL
9180 unicast a route record command to the destination before transmitting the data frame.

9181 If the NWK layer of a Zigbee router or Zigbee coordinator is forwarding a unicast data frame on behalf of one of its
9182 end device children and the many-to-one field of the destination's routing table entry has a value of TRUE, then the
9183 device SHALL unicast a route record command to the destination before relaying the data frame, which already con-
9184 tains the network short address of the Zigbee router or Zigbee coordinator in the relay list. An optional optimization is
9185 possible in which the router or coordinator MAY keep track of which of its end device children have received source
9186 routed data frames from a particular concentrator device and can thereby reduce the number of route record commands
9187 it transmits to that concentrator on behalf of its end device children.

9188 Each relay node that receives the route record command SHALL append its network address to the command payload,
9189 increment the relay count, and forward the message. If no next hop is available, or if delivery to the next hop fails, or
9190 if there is insufficient space in the payload for the network address, the command frame shall be discarded and no
9191 error command shall be generated.

9192 Upon receipt of the route record command by the destination, the route SHALL be stored in the source route table.
9193 Any existing source routes to the message source or intermediary nodes SHALL be replaced by the new route infor-
9194 mation.

9195 **3.6.4.6 Upon Expiration of a Route Discovery Table Entry**

9196 When a route discovery table entry is created, the expiration timer SHALL be set to expire in *nwkRouteDiscovery-*
9197 *Time* OctetDurations. If the routing table entry corresponding to the source address of the route discovery table entry
9198 has any Status field value other than ACTIVE and there are no other entries in the route discovery table corresponding
9199 to that routing table entry, the routing table entry SHALL also be deleted.

9200 **3.6.4.7 Upon Expiration of a Many-To-One Route**

9201 When a routing table entry is created or updated and its many-to-one field is set to TRUE, the behavior of aging the
9202 route will depend on the presence of the Concentrator Information TLV in the Route Request. If TLV is present then
9203 the device SHALL set a timer equal to the Concentrator Discovery Time value from the TLV + *nwkRouteDiscovery-*
9204 *Time*. If the TLV is not present, or the Concentrator Discovery Time value inside the TLV is set to 0, then the timer
9205 is not set. When a many-to-one route request from the same device is received, the Expired flag is set to FALSE and
9206 the timer is reset

9207 **3.6.4.8 Route Maintenance**

9208 A device NWK layer SHALL maintain a failure counter for each neighbor to which it has an outgoing link, *i.e.*, to
9209 which it has been required to send data frames. If the outgoing link is classified as a failed link, then the device SHALL
9210 respond as described in the following paragraphs. Implementers MAY choose a simple failure-counting scheme to
9211 generate this failure counter value or they MAY use a more accurate time-windowed scheme. Note that it is important
9212 not to initiate repair too frequently since repair operations MAY flood the network and cause other traffic disruptions.
9213 Routing table entries MAY be overwritten in order to make room for new routes. Entries SHOULD be ranked by
9214 RecentActivity and TotalUsageCount fields, dropping routes that have not been used recently before dropping routes
9215 in active use; in case two routes have been used equally often recently, TotalUsageCount SHALL be considered,
9216 keeping routes that have been used more often than others, overall.

9217 3.6.4.8.1 In Case of Link Failure

9218 If a failed link is encountered while the device is forwarding a unicast frame using normal unicast routing, the device
9219 SHALL issue a network status command frame back to the source device of the frame with a status code of 0x02
9220 (Link Failure) (see Table 3-52), and issue an NLME-NWK-STATUS.indication to the next higher layer with a status
9221 code indicating the reason for the failure.

9222 Router parents will monitor route errors sent to their children and take action on behalf of them. When relaying a
9223 network status command frame by a router to its end device child that is the intended destination of the route error,
9224 where the status code field of the command frame payload has a value of 0x00, 0x01 or 0x02 indicating a link failure,
9225 the NWK layer will remove the routing table entry corresponding to the value of the destination address field of the
9226 command frame payload, if one exists. It will then relay the frame as usual to the end device.

9227 If all attempts on all active interfaces fail while a device is forwarding a unicast data frame using a routing table entry
9228 with the many-to-one field set to TRUE and the Expired field set to FALSE, a network status command frame with
9229 status code of 0x0c indicating many-to-one route failure SHALL be generated. The destination address field in the
9230 NWK header of the network status command frame SHALL be equal to the destination address field in the NWK
9231 header of the frame causing the error. The destination address field of the network status command payload SHALL
9232 be equal to the source address field in the NWK header of the frame causing the error. The network status command
9233 frame SHALL be unicast to a random router neighbor using the MCPS-DATA.request primitive. Because it is a many-
9234 to-one route, all neighbors within concentrator radius are EXPECTED to have a routing table entry to the destination.
9235 Upon receipt of the network status command frame, if no routing table entry for the destination is present, or if delivery
9236 of the network status command frame to the next hop in the routing table entry fails, the network status command
9237 frame SHALL again be unicast to a random router neighbor using the MCPS-DATA.request primitive. The radius
9238 counter in the NWK header will limit the maximum number of times the network status command frame is relayed.
9239 Upon receipt of the network status command frame by its destination it SHALL be passed up to the next higher layer
9240 using the NLME-NWK-STATUS.indication primitive. Many-to-one routes, which have not expired, are not automat-
9241 ically rediscovered by the NWK layer due to route errors.

9242 If all attempts on all active interfaces fail while a device is forwarding a unicast data frame using a routing table entry
9243 with the many-to-one field set to TRUE and the Expired field set to TRUE, the device SHALL delete the many-to-
9244 one routing table entry and MAY automatically attempt to discover an ad hoc route.

9245 If all attempts on all active interfaces fail while the device is forwarding a unicast frame using normal unicast routing,
9246 the device SHALL issue a network status command frame back to the source device of the frame with a status code
9247 indicating the reason for the failure (see Table 3-52), and issue an NLME-NWK-STATUS.indication to the next higher
9248 layer with a status code indicating the reason for the failure.

9249 If all attempts on all active interfaces fail while the device is forwarding a unicast frame using source routing, the
9250 device SHALL issue a network status command frame back to the source device of the frame with status code 0x0b –
9251 ‘Source route failure’, and issue an NLME-NWK-STATUS.indication to the next higher layer with the same status
9252 code of 0x0b.

9253 On receipt of a network status command frame by a router that is the intended destination of the command where the
9254 status code field of the command frame payload has a value of 0x01 or 0x02 indicating a link failure, the NWK layer
9255 will remove the routing table entry corresponding to the value of the destination address field of the command frame
9256 payload, if one exists, and inform the next higher layer of the failure using the NLME-NWK-STATUS.indication
9257 using the same status code.

9258 On receipt of a network status command frame by a router that is the parent of an end device that is the intended
9259 destination, where the status code field of the command frame payload has a value of 0x01 or 0x02 indicating a link
9260 failure, the NWK layer will remove the routing table entry corresponding to the value of the destination address field
9261 of the command frame payload, if one exists. It will then relay the frame as usual to the end device.

9262 On receipt of a network status command frame by an end device, the NWK layer SHALL inform the next higher layer
9263 of the failure using the NLME-NWK-STATUS.indication.

9264 On receipt of a network status command frame by a router that is the intended destination of the command where the
9265 status code field of the command frame payload has a value of 0x0b indicating a source route failure, the NWK layer
9266 will remove the source route corresponding to the value of the destination address field of the command frame payload,

9267 if one exists, from *nwkRouteRecordTable* and inform the next higher layer of the failure using the NLME-NWK-
9268 STATUS.indication using the same status code.

9269 On receipt of a network status command frame by a router that is the parent of an end device that is the intended
9270 destination, where the status code field of the command frame payload has a value of 0x0b indicating a source route
9271 failure, the NWK layer will remove the source route corresponding to the value of the destination address field of the
9272 command frame payload, if one exists, from *nwkRouteRecordTable*. It will then relay the frame as usual to the end
9273 device.

9274 If an end device encounters a failed link to its parent, the end device SHALL inform the next higher layer using the
9275 NLME-NWK-STATUS.indication primitive with a Status parameter value of 0x09 indicating parent link failure (see
9276 Table 3-52).

9277 The APSDE of an end device MAY optionally take action when it notices an APS ACK has not been received. This
9278 option is provided for robustness in the case of intermediary devices that do not generate route errors back to the
9279 source correctly. This SHALL only be done once per APS Transaction sequence number. This SHALL NOT be done
9280 if the network destination of the APSDE transaction is the router parent. If the APSDE of an end device chooses to do
9281 this, then it SHALL do the following:

- 9282 1. Initiate a Network Status Command Frame.
- 9283 2. The Network destination of the message SHALL be set to the address of its router parent.
- 9284 3. The Target Address in the network payload SHALL be set to the destination of the effected APSDE transaction.
- 9285 4. The Status Code SHALL be set to 0x02, Link Failure.

9286 3.6.4.8.2 **Route Repair Functionality**

9287 A potentially transient routing problem is indicated via an NLME-NWK-STATUS.indication with the status set to No
9288 Route Available, Non-tree Link Failure, Source Route Failure, or Many-to-one route failure. Those error codes indi-
9289 cate that the problem may be overcome by repairing the route. The method for repairing the route depends upon the
9290 many-to-one field of the corresponding failed route entry in the routing table (*nwkRouteTable*).

- 9291 1. If the route table entry indicates the many-to-one field is set to FALSE, then the following MAY be done.
 - 9292 a. The stack initiates an NLME-ROUTE-DISCOVERY.req with DstAddrMode set to 0x02 (16-bit address of
9293 individual device), DstAddr set to the NetworkAddr returned by the NLME-STATUS.indication, and No-
9294 RouteCache set to TRUE.
- 9295 2. If the route table entry indicates the many-to-one field is set to TRUE and the local device is a concentrator, the
9296 following MAY be done.
 - 9297 a. Repair the incoming route to the concentrator. The concentrator issues NLME-NWK-ROUTE-DISCOV-
9298 ERY.req with the DstAddrMode set to 0x00 (No destination) and the DstAddr set to the all routers broadcast
9299 address (0xFFFFC).
 - 9300 b. Update the concentrator's local routing table so it may send a new message to the target destination by solici-
9301 ting a message from the destination with an updated route. This can be done by the concentrator issuing a
9302 ZDO IEEE_Addr_req to the all routers broadcast address with the NWKAddrOfInterest set to the Network-
9303 Addr returned by the NLME-STATUS.indication, and the RequestType set to 0x00 (single device response).⁷

⁷ CCB 2007

9304 3.6.5 Scheduling Beacon Transmissions

9305 Scheduled beaconing SHALL NOT be performed in Zigbee mesh networks. IEEE Std 802.15.4 Beacon requests
9306 SHALL be answered with IEEE Std 802.15.4 beacons on demand when they are received.

9307 3.6.6 Broadcast Communication

9308 This section specifies how a broadcast transmission is accomplished within a Zigbee network. Any device within a
9309 network MAY initiate a broadcast transmission intended for a number of other devices that are part of the same net-
9310 work. A broadcast transmission is initiated by the local APS sub-layer entity through the use of the NLDE-DATA.re-
9311 quest primitive by setting the DstAddr parameter to a broadcast address as shown in Table 3-76, or by the NWK layer
9312 through the use of these same broadcast addresses in the construction of an outgoing NWK header. (Note that broad-
9313 cast transmission for link status and route request command frames is handled differently as described in section
9314 3.6.4.4 and section 3.6.4.5.1 respectively.)

9315 **Table 3-76. Broadcast Addresses**

Broadcast Address	Destination Group
0xffff	All devices in PAN
0xfffe	Reserved
0xfffd	<i>macRxOnWhenIdle</i> = TRUE
0xfffc	All routers and coordinator
0xfffb	Low power routers only
0xff8 - 0xffa	Reserved

9316 To transmit a broadcast MSDU, the NWK layer of a Zigbee router or Zigbee coordinator issues an MCPS-DATA.re-
9317 quest primitive to the MAC sub-layer(s) with the DstAddrMode parameter set to 0x02 (16-bit network address) and
9318 the DstAddr parameter set to 0xffff. For a Zigbee end device, the MAC destination address of the broadcast frame
9319 SHALL be set equal to the 16-bit network address of the parent of the end device. The PANId parameter SHALL be
9320 set to the PANId of the Zigbee network. This specification does not support broadcasting across multiple networks.
9321 Broadcast transmissions SHALL NOT use the MAC sub-layer acknowledgement; instead, a passive acknowledge-
9322 ment mechanism SHALL be used. Passive acknowledgement means that every Zigbee router and Zigbee coordinator
9323 keeps track of which of its neighboring devices have successfully relayed the broadcast transmission. The MAC sub-
9324 layer acknowledgement is disabled by setting the acknowledged transmission flag of the TxOptions parameter to
9325 FALSE. All other flags of the TxOptions parameter SHALL be set based on the network configuration.

9326 The Zigbee coordinator, each Zigbee router and those Zigbee end devices with *macRxOnWhenIdle* equal to TRUE,
9327 SHALL keep a record of any new broadcast transaction that is either initiated locally or received from a neighboring
9328 device. This record is called the broadcast transaction record (BTR) and SHALL contain at least the sequence number
9329 and the source address of the broadcast frame. The broadcast transaction records are stored in the *nwkBroadcastTrans-*
9330 *actionTable* (BTT) as shown in Table 3-77.

9331

9332

Table 3-77. Broadcast Transaction Record

Field Name	Size	Description
Source Address	2 bytes	The 16-bit network address of the broadcast initiator.
Sequence Number	1 byte	The NWK layer sequence number of the initiator's broadcast.
Expiration Time	1 byte	A countdown timer indicating the number of seconds until this entry expires; the initial value is <i>nwkNetworkBroadcastDeliveryTime</i> .

9333 Processing of a broadcast with a NWK source of the local device SHALL only be done when the device has been
 9334 powered up and operating on the network for *nwkNetworkBroadcastDeliveryTime*. This prevents broadcasts from
 9335 being processed that might have recently originated from the device after a reset.

9336 When a device receives a broadcast frame from a neighboring device, it SHALL compare the destination address of
 9337 the frame with its device type. If the destination address does not correspond to the device type of the receiver as
 9338 outlined in Table 3-76, the frame shall be discarded. If the destination address corresponds to the device type of the
 9339 receiver, the device SHALL compare the sequence number and the source address of the broadcast frame with the
 9340 records in its BTT.

9341 If the device has a BTR of this particular broadcast frame in its BTT, it MAY update the BTR to mark the neighboring
 9342 device as having relayed the broadcast frame. It SHALL then drop the frame. If no record is found, it SHALL create
 9343 a new BTR in its BTT and MAY mark the neighboring device as having relayed the broadcast. The NWK layer
 9344 SHALL then indicate to the higher layer that a new broadcast frame has been received using the NLDE-DATA.indi-
 9345 cation. If the device is a Zigbee router (ZR) or a Zigbee Coordinator (ZC) and the radius field is greater than zero;
 9346 then the frame shall be retransmitted. Otherwise it shall be dropped. Before the retransmission, it SHALL wait for a
 9347 random time period called broadcast jitter. This time period shall be bounded by the value of the *nwkMaxBroad-
 9348 castJitter* attribute. Zigbee end devices with *macRxOnWhenIdle* equal to FALSE SHALL NOT participate in the re-
 9349 laying of broadcast frames and need not maintain a BTT for broadcast frames that they originate.

9350 If, on receipt of a broadcast frame, the NWK layer finds that the BTT is full and contains no expired entries, then the
 9351 frame SHOULD be dropped. In this situation the frame SHOULD NOT be retransmitted, nor SHOULD it be passed
 9352 up to the next higher layer.

9353 A Zigbee coordinator or Zigbee router operating in a non-beacon-enabled Zigbee network SHALL retransmit a pre-
 9354 viously broadcast frame at most *nwkMaxBroadcastRetries* times. If the device does not support passive acknowledge-
 9355 ment, then it SHALL retransmit the frame exactly *nwkMaxBroadcastRetries* times. If the device supports passive
 9356 acknowledgement and any of its neighboring devices have not relayed the broadcast frame within *nwkPassiveAck-
 9357 Timeout* OctetDurations then it SHALL continue to retransmit the frame on the MAC interfaces which are in commu-
 9358 nication with such neighbors up to a maximum of *nwkMaxBroadcastRetries* times.

9359 A device SHOULD change the status of a BTT entry after *nwkNetworkBroadcastDeliveryTime* OctetDurations have
 9360 elapsed since its creation. The entry status SHOULD change to expired and thus the entry can be overwritten if re-
 9361 quired when a new broadcast is received.

9362 A router or coordinator with the *macRxOnWhenIdle* MAC PIB attribute set to TRUE, which has one or more neighbors
 9363 with the *macRxOnWhenIdle* MAC PIB attribute set to FALSE, SHALL do the following:

- 9364 1. If the NWK destination address of the broadcast is 0xFFFF, for each *nwkNeighborTable* entry where the device
 9365 type is 0x02 (Zigbee End Device) and the entry has *RxOnWhenIdle* =FALSE, the broadcast SHALL be re-trans-
 9366 mitted as follows:
 - 9367 a. If the end device target is NOT the NWK source of the broadcast, the message SHALL be relayed as a unicast
 9368 at the MAC layer via an MCPS-DATA.request.
 - 9369 b. If the end device target is the NWK source of the broadcast, no MCPS-DATA.request is generated.

- 9370 c. Go to the next applicable entry.
- 9371 2. For end device neighbors where RxOnWhenIdle = TRUE, and for all router and coordinator neighbors, they will
9372 receive the message when it is re-broadcast as described in the section above.⁸
- 9373 Every Zigbee router SHALL have the ability to buffer at least 1 frame at the NWK layer in order to facilitate retrans-
9374 mission of broadcasts.
- 9375 Figure 3-52 shows a broadcast transaction between a device and two neighboring devices.

⁸ CCB 2231

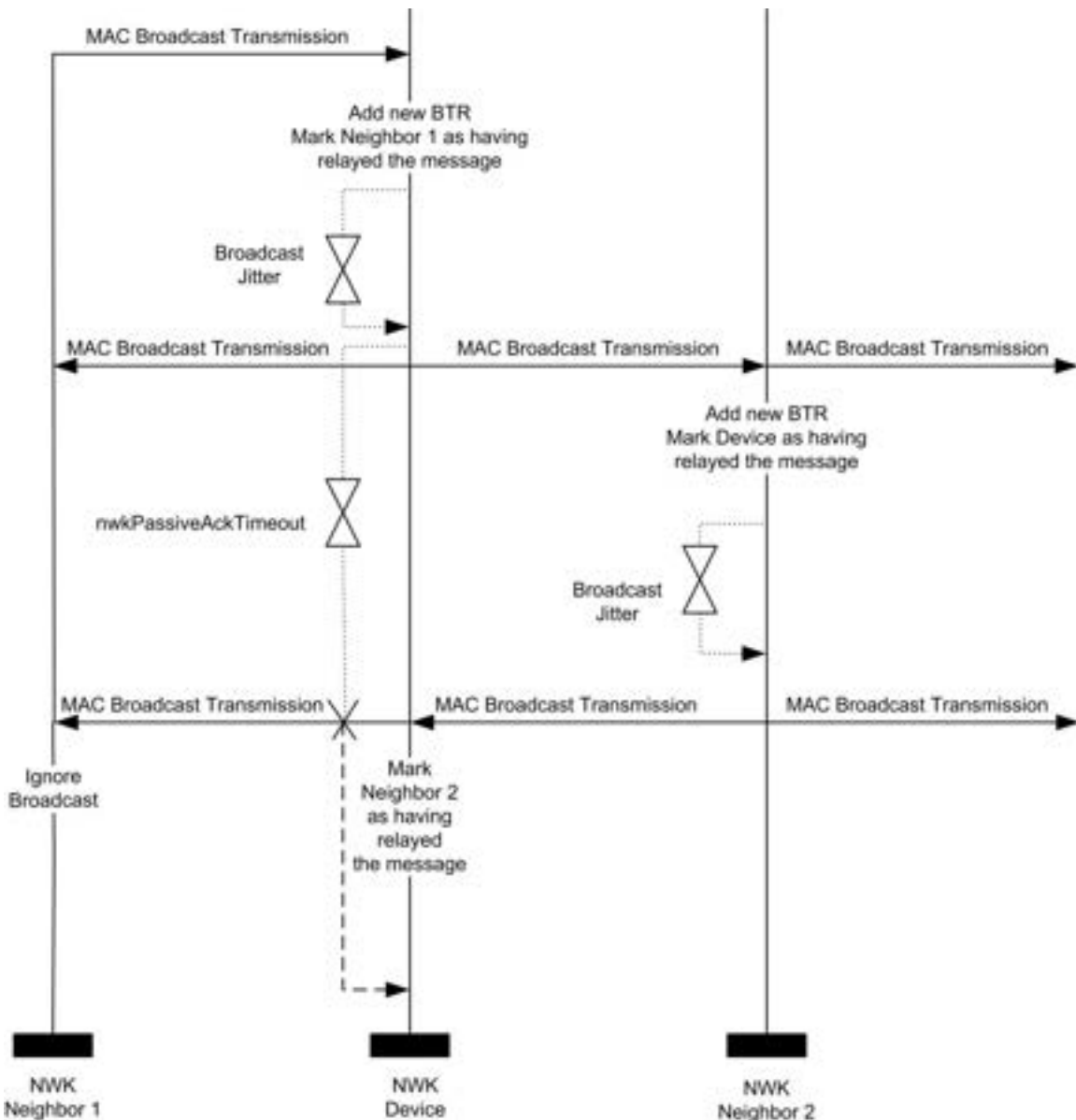


Figure 3-52. Broadcast Transaction Message Sequence Chart

9376
9377

9378 3.6.7 Multicast Communication

9379 Multicast communication is carried out using APS layer group addressing and a broadcast addressing mode at the
9380 network layer. Network-level multicast, available as an optional feature in prior revisions of this specification, is
9381 deprecated.

9382 3.6.8 NWK Information in the MAC Beacons

9383 This section specifies how the NWK layer uses the beacon payload of a MAC sub-layer beacon frame to convey NWK
9384 layer-specific information to neighboring devices.

9385 Starting with this version of the specification, Zigbee defines a mechanism to append to the beacon in a future com-
9386 patible way. This Beacon Appendix is included in addition to the Beacon Payload previously defined in the Zigbee

9387 specification. Together the Zigbee Beacon Info Field and the Beacon Appendix constitute the IEEE Std 802.15.4
9388 Beacon Payload.

9389 The Beacon Appendix SHALL constitute the entire Beacon Payload field for classic beacons. For Enhanced bea-
9390 cons, the the Beacon Info Field is omitted from the Beacon Payload Field and instead, it is conveyed inside a Pay-
9391 load Information Element. The Payload Information Element is detailed in Annex D, section Zigbee Payload IE.
9392 Table 3-78 summarizes the Beacon Payload fields.

9393

Table 3-78. Beacon Payload Fields

IEEE Beacon Pay- load	Name	Size	Description
	Zigbee Beacon Info Field	15 bytes	The standard Zigbee beacon payload that has been used in R22 and all prior versions of the specification. This is defined in section 3.6.7.
	Zigbee Beacon Appendix	Variable	A set of TLVs indicating various information about the network and the local device sending the beacon. This field is only present in Revision 23 devices and later.

9394 The Zigbee beacon Info Field SHALL contain the information shown in Table 3-79. This enables the NWK layer to
9395 provide additional information to new devices that are performing network discovery and allows these new devices to
9396 more efficiently select a network and a particular neighbor to join. Refer to section 3.6.1.6.1 for a detailed description
9397 of the network discovery procedure.

9398

Table 3-79. Zigbee Beacon Info Fields

Name	Type	Valid Range	Description
Protocol ID	Integer	0x00 – 0xff	This field identifies the network layer protocols in use and, for purposes of this specification, SHALL always be set to 0, indicating the Zigbee protocols. The value 0xff SHALL also be reserved for future use by the Connectivity Standards Alliance.
Stack profile	Integer	0x00 – 0x0f	A Zigbee stack profile identifier.
<i>nwkProtocolVersion</i>	Integer	0x00 – 0x0f	The version of the Zigbee protocol.
Router capacity	Boolean	TRUE or FALSE	This value is set to TRUE if this device is capable of accepting join requests from router-capable devices and is set to FALSE otherwise. This value SHALL match the value of RoutersAllowed for the MAC interface that this beacon is being sent from.
Device depth (DEPRECATED)	Integer	0x00	This value has been DEPRECATED.
End device capacity	Boolean	TRUE or FALSE	This value is set to TRUE if the device is capable of accepting join requests from end devices seeking to join the network and is set to FALSE otherwise.

Name	Type	Valid Range	Description
<i>nwkExtendedPANId</i>	64-bit extended address	0x0000000000000001 – 0xfffffffffffffffe	The globally unique ID for the PAN of which the beaconing device is a member. By default, this is the 64-bit IEEE address of the Zigbee coordinator that formed the network, but other values are possible and there is no required structure to the address.
TxOffset	Integer	0x000000 – 0xfffff	This value indicates the difference in time, measured in symbols, between the beacon transmission time of the device and the beacon transmission time of its parent; This offset MAY be subtracted from the beacon transmission time of the device to calculate the beacon transmission time of the parent. This parameter is set to the default value of 0xFFFFF in beaconless networks.
<i>nwkUpdateId</i>	Integer	0x00 – 0xFF	This field reflects the value of <i>nwkUpdateId</i> from the NIB.

9399 The NWK layer of the Zigbee coordinator SHALL update the beacon info fields immediately following network
 9400 formation. All other Zigbee devices SHALL update it immediately after the join is completed and any time the network
 9401 configuration changes. The beacon payload is written into the MAC sub-layer PIB using the MLME-SET.request
 9402 primitive. The *macBeaconPayloadLength* attribute is set to the length of the beacon payload, and the octet sequence
 9403 representing the beacon payload is written into the *macBeaconPayload* attribute. The formatting of the bit sequence
 9404 representing the beacon payload is shown in Figure 3-53.

Bits:	0–7	8–11	12–15	16–17	18	19–22	23	24–87	88–111	112–119
Protocol ID	Stack profile	<i>nwk cProtocol Version</i>	Re-served	Router capacity	Device depth	End device capacity	<i>nwk Extended PANId</i>	Tx Offset	<i>Nwk UpdateId</i>	

Figure 3-53. Format of the Zigbee Beacon Info Fields

3.6.8.1 Zigbee Beacon Appendix

9407 This field is only present in IEEE Beacons transmitted by Revision 23 and later devices. Devices from Revision 22
 9408 and earlier are expected to accept beacons with this field and ignore the data. Revision 23 devices SHALL accept
 9409 beacons without this field.

9410 The Zigbee Beacon Appendix MAY contain one or more TLVs as defined by this specification. Unknown TLVs
 9411 MAY also be included in the Beacon Appendix Payload and SHALL not generate an error on reception; they
 9412 SHALL be silently ignored.

9413 The set of TLVs included in the Beacon Appendix SHALL be set according to the values of the *nwkNetwork-
 9414 WideBeaconPayloadTLVs* and *nwkDeviceBeaconPayloadTLVs* of the NIB. The *nwkDeviceBeaconPayloadTLVs*

9415 SHALL contain at a minimum the Router Information Global TLV. See Steps for Constructing the IEEE Std
9416 802.15.4 Beacon for how these are utilized.

9417 **3.6.8.2 Steps for Constructing the IEEE Std 802.15.4 Beacons**

9418 These steps apply both to standard IEEE Std 802.15.4 Beacons and Enhanced Beacons.

- 9419 1. Append the IEEE Std 802.15.4 MAC headers for the beacon.
- 9420 2. Append the Zigbee Beacon Info field.
- 9421 3. Examine each complete TLV of the *nwkNetworkWideBeaconAppendixTLVs* NIB value.
 - 9422 a. If the full contents of the current TLV do **not** fit within the IEEE Std 802.15.4 frame then beacon construc-
9423 tion is complete. No more steps are executed.
 - 9424 b. If the full contents of the TLV fit within the IEEE Std 802.15.4 frame, append the complete TLV.
 - 9425 c. Continue processing TLVs within the *nwkNetworkWideBeaconAppendixTLVs* NIB value.
- 9426 4. Examine each complete TLV of the *nwkDeviceBeaconPayloadTLVs* NIB value.
 - 9427 a. If the full contents of the current TLV do **not** fit within the IEEE Std 802.15.4 frame then beacon construc-
9428 tion is complete. No more steps are executed.
 - 9429 b. If the TLV Tag ID has been previously encountered in step 4, skip this TLV.
 - 9430 i. Globally set TLVs override locally set ones.
 - 9431 c. If the full contents of the TLV fit within the IEEE Std 802.15.4 frame AND the TLV ID was NOT previ-
9432 ously appended in step 4, append the complete TLV.
 - 9433 d. Continue processing TLVs within the *nwkDeviceBeaconPayloadTLVs* NIB value.

9434 **3.6.9 Persistent Data**

9435 Devices operating in the field MAY be restarted either manually or programmatically by maintenance personnel, or
9436 MAY be restarted accidentally for any number of reasons, including localized or network-wide power failures, battery
9437 replacement during the course of normal maintenance, impact, and so on.

9438 The following information SHOULD be preserved across resets in order to maintain an operating network:

- 9439 • The device's PAN Id and Extended PAN Id.
- 9440 • The device's 16-bit network address.
- 9441 • *nwkUpdateId* - The value identifying a snapshot of the network settings with which this node is operating with.

9442 For each device in the *nwkNeighborTable* of the NIB with a device type set to 0x02 (Zigbee End Device), the follow-
9443 ing SHALL be saved:

- 9444 • The 64-bit IEEE address
- 9445 • 16-bit network address
- 9446 • The End Device Configuration value
- 9447 • Device Timeout value
- 9448 • MAC Interface Index
- 9449 • If the device is an end device, the *nwkParentInformation* value in the NIB.
- 9450 • For end devices, the 16-bit network address of the parent device.
- 9451 • The stack profile in use.
- 9452 • The MAC Interface Table
- 9453 • If the device is the Zigbee coordinator or a Zigbee router, its routing sequence number

9454 The method by which these data are made to persist is beyond the scope of this specification.

9455 3.6.10 End Device Aging and Management

9456 The end device and router relationship is established via MAC association or NWK rejoin, and can be dissolved via a
9457 leave command. However there are a number of ways in which the relationship can get broken, where router parent
9458 and end device do not agree. For example the router parent MAY think it is still the router parent for an end device
9459 when in fact the end device has switched to a new parent, or the router parent MAY age out the child since it has had
9460 no communication with it for an extended period of time.

9461 Router parents have a finite amount of local resources to store end device information. As such it is desirable to clean
9462 out old entries to allow for new end devices to join. End devices SHALL be aged out by the router according to the
9463 rules defined below.

9464 Note: For *nwkParentInformation*, see Table 3-62.

9465 3.6.10.1 End Device Aging Mechanism

9466 A router parent SHALL age neighbor table entries for end devices. It is important to note that prior versions of this
9467 specification did not have this requirement and thus legacy devices exist that do not have this child aging mechanism.

9468 A router parent SHALL keep track of the amount of real time that has passed and decrement the Timeout counter
9469 value for each end device entry in its neighbor table until the value reaches 0. When a neighbor table entry's Timeout
9470 counter value reaches 0, the router parent SHALL delete the entry from the neighbor table.

9471 End Devices MAY periodically send a keepalive message to reset the Timeout counter value. See section 3.6.10.3 for
9472 details.

9473 3.6.10.2 Establishing the Timeout

9474 A router SHALL initially set the timeout for all end devices according to the default value of *nwkEndDe-*
9475 *viceTimeoutDefault* in Table 3-62.

9476 The following describes how an end device MAY update this value from the default.

9477 After joining or rejoining the network the end device SHALL send an End Device Timeout Request command to its
9478 parent. This SHALL be done even if the end device is joining or rejoining to the same parent. The message SHALL
9479 include their timeout period and configuration.

9480 Routers SHALL process the End Device Timeout Request command as follows:

- 9481 1. If the Requested Timeout Enumeration value in the frame is not within the valid range, it SHALL generate an
9482 End Device Timeout Response command with a status of *INCORRECT_VALUE* and no further processing of
9483 the message SHALL be done.
- 9484 2. The parent SHALL find the neighbor table entry for the sending device and verify that the entry corresponds to
9485 an end device. If no entry is found or the entry is not an end device, then the message SHALL be dropped and no
9486 further processing SHALL be done.
- 9487 3. The parent SHALL validate that each bit set to 1 in the End Device Configuration Field is a known feature and
9488 supported by the parent. If any feature is not supported or not known to the parent, it SHALL send an End Device
9489 Timeout Response with a status of *UNSUPPORTED_FEATURE* and no further processing SHALL be done. At
9490 the time of this specification Revision, there are no defined bits for the End Device Configuration Field, and thus
9491 no supported features
- 9492 4. The received value SHALL be converted into an actual timeout amount. This SHALL be done by obtaining the
9493 actual timeout value for the corresponding Requested Timeout Enumeration in Table 3-57. The value SHALL be
9494 converted from minutes into seconds if it is not already a value in seconds. The parent SHALL set the Timeout
9495 Counter and Device Timeout values of the neighbor table entry to the converted value.
- 9496 5. The parent SHALL set the End Device Configuration information in the neighbor table for the corresponding end
9497 device's entry to the value of the End Device Configuration field in the received message.

9498 6. The parent SHALL generate an End Device Timeout Response command with a status of SUCCESS. It SHALL
9499 fill in the value of the *Parent Information Bitmask* field according to the keepalive methods it supports. The parent
9500 SHALL set either Mac Data Poll Keepalive Support or End Device Timeout Request Support. The parent is
9501 indicating the method that the End Device SHALL use.

9502 An End Device that receives an End Device Timeout Response Command SHALL process it as follows.

9503 1. If the status is SUCCESS it SHALL set the *nwkParentInformation* value in the NIB to value of the Parent Infor-
9504 mation field of the received command. No further processing SHALL be done.

9505 2. If the End Device receives the command with a status value other than SUCCESS, it SHALL assume its timeout
9506 value has not been configured on the parent.

9507 End Devices MAY receive no End Device Timeout Response command at all if they are communicating with a legacy
9508 device that does not have support for this command. They SHALL treat this the same as receiving an End Device
9509 Timeout Response with a non-SUCCESS status code.

9510 **3.6.10.3 End Device Keepalive**

9511 All end devices (including RxOnWhenIdle=TRUE) that have received an End Device Timeout Response Command
9512 with a status of SUCCESS MAY periodically send a keepalive to their router parent to insure they remain in the
9513 router's neighbor table.

9514 The keepalive message will refresh the timeout on the parent device so that the parent does not delete the child from
9515 its neighbor table. The period for sending the keepalive to the router parent SHALL be determined by the manufacturer
9516 of the device and is not specified by this standard. It is recommended that the period allows the end device to send 3
9517 keepalive messages during the Device Timeout period. This will help insure that a single missed keepalive message
9518 will not age out the end device on the router parent.

9519 There are two keepalive mechanisms described below. The method the end device uses depends on the support of the
9520 router parent. The router parent will indicate its support in the End Device Timeout Response command frame and
9521 this information will be stored in the NIB.

9522 When an End Device needs to send a keepalive message, it SHALL examine the *nwkParentInformation* value in the
9523 NIB. If bit 0 has a value of 1 (indicating support of the MAC data poll keepalive) then the device SHALL send a MAC
9524 data poll command unicast to its parent.

9525 Otherwise if the value of bit 1 has a value of 1, then the device SHALL send an End Device Timeout Request command
9526 as a unicast to refresh the keepalive timer. If the transmission is successful, the device SHALL wait for
9527 *macResponseWaitTime* for an End Device Timeout Response from its parent. If the transmission was unsuccessful,
9528 or if no End Device Timeout Response command is received, or if the status field indicates a value other than SUC-
9529 CESS, the end device SHALL generate a NLME-NWK-STATUS.indication with a code of 0x09 (Parent Link Fail-
9530 ure).

9531 **3.6.10.4 MAC Data Poll Processing**

9532 A router whose *nwkParentInformation* in the NIB has bit 1 set to 0, SHALL support the MAC Data poll as an End
9533 Device keepalive. A router is not required to support this method. If it does not it SHALL support the End Device
9534 Timeout Request method.

9535 Upon receipt of an MLME-POLL.Indication the router parent SHALL examine its neighbor table and do **one** of the
9536 following:

9537 1. If there is no entry in the neighbor table corresponding to the DeviceAddress of the MLME-Poll.Indication prim-
9538 itive, then the device SHALL construct a leave message. The destination NWK address SHALL be set to the
9539 value of the MAC source of the MAC data poll. See section 3.6.10.4.1 for more information on the leave message.
9540 The message SHALL be added to the indirect transaction queue of the MAC layer. No further processing shall
9541 be done.

9542 2. If there is an entry in the neighbor table for the sending device's MAC source, then the local device shall set the
9543 Timeout counter value to the value of the *End Device Keepalive Timeout* value, and it SHALL set the Keepalive
9544 Received value to TRUE.

9545 When an End Device sends a MAC Data poll command it SHALL assume that the parent has knowledge of the end
9546 device and the Timeout Counter associated with the end device has been reset in the parent's neighbor table. The End
9547 Device will behave per reference [B1] with regard to the data pending bit in the MAC ACK, and will follow standard
9548 processing of any leave message that MAY be received after sending a data poll.

9549 A router SHALL only update the Keepalive Received value on receipt of an MLME-POLL.indication when the *nwk-*
9550 *ParentInformation* has bit 0 set to 1.

9551 **3.6.10.4.1 Sending a Leave Message**

9552 A router SHALL send a leave message when it wants to inform an end device it is no longer a parent to the end device.
9553 The leave message SHALL be one of the following messages:

9554 1. NWK Leave Request

9555 a. A device that chooses to send a NWK leave request SHALL set fields of the NWK Command as follows.

9556 i. The destination IEEE address sub-field of the frame control SHALL be set to 0, indicating that no des-
9557 tination IEEE address is present.

9558 ii. The destination IEEE address field SHALL NOT be present in the message.

9559 iii. The request sub-field of the command options field SHALL be set to 1.

9560 iv. The rejoin request sub-field of the command SHALL be set to 1.

9561 2. ZDO Mgmt_Leave_req

9562 a. A device that chooses to send a ZDO Mgmt_Leave_req SHALL set the fields of the of the ZDO
9563 Mgmt_leave_req command as follows:

9564 i. The Device Address field SHALL be set to NULL (0x0000000000000000)

9565 ii. The Remove Children Bit SHALL be set to 0.

9566 iii. The Rejoin bit SHALL be set to 1.

9567 b. The Acknowledgement request sub-field of the APS Frame control field SHALL be set to 0 (no acknowl-
9568 edgement requested).

9569 **3.6.10.5 Setting the End Device Timeout on the Router Parent**

9570 A router SHALL set the default values for Timeout Counter and End Device Keepalive Timeout to the time-span
9571 indicated by *nwkEndDeviceTimeoutDefault* as converted to seconds.

9572 After successfully joining or rejoining the network and receiving the network key, an End Device SHALL send an
9573 End Device Timeout Request command to its router parent indicating its desired timeout. Upon receipt and successful
9574 processing of the End Device Timeout Request router parents SHALL update the timeout values accordingly. See
9575 section 3.6.10.2 for details.

9576 Legacy devices will not send an End Device Timeout Request and thus will receive the default timeout.

9577 **3.6.10.6 Local End Device Timeout**

9578 An end device MAY keep track of its timeout using the following mechanism:

9579 1. The end device SHALL find the corresponding neighbor table entry for its router parent.

9580 2. It SHALL decrement the Timeout Counter value in the Neighbor Table entry based on the amount of real time
9581 that has passed, until that value reaches 0.

9582 3. If the Timeout Counter reaches a value of 0, it SHALL assume that its parent has timed out the device.

9583 If the end device has determined that it has been timed out, it can choose to perform a rejoin to get back on the network
9584 as described in section 3.6.1.6.1. Alternatively it is permissive for an end device to always perform a rejoin without
9585 keep tracking of its local end device timeout.

9586 There is no requirement that the end device re-establish connectivity with the network if it has determined that it has
 9587 reached the timeout value established with its router parent. An end device MAY choose to delay rejoining the network
 9588 until it is appropriate, for example when the end device has data it needs to send.

9589 3.6.10.7 Persistent Values on the Parent Router

9590 The router parent is EXPECTED to persistently store the end device information in the neighbor table (see section).

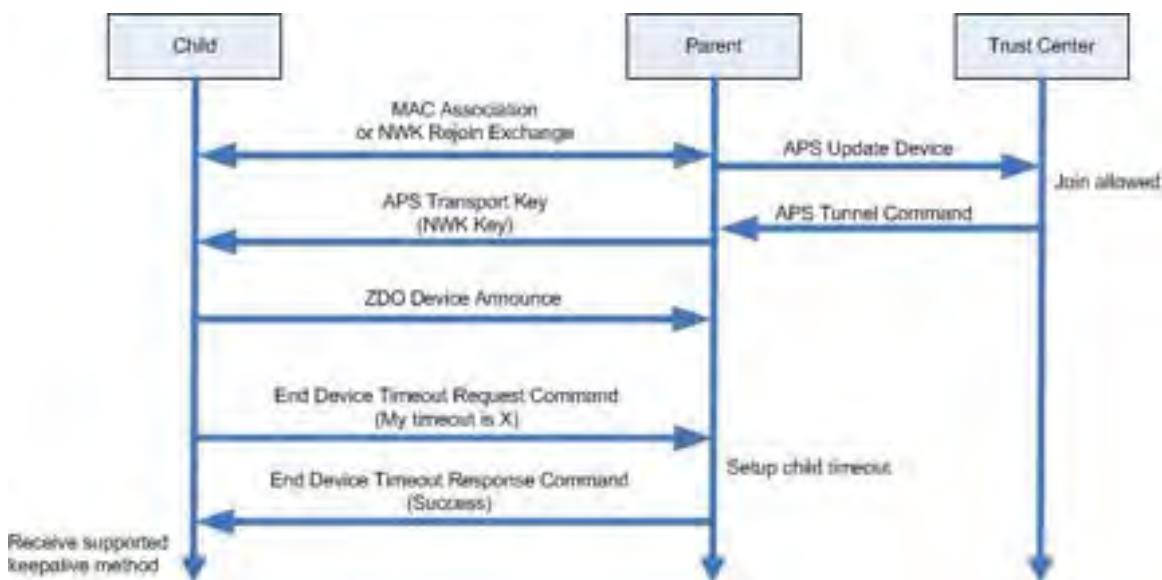
9591 3.6.10.8 Reboot and Child Aging

9592 On reboot routers SHALL set the Timeout Counter value for each end device in its neighbor table to the entry's value
 9593 of Device Timeout. In other words, end devices SHALL be given a full time period for aging out.

9594 On reboot it is recommended end devices immediately initiate a keep-alive message to verify connectivity to their
 9595 parent.

9596 3.6.10.9 Diagrams Illustrating End Device Management

9597 Figure 3-54 shows an end device joining into a network and the series of message exchanges. After the end device
 9598 has joined and has a copy of the NWK key, it will send a NWK command of End Device Request to the parent and
 9599 check for a response.

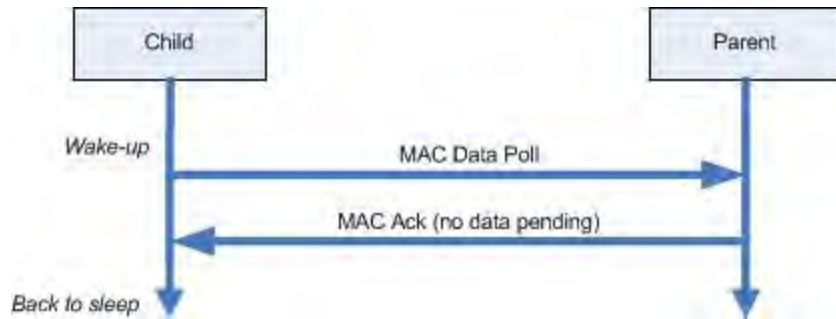


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Figure 3-54. Initial Setup of the End Device Timeout

9602 Figure 3-55 shows normal operation of a child talking to a parent that supports the MAC Data Poll Keepalive
 9603 Method. When the data pending bit is unset in the MAC acknowledgement, the end device can assume that the par-
 9604 ent still remembers the device.



9605

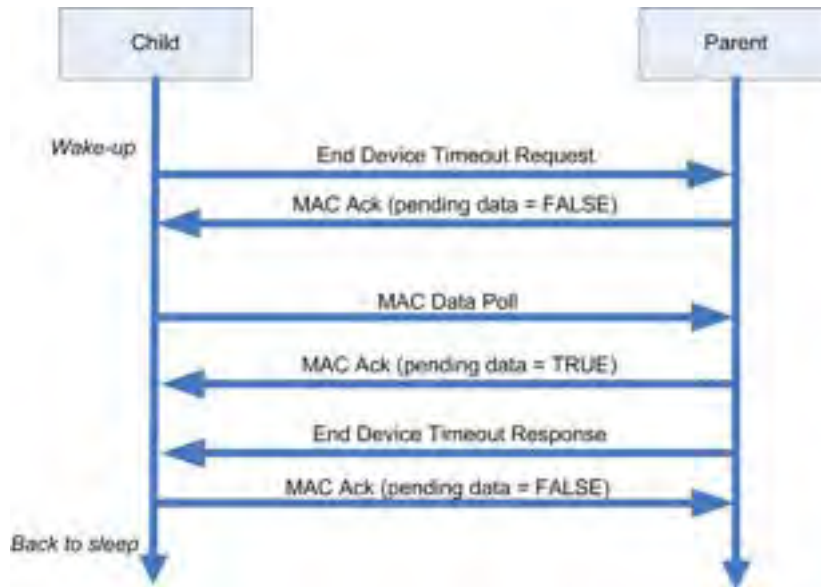
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Figure 3-55. Child Keepalive: MAC Data Poll Method

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Figure 3-56 shows normal operation of a child talking to a parent that supports the End Device Timeout Request keepalive method.

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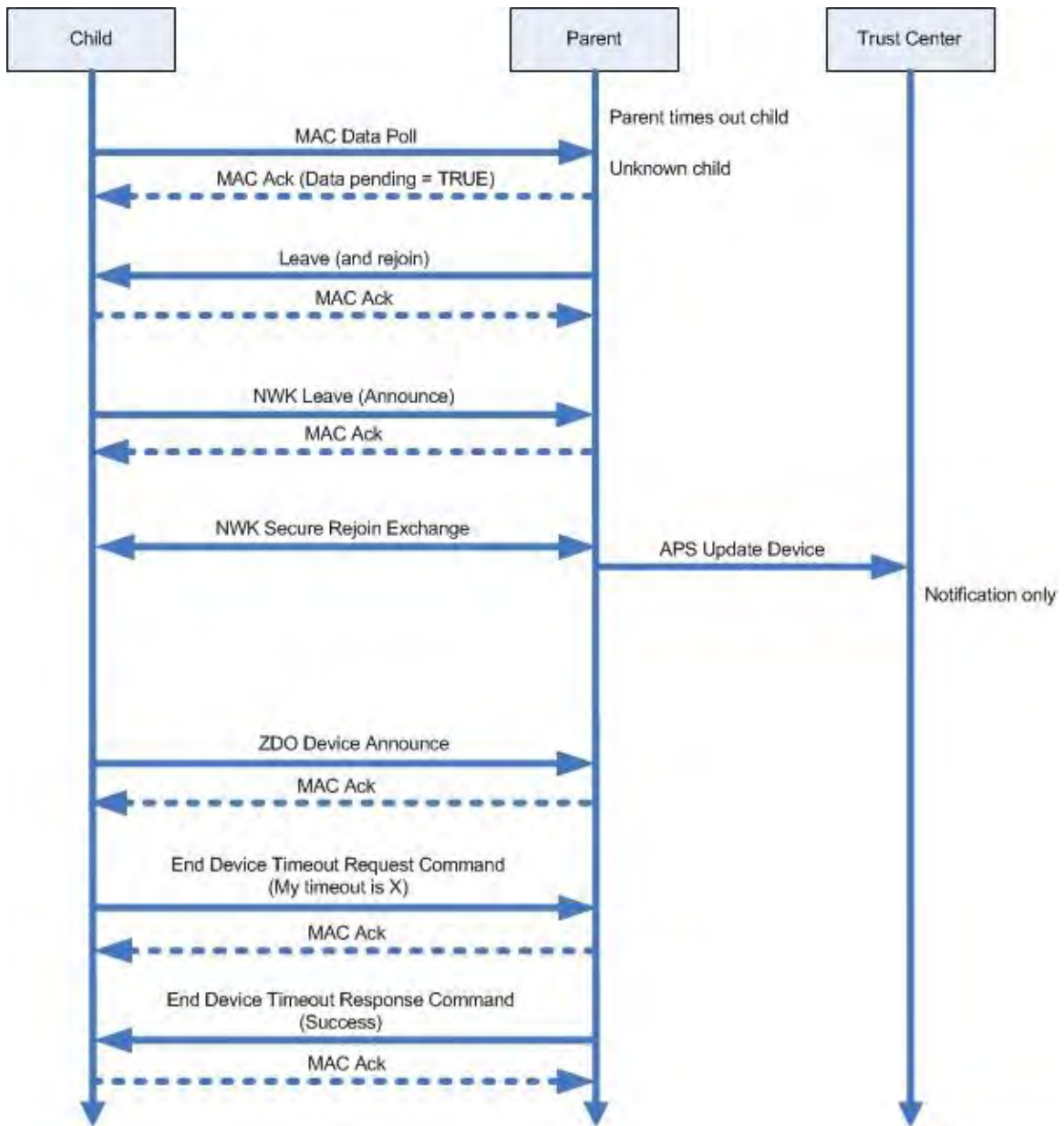
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Figure 3-56. Child Keepalive: End Device Timeout Request Method

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Figure 3-57 and Figure 3-58 show what happens when a parent that supports the MAC data poll keepalive method, ages out the child. The parent will indicate to the child that it has a pending message for the child by setting the data pending bit to TRUE in the MAC acknowledgement. The parent will then transmit a leave message to the device with the rejoin bit set to TRUE. The device will announce leaving the network and perform a rejoin. shows a secure rejoin while shows a Trust Center Rejoin. After the rejoin is successful the device will send the NWK Command End Device Timeout Request and receive a response.

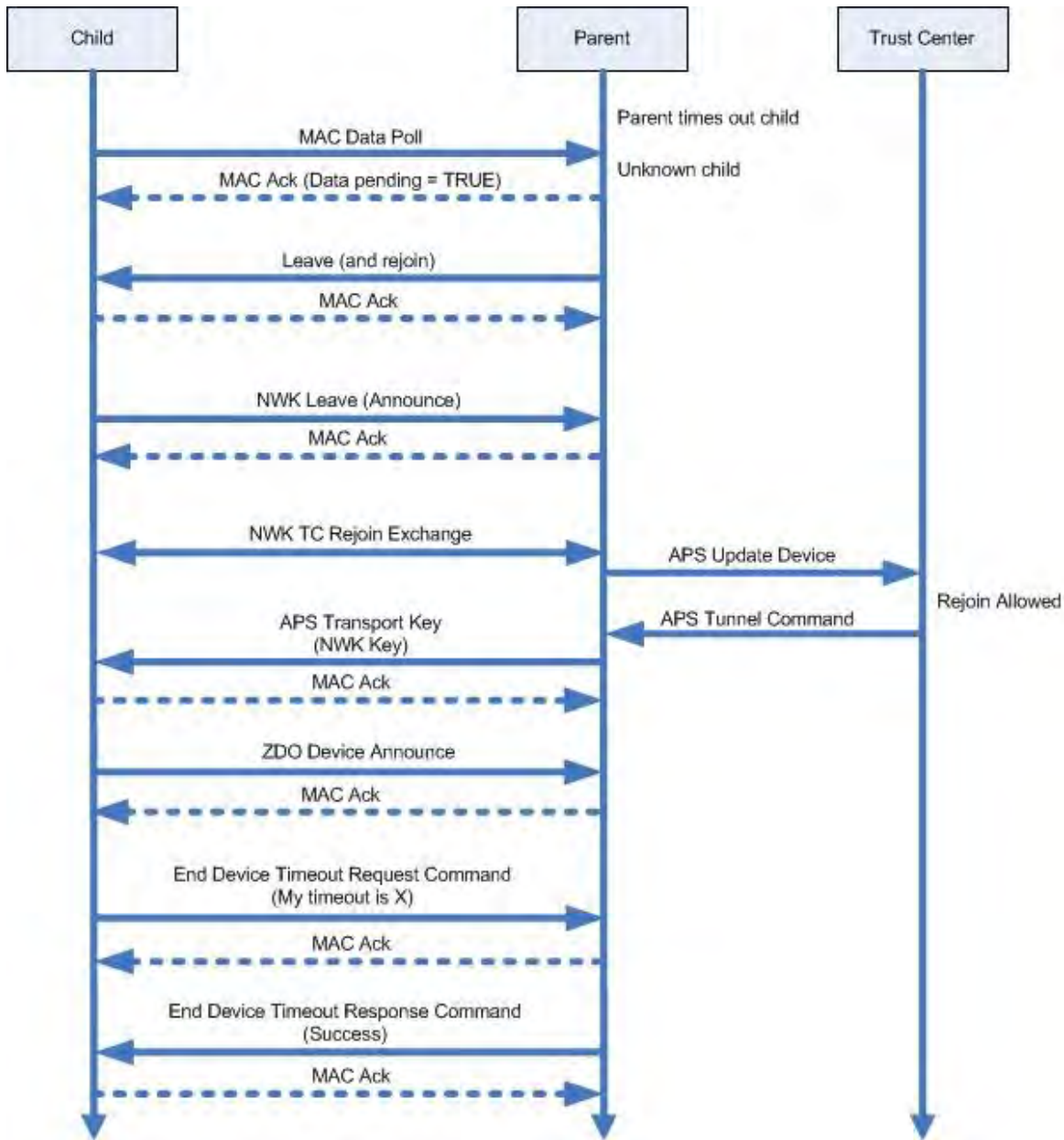
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Figure 3-57. Aging out Children: MAC Data Poll Method - Secure Rejoin

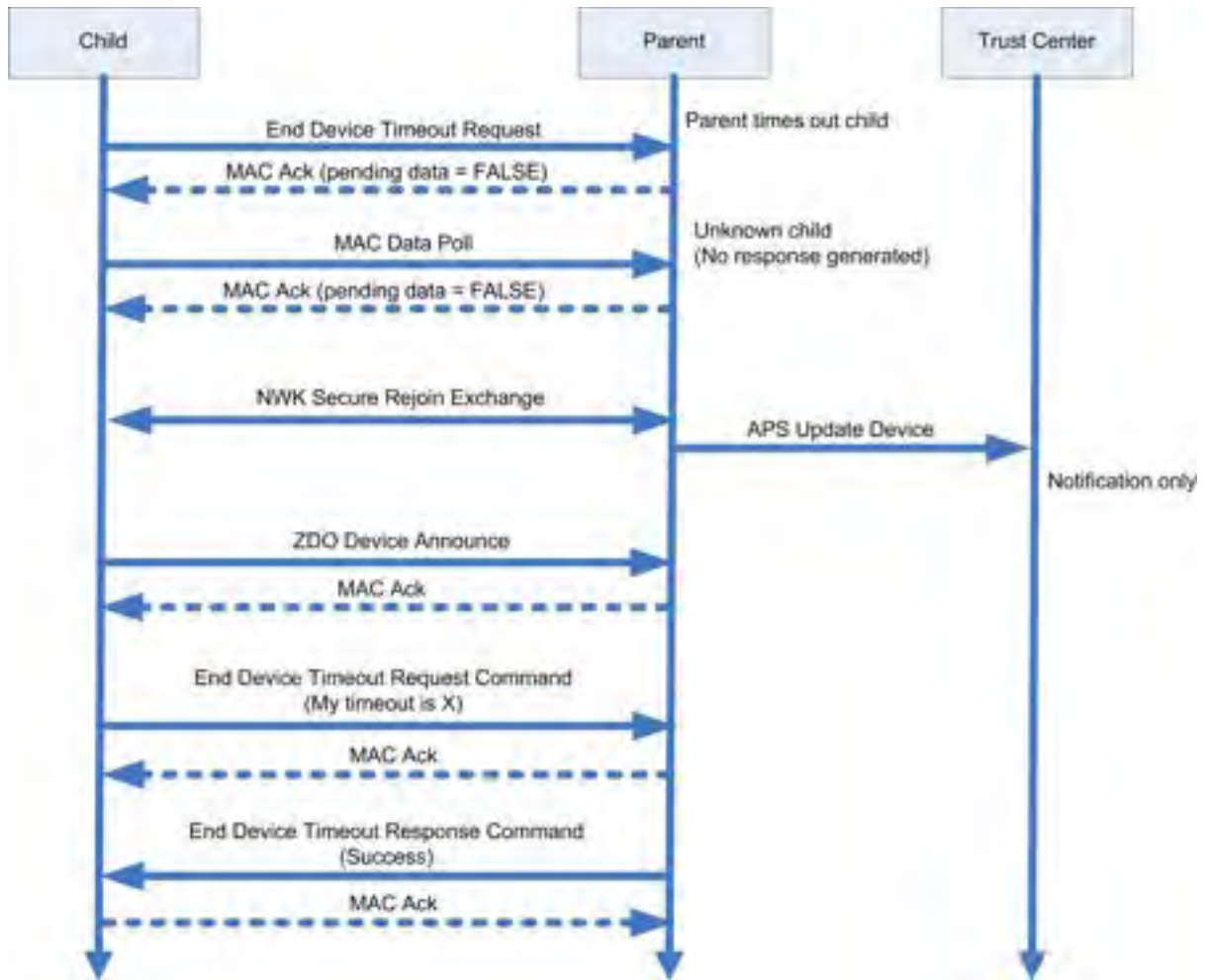


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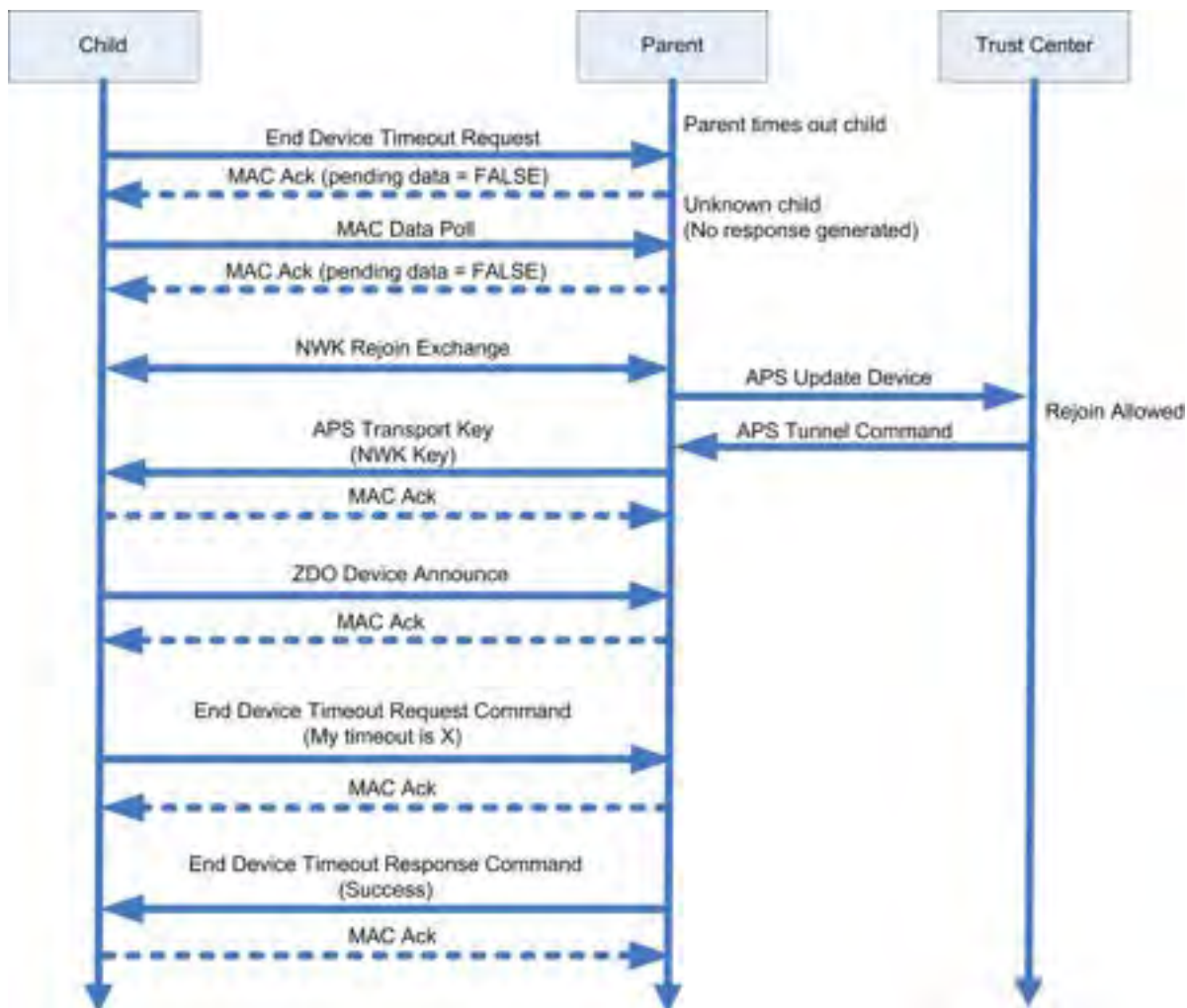
Figure 3-58. Aging out Children: MAC Data Poll - Trust Center Rejoin

9621 Figure 3-59 and Figure 3-60 show what happens when an end device is aged out of the parent’s table with a parent
 9622 that supports the End Device Timeout Request method. An end device sends an End Device Timeout Request and
 9623 receives no response. Afterwards it will perform a rejoin. shows a secure rejoin while shows a Trust Center rejoin.
 9624 Once the device has completed the rejoin it will send a NWK command End Device timeout request and receive the
 9625 response.



9626
9627

Figure 3-59. Aging out Children: End Device Timeout Request Method - Secure Rejoin



9628

9629

Figure 3-60. Aging out Children: End Device Timeout Request Method - Trust Center Rejoin

9630 3.6.10.10 Trust Center Rejoin or Secure Rejoin

9631 An end device that has detected it has been aged out of its parent’s child table MAY choose to use either a Secure
 9632 Rejoin or a Trust Center rejoin. The choice to use one or the other is up to the implementation but can be based on
 9633 whether it MAY have missed a network key update. A device that has missed a network key update will have to use
 9634 a Trust Center Rejoin. However in a case where that situation has not occurred, a Secure Rejoin will complete more
 9635 quickly and can be used instead. It is possible that an end device MAY try both methods to insure it can get back on
 9636 the network.

9637 3.6.11 Power Negotiation

9638 Two devices can negotiate a lower power level than the device’s normal operating power. This is considered a
 9639 “good neighbor” policy of reducing transmission noise beyond what is necessary for the two devices to communi-
 9640 cate. Power negotiation is an optional feature that a device MAY choose to implement. This feature is described in
 9641 detail in section 3.4.13.

9642 3.6.11.1 Behavior

9643 Each device SHALL maintain a table of devices and the required power level for communicating to those devices.
 9644 This table is contained within the MLME. See section D.11.2. The network layer SHALL use that table for negotiat-
 9645 ing power levels with neighboring devices.

9646 If there is a change in network channel, or a device performs a rejoin, the maximum allowed power SHALL be used
9647 initially.

9648 **3.6.11.2 Determining support for Power Negotiation**

9649 It is preferable that an End Device does not have to generate periodic Link Power Delta commands unless its parent
9650 supports the Power Negotiation feature. Therefore it can utilize the Parent Information field of the End Device
9651 Timeout response to discern whether the parent device supports this. If the parent indicates bit 2 (Power Negotiation
9652 Support) is set to 0, the End Device SHALL set the *nwkLinkPowerDeltaTransmitRate* to 0. If the parent indicates bit
9653 2 (Power Negotiation Support) is set to 1, the End Device SHALL set the *nwkLinkPowerDeltaTransmitRate* to an
9654 appropriate rate based its desired balance of battery life versus latency of renegotiating its power level.

9655 **3.6.11.2.1 Generating Link Power Delta messages**

9656 A router SHALL generate a Link Power Delta message as follows:

- 9657 1. The message SHALL be broadcast to all non-sleeping end devices, router and coordinator devices (0xFFFD).
- 9658 2. The radius of the broadcast SHALL be 1.

9659 After generating a Link Power Delta command an end device SHALL poll up to 3 times in rapid succession to re-
9660 ceive a corresponding Link Power Delta command from the parent.

9661 **3.6.12 Multiple MAC Interfaces**

9662 It is permissible that the NLME supports multiple MAC interfaces. This MAY be done in order to support multiple
9663 potential PHY where only a single PHY is enabled at one time, or it MAY be to support simultaneous operation on
9664 multiple PHYs.

9665 The following describes the procedure for devices supporting multiple MAC.

9666 **3.6.12.1 Multi-MAC Selection Device**

9667 A Multi-MAC selection device is one that supports multiple possible MACs, but only operates on one while it is
9668 joined to a network. The process for selecting among the multiple MACs to use for joining is the following:

- 9669 1. For each possible interface, the following is executed.
 - 9670 a. Application enables one of the MAC interfaces by issuing the NLME-SET-INTERFACE.request primitive
9671 with State = TRUE. For all other interfaces, it issues the NLME-SET-INTERFACE.request primitive with
9672 State = FALSE.
 - 9673 b. Application issues the NLME-GET-INTERFACE.request and waits for the NLME-GET-INTER-
9674 FACE.confirm with a Status of SUCCESS.
 - 9675 c. Application issues the NLME-JOIN.request primitive with the ScanChannelsList set to the value returned
9676 in the SupportedChannels parameter of the NLME-GET-INTERFACE.confirm.
 - 9677 d. If NLME-JOIN.confirm returns a result of SUCCESS, then the Multi-PHY Selection is complete.
 - 9678 e. If NLME-JOIN.confirm returns a result other than SUCCESS, than proceed to the next interface.

9679 **3.6.12.2 Multi-MAC Switch Device**

9680 A Multi-MAC Switch Device is a device that supports simultaneous operation on multiple MACs and will bridge
9681 packets from one interface to the other. The following is the procedure for a device to enable multiple MACs.

- 9682 1. The application can tailor the list of interfaces that are enabled by selecting a subset of channels that only a sin-
9683 gular interface supports.
- 9684 2. The Application issues an NLME-NETWORK-FORMATION.request with the ScanChannelsList and MacIn-
9685 terfaceIndex set to the SupportedChannels and the InterfaceIndex indicated by the parameters of the NLME-
9686 GET-INTERFACE.confirm primitive.

- 9687 a. For each interface, the NLME-NETWORK-FORMATION.request calls the NLME-SET-INTERFACE.re-
9688 request with a status of Enabled
- 9689 3. When the device chooses to enable additional MAC interfaces it SHALL do the following for each interface.
- 9690 a. Enable the interface entry by issuing an NLME-SET-INTERFACE.request primitive and wait for the
9691 NLME-SET-INTERFACE.confirm primitive.
- 9692 b. Retrieve the list of supported channels by issuing NLME-GET-INTERFACE.request primitive and waiting
9693 for the NLME-GET-INTERFACE.confirm primitive.
- 9694 c. Issue the NLME-NETWORK-AND-PARENT-DISCOVERY.request with the ScanChannelList set to the
9695 SupportedChannelList indicated by the NLME-GET-INTERFACE.confirm primitive.
- 9696 4. If any of the interfaces returned the NLME-NETWORK-AND-PARENT-DISCOVERY.confirm primitive with
9697 a NetworkDescriptor containing a PANId that is the same as the nwkPANId of the NIB, the following SHALL
9698 be done to change the PAN ID.
- 9699 a. Randomly select a new PAN ID and set the nwkPanId value of the NIB to this new value.
- 9700 b. Issue a Network Update Command with the Update Command ID set to 0x00, PAN ID Update, and the
9701 Update Count set to 1. The New PAN ID field SHALL be set to the nwkPanId value of the NIB.

9702 3.6.13 Unknown Commands

9703 Note that devices conforming to R21 or earlier of this specification will not return this response to an unknown com-
9704 mand.

9705 Whenever an unknown or unsupported unicast NWK command is received and the NWK Destination of the frame is
9706 the address of the local device, it SHALL do the following:

- 9707 1. Construct a Network Status Command Frame
- 9708 2. Set the Status field of the command to 0x13, Unknown Command.
- 9709 3. Set the Destination Address field of the command to the NWK Source of the frame.
- 9710 4. Set the Payload of the command as shown in Table 3-80, with the Command ID set to the ID value of the received
9711 command.

Octets: 1
Command ID

9712 **Figure 3-61. Unknown Command Payload**

- 9713 5. Issue a NLDE-DATA.request containing the Network Status Command frame. The NWK Destination SHALL
9714 be equal to NWK Source of the frame that triggered this behavior.

9715 3.7 NWK Layer Status Values

9716 Network (NWK) layer confirmation primitives often include a parameter that reports on the status of the request to
9717 which the confirmation applies. Values for NWK layer Status parameters appear in Table 3-80.

Table 3-80. NWK Layer Status Values

Name	Value	Description
SUCCESS	0x00	A request has been executed successfully.
Reserved	0x01 – 0xc0	Reserved for future use.
INVALID_PARAMETER	0xc1	An invalid or out-of-range parameter has been passed to a primitive from the next higher layer.
INV_REQUESTTYPE	0xc2	The next higher layer has issued a request that is invalid or cannot be executed given the current state of the NWK layer.
NOT_PERMITTED	0xc3	An NLME-JOIN.request has been disallowed.
STARTUP_FAILURE	0xc4	An NLME-NETWORK-FORMATION.request has failed to start a network.
ALREADY_PRESENT	0xc5	A device with the address supplied to the NLME-ADD-NEIGHBOR.request is already present in the neighbor table of the device on which the NLME-ADD-NEIGHBOR.request was issued.
SYNC_FAILURE	0xc6	Used to indicate that an NLME-SYNC.request has failed at the MAC layer.
NEIGHBOR_TABLE_FULL	0xc7	An NLME-JOIN-DIRECTLY.request has failed because there is no more room in the neighbor table.
UNKNOWN_DEVICE	0xc8	An NLME-LEAVE.request has failed because the device addressed in the parameter list is not in the neighbor table of the issuing device.
UNSUPPORTED_ATTRIBUTE	0xc9	An NLME-GET.request or NLME-SET.request has been issued with an unknown attribute identifier.
NO_NETWORKS	0xca	An NLME-JOIN.request has been issued in an environment where no networks are detectable.
Reserved	0xcb	Reserved for future use.
MAX_FRM_COUNTER	0xcc	Security processing has been attempted on an outgoing frame, and has failed because the frame counter has reached its maximum value.
NO_KEY	0xcd	Security processing has been attempted on an outgoing frame, and has failed because no key was available with which to process it.

Name	Value	Description
BAD_CCM_OUTPUT	0xce	Security processing has been attempted on an outgoing frame, and has failed because the security engine produced erroneous output.
Reserved	0xcf	Reserved for future use.
ROUTE_DISCOVERY_FAILED	0xd0	An attempt to discover a route has failed due to a reason other than a lack of routing capacity.
ROUTE_ERROR	0xd1	An NLDE-DATA.request has failed due to a routing failure on the sending device or an NLME-ROUTE-DISCOVERY.request has failed due to the cause cited in the accompanying NetworkStatusCode.
BT_TABLE_FULL	0xd2	An attempt to send a broadcast frame has failed because there is no room in the BTT.
FRAME_NOT_BUFFERED	0xd3	An NLDE-DATA.request has failed due to insufficient buffering available.
INVALID_INTERFACE	0xd5	An attempt was made to use a MAC Interface with a state that is currently set to FALSE (disabled) or that is unknown to the stack..
MISSING_TLV	0xD6	A required TLV for processing the request was not present.
INVALID_TLV	0xD7	A TLV was malformed or missing relevant information.

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CHAPTER 4. SECURITY SERVICES SPECIFICATION

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4.1 Document Organization

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The remaining portions of this document specify in greater detail the various security services available within the Zigbee stack. Basic definitions and references are given in section 4.2. A general description of the security services is given in section 4.2.1. In this section, the overall security architecture is discussed; basic security services provided by each layer of this architecture are introduced. Sections 4.2.2 and 4.2.3 give the Connectivity Standards Alliance’s security specifications for the Network (NWK) layer and the Application Support Sublayer (APS) layer, respectively. These sections introduce the security mechanisms, give the primitives, and define any frame formats used for security purposes. Section 4.5 describes security elements common to the NWK and APS layers. Section 4.6 provides a basic functional description of the available security features. Finally, annexes provide technical details and test vectors needed to implement and test the cryptographic mechanisms and protocols used by the NWK and APS layers.

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4.2 General Description

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Security services provided for Zigbee include methods for key establishment, key transport, frame protection, and device management. These services form the building blocks for implementing security policies within a Zigbee device. Specifications for the security services and a functional description of how these services SHALL be used are given in this document.

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4.2.1 Security Architecture and Design

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In this section, the security architecture is described. Where applicable, this architecture complements the security services that are already present in the IEEE Std 802.15.4-2020 [B1] security specification.

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4.2.1.1 Security Assumptions

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The level of security provided by the Zigbee security architecture depends on the safekeeping of the symmetric keys, on the protection mechanisms employed, and on the proper implementation of the cryptographic mechanisms and associated security policies involved. Trust in the security architecture ultimately reduces to trust in the secure initialization and installation of keying material and to trust in the secure processing and storage of keying material.

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Implementations of security protocols, such as key establishment, are assumed to properly execute the complete protocol and not to leave out any steps thereof. Random number generators are assumed to operate as EXPECTED. Furthermore, it is assumed that secret keys do not become available outside the device in an unsecured way. That is, a device will not intentionally or inadvertently transmit its keying material to other devices unless the keying material is protected, such as during key-transport. During initial key transport the keying material used for protection MAY be a well-known key, thus resulting in a brief moment of vulnerability where the key could be obtained by any device. Alternatively, the initial key transport MAY be done using a pre-shared secret key that is passed out-of-band from the Zigbee network. The following caveat in these assumptions applies: due to the low-cost nature of *ad hoc* network devices, one cannot generally assume the availability of tamper-resistant hardware. Hence, physical access to a device MAY yield access to secret keying material and other privileged information, as well as access to the security software and hardware.

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Due to cost constraints, Zigbee has to assume that different applications using the same radio are not logically separated (for example, by using a firewall). In addition, from the perspective of a given device it is not even possible (barring certification) to verify whether cryptographic separation between different applications on another device — or even between different layers of the communication stack thereof — is indeed properly implemented. Hence, one SHALL assume that separate applications using the same radio trust each other; that is, there is no cryptographic task separation. Additionally, lower layers (for example, APS, NWK, or MAC) are fully accessible by any of the

9762 applications. These assumptions lead to an open trust model for a device; different layers of the communication stack
9763 and all applications running on a single device trust each other.

9764 In summary:

- 9765 • The provided security services cryptographically protect the interfaces between different devices only.
- 9766 • Separation of the interfaces between different stack layers on the same device is arranged non-cryptograph-
9767 ically, via proper design of security service access points.

9768 **4.2.1.2 Security Design Choices**

9769 The open trust model (as described in section 4.2.1.1) on a device has far-reaching consequences. It allows re-use of
9770 the same keying material among different layers on the same device and it allows end-to-end security to be realized
9771 on a device-to-device basis rather than between pairs of particular layers (or even pairs of applications) on two com-
9772 municating devices.

9773 However, one SHALL also take into consideration whether one is concerned with the ability of malevolent network
9774 devices to use the network to transport frames across the network without permission.

9775 These observations lead to the following architectural design choices:

9776 First, the principle that “*the layer that originates a frame is responsible for initially securing it*” is established. For
9777 example, if a NWK command frame needs protection, NWK layer security SHALL be used.

9778 Second, if protection from theft of service is required (that is, from malevolent network devices), NWK layer security
9779 SHALL be used for all frames, except those passed between a router and a newly joined device (until the newly joined
9780 device receives the active network key). Thus, only a device that has joined the network and successfully received the
9781 active network key will be able to have its messages communicated more than one hop across the network.

9782 Third, due to the open trust model, security can be based on the reuse of keys by each layer. For example, the active
9783 network key SHALL be used to secure APS layer broadcast frames or NWK layer frames. Reuse of keys helps reduce
9784 storage costs.

9785 Fourth, end-to-end security is provided such that it is possible for only source and destination devices to access mes-
9786 sages protected by a shared key. This ensures that routing of messages between the two devices with the shared key
9787 can be independent of trust considerations.

9788 Fifth, to simplify interoperability of devices, the base security level used by all devices in a given network, and by all
9789 layers of a device, SHALL be the same. If an application needs more security for its payload than is provided by
9790 network level security, it can establish application level security with another device. There are several policy deci-
9791 sions which any real implementation SHALL address correctly. Application profiles SHOULD include policies to:

9792 Handle error conditions arising from securing and unsecuring packets. Some error conditions MAY indicate loss of
9793 synchronization of security material, or MAY indicate ongoing attacks:

- 9794 • Detect and handle loss of counter synchronization and counter overflow.
- 9795 • Detect and handle loss of key synchronization.
- 9796 • Expire and periodically update keys, if desired.

9797 The other security design choice is done by the device that forms a network. This device sets the security policies and
9798 processes followed by the network and devices that join the network.

9799 **4.2.1.2.1 Security Keys**

9800 Security amongst a network of Zigbee devices is based on “link” keys and a “network” key. Unicast communication
9801 between APL peer entities is secured by means of a 128-bit link key shared by two devices, while broadcast commu-
9802 nications and any network layer communications are secured by means of a 128-bit network key shared amongst all
9803 devices in the network. The intended recipient is always aware of the exact security arrangement; that is, the recipient
9804 knows whether a frame is protected with a link key or a network key.

9805 A device SHALL acquire link keys either via key-transport, or pre-installation (for example, during factory installa-
 9806 tion). A device SHALL acquire a network key via key-transport. Some application profiles have also developed out
 9807 of band mechanisms or key negotiation protocols used for generating link keys or network keys on devices. Ultimately,
 9808 security between devices depends on secure initialization and installation of these keys.

9809 There is one type of network key; however, it can be used in either distributed or centralized security models. The
 9810 security model controls how a network key is distributed; and MAY control how network frame counters are initial-
 9811 ized. The security model does not affect how messages are secured.

9812 There are two different types of trust center link keys: global and unique. The type of trust center link key in use by
 9813 the local device SHALL determine how the device handles various trust center messages (APS commands), including
 9814 whether to apply APS encryption. A Trust Center link key MAY also be used to secure APS data messages between
 9815 the Trust Center and the corresponding peer device. The choice of whether to use APS security on those APS data
 9816 messages is up to the higher layer application.

9817 A link key between two devices, neither of which is the trust center, is known as an application link key.

9818 The default value for the centralized security global trust center link key SHALL have a value of 5A 69 67 42 65 65
 9819 41 6C 6C 69 61 6E 63 65 30 39 (ZigbeeAlliance09).

9820 The different types of keys used are described in Table 4-1.

9821 **Table 4-1. Link Keys Used in Zigbee Networks**

Key Name	Description
Centralized security global trust center link key	Link key used for joining centralized security networks.
Distributed security global link key	Link key used for joining distributed security networks.
Install code link key	Link key derived from install code from joining device to create unique trust center link key for joining.
Application link key	Link key used between two devices for application layer encryption.
Device Specific trust center link key	Link key used between the trust center and a device in the network. Used for trust center commands and application layer encryption.

9822 In a secured network there are a variety of security services available. Prudence dictates that one would prefer to avoid
 9823 re-use of keys across different security services, which otherwise could cause security leaks due to unwanted interac-
 9824 tions. As such, these different services use a key derived from a one-way function using the link key (as specified in
 9825 section 4.5.3). The use of uncorrelated keys ensures logical separation of the execution of different security protocols.
 9826 The key-load key is used to protect transported link keys; the key-transport key is used to protect transported network
 9827 keys. The active network key MAY be used by the NWK and APL layers of Zigbee. As such, the same network key
 9828 and associated outgoing and incoming frame counters SHALL be available to all of these layers. The link keys MAY
 9829 be used only by the APS sublayer. As such, the link key SHALL be available only to the APL layer.

9830 An installation code is a short code that uses an algorithm to derive the 128-bit AES key. The mechanism for deriving
 9831 a key from an installation code are out of scope of this specification.

9832 4.2.1.2.2 Zigbee Security Architecture

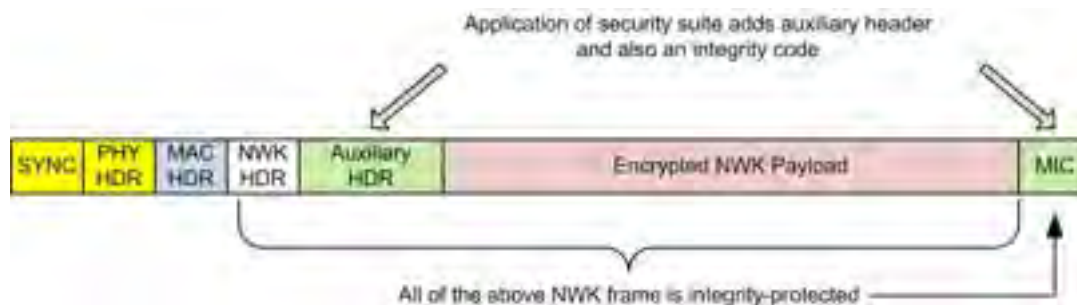
9833 The Zigbee security architecture includes security mechanisms at two layers of the protocol stack. The NWK and APS
 9834 layers are responsible for the secure transport of their respective frames. Furthermore, the APS sublayer provides
 9835 services for the establishment and maintenance of security relationships. The Zigbee Device Object (ZDO) manages

9836 the security policies and the security configuration of a device. Figure 1-1 shows a complete view of the Zigbee
 9837 protocol stack. The security mechanisms provided by the APS and NWK layers are described in this version of the
 9838 specification.

9839 4.2.2 NWK Layer Security

9840 When a frame originating at the NWK layer needs to be secured Zigbee SHALL use the frame-protection mechanism
 9841 given in section 4.3.1 of this specification, unless the SecurityEnable parameter of the NLDE-DATA.request primitive
 9842 is FALSE, explicitly prohibiting security. For example, no NWK layer security is used during transport of the NWK
 9843 Key over the last hop to a joining device since APS security will be used to protect the frame. The NWK layer's frame-
 9844 protection mechanism SHALL make use of the Advanced Encryption Standard (AES) [B8] and use CCM* as specified
 9845 in Annex A. The security level applied to a NWK frame SHALL be determined by the *nwkSecurityLevel* attribute in
 9846 the NIB. Upper layers manage NWK layer security by setting up active and alternate network keys and by determining
 9847 which security level to use.

9848 Figure 4-1 shows an example of the security fields that MAY be included in a NWK frame.



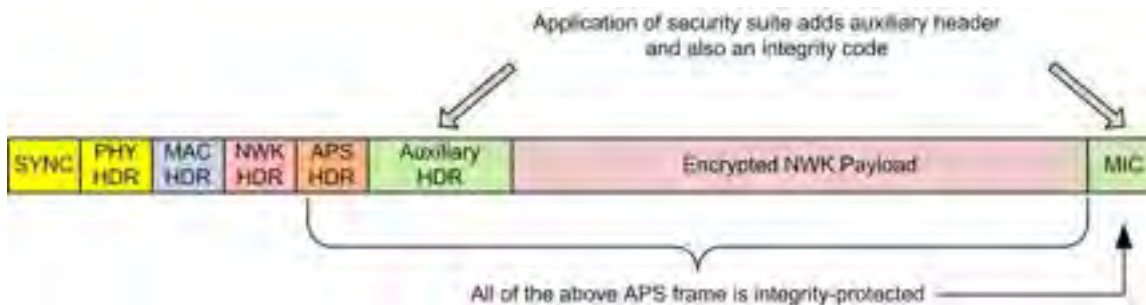
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Figure 4-1. Zigbee Frame with Security on the NWK Level

9851 4.2.3 APL Layer Security

9852 When a frame originating at the APL layer needs to be secured, the APS sublayer SHALL handle security. The APS
 9853 layer's frame-protection mechanism is given in section 4.4.1 of this specification. The APS layer allows frame security
 9854 to be based on link keys or the network key. Figure 4-2 shows an example of the security fields that MAY be included
 9855 in an APL frame. The APS layer is also responsible for providing applications and the ZDO with key establishment,
 9856 key transport, and device management services.



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Figure 4-2. Zigbee Frame with Security on the APS Level

9859 4.2.3.1 Transport Key

9860 The transport-key service provides secured means to transport a key to another device or other devices. The secured
 9861 transport-key command provides a means to transport link, or network key from a key source (for example, the Trust
 9862 Center) to other devices.

9863 **4.2.3.2 Update Device**

9864 The update device service provides a secure means for a router device to inform the Trust Center that a third device
9865 has had a change of status that SHALL be updated (for example, the device joined or left the network). This is the
9866 mechanism by which the Trust Center maintains an accurate list of active network devices.

9867 **4.2.3.3 Remove Device**

9868 The remove device service provides a secure means by which a Trust Center informs a router device that one of the
9869 router's children or the router itself SHALL be removed from the network. For example, the remove device service
9870 MAY be employed to remove from a network a device that has not satisfied the Trust Center's security requirements
9871 for network devices.

9872 **4.2.3.4 Request Key**

9873 The request-key service provides a secure means for a device to request an end-to-end application link key or trust
9874 center link key, from the Trust Center.

9875 **4.2.3.5 Switch Key**

9876 The switch-key service provides a secure means for a Trust Center to inform another device that it SHOULD switch
9877 to a different active network key.

9878 **4.2.3.6 Verify-Key**

9879 The verify-key service provides a secure means for a device to verify that the device and the Trust Center agree on
9880 the current value of the device's link key.

9881 **4.2.3.7 Confirm Key**

9882 The confirm-key service provides a secure means for a Trust Center to confirm a previous request to verify a link key.

9883 **4.2.4 Trust Center Role**

9884 For security purposes, Zigbee defines the role of "Trust Center". The Trust Center is the device trusted by devices
9885 within a network to distribute keys for the purpose of network and potentially end-to-end application configuration
9886 management. All members of the network SHALL recognize exactly one active Trust Center, and there SHALL be
9887 exactly one Trust Center in each centralized security network. The Trust Center is responsible for establishing, main-
9888 taining and updating security policies for the network.

9889 In a distributed security network, all routers have the capability to act as the Trust Center and distribute keys for
9890 network security. This distributed trust center role is used for network key distribution but not trust center link key
9891 distribution since there is not a singular trust center in the network.

9892 In some applications a device can be pre-loaded with the Trust Center address and initial Trust Center link key, or the
9893 joining device's Trust Center link key can be installed out of band.

9894 In applications that can tolerate a moment of vulnerability, the network key can be sent via APS secured key transport
9895 using a well-known link key.

9896 In a centralized security model, the Trust Center established policies for joining devices and network security. It MAY
9897 require devices to be known before providing the network key update for joining, or MAY require a preconfigured
9898 link key be installed out of band. These Trust Center policies are described in section 4.7.1.

9899 In a centralized security network a device securely communicates with its Trust Center using the current Trust Center
9900 link key.

9901 For purposes of trust management, a device only accepts a Trust Center link key or active network key originating
9902 from its Trust Center via key transport. For purposes of network management in a centralized security network, a
9903 device accepts an initial active network key and updated network keys only from its Trust Center when secured with

9904 its Trust Center Link key. For purposes of configuration, a device accepts link keys intended for establishing end-to-
 9905 end security between two devices only from its Trust Center or through application level negotiation using a higher
 9906 level protocol between the two devices. Aside from the initial Trust Center link key or network key, additional link,
 9907 and network keys are only accepted if they originate from a device's Trust Center via secured key transport or negoti-
 9908 ated using higher level application protocols.

9909 4.3 NWK Layer Security

9910 The NWK layer is responsible for the processing steps needed to securely transmit outgoing frames and securely
 9911 receive incoming frames. Upper layers control the security processing operations by setting up the appropriate keys
 9912 and frame counters and establishing which security level to use.

9913 4.3.1 Frame Security

9914 The detailed steps involved in security processing of outgoing and incoming NWK frames are described in sections
 9915 4.3.1.1 and 4.3.1.2, respectively.

9916 4.3.1.1 Security Processing of Outgoing Frames

9917 If the NWK layer has a frame, consisting of a header *NwkHeader* and payload *Payload*, which needs security protec-
 9918 tion and *nwkSecurityLevel* > 0, and in the case of a NWK data frame, the SecurityEnabled parameter in NLD-
 9919 EDATA.request had a value of TRUE, it SHALL apply security as follows:

- 9920 1) Obtain the *nwkActiveKeySeqNumber* from the NIB and use it to retrieve the active network key *key*, outgoing
 9921 frame counter *OutgoingFrameCounter*, and key sequence number *KeySeqNumber* from the *nwkSecurityMateri-*
 9922 *alSet* attribute in the NIB. Obtain the security level from the *nwkSecurityLevel* attribute from the NIB. If the
 9923 outgoing frame counter is equal to $2^{32}-1$, or if the key cannot be obtained, security processing SHALL fail and
 9924 no further security processing SHALL be done on this frame.
- 9925 2) Construct the auxiliary header *AuxiliaryHeader* (see section 4.5.1):
 - 9926 a) Set the security control field as follows:
 - 9927 i) The security level sub-field SHALL be the security level obtained from step 1.
 - 9928 ii) The key identifier sub-field SHALL be set to '01' (that is, the active network key).
 - 9929 iii) The extended nonce sub-field SHALL be set to 1.
 - 9930 b) Set the source address field to the 64-bit extended address of the local device.
 - 9931 c) Set the frame counter field to the outgoing frame counter from step 1.
 - 9932 d) Set the key sequence number field to the sequence number from step 1.
- 9933 3) Execute the CCM mode encryption and authentication operation, as specified in Annex A, with the following
 9934 instantiations:
 - 9935 a) Obtain the parameter *M* from Table 4-38 corresponding to the security level from step 1.
 - 9936 b) The bit string *Key* SHALL be the key obtained from step 1.
 - 9937 c) The nonce *N* SHALL be the 13-octet string constructed using the security control field from step a, the
 9938 frame counter field from step d, and the source address field from step c (see section 4.5.2.2).
 - 9939 d) If the security level requires encryption, the octet string *a* SHALL be the string *NwkHeader* || *Auxiliary-*
 9940 *Header* and the octet string *m* SHALL be the string *Payload*. Otherwise, the octet string *a* SHALL be the
 9941 string *NwkHeader* || *AuxiliaryHeader* || *Payload* and the octet string *m* SHALL be a string of length zero.
- 9942 4) If the CCM mode invoked in step 3 outputs 'invalid', security processing SHALL fail and no further security
 9943 processing shall be done on this frame.
- 9944 5) Let *c* be the output from step 3. If the security level requires encryption, the secured outgoing frame SHALL be
 9945 *NwkHeader* || *AuxiliaryHeader* || *c*, otherwise the secured outgoing frame SHALL be *NwkHeader* || *Auxiliary-*
 9946 *Header* || *Payload* || *c*.

- 9947 6) If the secured outgoing frame size is greater than *aMaxMacFrameSize* security processing SHALL fail and no
9948 further security processing SHALL be done on this frame.
- 9949 7) The outgoing frame counter from step 1 SHALL be incremented by one and stored in the *OutgoingFrame-*
9950 *Counter* element of the network security material descriptor referenced by the *nwkActiveKeySeqNum-ber* in the
9951 NIB; that is, the outgoing frame counter value associated with the key used to protect the frame is updated.
- 9952 8) The security level sub-field of the security control field SHALL be overwritten by the 3-bit all-zero string '000'.

9953 4.3.1.2 Security Processing of Incoming Frames

9954 If the NWK layer receives a secured frame (consisting of a header *NwkHeader*, auxiliary header *AuxiliaryHeader*, and
9955 payload *SecuredPayload*) as indicated by the security sub-field of the NWK header frame control field, it SHALL
9956 perform security processing as follows:

- 9957 1) Determine the security level from the *nwkSecurityLevel* attribute of the NIB. Over-write the 3-bit security level
9958 sub-field of the security control field of the *AuxiliaryHeader* with this value. Determine the sequence number
9959 *SequenceNumber*, sender address *SenderAddress*, and received frame count *ReceivedFrameCount* from the aux-
9960 iliary header *AuxiliaryHeader* (see section 4.5.1). If *ReceivedFrameCounter* is equal to $2^{32}-1$, security pro-
9961 cessing SHALL indicate a failure to the next higher layer and no further security processing shall be done on
9962 this frame.
- 9963 2) Obtain the appropriate security material (consisting of the key and other attributes) by matching *Se-*
9964 *quenceNumber* to the sequence number of any key in the *nwkSecurityMaterialSet* attribute in the NIB. If the
9965 security material cannot be obtained, security processing SHALL indicate a failure to the next higher layer with
9966 a status of 'frame security failed' and no further security processing shall be done on this frame.
- 9967 3) If there is an incoming frame count *FrameCount* corresponding to *SenderAddress* from the security material
9968 obtained in step 2 and if *ReceivedFrameCount* is less than *FrameCount*, security processing SHALL indicate a
9969 failure to the next higher layer with a status of 'bad frame counter' and no further security processing shall be
9970 done on this frame.
- 9971 4) Execute the CCM mode decryption and authentication checking operation, as specified in section A.2, with the
9972 following instantiations:
- 9973 a) The parameter *M* SHALL be obtained from Table 4-38 corresponding to the security level from step 1.
9974 b) The bit string *Key* SHALL be the key obtained from step 2.
9975 c) The nonce *N* SHALL be the 13-octet string constructed using the security control, the frame counter, and
9976 the source address fields from *AuxiliaryHeader* (see section 4.5.1). Note that the security level subfield of
9977 the security control field has been overwritten in step 1 and now contains the value determined from the
9978 *nwkSecurityLevel* attribute from the NIB.
9979 d) The octet string *SecuredPayload* SHALL be parsed as *Payload1* || *Payload2*, where the rightmost string
9980 *Payload2* is an *M*-octet string. If this operation fails, security processing SHALL indicate a failure to the
9981 next higher layer with a status of 'frame security failed' and no further security processing shall be done on
9982 this frame.
9983 e) If the security level requires decryption, the octet string *a* shall be the string *NwkHeader* || *AuxiliaryHeader*
9984 and the octet string *c* SHALL be the string *SecuredPayload*. Otherwise, the octet string *a* SHALL be the
9985 string *NwkHeader* || *AuxiliaryHeader* || *Payload1* and the octet string *c* SHALL be the string *Payload2*.
- 9986 5) Return the results of the CCM operation:
- 9987 a) If the CCM mode invoked in step 4 outputs 'invalid', security processing SHALL indicate a failure to the
9988 next higher layer with a status of 'frame security failed' and no further security processing shall be done on
9989 this frame.
9990 b) Let *m* be the output of step 4. If the security level requires encryption, set the octet string *Unsecured-*
9991 *NwkFrame* to the string *NwkHeader* || *m*. Otherwise, set the octet string *UnsecuredNwkFrame* to the string
9992 *NwkHeader* || *Payload1*.
- 9993 6) Set *FrameCount* to (*ReceivedFrameCount* + 1) and store both *FrameCount* and *SenderAddress* in the NIB. If
9994 storing this frame count and address information will cause the memory allocation for this type of information

9995 to be exceeded, and the *nwkAllFresh* attribute in the NIB is TRUE, then security processing SHALL fail and no
 9996 further security processing shall be done on this frame. *UnsecuredNwkFrame* now represents the unsecured re-
 9997 ceived network frame and security processing SHALL succeed. So as to never cause the storage of the frame
 9998 count and address information to exceed the available memory, the memory allocated for incoming frame coun-
 9999 ters needed for NWK layer security SHALL be bounded by $M*N$, where M and N represent the cardinality of
 10000 *nwkSecurityMaterialSet* and *nwkNeighborTable* in the NIB, respectively.

10001 7) If the sequence number of the received frame belongs to a newer entry in the *nwkSecurityMaterialSet*, set the
 10002 *nwkActiveKeySeqNumber* to the received sequence number.

10003 8) If there is an entry in *nwkNeighborTable* in the NIB whose extended address matches SenderAddress and whose
 10004 relationship field has value 0x05 (unauthenticated child), then set relationship field in that entry to the value
 10005 0x01 (child).

10006 4.3.2 Secured NPDU Frame

10007 The NWK layer frame format (see section 3.3.2.2) consists of a NWK header and NWK payload field. The NWK
 10008 header consists of frame control and routing fields. When security is applied to an NPDU frame, the security bit in the
 10009 NWK frame control field SHALL be set to 1 to indicate the presence of the auxiliary frame header. The format for
 10010 the auxiliary frame header is given in section 4.5.1. The format of a secured NWK layer frame is shown in Figure 4-3.
 10011 The auxiliary frame header is situated between the NWK header and payload fields.

Octets: Variable	14	Variable	
Original NWK header ([B3], Clause 7.1)	Auxiliary frame header	Encrypted payload	Encrypted message integrity code (MIC)
		Secure frame payload = output of CCM	
Full NWK header		Secured NWK payload	

10012 **Figure 4-3. Secured NWK Layer Frame Format**

10013 4.3.3 Security-Related NIB Attributes

10014 The NWK PIB contains attributes that are required to manage security for the NWK layer. Each of these attributes
 10015 can be read and written using the NLMEGET.request and NLME-SET.request primitives, respectively. The security-
 10016 related attributes contained in the NWK PIB are presented in Table 4-2, Table 4-3, and Table 4-4.

10017 **Table 4-2. NIB Security Attributes**

Attribute	Identifier	Type	Range	Description	Default
<i>nwkSecurityLevel</i>	0xa0	Octet	0x00 – 07	The security level for out- going and incoming NWK frames; the allowable secu- rity level identifiers are pre- sented in Table 4-38	0x05

Attribute	Identifier	Type	Range	Description	Default
<i>nwkSecurityMaterialSet</i>	0xa1	A set of 2 network security material descriptors (see Table 4-3).	Variable	Set of network security material descriptors capable of maintaining an active and alternate network key.	-
<i>nwkActiveKeySeqNumber</i>	0xa2	Octet	0x00 – 0xFF	The sequence number of the active network key in <i>nwkSecurityMaterialSet</i> .	0x00
<i>nwkAllFresh</i>	0xa3	Boolean	TRUE or FALSE	Indicates whether incoming NWK frames SHALL be all checked for freshness when the memory for incoming frame counts is exceeded. See section 4.3.1.2.	TRUE

10018

10019

Table 4-3. Elements of the Network Security Material Descriptor

Name	Type	Range	Description	Default
KeySeqNumber	Octet	0x00 – 0xFF	A sequence number assigned to a network key by the Trust Center and used to distinguish network keys for purposes of key updates, and incoming frame security operations. This is only used when operating in a centralized security network.	00
OutgoingFrameCounter	Ordered set of 4 octets.	0x00000000 – 0xFFFFFFFF	Outgoing frame counter used for outgoing frames.	0x00000000
IncomingFrameCounterSet	Set of incoming frame counter descriptor values. See Table 4.3.	Variable	Set of incoming frame counter values and corresponding device addresses.	Null set
Key	Ordered set of 16 octets.	-	The actual value of the key.	-

Name	Type	Range	Description	Default
NetworkKeyType	Octet	0x01 – 0x01	The type of the key. 0x01 = standard All other values are reserved.	0x01

10020

10021

Table 4-4. Elements of the Incoming Frame Counter Descriptor

Name	Type	Range	Description	Default
SenderAddress	Device address	Any valid 64-bit address	Extended device address.	Device-specific
IncomingFrame-Counter	Ordered set of 4 octets	0x00000000 – 0xFFFFFFFF	Incoming frame counter used for incoming frames.	0x00000000

10022

4.3.4 Network Frame Counter Requirements

10023 Device SHALL maintain outgoing NWK frame counters across factory resets. The outgoing NWK frame counter
10024 SHALL only be reset as detailed in this specification. A factory reset includes any over the air message, such as a
10025 NWK leave. It is permitted for manufacturers to provide a full factory reset that erases all persisted data as a separate
10026 user action.

10027 A device can join a network, join other networks and then attempt to join the original network again. Neighbors on
10028 the original network will have a neighbor table entry for the device with the incoming frame counter set to the value
10029 that was heard when the device was previously on the network. If a fresh security material set with an outgoing NWK
10030 frame counter of zero is created when the original network is joined for a second time, devices in that network will
10031 reject frames sent with this frame counter. Devices SHALL therefore have sufficient shadow copies of their security
10032 material set and associated EPID to store the outgoing frame counter and EPID for each network that they MAY join.
10033 As an implementation optimization, it is permissible to store a single instance of the outgoing NWK frame counter
10034 that is used across all security material sets. This outgoing NWK frame counter SHALL be preserved across factory
10035 resets and when joining different networks. The only time the outgoing frame counter is reset to zero is when the
10036 device is already on a network, it receives an APSME-SWITCH-KEY and its outgoing frame counter is greater than
10037 0x80000000.

10038

4.3.4.1 Network Frame Counter Usage Calculations

10039 One leap year is $366 * 24 * 60 * 60 = 31,622,400$ seconds. The frame counter will wrap every 4,294,967,295 counts.
10040 Therefore a device would need to continuously send at a rate greater than 135 packets per second to cause the frame
10041 counter to wrap in less than a year.

10042 Often devices do not store the exact frame counter in flash memory but use a store ahead method to prevent wearing
10043 out flash memory. This will cause the device to jump its frame counter ahead on reboot to the next higher increment.
10044 If a device increments its frame counter by 1024 on a reboot, it would have to reboot at a rate greater than once every
10045 7 seconds to cause a wrap in a year.

10046 A device SHALL be able to store two network keys. If there are two network key updates whilst the device is asleep
 10047 or turned off, it will no longer have a valid network key and will only be able to join the network via a Trust center
 10048 rejoin. Limiting the network key updates to a maximum of once every 30 days mitigates this issue.

10049 4.4 APS Layer Security

10050 The APS layer is responsible for the processing steps needed to securely transmit outgoing frames, securely receive
 10051 incoming frames, and securely establish and manage cryptographic keys. Upper layers control the management of
 10052 cryptographic keys by issuing primitives to the APS layer.

10053 Table 4-5 lists the primitives available for key management and maintenance. Upper layers also determine which
 10054 security level to use when protecting outgoing frames.

10055 **Table 4-5. The APS Layer Security Primitives**

APSME Security Primitives	Re-quest	Confirm	Indication	Response	Description
APSME-TRANSPORT-KEY	Section 4.4.2.1	-	Section 4.4.2.2	-	Transports security material from one device to another.
APSME-UPDATE-DEVICE	Section 4.4.3.1	-	Section 4.4.3.2	-	Notifies the Trust Center when a new device has joined, or an existing device has left the network.
APSME-REMOVE-DEVICE	Section 4.4.4.1	-	Section 4.4.4.2	-	Used by the Trust Center to notify a router that one of the router's child devices, or the router itself, SHOULD be removed from the network.
APSME-REQUEST-KEY	Section 4.4.5.1	-	Section 4.4.5.2	-	Used by a device to request that the Trust Center send an application link key or trust center link key.
APSME-SWITCH-KEY	Section 4.4.6.1	-	Section 4.4.6.2	-	Used by the Trust Center to tell a device to switch to a new network key.
APSME-VERIFY-KEY	Section 4.4.7.1	-	Section 4.4.7.2	-	Used by a device to verify the link key used by the trust center.
APSME-CONFIRM-KEY	Section 4.4.8.1	-	Section 4.4.8.2	-	Used by the trust center to confirm a previous request to verify a link key.

APSME Security Primitives	Re-quest	Confirm	Indication	Response	Description
APSME-KEY-NEGOTIA-TION	Section 4.4.9.1		Section 4.4.9.2		This provides the ability for the stack to initiate or respond to a dynamic key negotiation.

10056 4.4.1 Frame Security

10057 The detailed steps involved in security processing of outgoing and incoming APS frames are described in sections
10058 4.4.1.1 and 4.4.1.2, respectively.

10059 4.4.1.1 Security Processing of Outgoing Frames

10060 If the APS layer has a frame, consisting of a header *ApsHeader* and payload *Payload*, that needs security protection
10061 and *nwkSecurityLevel* > 0, it SHALL apply security as follows:

- 10062 1) Obtain the security material and key identifier *KeyIdentifier* using the following procedure. If security material
10063 or key identifier cannot be determined, then security processing SHALL fail and no further security processing
10064 shall be done on this frame.
 - 10065 a) If the frame is a result of a APSDE-DATA.request primitive:
 - 10066 i) The security material associated with the destination address of the outgoing frame SHALL be ob-
10067 tained from the *apsDeviceKeyPairSet* attribute in the AIB. *KeyIdentifier* SHALL be set to '00' (that is,
10068 a data key).
 - 10069 ii) Only entries with a KeyAttribute of PROVISIONAL or VERIFIED SHALL be used. Keys with other
10070 attributes SHALL NOT be used for encryption.
 - 10071 b) If the frame is a result of an APS command that requires securing.
 - 10072 i) An attempt SHALL be made to retrieve the security material associated with the destination address of
10073 the outgoing frame from the *apsDeviceKeyPairSet* attribute in the AIB. Only entries with a KeyAttrib-
10074 ute of PROVISIONAL or VERIFIED SHALL be used. Keys with other attributes SHALL NOT be
10075 used for encryption.
 - 10076 ii) For all cases except transport-key commands, *KeyIdentifier* SHALL be set to '00' (that is, a data key).
10077 For the case of transport-key commands, *KeyIdentifier* SHALL be set to '02' (that is, the key-transport
10078 key) when transporting a network key and SHALL be set to '03' (that is, the key-load key) when trans-
10079 porting an application link key or trust center link key. See section 4.5.3 for a description of the key-
10080 transport and key-load keys.
- 10081 2) Extract the outgoing frame counter (and, if KeyIdentifier is 01, the key sequence number) from the security ma-
10082 terial obtained from step 1. If the outgoing frame counter value is equal to integer 232-1, or if the key cannot be
10083 obtained, security processing SHALL fail and no further security processing shall be done on this frame.
- 10084 3) Obtain the security level from the *nwkSecurityLevel* attribute from the NIB.
- 10085 4) Construct auxiliary header *AuxiliaryHeader* (see section 4.5.1). The security control field SHALL be set as fol-
10086 lows:
 - 10087 a) The security level sub-field SHALL be the security level obtained from step 3.
 - 10088 i) The key identifier sub-field SHALL be set to *KeyIdentifier*.
 - 10089 ii) The extended nonce sub-field SHALL be set as follows: If the *ApsHeader* indicates the frame type is
10090 an APS Command, then the extended nonce sub-field SHALL be set to 1. Otherwise if the *TxOptions*
10091 bit for include extended nonce is set (0x10) then the extended nonce sub-field SHALL be set to 1. Oth-
10092 erwise, it SHALL be set to 0.

- 10093 iii) The Frame Counter Challenge Support SHALL be set as follows: If the ApsHeader indicates the
10094 frame type is an APS Datagram, then the Require Verified Frame Counter sub-field SHALL be set to
10095 1. Otherwise, the Require Verified Frame Counter sub-field SHALL be set to 0.
- 10096 b) If the extended nonce sub-field is set to 1, then set the source address field to the 64-bit extended address of
10097 the local device.
- 10098 c) The frame counter field SHALL be set to the outgoing frame counter from step 2.
- 10099 d) If *KeyIdentifier* is '01', the key sequence number field SHALL be present and set to the key sequence num-
10100 ber from step 3. Otherwise, the key sequence number field SHALL NOT be present.
- 10101 5) Execute the CCM mode encryption and authentication operation, as specified in section A.2, with the following
10102 exceptions:
- 10103 a) The parameter *M* SHALL be obtained from Table 4-38 corresponding to the security level from step 3.
- 10104 b) The bit string *Key* SHALL be the key obtained from step 1.
- 10105 c) The nonce *N* SHALL be the 13-octet string constructed using the security control and frame counter fields
10106 from step 5 and the 64-bit extended address of the local device (see section 4.5.2.2).
- 10107 d) If the security level requires encryption, the octet string *a* SHALL be the string *ApsHeader* || *Auxiliary-*
10108 *Header* and the octet string *m* SHALL be the string *Payload*. Otherwise, the octet string *a* SHALL be the
10109 string *ApsHeader* || *AuxiliaryHeader* || *Payload* and the octet string *m* SHALL be a string of length zero.
- 10110 6) If the CCM mode invoked in step 5 outputs “invalid”, security processing SHALL fail and no further security
10111 processing shall be done on this frame.
- 10112 7) Let *c* be the output from step 5. If the security level requires encryption, the secured outgoing frame SHALL be
10113 *ApsHeader* || *AuxiliaryHeader* || *c*, otherwise the secured outgoing frame SHALL be *ApsHeader* || *Auxiliary-*
10114 *Header* || *Payload* || *c*.
- 10115 8) If the secured outgoing frame size will result in the MSDU being greater than *aMaxMACFrameSize* octets (see
10116 IEEE Std 802.15.4-2020 [B1]), security processing SHALL fail and no further security processing shall be done
10117 on this frame.
- 10118 9) The outgoing frame counter from step 3 SHALL be incremented and stored in the appropriate location(s) of the
10119 NIB, AIB, and MAC PIB corresponding to the key that was used to protect the outgoing frame.
- 10120 10) Over-write the security level sub-field of the security control field with the 3- bit all-zero string '000'.

10121 **4.4.1.2 Security Processing of Incoming Frames**

10122 If the APS layer receives a secured frame (consisting of a header *ApsHeader*, auxiliary header *AuxiliaryHeader*, and
10123 payload *SecuredPayload*) as indicated by the security sub-field of the APS header frame control field it SHALL per-
10124 form security processing as follows:

- 10125 1) Determine the sequence number *SequenceNumber*, key identifier *KeyIdentifier*, and received frame counter
10126 value *ReceivedFrameCounter* from the auxiliary header *AuxiliaryHeader*. If *ReceivedFrameCounter* is the 4-
10127 octet representation of the integer $2^{32}-1$, security processing SHALL fail and no further security processing shall
10128 be done on this frame.
- 10129 2) Determine the source address *SourceAddress* from the address-map table in the NIB, using the source address in
10130 the APS frame as the index. If the source address is incomplete or unavailable, determine if the device is joined
10131 and unauthorized. If joined and unauthorized it SHALL use the *apsDeviceKeyPairSet* that corresponds to its
10132 pre-installed link key. Otherwise, security processing SHALL fail and no further security processing shall be
10133 done on this frame.
- 10134 3) Obtain the appropriate security material in the following manner. If the security material cannot be obtained,
10135 security processing SHALL fail and no further security processing shall be done on this frame.
- 10136 a) If *KeyIdentifier* is '00' (that is, a data key), the security material associated with the *SourceAddress* of the
10137 incoming frame SHALL be obtained from the *apsDeviceKeyPairSet* attribute in the AIB.

- 10138 b) If is ‘02’ (that is, a key-transport key), the security material associated with the *SourceAddress* of the incoming frame SHALL be obtained from the *apsDeviceKeyPairSet* attribute in the AIB; the key for this operation SHALL be derived from the security material as specified in section 4.5.3 for the key-transport key.
- 10139
- 10140
- 10141 c) If *KeyIdentifier* is ‘03’ (that is, a key-load key), the security material associated with the *SourceAddress* of the incoming frame SHALL be obtained from the *apsDeviceKeyPairSet* attribute in the AIB and the key for this operation SHALL be derived from the security material as specified in section 4.5.3 for the key-load key.
- 10142
- 10143
- 10144
- 10145 4) If the *apsLinkKeyType* of the associated link key is 0x00 (unique) and there is an incoming frame count *FrameCount* corresponding to *SourceAddress* from the security material obtained in step 3 and if *ReceivedFrameCount* is less than *FrameCount*, security processing SHALL fail and no further security processing shall be done on this frame.
- 10146
- 10147
- 10148
- 10149 5) Determine the security level *SecLevel* as follows. If the frame type sub-field of the frame control field of *ApsHeader* indicates an APS data frame, then *SecLevel* SHALL be set to the *nwkSecurityLevel* attribute in the NIB. Overwrite the security level sub-field of the security control field in the *AuxiliaryHeader* with the value of *SecLevel*.
- 10150
- 10151
- 10152
- 10153 6) Execute the CCM mode decryption and authentication checking operation as specified in section A.3, with the following instantiations:
- 10154
- 10155 a) The parameter *M* SHALL be obtained from Table 4-38 corresponding to the security level from step 5.
- 10156 i) The bit string *Key* SHALL be the key obtained from step 3.
- 10157 ii) The nonce *N* SHALL be the 13-octet string constructed using the security control and frame counter fields from *AuxiliaryHeader*, and *SourceAddress* from step 2 (see section 4.5.2.2).
- 10158 iii) Parse the octet string *SecuredPayload* as *Payload1* || *Payload2*, where the rightmost string *Payload2* is an *M*-octet string. If this operation fails, security processing SHALL fail and no further security processing shall be done on this frame.
- 10159 iv) If the security level requires encryption, the octet string *a* SHALL be the string *ApsHeader* || *AuxiliaryHeader* and the octet string *c* SHALL be the string *SecuredPayload*. Otherwise, the octet string *a* SHALL be the string *ApsHeader* || *AuxiliaryHeader* || *Payload1* and the octet string *c* SHALL be the string *Payload2*.
- 10160
- 10161
- 10162
- 10163
- 10164
- 10165
- 10166 7) Return the results of the CCM operation:
- 10167 a) If the CCM mode invoked in step 6 outputs “invalid”, security processing SHALL fail and no further security processing shall be done on this frame.
- 10168
- 10169 b) Let *m* be the output of step 6. If the security level requires encryption, set the octet string *UnsecuredApsFrame* to the string *ApsHeader* || *m*. Otherwise, set the octet string *UnsecuredApsFrame* to the string *ApsHeader* || *Payload*.
- 10170
- 10171
- 10172 8) The associated *apsDeviceKeyPairSet* indicates support for APS Frame Counter Synchronization in the Features and Capabilities element and *VerifiedFrameCounter* is FALSE, security processing SHALL fail and no further security processing shall be done on this frame.
- 10173 a) The result of the failure SHALL be UNVERIFIED_FRAME_COUNTER.
- 10174 b) Otherwise security processing SHALL continue.
- 10175
- 10176
- 10177 9) Set *FrameCount* to (*ReceivedFrameCount* + 1) and store both *FrameCount* and *SourceAddress* in the appropriate security material as obtained in step 3. If storing this frame count and address information will cause the memory allocation for this type of information to be exceeded, and the *nwkAllFresh* attribute in the NIB is TRUE, then security processing SHALL fail and no further security processing shall be done on this frame. Otherwise, security processing SHALL succeed.
- 10178
- 10179
- 10180
- 10181

10182 4.4.1.3 Security Processing of APS Commands

10183 A device that is not the trust center that receives an APS command SHALL determine if the message was sent by the trust center or another device for which it has a link key. If operating in a centralized security network and the message was not sent by the trust center then it SHALL discard the message and no further processing SHALL be done.

10184

10185

10186 If operating in a centralized security network determining if the Trust Center sent the APS command SHALL be done
 10187 as follows. If no APS encryption is present on the message then the device SHALL examine if there is an IEEE source
 10188 address within the APS command frame. The IEEE source address SHALL be compared to the value of *apsTrustCenterAddress*
 10189 in the AIB. If no IEEE source address is present in the APS command frame then the device SHALL verify
 10190 if the NWK source of the message is 0x0000. If there is APS encryption present on the APS command then the device
 10191 SHALL verify that the key used to secure the message corresponds to the *apsDeviceKeyPairSet* that has a *DeviceAddress*
 10192 equal to the value of the *apsTrustCenterAddress* in the AIB.

10193 If the message was sent by the trust center the device SHALL then consult the AIB attribute *apsLinkKeyType* associ-
 10194 ated with the sending device to determine if the key is a unique link key or Global Link key. It SHALL then consult
 10195 Table 4-6 to determine the policy that shall be used.

Table 4-6. Security Policy for Accepting APS Commands in a Centralized Security Network

APS Command.	Unique Trust Center Link Key (0x00)	Global Trust Center Link Key (0x01)
Transport Key (0x05)	APS encryption is required as per device policy (see section 4.4.1.5).	APS encryption is required as per device policy (see section 4.4.1.5).
Update Device (0x06)	APS encryption required	APS encryption not required
Remove Device (0x07)	APS encryption required	APS encryption required
Request Key (0x08)	APS encryption required Trust Center Policy MAY further restrict, see section 4.4.1.5.	APS encryption required Trust Center Policy MAY further restrict, see section 4.4.1.5.
Switch Key (0x09)	APS encryption not required	APS encryption not required
Tunnel Data (0x0E)	APS encryption not required	APS encryption not required
Verify-Key (0x0F)	APS encryption not required.	APS encryption not required
Confirm-Key (0x10)	APS encryption required	APS encryption required.

10197 Upon reception of an APS command that does not have APS encryption but APS encryption is required by Table 4-7,
 10198 the device SHALL drop the message and no further processing SHALL be done. If APS encryption is not required for
 10199 the command but the received message has APS encryption, the receiving device SHALL accept and process the
 10200 message. Accepting additional security on messages is required to support legacy devices in the field.

10201 In order to support backwards compatibility with devices in the field, provisions will also be added for new devices
 10202 to ensure they can interoperate with the existing devices and their legacy requirements for APS encryption.

Table 4-7. Security Policy for Sending APS Commands in a Centralized Security Network

APS Command	Unique Trust Center Link Key	Global Trust Center Link Key
Transport Key (0x05)	APS encryption MAY be optionally used. See section 4.4.1.4.	APS encryption MAY be optionally used. See section 4.4.1.4.

APS Command	Unique Trust Center Link Key	Global Trust Center Link Key
Update Device (0x06)	APS encryption SHALL be used.	APS encryption SHALL be conditionally used as per section 4.4.1.4.
Remove Device (0x07)	APS encryption SHALL be used.	APS encryption SHALL be used.
Request Key (0x08)	APS encryption SHALL be used.	APS encryption SHALL be used.
Switch Key (0x09)	APS encryption SHALL NOT be used.	APS encryption SHALL NOT be used.
Tunnel Data (0x0E)	APS encryption SHALL NOT be used.	APS encryption SHALL NOT be used.
Verify-Key (0x0F)	APS encryption SHALL NOT be used.	APS encryption SHALL NOT be used.
Confirm-Key (0x10)	APS encryption SHALL be used.	APS encryption SHALL be used.

10204 When the local device will transmit an APS command, it shall consult Table 4-6 to determine the appropriate behavior.
 10205 If APS encryption is required to be used, then the device SHALL APS encrypt the command prior to sending the
 10206 message. If APS encryption is not to be used, the device SHALL NOT APS encrypt the message prior to sending the
 10207 message. Conditional encryption of APS commands SHALL follow the procedure as defined by section 4.4.1.4.

10208 **4.4.1.4 Conditional Encryption of APS Commands**

10209 Devices MAY have requirements on when APS encryption SHALL or SHALL NOT be used. To ensure correct op-
 10210 eration with those devices, the following procedure shall be undertaken as required by Table 4-6.

10211 When sending an APS command that SHALL be conditionally encrypted, the device SHALL send the APS command
 10212 with APS encryption. If the receiving device is capable of accepting APS encrypted APS commands then the sending
 10213 device MAY send APS encrypted APS commands. If the receiving device is not capable of receiving APS encrypted
 10214 commands, then a response to the APS command will not be received. If the receiving device is not capable of receiv-
 10215 ing APS encrypted APS commands then the sending device can either not send the APS commands or send APS
 10216 commands without APS encryption.

10217 It is left up to the implementers to determine whether or not the receiving device is capable of receiving an APS
 10218 command with APS encryption. A device MAY simply send two copies of the APS command, one with APS encryp-
 10219 tion and one without, in order to satisfy the requirements of interoperability with existing devices. Note this is not for
 10220 APS datagrams this is for APS Command Frames.

10221 Conditional encryption of APS commands SHALL only apply when the *apsLinkKeyType* with receiving device is set
 10222 to Global Link key (0x01).

10223 **4.4.1.5 Acceptance of Commands Based on Security Policy**

10224 There are two commands that MAY be conditionally accepted based on the local security policies in place on the
 10225 device.

10226 The APS transport key command MAY be sent with or without APS encryption. The decision to do so is based on the
 10227 trust center's security policies. The trust center MAY deem it acceptable to send a key without APS encryption based
 10228 on the method of transport.

10229 Conversely, a device receiving an APS transport key command MAY choose whether or not APS encryption is re-
 10230 quired. This is most often done during initial joining. For example, during joining a device that has no preconfigured
 10231 link key would only accept unencrypted transport key messages, while a device with a preconfigured link key would
 10232 only accept a transport key APS encrypted with its preconfigured key.

10233 The higher level specification implemented by the device MAY dictate the policies in place for these commands.

10234 A device that is in the joined and authorized state SHALL accept a broadcast NWK key update sent by the Trust
 10235 Center using only NWK encryption. A device that is in state of joined and unauthorized SHALL require an APS
 10236 encrypted transport key if it has a preconfigured link key.

10237 **4.4.1.6 Conditional Encryption of APS Data**

10238 Devices and application profiles MAY have requirements on when APS encryption SHALL or SHALL NOT be used
 10239 with normal APS Data. If the device has a set of application data encryption policies, then it SHALL encrypt any
 10240 outgoing messages the policy indicates SHALL be protected. It SHALL also reject any incoming messages that are
 10241 not APS encrypted when the policy indicates encryption is required.

10242 If a device has requirements on encryption of APS data, it SHALL establish application link keys with partner devices.
 10243 In a centralized security network the trust center is used to broker this link key establishment. In a distributed security
 10244 network the partner devices SHALL establish a link key using an application defined method.

10245 **4.4.2 Transport-Key Services**

10246 The APSME provides services that allow an initiator to transport keying material to a responder. The different types
 10247 of keying material that can be transported are shown in Table 4-9 to Table 4-12.

10248 **4.4.2.1 APSME-TRANSPORT-KEY.request**

10249 The APSME-TRANSPORT-KEY.request primitive is used for transporting a key to another device.

10250 **4.4.2.1.1 Semantics of the Service Primitive**

10251 This primitive SHALL provide the following interface:

```

10252     APSME-TRANSPORT-KEY.request    {
10253                                     DestAddress,
10254                                     StandardKeyType,
10255                                     TransportKeyData,
10256                                     TunnelCommand
10257                                     TunnelCommandAddress
10258                                     }
  
```

10259 Table 4-8 specifies the parameters for the APSME-TRANSPORT-KEY.request primitive.

10260 **Table 4-8. APSME-TRANSPORT-KEY.request Parameters**

Parameter Name	Type	Valid Range	Description
DestAddress	Device address	Any valid 64-bit address	The extended 64-bit address of the destination device.
StandardKeyType	Integer	0x00 – 0x04	Identifies the type of key material that SHOULD be transported (see Table 4-9).

Parameter Name	Type	Valid Range	Description
TransportKeyData	Variable	Variable	The key being transported along with identification and usage parameters. The type of this parameter depends on the StandardKeyType parameter as follows: StandardKeyType = 0x04, Trust Center Link Key (see Table 4-10) StandardKeyType = 0x01, Standard Network Key (see Table 4-11) StandardKeyType = 0x03, Application Link Key (see Table 4-12)
TunnelCommand	Boolean	TRUE or FALSE	This indicates whether the local device SHOULD wrap the transport key message in an APS Tunnel Command. This SHOULD be done when the joining or rejoining device is not in the Trust Center's Neighbor Table (nwkNeighborTable).
TunnelCommandAddress	EUI64	Any	This indicates the destination for the APS Tunnel Command frame.

10261

10262

Table 4-9. StandardKeyType Parameter of the Transport-Key, Verify-Key, and Confirm-Key Primitives

Enumeration	Value	Description
Reserved	0x00	Reserved.
Standard network key	0x01	Indicates that the key is a network key to be used in standard security mode.
Reserved	0x02	Reserved.
Application link key	0x03	Indicates the key is a link key used as a basis of security between two devices.
Trust-Center link key	0x04	Indicates that the key is a link key used as a basis for security between the Trust Center and another device.
Reserved	0x05 – 0xFF	Reserved.

10263

10264

Table 4-10. TransportKeyData Parameter for a Trust Center Link Key

Parameter Name	Type	Valid Range	Description
Key	Set of 16 octets	Variable	The Trust Center link key.

10265

10266

Table 4-11. TransportKeyData Parameter for a Network Key

Parameter Name	Type	Valid Range	Description
KeySeqNumber	Octet	0x00 – 0xFF	A sequence number assigned to a network key by the Trust Center and used to distinguish network keys for purposes of key updates and incoming frame security operations.
NetworkKey	Set of 16 octets	Variable	The network key.
UseParent	Boolean	TRUE or FALSE	This parameter indicates if the destination device's parent SHALL be used to forward the key to the destination device: TRUE = Use parent FALSE = Do not use parent
ParentAddress	Device address	Any valid 64-bit address	If the UseParent is TRUE, then ParentAddress parameter SHALL contain the extended 64-bit address of the destination device's parent device; otherwise, this parameter is not used and need not be set.

10267

10268

Table 4-12. TransportKeyData Parameter for an Application Link Key

Parameter Name	Type	Valid Range	Description
PartnerAddress	Device address	Any valid 64-bit address	The extended 64-bit address of the device that was also sent this link key.
Initiator	Boolean	TRUE or FALSE	This parameter indicates if the destination device of this application link key requested it: TRUE = If the destination requested the key FALSE = Otherwise
Key	Set of 16 octets	Variable	The application link key

10269

4.4.2.1.2 When Generated

10270

The ZDO on an initiator device SHALL generate this primitive when it requires a key to be transported to a responder device.

10271

10272

4.4.2.1.3 Effect on Receipt

10273

The receipt of an APSME-TRANSPORT-KEY.request primitive SHALL cause the APSME to create a transport-key command packet (see section 4.4.11.1). If the StandardKeyType parameter is 0x04 (that is, Trust Center link key), the key descriptor field of the transport-key command SHALL be set as follows:

10274

10275

10276

- The key sub-field SHALL be set to the Key sub-parameter of the TransportKeyData parameter.

- 10277 • The destination address sub-field SHALL be set to the DestinationAddress parameter.
- 10278 • The source address sub-field SHALL be set to the local device address.
- 10279 This command frame SHALL be security-protected as specified in section 4.4.1.
- 10280 If the DestinationAddress parameter is all zeros, the secured command frame SHALL be unicast to any and all rx-off-when-idle children of the device. These unicasts SHALL be repeated until successful, or a subsequent APSME-TRANSPORT-KEY.request primitive with the StandardKeyType parameter equal to 0x01 has been received, or a period of twice the recommended maximum polling interval has passed.
- 10281
- 10282
- 10283
- 10284 If the StandardKeyType parameter is 0x01 (that is, a network key), the key descriptor field of the transport-key command SHALL be set as follows:
- 10285
- 10286 • The key sub-field SHALL be set to the Key sub-parameter of the TransportKeyData parameter.
- 10287 • The sequence number sub-field SHALL be set to the KeySeqNumber sub-parameter of the TransportKeyData parameter.
- 10288
- 10289 • The destination address sub-field SHALL be set to the DestinationAddress parameter.
- 10290 • The source address sub-field SHALL be set to the local device address.
- 10291 This command frame SHALL be security-protected as specified in section 4.4.1.1 and then, if security processing succeeds, sent to the device specified by the ParentAddress sub-parameter of the TransportKeyData parameter (if the UseParent sub-parameter of the TransportKeyData parameter is TRUE) or the DestinationAddress parameter (if the UseParent sub-parameter of the TransportKeyData parameter is FALSE) by issuing a NLDE-DATA.request primitive.
- 10292
- 10293
- 10294
- 10295 If the StandardKeyType parameter is 0x03 (that is, an application link key), the key descriptor field of the transport-key command SHALL be set as follows:
- 10296
- 10297 • The key sub-field SHALL be set to the Key sub-parameter of the TransportKeyData parameter.
- 10298 • The partner address sub-field SHALL be set to the PartnerAddress sub-parameter of the TransportKeyData parameter.
- 10299
- 10300 • The initiator sub-field SHALL be set 1 (if the Initiator sub-parameter of the TransportKeyData parameter is TRUE) or 0 (if the Initiator sub-parameter of the TransportKeyData parameter is FALSE).
- 10301
- 10302 This command frame SHALL be security-protected as specified in section 4.4.1.1 and then, if security processing succeeds, sent to the device specified by the DestinationAddress parameter by issuing a NLDE-DATA.request primitive.
- 10303
- 10304
- 10305 If the TunnelCommand parameter is TRUE, an APS Tunnel Command SHALL be constructed as described in section 4.6.3.7. It SHALL then be sent to the device specified by the TunnelAddress parameter by issuing an NLDE-DATA.request primitive.
- 10306
- 10307
- 10308 If the TunnelCommand parameter is FALSE it is sent to the device specified by the DestAddress parameter by issuing a NLDE-DATA.request primitive.
- 10309

10310 **4.4.2.2 APSME-TRANSPORT-KEY.indication**

10311 The APSME-TRANSPORT-KEY.indication primitive is used to inform the ZDO of the receipt of keying material.

10312

10313 4.4.2.2.1 **Semantics of the Service Primitive**

10314 This primitive SHALL provide the following interface:

```

10315     APSME-TRANSPORT-KEY.indication    {
10316                                     SrcAddress,
10317                                     StandardKeyType,
10318                                     TransportKeyData
10319                                     LinkKeyEncryption
10320                                     ChangeOfTrustCenterEui64
10321                                     }

```

10322 Table 4-13 specifies the parameters of the APSME-TRANSPORT-KEY.indication primitive.

10323 **Table 4-13. APSME-TRANSPORT-KEY.indication Parameters**

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that is the original source of the transported key.
StandardKeyType	Octet	0x00 – 0x06	Identifies the type of key material that was be transported; see Table 4-9.
TransportKeyData	Variable	Variable	The key being transported along with identification and usage parameters. The type of this parameter depends on the StandardKeyType parameter as follows: StandardKeyType = 0x04, Trust Center Link Key (see Table 4-10) StandardKeyType = 0x01, Standard Network Key (see Table 4-11) StandardKeyType = 0x03, Application Link Key (see Table 4-12)
LinkKeyEncryption	Boolean	TRUE or FALSE	A LinkKeyEncryption is set to TRUE if the transport key message was encrypted with a link-key, FALSE otherwise.

Parameter Name	Type	Valid Range	Description
ChangeOfTrustCenterEUI64	Boolean	TRUE or FALSE	<p>In a centralized security network this is set to FALSE to indicate no change in the Trust Center's identity. This is the most common case.</p> <p>In a centralized security network this is set to TRUE when the stack has received a Transport Key from an EUI64 different than the current Trust Center. The message has valid encryption for the apsDeviceKeyPairSet entry of the current trust center but using the TrustCenterSwapOut-LinkKey.</p> <p>This parameter is ignored in a distributed security network.</p>

10324

10325 4.4.2.2.2 When Generated

10326 The APSME SHALL generate this primitive when it receives a transport-key command as specified in section 4.4.3.3.

10327 4.4.2.2.3 Effect on Receipt

10328 Upon receipt of this primitive, the ZDO is informed of the receipt of the keying material.

10329 If ChangeOfTrustCenterEUI64 has been set to true the application MAY perform additional validation of the new
10330 Trust Center application before accepting the new security material.

10331 If ChangeOfTrustCenterEUI64 is set to true AND the application has accepted the new security material the following
10332 SHALL happen. The Application SHALL update its Trust Center Link Key after a change to the Trust Center EUI64.
10333 This MAY be done using an application defined protocol if available. Otherwise it SHALL be done using APSME-
10334 KEY-NEGOTIATION.request if the device and Trust Center Support Key Negotiation. Otherwise it MUST be done
10335 using APSME-REQUEST-KEY.request.

10336 4.4.2.3 Upon Receipt of a Transport-Key Command

10337 Upon receipt of a transport-key command, the APSME SHALL execute security processing as specified in, then check
10338 the key type sub-field.

10339 The message SHALL be APS encrypted as determined by the state of the *requireLinkKeyEncryptionForAp-*
10340 *sTRansportKey* Joining Device Policy Value. If the policy is set to TRUE and the command has no APS encryption,
10341 then the message SHALL be dropped and SHALL not be processed. Otherwise, processing MAY continue.

10342 Upon receipt of a secured transport-key command, the APSME SHALL check the key type sub-field. If the key type
10343 field is set to 0x03 (application link key) or 0x04 (Trust Center link key) and the receiving device is operating in the
10344 joined and authorized state and the command was not secured using a distributed security link key or a Trust Center
10345 link key, the command SHALL be discarded.

10346 If the device is operating in the joined and authorized state it MAY accept a NWK broadcast transport key command
10347 with key type field set to 0x01 (standard network key) where the message has no APS encryption.

10348 If the key type field is set to 0x01 and the command was not secured using a distributed security link key, Trust Center
10349 link key, the command SHALL be discarded.

10350 If the key type field is set to 0x03, the APSME SHALL issue the APSME-TRANSPORT-KEY.indication primitive
10351 with the SrcAddress parameter set to the source of the key-transport command (as indicated by the NLDE-DATA.in-
10352 dication SrcAddress parameter), and the StandardKeyType parameter set to the key type field. The TransportKeyData
10353 parameter SHALL be set as follows:

- 10354 • The Key sub-parameter SHALL be set to the key field.
- 10355 • The PartnerAddress sub-parameter SHALL be set to the partner address field.
- 10356 • The Initiator parameter SHALL be set to TRUE, if the initiator field is 1. Otherwise, it SHALL be set to 0.
- 10357 • If the Key type field is set to 0x01, the destination field is equal to the local address, and the Source Address
10358 field is not all F's, then an APSME-TRANSPORT-KEY.indication SHALL be issued with the following param-
10359 eters:
- 10360 • APSME SrcAddress parameter SHALL be set to the APS Command Source Address Field.
- 10361 • APSME StandardKeyType SHALL be set to 0x01.
- 10362 • APSME TransportKeyData SHALL be set to the Key value of the Key Descriptor field.
- 10363 • If the Key type field is set to 0x04, then an APSME-TRANSPORT-KEY.indication SHALL be issued with the
10364 following parameters:
- 10365 • APSME SrcAddress parameter SHALL be set to the APS Command Source Address field.
- 10366 • APSME StandardKeyType SHALL be set to 0x04.
- 10367 • APSME TransportKeyData SHALL be set to the Key value of the Key Descriptor field.

10368 If the key type field is set to 0x01 and source address field is set to 0xFFFFFFFFFFFFFFFF this indicates a distributed
10369 security network. This SHALL only be allowed if the current *apsTrustCenterAddress* is also 0xFFFFFFFFFFFFFFFF.
10370 Otherwise, it SHALL be dropped and no further processing shall be done. If the message is allowed, the APSME
10371 SHALL issue the APSME-TRANSPORT-KEY.indication primitive with the SrcAddress parameter set to the source
10372 address field of the key-transport command and the StandardKeyType parameter set to the key type field. The
10373 TransportKeyData parameter SHALL be set as follows: the Key subparameter SHALL be set to the key field and, in
10374 the case of a network key (that is, the key type field is set to 0x01), the KeySeqNumber sub-parameter SHALL be set
10375 to the sequence number field. The *apsTrustCenterAddress* SHOULD be set to 0xFFFFFFFFFFFFFFFF indicating a
10376 distributed security network.

10377 If the key type field is set to 0x01 or 0x04 and the destination address field is not equal to the local address, the APSME
10378 SHALL send the command to the address indicated by the destination address field by issuing the NLDE-DATA.re-
10379 quest primitive with security disabled.

10380 Upon receipt of a secured transport-key command with the key type field set to 0x01, if the destination field is all
10381 zeros and the source address field is set to the value of *apsTrustCenterAddress*, the router SHALL attempt to unicast
10382 this transport-key command to any and all rx-off-when-idle children. The router SHALL continue to do so until suc-
10383 cessful, or until a subsequent transport-key command with the key type field set to 0x01 has been received, or until a
10384 period of twice the recommended maximum polling interval has passed.

10385 4.4.3 Update Device Services

10386 The APSME provides services that allow a device (for example, a router) to inform another device (for example, a
10387 Trust Center) that a third device has changed its status (for example, joined or left the network).APSME-UPDATE-
10388 DEVICE.request.

10389 4.4.3.1 APSME-UPDATE-DEVICE.request

10390 The APSME SHALL issue the APSME-UPDATE-DEVICE.request primitive when it wants to inform a device (for
10391 example, a Trust Center) that another device has a status that needs to be updated (for example, the device joined or
10392 left the network).

10393 4.4.3.1.1 Semantics of the Service Primitive

10394 This primitive SHALL provide the following interface:

```

10395     APSME-UPDATE-DEVICE.request    {
10396                                     DestAddress,
10397                                     DeviceAddress,
10398                                     Status,
10399                                     DeviceShortAddress
10400                                     JoiningDeviceTLVs
10401     }
```

10402 Table 4-14 specifies the parameters for the APSME-UPDATE-DEVICE.request primitive.

10403 **Table 4-14. APSME-UPDATE-DEVICE.request Parameters**

Parameter Name	Type	Valid Range	Description
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that SHALL be sent the update information.
DeviceAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device whose status is being updated.
Status	Integer	0x00 – 0x07	Indicates the updated status of the device given by the DeviceAddress parameter: 0x00 = Standard Device Secured Rejoin 0x01 = Standard Device Unsecured Join 0x02 = Device Left 0x03 = Standard Device Trust Center Rejoin 0x04 – 0x07 = Reserved
DeviceShortAddress	Network address	0x0001 – 0xFFFF7	The 16-bit network address of the device whose status is being updated.
JoiningDeviceTLVs	Octet Array	Varies	The TLVs of the joining device as relayed during Network Commissioning. Only the Joiner Encapsulation Global TLV SHALL be passed from the NLME-JOIN.indication to the APSME-UPDATE-DEVICE.request. If no TLVs are present this value SHALL be empty.

10404 4.4.3.1.2 When Generated

10405 The APSME (for example, on a router or Zigbee coordinator) SHALL initiate the APSME-UPDATE-DEVICE.request primitive when it wants to send updated device information to another device (for example, the Trust Center).

10407 4.4.3.1.3 Effect on Receipt

10408 If the local device is the Trust Center, it SHALL issue an APSME-UPDATE-DEVICE.indication, with the same
10409 parameters as the request.

10410 If the local device is not the Trust Center, the device SHALL first create an update-device command frame (see section
 10411 4.4.9.3). The device address field of this command frame SHALL be set to the DeviceAddress parameter, the status
 10412 field SHALL be set according to the Status parameter, and the device short address field SHALL be set to the Device-
 10413 ShortAddress parameter. The JoinngDeviceTLVs SHALL be appended to the Update Device command frame, if pre-
 10414 sent. This command frame SHALL be security-protected as specified in section 4.4.1.1 and then, if security processing
 10415 succeeds, sent to the device specified in the DestAddress parameter by issuing a NLDE-DATA.request primitive.

4.4.3.2 APSME-UPDATE-DEVICE.indication

10416 This primitive is issued to inform the APSME that it received an update-device command frame.

4.4.3.2.1 Semantics of the Service Primitive

10418 This primitive SHALL provide the following interface:

APSME-UPDATE-DEVICE.indication	{
SrcAddress,	
DeviceAddress,	
Status,	
DeviceShortAddress	
	}

10420 Table 4-15 specifies the parameters for the APSME-UPDATE-DEVICE.indication primitive.

Table 4-15. APSME-UPDATE-DEVICE.indication Parameters

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device originating the update-device command.
DeviceAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device whose status is being updated.
Status	Integer	0x00 – 0x07	See the Status parameter definition in Table 4-14.
DeviceShortAddress	Network Address	0x0000-0xffff	The 16-bit network address of the device whose status is being updated.
JoiningDeviceTLVs	Octet Array	Varies	The TLVs of the joining device as relayed during Network Commissioning or rejoin. If no TLVs are present this value SHALL be empty.

4.4.3.2.2 When Generated

10428 The APSME SHALL generate this primitive when it receives an update-device command frame that is successfully
 10429 decrypted and authenticated, as specified in section 4.4.1.2.

4.4.3.2.3 Effect on Receipt

10431 Upon receipt of the APSME-UPDATE-DEVICE.indication primitive, the APSME will be informed that the device
 10432 referenced by the DeviceAddress parameter has undergone a status update according to the Status parameter.

10434 If the device is not the Trust Center, this indication SHALL be ignored. No further processing shall be done.

10435 The Trust Center SHALL process the indication as follows.

- 10436 1. If the Status is Device Left, no further processing SHALL be done. A Device Left is considered informa-
 10437 tive but SHOULD NOT be considered authoritative. Security related actions SHALL not be taken on re-
 10438 ceipt of this. No further processing SHALL be done.
- 10439 2. If the Trust Center’s Policy indicates *allowJoins* is TRUE, it SHALL follow the procedure in 4.6.3.2.2.3.
 10440 3. Otherwise, the Trust Center SHALL follow the policy in section 4.6.3.3 4.6.3.3.3.

10441 4.4.4 Remove Device Services

10442 The APSME provides services that allow a device (for example, a Trust Center) to inform another device (for example,
 10443 a router) that one of its children SHOULD be removed from the network.

10444 These services MAY be used in distributed network security.

10445 4.4.4.1 APSME-REMOVE-DEVICE.request

10446 The APSME of a device (for example, a Trust Center) SHALL issue this primitive when it wants to request that a
 10447 parent device (for example, a router) remove one of its children from the network. For example, a Trust Center can
 10448 use this primitive to remove a child device that is not authorized to be on the network.

10449 4.4.4.1.1 Semantics of the Service Primitive

10450 This primitive SHALL provide the following interface:

```

10451 APSME-REMOVE-DEVICE.request {
10452     ParentAddress,
10453     ChildAddress
10454 }
```

10455 Table 4-16 specifies the parameters for the APSME-REMOVE-DEVICE.request primitive.

10456 **Table 4-16. APSME-REMOVE-DEVICE.request Parameters**

Parameter Name	Type	Valid Range	Description
ParentAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that is the parent of the child device that is requested to be removed, or the router device that is requested to be removed.
TargetAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the target device that is requested to be removed. If a router device is requested to be removed, then the <i>ParentAddress</i> SHALL be the same as the <i>TargetAddress</i> .

10457 4.4.4.1.2 When Generated

10458 The APSME (for example, on a Trust Center) SHALL initiate the APSME-REMOVE-DEVICE.request primitive
 10459 when it wants to request that a parent device (specified by the ParentAddress parameter) remove one of its child
 10460 devices (as specified by the TargetAddress parameter), or if it wants to remove a router from the network.

10461 If the device being removed is a router then the ParentAddress field SHALL be set to the EUI64 of that router and the
 10462 TargetAddress SHALL be set to the same value.

10463 4.4.4.1.3 Effect on Receipt

10464 Upon receipt of the APSME-REMOVE-DEVICE.request primitive the device SHALL first create a remove-device
10465 command frame (see section 4.4.9.3). The address field of this command frame SHALL be set to the TargetAddress
10466 parameter. If the device to be removed is a router the ParentAddress and TargetAddress SHALL be the same. This
10467 command frame shall be security-protected as specified in section 4.4.1.1 and then, if security processing succeeds,
10468 sent to the device specified by the ParentAddress parameter by issuing a NLDE-DATA.request primitive.

10469 4.4.4.2 APSME-REMOVE-DEVICE.indication

10470 The APSME SHALL issue this primitive to inform the ZDO that it received a remove-device command frame.

10471 4.4.4.2.1 Semantics of the Service Primitive

10472 This primitive SHALL provide the following interface:

10473	APSME-REMOVE-DEVICE.indication	{
10474		SrcAddress,
10475		ChildAddress
10476		}

10477 Table 4-17 specifies the parameters for the APSME-REMOVEDEVICE.indication primitive.

10478 **Table 4-17. APSME-REMOVE-DEVICE.indication Parameters**

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device requesting that a child device be removed.
TargetAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the target device that is requested to be removed.

10479 4.4.4.2.2 When Generated

10480 The APSME SHALL generate this primitive when it receives a remove-device command frame that is successfully
10481 decrypted and authenticated, as specified in section 4.4.1.4.

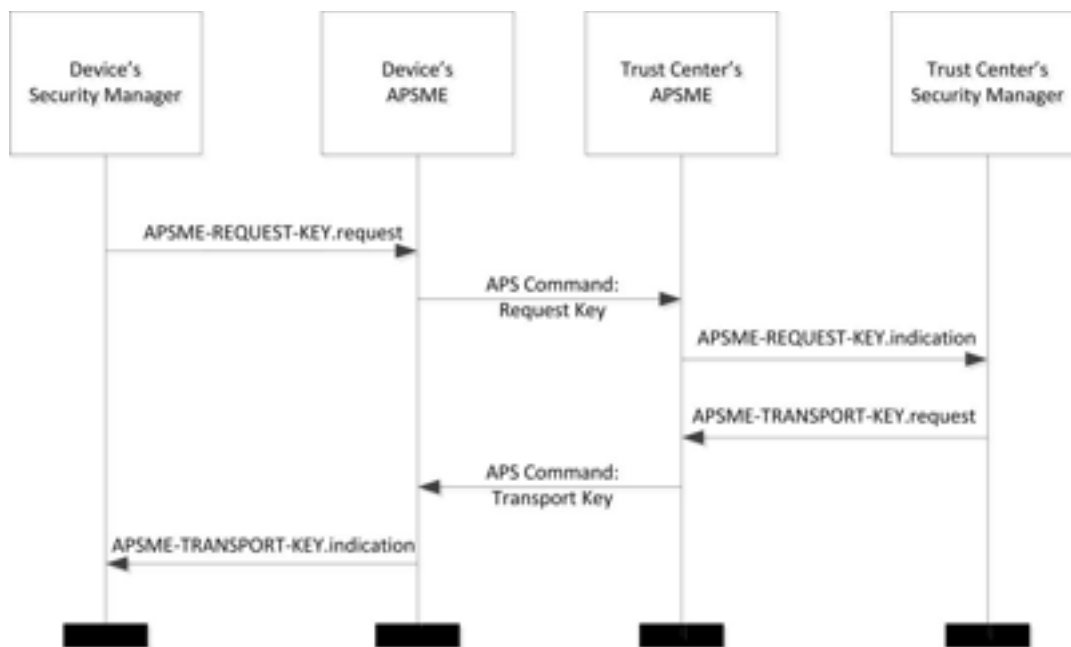
10482 4.4.4.2.3 Effect on Receipt

10483 Upon receipt of the APSME-REMOVE-DEVICE.indication primitive the ZDO SHALL be informed that the device
10484 referenced by the TargetAddress parameter SHALL be removed from the network.

10485 It SHALL generate an NLME-LEAVE.request and process it as described in 3.2.2.18.

10486 4.4.5 Request Key Services

10487 The APSME provides services that allow a non-trust center device to request an application or trust center link key
10488 from the Trust Center. Figure 4-4 shows the processing for the request key services.



10489

10490

Figure 4-4. Request Key Service Processing for Trust Center Link Key

10491 4.4.5.1 APSME-REQUEST-KEY.request

10492 This primitive allows the Security Manager to request a new trust center link key or a new end-to-end application link
 10493 key.

10494 4.4.5.1.1 Semantics of the Service Primitive

10495 This primitive SHALL provide the following interface:

10496 APSME-REQUEST-KEY.request	{
10497 DestAddress,	
10498 RequestKeyType,	
10499 PartnerAddress	
10500	}

10501 Table 4-18 specifies the parameters for the APSME-REQUEST-KEY.request primitive.

10502 Table 4-18. APSME-REQUEST-KEY.request Parameters

Parameter Name	Type	Valid Range	Description
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device to which the request-key command SHOULD be sent.
RequestKeyType	Octet	0x02 and 0x04	The type of key being requested. See Table 4-19.
PartnerAddress	Device Address	Any valid 64-bit address	If the RequestKeyType parameter indicates an application key, this parameter SHALL indicate an extended 64-bit address of a device that SHALL receive the same key as the device requesting the key.

10503 Table 4-19 describes the values of the RequestKeyType enumeration. Please note that this enumeration is different
 10504 than the one for the StandardKeyType in Table 4-9.

10505 **Table 4-19 RequestKeyType Values**

Value	Enumeration
0x00	Reserved
0x01	Reserved
0x02	Application Link Key
0x03	Reserved
0x04	Trust Center Link Key
0x05 – 0xFF	Reserved

10506 **4.4.5.1.2 When Generated**

10507 The Security Manager of a device SHALL generate the APSME-REQUEST-KEY.request primitive when it requires
 10508 either a new end-to-end application link key or trust center link key. An application link key with the Trust Center is
 10509 also known as a Trust Center Link Key.

10510 **4.4.5.1.3 Effect on Receipt**

10511 Upon receipt of the APSME-REQUEST-KEY.request primitive, the device SHALL first create an APS request-key
 10512 command frame (see section 4.4.9.5). The RequestKeyType field of this command frame SHALL be set to the same
 10513 value as the RequestKeyType parameter. If the RequestKeyType parameter is 0x02 (that is, an application link key),
 10514 then the partner address field of this command frame SHALL be the PartnerAddress parameter. Otherwise, the partner
 10515 address field of this command frame SHALL NOT be present.

10516 This command frame SHALL be security-protected as specified in section 4.4.1.1 and then, if security processing
 10517 succeeds, sent to the device specified by the DestAddress parameter by issuing a NLDE-DATA.request primitive.

10518 **4.4.5.2 APSME-REQUEST-KEY.indication**

10519 The APSME SHALL issue this primitive to inform the Security Manager that it received a request-key command
 10520 frame.

10521 **4.4.5.2.1 Semantics of the Service Primitive**

10522 This primitive SHALL provide the following interface:

10523	APSME-REQUEST-KEY.indication	{
10524		SrcAddress,
10525		RequestKeyType,
10526		PartnerAddress
10527		}

10528 Table 4-20 specifies the parameters for the APSME-REQUEST-KEY.indication primitive.

10529

Table 4-20. APSME-REQUEST-KEY.indication Parameters

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the request-key command.
RequestKeyType	Octet	See Description.	The type of key being requested. See Table 4-19 for a list of types and valid values.
PartnerAddress	Device Address	Any valid 64-bit address	If the RequestKeyType parameter indicates an application key, this parameter SHALL indicate an extended 64-bit address of a device that SHALL receive the same key as the device requesting the key.

10530 4.4.5.2.2 When Generated

10531 The APSME SHALL generate this primitive when it receives a request-key command frame that is successfully de-
10532 crypted and authenticated, as specified in section 4.4.1.2.

10533 4.4.5.2.3 Effect on Receipt

10534 Upon receipt of the APSME-REQUEST-KEY.indication primitive, the following SHALL be done:

- 10535 1. If the device is not the Trust Center, the request SHALL be silently dropped and no further processing SHALL
10536 be done.
- 10537 2. If the apsTrustCenterAddress of the AIB is 0xFFFFFFFFFFFFFFFF (indicating a distributed security network),
10538 the request SHALL be silently dropped and no further processing SHALL be done.
- 10539 3. Find the entry in the apsDeviceKeyPairSet that the DeviceAddress matches the PartnerAddress in the interface.
10540 a. If no match is found, go to step 4.
10541 b. If a match is found and the KeyNegotiationMethod does not correspond to 0x00, APS Request Key
10542 method, then the request SHALL be dropped and no more processing SHALL be done.
- 10543 4. If the RequestKeyType is 0x04, Trust Center Link Key, then follow the procedure in section 4.7.3.8.
- 10544 5. If the RequestKeyType is 0x02, Application Link Key, then follow the procedure in section 4.7.3.10.
- 10545 6. If the RequestKeyType is any other value, the request SHALL be silently dropped and no further processing
10546 SHALL be done.

10547 4.4.6 Switch Key Services

10548 The APSME provides services that allow the Trust Center to inform another device that it SHOULD switch to a new
10549 active network key.

10550 4.4.6.1 APSME-SWITCH-KEY.request

10551 This primitive allows a device (for example, the Trust Center) to request that another device or all devices switch to a
10552 new active network key.

10553 **4.4.6.1.1 Semantics of the Service Primitive**

10554 This primitive SHALL provide the following interface:

```

10555 APSME-SWITCH-KEY.request      {
10556                               DestAddress,
10557                               KeySeqNumber
10558                               }
    
```

10559 Table 4-21 specifies the parameters for the APSME-SWITCH-KEY.request primitive.

Table 4-21. APSME-SWITCH-KEY.request Parameters

Parameter Name	Type	Valid Range	Description
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device to which the switch-key command is sent. This MAY be the broadcast address 0xFFFFFFFFFFFFFFFF.
KeySeqNumber	Octet	0x00 – 0xFF	A sequence number assigned to a network key by the Trust Center and used to distinguish network keys.

10561 **4.4.6.1.2 When Generated**

10562 The ZDO of a device (for example, the Trust Center) SHALL generate the APSME-SWITCH-KEY.request primitive
 10563 when it wants to inform a device or all devices to switch to a new active network key.

10564 **4.4.6.1.3 Effect on Receipt**

10565 Upon receipt of the APSME-SWITCH-KEY.request primitive, the device SHALL first create a switch-key command
 10566 frame (see section 4.4.11.5). The sequence number field of this command frame SHALL be set to the same value as
 10567 the KeySeqNumber parameter.

10568 If the DestAddress is not the broadcast address 0xFFFFFFFFFFFFFFFF, this command frame SHALL be security-
 10569 protected as specified in section 4.4.1.1 and then, if security processing succeeds, sent to the device specified by the
 10570 DestAddress parameter by issuing a NLDE-DATA.request primitive.

10571 If the DestAddress is the broadcast address 0xFFFFFFFFFFFFFFFF then the command SHALL NOT be security
 10572 protected at the APS layer. It SHALL be sent to the NWK broadcast address 0xFFFD by issuing a NLDE-DATA.re-
 10573 quest primitive.

10574 **4.4.6.2 APSME-SWITCH-KEY.indication**

10575 The APSME SHALL issue this primitive to inform the ZDO that it received a switch-key command frame.

10576 **4.4.6.2.1 Semantics of the Service Primitive**

10577 This primitive SHALL provide the following interface:

```

10578 APSME-SWITCH-KEY.indication    {
10579                               SrcAddress,
10580                               KeySeqNumber
10581                               }
    
```

10582 Table 4-22 specifies the parameters for the APSME-SWITCH-KEY.indication primitive.

10583

Table 4-22. APSME-SWITCH-KEY.indication Parameters

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the switch-key command.
KeySeqNumber	Octet	0x00 – 0xFF	A sequence number assigned to a network key by the Trust Center and used to distinguish network keys.

10584 **4.4.6.2.2 When Generated**

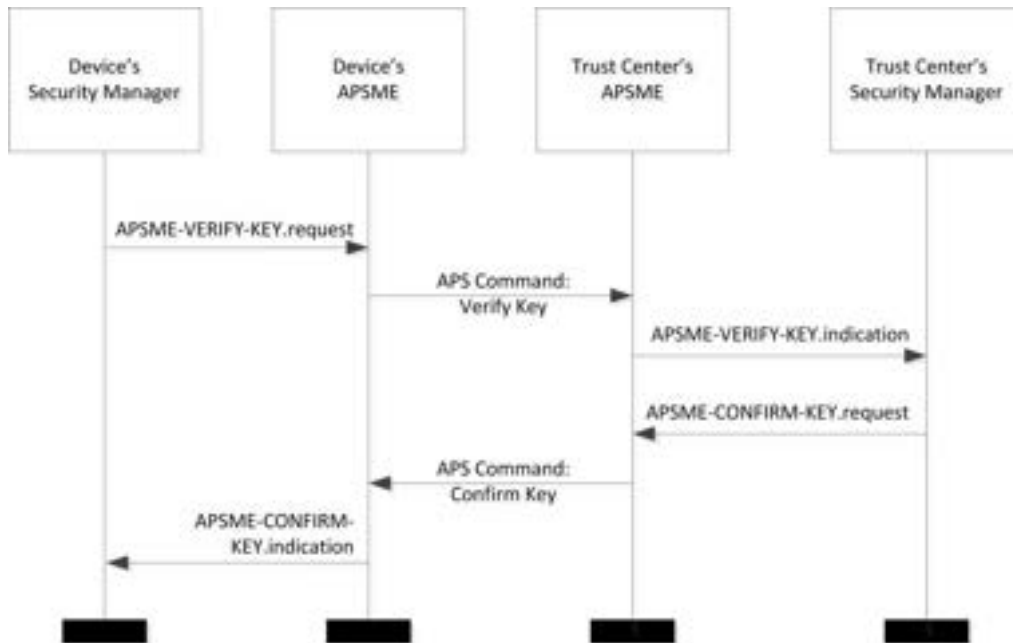
10585 The APSME SHALL generate this primitive when it receives a switch-key command frame that is successfully de-
 10586 crypted and authenticated, as specified in section 4.4.1.4.

10587 **4.4.6.2.3 Effect on Receipt**

10588 Upon receipt of the APSME-SWITCH-KEY.indication primitive the ZDO SHALL be informed that the device refer-
 10589 enced by the SrcAddress parameter is requesting that the network key referenced by the KeySeqNumber parameter
 10590 become the new active network key.

10591 **4.4.7 Verify Key Services**

10592 Figure 4-5 illustrates the flow of service requests and the over-the-air messages for the verify key.



10593

10594

Figure 4-5. Verify-Key Processing for Trust Center Link Keys

10595 **4.4.7.1 APSME-VERIFY-KEY.request**

10596 This primitive allows a device to request that the partner device verify the Link Key between the two devices, either
 10597 Trust Center Link Key or Application Link Key. When validating an application link key the frame counters are also
 10598 synchronized.

10599 4.4.7.1.1 Semantics of the Service Primitive

10600 The primitive SHALL provide the following interface:

10601	APSME-VERIFY-KEY.request	{
10602		DestAddress,
10603		StandardKeyType
10604		}

10605 Table 4-23 specifies the parameters of the APSME-VERIFY-KEY.request primitive.

10606 **Table 4-23. APSME-VERIFY-KEY.request Parameters**

Parameter Name	Type	Valid Range	Description
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device to which the verify-key command be sent.
StandardKeyType	Octet	0x00 – 0xFF	Type of key being verified. See Table 4-9.

10607 4.4.7.1.2 When Generated

10608 The Security Manager on an initiator device SHALL generate this primitive when it wants to verify its Trust Center
10609 link key with the Trust Center.

10610 4.4.7.1.3 Effect on Receipt

10611 On receipt of the APSME-VERIFY-KEY.request primitive the following SHALL be performed:

- 10612 1. If the local device is the Trust Center, the request is invalid and no further processing SHALL be done.
- 10613 2. If the StandardKeyType parameter is not equal to 0x04 (Trust Center Link Key) and the apsTrustCenterAddress
10614 of the AIB is 0xFFFFFFFFFFFFFFFF (indicating a distributed security network), then the request is invalid. No
10615 further processing SHALL be done.
- 10616 3. If the StandardKeyType parameter is equal to 0x03 (Application Link Key) and the DestAddress is equal to
10617 apsTrustCenterAddress of the AIB then the request is invalid. No further processing SHALL be done
- 10618 4. The device SHALL find the corresponding entry in the apsDeviceKeyPairSet that has a DeviceAddress equal to
10619 the DestAddress of this primitive. If no entry can be found, the operation has failed and no further processing
10620 SHALL be done.
- 10621 5. If the StandardKeyType is equal to 0x04 (Trust Center Link Key), then an APS Command Verify Key and APS
10622 Command Confirm Key are used to validate the Trust Center Link Key. The following additional requirements
10623 apply.
 - 10624 a. The *Initiator Verify-Key Hash Value* SHALL be calculated according to section B.1.4 using the LinkKey
10625 value of the corresponding apsDeviceKeyPairSet entry found in step 5.
 - 10626 b. The APSME SHALL generate an APS Command Verify-Key setting the StandardKeyType in the command
10627 to the StandardKeyType of this primitive, and setting the Hash value to the calculated Initiator Verify-Key
10628 Hash Value. The APS command SHALL NOT be APS encrypted.
- 10629 6. If the StandardKeyType is equal to 0x03 (Application Link Key), then a ZDO Security_Challenge_req and ZDO
10630 Security_Challenge_rsp are used to validate the Application Link Key and synchronize frame counters.
 - 10631 a. The device SHALL follow the procedure in section 4.6.3.8.1 to initiate the challenge.

10632 4.4.7.2 APSME-VERIFY-KEY.indication

10633 This primitive allows a device to be notified when a device is requesting to verify its Trust Center Link Key or Appli-
10634 cation Link Key. It allows the Trust Center to know when a provisional link key has been replaced by a verified link
10635 key.

10636 4.4.7.2.1 Semantics of the Service Primitive

10637 The primitive SHALL provide the following interface:

```

10638     APSME-VERIFY-KEY.indication    {
10639                                     SrcAddress,
10640                                     StandardKeyType,
10641                                     ReceivedInitiatorHashValue
10642                                     ReceivedInitiatorChallengeValue
10643     }
```

10644 Table 4-24 specifies the parameters of the APSME-VERIFY-KEY.indication primitive.

10645 **Table 4-24. APSME-VERIFY-KEY.indication Parameters**

Parameter Name	Type	Valid Range	Description
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the verify-key command.
StandardKeyType	Octet	0x00 – 0xFF	Type of key being verified. See Table 4-9.
ReceivedInitiatorHashValue	Set of 16 octets	Variable	The initiator hash of the key being verified.
ReceivedInitiatorChallengeValue	Set of 8 octets	Variable	The initiator's challenge of the key being verified.

10646 4.4.7.2.2 When Generated

10647 The APSME SHALL generate this primitive when it receives an APS Command Verify Key.

10648 4.4.7.2.3 Effect on Receipt

10649 On receipt of the APSME-VERIFY-KEY.indication primitive the following SHALL be performed:

- 10650 1. If the message is a NWK broadcast, the request SHALL be dropped and no further processing SHALL be done.
- 10651 2. If the StandardKeyType is 0x04 (Trust Center Link Key) and the device is not the Trust Center, or if the Stand-
10652 ardKeyType is 0x03 (Application Link Key) and the device is operating as the Trust Center, this is not a valid
10653 request. The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the Status value to 0xa3 (ILLE-
10654 GAL_REQUEST). No further processing SHALL be done.
- 10655 3. If the StandardKeyType parameter is not equal to 0x04 (Trust Center Link Key) and not equal to 0x03 (Applica-
10656 tion Link Key), the request is invalid. The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the
10657 Status value to 0xaa (NOT_SUPPORTED). No further processing SHALL be done.

- 10658 4. If the StandardKeyType is 0x04 (Trust Center Link Key) and the apsTrustCenterAddress of the AIB is set to
10659 0xFFFFFFFFFFFFFFFF, the device is operating in a distributed security network and this is not a valid request.
10660 The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the Status value to 0xaa (NOT_SUP-
10661 PORTED). No further processing SHALL be done.
- 10662 5. If the StandardKeyType is 0x03 (Application Link Key) and the apsTrustCenterAddress of the AIB matches the
10663 SrcAddress, then the request is invalid. The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the
10664 Status value to 0xa3 (ILLEGAL_REQUEST). No further processing SHALL be done.
- 10665 6. The device SHALL find the corresponding entry in the *apsDeviceKeyPairSet* attribute of the AIB where the
10666 DeviceAddress matches the SrcAddress of this primitive and the KeyAttributes is UNVERIFIED_KEY (0x01)
10667 or VERIFIED_KEY (0x02). If no entry matching those criteria is found, the following SHALL be performed.
- 10668 a. If the StandardKeyType is 0x03 (Application Link Key), then the device SHALL generate a ZDO Secu-
10669 rity_Challenge_rsp with a status of INV_REQUESTTYPE.
- 10670 b. If the StandardKeyType is 0x04 (Trust Center Link Key), the Security Manager SHALL follow the procedure
10671 in section 4.4.7.2.3.1 setting the Status value to 0xad (SECURITY_FAILURE).
- 10672 c. No further processing SHALL be done.
- 10673 7. If the StandardKeyType is 0x04 (Trust Center Link Key), the device SHALL do the following:
- 10674 a. The device SHALL calculate the CalculatedInitiatorHashValue by using the LinkKey value in the corre-
10675 sponding *apsDeviceKeyPairSet* entry and the *Initiator Verify-Key Hash Value* cryptographic operation de-
10676 scribed in section B.1.4.
- 10677 b. The device SHALL compare the ReceivedInitiatorHashValue of the primitive with the CalculatedInitia-
10678 torHashValue. If the values do not match the operation has failed, the following SHALL be performed.
- 10679 i. The Security Manager SHALL follow the procedure in section 4.4.7.2.3.1 setting the Status value to
10680 0xad (SECURITY_FAILURE).
- 10681 ii. No further processing SHALL be done.
- 10682 8. If the StandardKeyType is 0x03 (Application Link Key), the device has already validated the re-ceived ZDO
10683 Security_Challenge_rsp as described in section .
- 10684 9. The device SHALL set the KeyAttributes of the corresponding *apsDeviceKeyPairSet* entry to VERIFIED_KEY
10685 (0x02).
- 10686 10. The device SHALL follow the procedure in section 4.4.7.2.3.1 setting the Status value to 0x00 (SUCCESS).

4.4.7.2.3.1 **APSME-VERIFY-KEY.indication Response**

10688 The following shall be done when an APSME-VERIFY-KEY.indication indicates a response SHALL be generated.
10689 This procedure takes a Status code as a parameter.

10690 An APSME-CONFIRM-KEY.request SHALL be generated with the following values:

- 10691 1. The Status code SHALL be set to the Status code passed to this procedure.
- 10692 2. The DestAddress SHALL be set to the SrcAddress of the APSME-VERIFY-KEY.indication.
- 10693 3. The StandardKeyType SHALL be set to the StandardKeyType of the APSME-VERIFY-KEY.indication.
- 10694 4. Set the Challenge value of the APSME-CONFIRM-KEY.request to the ReceivedInitiatorChallengeValue that
10695 was passed to the APSME-VERIFY-KEY.indication primitive.

10696 4.4.8 Confirm-Key Services

10697 4.4.8.1 **APSME-CONFIRM-KEY.request**

10698 This primitive allows a Trust Center to respond to a device requesting to verify its Trust Center Link Key.

10699 **4.4.8.1.1 Semantics of the Service Primitive**

10700 The primitive SHALL provide the following interface:

```

10701     APSME-CONFIRM-KEY.request      {
10702                                     Status
10703                                     DestAddress,
10704                                     StandardKeyType
10705                                     Challenge
10706                                     }
    
```

10707 Table 4-25 specifies the parameters of the APSME-CONFIRM-KEY.request primitive.

10708 **Table 4-25. APSME-CONFIRM-KEY.request Parameters**

Parameter Name	Type	Valid Range	Description
Status	Integer	0x00 – 0xFF	A value indicating the success or failure of a previous attempt to verify the trust center link key. See Table 2.27.
DestAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the verify-key command.
StandardKeyType	Octet	0x00-0xFF	Type of key being verified. See Table 4-9.
Challenge	Set of 8 Octets	Varies	The challenge received for Frame Counter Verification requests.

10709 **4.4.8.1.2 When Generated**

10710 The Security Manager SHALL generate this primitive when it wants to respond to a previously received APSME-
 10711 VERIFY-KEY.indication.

10712 **4.4.8.1.3 Effect on Receipt**

10713 On receipt of the APSME-CONFIRM-KEY.request primitive the following SHALL be performed:

- 10714 1. If the StandardKeyType is 0x04 (Trust Center Link Key) and the device is not the Trust Center, this is not a valid
 10715 request. The request SHALL be dropped and no further processing SHALL be done.
- 10716 2. If the StandardKeyType parameter is not equal to 0x04 (Trust Center Link Key) and not equal to 0x03 (Applica-
 10717 tion Link Key), the request is invalid. No further processing SHALL be done.
- 10718 3. If the StandardKeyType is equal to 0x04 (Trust Center Link Key) and the apsTrustCenterAddress of the AIB is
 10719 set to 0xFFFFFFFFFFFFFFFF, the device is operating in a distributed security network and this is not a valid
 10720 request. The request SHALL be dropped and no further processing SHALL be done.
- 10721 4. The device SHALL find the corresponding entry in the *apsDeviceKeyPairSet* attribute of the AIB by examining
 10722 the DeviceAddress of all entries and comparing it to the DestAddress of this primitive. If no match is found, the
 10723 request is invalid.
 - 10724 a. The device SHALL send an APS Command Confirm Key Response to the DestAddress setting the Stand-
 10725 ardKeyType to the StandardKeyType of this primitive, the Status in the Command to FAILURE. The APS
 10726 Command SHALL NOT be APS encrypted.
 - 10727 b. No further processing SHALL be done.

- 10728 5. If the StandardKeyType is 0x04 (Trust Center Link Key) and the device SHALL send an APS Command Confirm
- 10729 Key Response to the DestAddress setting the StandardKeyType to the StandardKeyType of this primitive, the
- 10730 Status in the Command to the Status passed to this primitive. The APS Command SHALL be APS encrypted.
- 10731 6. If the StandardKeyType is 0x03 (Application Link Key) the device SHALL follow the procedure in section
- 10732 4.6.3.8.5 using the Challenge received in this primitive to construct the response message.
- 10733 7. The device SHALL set the IncomingFrameCounter of the apsDeviceKeyPairSet entry to 0.

4.4.8.2 APSME-CONFIRM-KEY.indication

10735 This primitive notifies a device of the result of a previous APSME-VERIFY-KEY.request and allows it to remove a

10736 provisional link key used for joining.

4.4.8.2.1 Semantics of the Service Primitive

10738 The primitive SHALL provide the following interface:

```

10739 APSME-CONFIRM-KEY.indication {
10740     Status
10741     SrcAddress,
10742     StandardKeyType,
10743     VerifiedFrameCounter,
10744     FrameCounterValue
10745 }
```

10746 Table 4-26 specifies the parameters of the APSME-CONFIRM-KEY.indication primitive.

Table 4-26. APSME-CONFIRM-KEY.indication Parameters

Parameter Name	Type	Valid Range	Description
Status	Integer	0x00 – 0xFF	The result of the APSME-VERIFY-KEY.request operation.
SrcAddress	Device Address	Any valid 64-bit address	The extended 64-bit address of the device that sent the verify-key command.
StandardKeyType	Octet	0x00-0xFF	Type of key being verified. See Table 4-9.
VerifiedFrameCounter	Boolean	TRUE or FALSE	If TRUE, the value passed to FrameCounterValue has been verified as the latest. If FALSE, the value of FrameCounterValue SHALL be ignored.
FrameCounterValue	Integer	0 – 2 ³²	The frame counter that has been used in the frame counter verification.

4.4.8.2.2 When Generated

10749 The APSME SHALL generate this primitive when it receives an APS Command Confirm Key.

10750

10751 4.4.8.2.3 Effect on Receipt

10752 On receipt of the APSME-CONFIRM-KEY.indication primitive the following SHALL be performed:

- 10753 1. If the message is a NWK broadcast, the request SHALL be dropped and no further processing SHALL be done.
- 10754 2. If the local device is the Trust Center, this primitive is invalid. No further processing SHALL be done.
- 10755 3. If the Status parameter is equal to 0x00 (Success), the operation was successful. No further processing SHALL
10756 be done.
- 10757 4. If the StandardKeyType parameter is equal to 0x04 (Trust Center Link Key) and the apsTrustCenterAddress of
10758 the AIB is 0xFFFFFFFFFFFFFFFF (indicating a distributed security network), this primitive is invalid. No further
10759 processing SHALL be done.
- 10760 5. If StandardKeyType is 0x04 (Trust Center Link Key) and the the SrcAddress parameter is not the equal to the
10761 apsTrustCenterAddress of the AIB, then this primitive shall be silently dropped. No further processing SHALL
10762 be done.
- 10763 6. The device SHALL find the corresponding entry in the apsDeviceKeyPairSet of the AIB where the DeviceAd-
10764 dress is equal to the SrcAddress passed to this primitive. If no entry can be found, no further processing SHALL
10765 be done.
- 10766 7. The device SHALL set the keyAttributes of the corresponding apsDeviceKeyPairSet entry to 0x02 (VERI-
10767 FIED_KEY). No further processing SHALL be done.
- 10768 8. If VerifiedFrameCounter is TRUE SHALL do the following:
 - 10769 a. Set the IncomingFrameCounter of the corresponding apsDeviceKeyPairSet entry to FrameCounterValue.
 - 10770 b. Set the VerifiedFrameCounter of the corresponding apsDeviceKeyPairSet entry to TRUE.
 - 10771 c. No further processing SHALL be done.

10772 4.4.9 Key Negotiation Services

10773 Key Negotiation services allow the pair of devices to securely negotiate a link key using Diffie-Hellman. Each side
10774 will exchange Public Point data through the ZDO Security_Start_Key_Negotiation_req and ZDO Secu-
10775 rity_Start_Key_Negotiation_rsp and derive a link key. This is used for Dynamic Key Negotiation Joining and Dy-
10776 namic Key Negotiation Update after joining.

10777 The Security Manager on the device will determine if the Key Negotiation is allowed, whether the requisite security
10778 material is already set, and what key negotiation methods SHALL be used. In all cases both devices SHALL have an
10779 apsDeviceKeyPairSet created with a passphrase.

10780 Partner link keys MAY be negotiated between two devices, neither of which is the trust center. This SHALL only be
10781 done after the devices have joined the network. In that case messages are not relayed through a Relaying router. It is
10782 up to the application to determine the security policies of when keys can be negotiated and whether an anonymous
10783 passphrase or authenticated passphrase SHALL be used.

10784 A joining or rejoining device can negotiate keys with the Trust Center prior to joining. The Trust Center indicates this
10785 by sending messages relayed through the parent router. The initiator will be the joining device and the responder will
10786 be the Trust Center.

10787 The Trust Center advertises its general key negotiation capabilities using the Supported Key Negotiation Methods
10788 Global TLV. In most cases the Trust Center advertises multiple Key Negotiation Methods in order to support devices
10789 with different cryptographic capabilities, but it MAY require certain methods for specific devices. Devices joining the
10790 network, and devices already on the network wishing to renew their Trust Center Link Key, advertise their Key Ne-
10791 gotiation Capabilities to the Trust Center by including the Supported Key Negotiation Methods Global TLV in the
10792 Network Commissioning command frame of the Node_Desc_req ZDO command. The Trust Center selects a particular
10793 scheme out of the union that both parties, Trust Center and partner device, support, taking into account specific pre-
10794 shared secrets on record for a particular device. The Trust Center's choice of method and pre-shared secret is conveyed

- 10795 in a TLV included in the Security Start Key Update Request (in case the partner device is joining) or Node_Desc_rsp
10796 (in case the partner device had already joined earlier).
- 10797 The negotiated, but unverified key will be kept for apsSecurityTimeOutPeriod. It is required for both sides to verify
10798 the key within that period. Prior to initiating Key Negotiation, both the joining device and the Trust Center SHALL
10799 back up the existing APS Key Pair Table entries as needed. There are many potential reasons for failure including but
10800 not limited to exceeding prescribed timeouts, missing or truncated TLVs, deviation from the prescribed sequence,
10801 Trust Center inability to support the DLK with a specific joining device, and a device reinitiating DLK while an
10802 existing sequence is still active. If Key Negotiation fails for any reason, both devices SHALL discard any generated
10803 material and SHALL ensure that their APS Key Pair Table entry is restored, if needed, so that it is identical to what it
10804 was prior to the initiation of Key Negotiation.
- 10805 After negotiating a key both devices SHALL verify the key using the APSME-VERIFY-KEY.request and APSME-
10806 CONFIRM-KEY.request services.
- 10807 Figure 4-6 shows how the interfaces and over the air messages are related.

10811 4.4.9.1 APSME-KEY-NEGOTIATION.request

10812 This primitive requests that the APSME construct and send a ZDO Security_Start_Key_Negotiation_req frame to
 10813 negotiate a new link key with another device. The target device MAY be either the Trust Center or another node in
 10814 the network.

```

10815
10816 APSME-KEY-NEGOTIATE.request {
10817     RequestedKeyNegotiationMethod,
10818     RequestedPreSharedSecretType,
10819     PartnerLongAddress,
10820     RelayCommand
10821     RelayLongAddress
10822 }
```

10823 Table 4-27 specifies the parameters of the APSME-KEY-NEGOTIATE.request primitive.

10824 **Table 4-27. APSME-KEY-NEGOTIATE.request Parameters**

Parameter Name	Type	Valid Range	Description
RequestedKeyNegotiationMethod	Enum	1 – 8	This is the enumeration indicating the key negotiation mechanism to use. This value is used for the Key Negotiation Req Selected Key Negotiation Method local TLV in the ZDO Security_Start_Key_Negotiation_req.
RequestedPreSharedSecretType	Enum	1 - 16	This is the enumeration indicating the source of preshared secret key data used for the requested negotiation. See Table 2-81.
PartnerLongAddress	EUI64	Any	This is the EUI64 of the partner that key negotiation is being performed.
RelayCommand	Boolean	TRUE or FALSE	This indicates whether or not the request SHOULD be relayed through another router. This is used when the partner is not authorized on the network yet.
RelayLongAddress	EUI64	Any	This indicates the address of the relay that SHOULD be used. When Relay-Command is FALSE this parameter is ignored.

10825 4.4.9.1.1 When Generated

10826 This primitive is generated when the application, on a non-Trust Center device, wants to negotiate a link key with a
 10827 partner device. This could be done before the device has fully joined or rejoined the network, or it could be done after
 10828 the device has joined the network.

10829 When the Trust Center device wants to update the link key with another device it sends a ZDO Start_Update_Key_req
 10830 to the device. The device will initiate the link key update locally by calling the APSME-KEY-NEGOTIATE.req and
 10831 the Trust Center will act as the responder for the key negotiation exchange (starting with the APSME-KEY-NEGO-
 10832 TIATE.indication).

10833 4.4.9.1.2 Effect On Receipt

10834 The local device SHALL determine whether it supports the value in the RequestedKeyNegotiationMethod. It SHALL
10835 convert the enum into a bitmask and then compare it to the apsSupportedKeyNegotiationMethods value. If there is no
10836 corresponding match of bits then an error SHALL be returned to the next higher layer.

10837 The stack SHALL determine whether an entry exists for the specified device in its apsDeviceKeyPairSet table of the
10838 AIB. If an entry does not exist where the DeviceAddress matches the PartnerLongAddress than an error SHALL be
10839 returned to the higher layer and no further processing SHALL be done.

10840 The device SHALL construct a ZDO Security_Start_Key_Negotiation_req frame. The RequestedKeyNegotiation-
10841 Method SHALL be set based on the type of Public Point TLV in the Security_Start_Key_Negotiation_req (i.e. if
10842 Public Point TLV provided to Security_Start_Key_Negotiation_req is for Curve25519 then the RequestedKeyNegotiation-
10843 Method is the value for Curve25519). The device SHALL generate a public / private key pair based on the
10844 RequestedKeyNegotiationMethod. A Public Point TLV SHALL be constructed as indicated in Table 2-71 with the
10845 public point data being placed in the TLV.

10846 For the corresponding entry in the apsDeviceKeyPairSet the device SHALL set KeyNegotiationState to
10847 START_KEY_NEGOTIATION, and the KeyNegotiationMethod to the value passed as RequestedKeyNegotiation-
10848 Method.

10849 If RelayCommand is TRUE then it SHALL construct an APS Command Relay Message Upstream. The Source EUI64
10850 in the APS Command SHALL be the local device address, and the Message to be relayed SHALL be the ZDO Secu-
10851 rity_Start_Key_Negotiation_req. The APS Command Relay Message Upstream SHALL be sent to the Relay-
10852 LongAddress.

10853 Otherwise if RelayCommand is FALSE, the device SHALL send the ZDO Security_Start_Key_Negotiation_req to
10854 the PartnerLongAddress via an APSDE-DATA.request.

10855 4.4.9.2 APSME-KEY-NEGOTIATION.indication

10856 This primitive indicates that the APSME has received a request to negotiate a new link key.

```

10857     APSME-KEY-NEGOTIATE.indication    {
10858                                     RequestedKeyNegotiationMethod,
10859                                     RequestedPreSharedSecretType,
10860                                     PartnerLongAddress,
10861                                     PublicPointData,
10862                                     RelayCommand,
10863                                     RelayLongAddress
10864                                     }

```

10865 Table 4-28 specifies the parameters of the APSME-KEY-NEGOTIATE.indication primitive.

10866 **Table 4-28. APSME-KEY-NEGOTIATE.indication Parameters**

Parameter Name	Type	Valid Range	Description
RequestedKeyNegotiationMethod	Enum	1 – 8	This is the enumeration indicating the key negotiation mechanism being requested.
RequestedPreSharedSecretType	Enum	1 - 16	This is the enumeration indicating the source of preshared secret key data used for the requested negotiation. See Table 2-81.
PartnerLongAddress	EUI64	Any	This is the EUI64 of the partner that is requesting the key negotiation.

Parameter Name	Type	Valid Range	Description
PublicPointData	Array	Any	This is the Public Point Data generated by the initiator for negotiating a link key.
RelayCommand	Boolean	TRUE or FALSE	This indicates whether the request was relayed through another router. This is used when the source is not authorized on the network yet.
RelayLongAddress	EUI64	Any	This indicates the address of the relay that SHOULD be used. When Relay-Command is FALSE this parameter SHALL be ignored.

10867 4.4.9.2.1 When Generated

10868 This is generated by the ZDO when it wants to notify the application that it has received an over-the-air request to
10869 negotiate a new key.

10870 4.4.9.2.2 Effect On Receipt

- 10871 1. Upon receipt a remote device SHALL consult the next higher layer rules for whether or not this key negotiation
10872 is allowed with the sending device. If the key negotiation is not allowed, then an APSME-KEY-NEGOTIA-
10873 TION.response with a ZdoStatus of NOT_AUTHORIZED SHALL be generated and no further processing
10874 SHALL be done.
- 10875 2. If the device temporarily cannot handle the request due to resource constraints, then it SHALL generate an
10876 APSME-KEY-NEGOTIATION.response with a ZdoStatus of TEMPORARY_FAILURE
- 10877 3. Search the apsDeviceKeyPairSet table for an entry where DeviceAddress matches the PartnerLongAddress
10878 passed to this primitive. If no entry exists then do the following
 - 10879 a. Generate an APSME-KEY-NEGOTIATION.response with a ZdoStatus of NOT_AUTHORIZED.
 - 10880 b. No more processing SHALL be done.
- 10881 4. Determine if Key negotiation is already in progress.
 - 10882 a. Examine the KeyNegotiationState in the matching apsDeviceKeyPairSet. If it is either 0x00 (None) or 0x03
10883 (Key Negotiation Complete), go to step 4.
 - 10884 b. If the KeyNegotiationState is any other value, then the device SHALL generate an APSME-KEY-NEGOTI-
10885 ATION.response with a ZdoStatus of SECURITY_FAIL.
- 10886 5. Notify the Security Manager. The Security Manager can choose how to respond to the device and issue an
10887 APSME-KEY-NEGOTIATION.response.

10888

10889 4.4.9.3 APSME-KEY-NEGOTIATION.response

10890 This primitive tells the APSME to respond to a Key negotiation request.

```

10891     APSME-KEY-NEGOTIATE.response    {
10892                                     Status,
10893                                     KeyNegotiationMethod,
10894                                     PreSharedSecretType,
10895                                     PartnerPublicPointData,
10896                                     PartnerLongAddress,
10897                                     RelayCommand,
10898                                     RelayLongAddress
10899                                     }

```

10900 Table 4-29 specifies the parameters of the APSME-KEY-NEGOTIATE.response primitive.

10901 **Table 4-29. APSME-KEY-NEGOTIATE.response Parameters**

Parameter Name	Type	Valid Range	Description
ZdoStatus	Integer	0 – 255	The ZDP Status code to use in the response message.
PartnerPublicPointData	Array	Any	The Public point data received by the partner.
KeyNegotiationMethod	Enum	1 – 8	This is the enumeration indicating the key negotiation used in the response.
PreSharedSecretType	Enum	1 – 16	Indicates what kind of preshared key data will be used in key negotiation See Table 2-81.
PartnerLongAddress	EUI64	Any	This is the EUI64 of the partner that is requesting the key negotiation.
RelayCommand	Boolean	TRUE or FALSE	This indicates whether the response will be relayed through another router. This is used when the source is not authorized on the network yet.
RelayLongAddress	EUI64	Any	This indicates the address of the relay that SHOULD be used. When RelayCommand is FALSE this parameter SHALL be ignored.

10902 4.4.9.3.1 When Generated

10903 This is generated by the application to respond to a previously received APSME-KEY-NEGOTIATE.indication. The
10904 device MAY accept the request, reject the request, or respond asking for the device to use a different Key Negotiation
10905 Method.

10906 4.4.9.3.2 Effect On Receipt

10907 Upon receipt of this primitive, the APSME will do the following.

- 10908 1. If ZdoStatus is not SUCCESS, do the following
 - 10909 a. Generate a ZDO Security Start_Key_Negotiation_rsp with the status field equal to the ZdoStatus passed to
10910 this primitive.

- 10911 b. If ZdoStatus is set to BAD_KEY_NEGOTIATION_METHOD then construct a Key Establishment Se-
- 10912 lected Key Negotiation Method TLV and include the value for KeyNegotiationMethod passed to this primi-
- 10913 tive. Append the TLV to the Security_Start_Key_Negotiation_rsp.
- 10914 c. Go to step 6 (Sending the response).
- 10915 2. If anonymous key exchange is used, PreSharedKeyType is 0, then the device SHALL do as follows:
- 10916 a. Find the EUI64 specified in PartnerLongAddress in the local *apsDeviceKeyPairSet* AIB value.
- 10917 b. If the device is found, then it SHALL examine the *PassphraseUpdateAllowed* value of the *apsDeviceKey-*
- 10918 *PairSet* entry in the AIB.
- 10919 i. If the value is FALSE, then a Security_Start_Key_Negotiation_rsp SHALL be generated with a status
- 10920 code of NOT_AUTHORIZED, go to step 6 (sending the response).
- 10921 ii. If the value is TRUE, then it SHALL set the passphrase of the *apsDeviceKeyPairSet* to *apscWellK-*
- 10922 *nownPSK*.
- 10923 c. If the EUI64 is not found, a new *apsDeviceKeySet* entry SHALL be added for the corresponding Device
- 10924 EUI64 and the passphrase SHALL be set to *apscWellKnownPSK*.
- 10925 3. If authenticated key exchange is specified, PreSharedKeyType is not 0, , then the local device SHALL do the
- 10926 following:
- 10927 a. It SHALL find the EUI64 specified in the PartnerLongAddress in its *apsDeviceKeyPairSet* AIB value. If
- 10928 no entry is found then processing SHALL fail and no further processing SHALL be done.
- 10929 b. It SHALL then lookup the passphrase associated with the device in the *apsDeviceKeyPairSet* AIB struc-
- 10930 ture. If no passphrase is found, this is considered a failure and no further processing SHALL be done.
- 10931 c. If a failure occurs, a Security_Start_Key_Negotiation_rsp SHALL be generated with a status code of
- 10932 NOT_AUTHORIZED, go to step 6 (Sending the response).
- 10933 d. If no failure occurs, continue processing and go to step 4 (executing cryptographic routine).
- 10934 4. Generate a local Public & Private Key pair.
- 10935 5. Using previously obtained passphrase, the PartnerPublicPointData, the local public & private key pair, and the
- 10936 KeyNegotiationMethod the Cryptographic routine in ANNEX J SHALL be executed. If that cryptographic op-
- 10937 eration fails, then a Security_Start_Key_Negotiation_rsp SHALL be generated with a status code of SECU-
- 10938 RITY_FAIL. Go to step 6 (sending the response).
- 10939 6. On success of the cryptographic operation, the device SHALL update the *apsDeviceKeyPairSet* as follows.
- 10940 a. Set LinkKey to the derived key.
- 10941 b. Set the KeyAttributes to 0x01, UNVERIFIED_KEY.
- 10942 c. Set the Timeout attribute to the value of *apsSecurityTimeOutPeriod*.
- 10943 d. Set the KeyNegotiationState to 0x02, COMPLETE_KEY_NEGOTIATION.
- 10944 e. Set the KeyNegotiationMethod to the value of KeyNegotiationMethod passed to this primitive.
- 10945 f. Set the Frame Counter Synchronization bit in the Features & Capabilities bitmap to '1'.
- 10946 7. The device sends the constructed response message to the PartnerLongAddress as follows:
- 10947 a. If RelayCommand was set to TRUE.
- 10948 i. If the device is the Trust Center, it SHALL construct an APS Command Relay Message Downstream
- 10949 with the Destination Address set to the PartnerLong. The message to relay SHALL be the ZDO Secu-
- 10950 rity_Start_Key_Negotiation_rsp.
- 10951 ii. Otherwise if the device is not the Trust Center, it SHALL construct an APS Command Relay Message
- 10952 Upstream with the Source Address set to the PartnerLong. The message to relay SHALL be the ZDO
- 10953 Security_Start_Key_Negotiation_rsp.
- 10954 b. If RelayCommand was set to FALSE:
- 10955 i. The device SHALL send the ZDO Security_Start_Key_Negotiation_rsp without using a relay com-
- 10956 mand frame encapsulation.
- 10957 On success, both devices have an unverified, dynamically negotiated link key. It is EXPECTED that the initiator will
- 10958 start the verification process with APSME-VERIFY-KEY.request after the responder completes the APSME-KEY-
- 10959 NEGOTIATE.response.

10960

10961 4.4.9.4 APSME-KEY-NEGOTIATE.confirm

```

10962     APSME-KEY-NEGOTIATE.confirm    {
10963                                     ZdoStatus,
10964                                     PartnerPublicPointData,
10965                                     PartnerLongAddress,
10966                                     RelayCommand,
10967                                     RelayLongAddress
10968                                     }

```

10969 Table 4-30 specifies the parameters of the APSME-KEY-NEGOTIATE.confirm primitive.

10970 **Table 4-30. APSME-KEY-NEGOTIATE.confirm Parameters**

Parameter Name	Type	Valid Range	Description
ZdoStatus	Integer	0 – 255	The ZDP Status code to use in the response message.
PartnerPublicPointData	Array	Any	The Public point data received by the partner.
PartnerLongAddress	EUI64	Any	This is the EUI64 of the partner that is requesting the key negotiation.
RelayCommand	Boolean	TRUE or FALSE	This indicates whether the response will be relayed through another router. This is used when the source is not authorized on the network yet.
RelayLongAddress	EUI64	Any	This indicates the address of the relay that SHOULD be used. When RelayCommand is FALSE this parameter SHALL be ignored.

10971 4.4.9.4.1 When Generated

10972 This is generated by the ZDO when it wants to notify the application that it has received an over-the-air response to
 10973 negotiate a key

10974 4.4.9.4.2 Effect On Receipt

10975 Upon receipt of this primitive, the APSME will do the following.

- 10976 1. Find the corresponding `apsDeviceKeyPairSet` entry that has a `DeviceAddress` that matches the `PartnerLongAddress`.
- 10977 2. If no matching entry can be found, then no further processing SHALL be done.
- 10978 3. If `ZdoStatus` is NOT success, do the following:
 - 10979 a. Set the `KeyNegotiationState` to `NO_KEY_NEGOTIATION`.
 - 10980 b. No further processing SHALL be done.
- 10981 4. Generate a local public & private key pair.
- 10982 5. Using the Passphrase in the `apsDeviceKeyPairSet` entry along with the `PartnerPublicPointData`, and the local
 10983 public & private key pair, execute the cryptographic operation in ANNEX J.
- 10984 6. On success of the cryptographic operation, the device SHALL update the `apsDeviceKeyPairSet` as follows.
 - 10985 a. Set `LinkKey` to the derived key.
 - 10986 b. Set the `KeyAttributes` to `0x01, UNVERIFIED_KEY`.
 - 10987 c. Set the `TimeoutAttribute` to the value of `apsSecurityTimeOutPeriod`.
 - 10988 d. Set the `KeyNegotiationState` to the value of `COMPLETE_KEY_NEGOTIATION`.
 - 10989 e. Set the `KeyNegotiationMethod` to the value previously passed in the `APSME-KEY-NEGOTIATE.request`.
 - 10990

- 10991 On success, both devices have an unverified, dynamically negotiated link key. It is EXPECTED that the initiator
 10992 will start the verification process with APSME-VERIFY-KEY.request after the responder completes the APSME-
 10993 KEY-NEGOTIATE.confirm.
- 10994 On failure, both devices SHALL discard any generated material and SHALL ensure that the respective APS Key
 10995 Pair Table entries are identical what they were prior to initiation of Key Negotiation, as described in section 4.4.10.

10996 4.4.10 Secured APDU Frame

- 10997 The APS layer frame format consists of APS header and APS payload fields (see Figure 4-7). The APS header consists
 10998 of frame control and addressing fields. When security is applied to an APDU frame, the security bit in the APS frame
 10999 control field SHALL be set to 1 to indicate the presence of the auxiliary frame header. The format for the auxiliary
 11000 frame header is given in section 4.5.1. The format of a secured APS layer frame is shown in Figure 4-7. The auxiliary
 11001 frame header is situated between the APS header and payload fields.

Octets: Variable	5 or 13	Variable	
Original APS header ([B6], Clause 7.1)	Auxiliary frame header	Encrypted payload	Encrypted message integrity code (MIC)
		Secure frame payload = output of CCM	
Full APS header		Secured APS payload	

11002 **Figure 4-7. Secured APS Layer Frame Format**

11003 4.4.11 Command Frames

- 11004 The APS layer command frame formats are given in this section.
- 11005 All APS command frames SHALL set their APS frame control field as follows:
- 11006 1. Set the frame type sub-field to 0x01 (Command)
 - 11007 2. Set the delivery-mode sub-field to 0x00 (Unicast) or 0x10 (broadcast)
 - 11008 3. Set the ACK format bit to 0.
 - 11009 4. Set the ACK request bit to 0 for APS Command Frames sent inside Tunnel Data frames from the Trust Center to
 11010 a prospective joiner. A device MAY, but is not required to, set the ACK request bit to 1 for the Relay Message
 11011 Upstream and Relay Message Downstream commands. A device SHALL set the ACK request bit to 1 for all other
 11012 unicast APS command frames as well as command frames within the Relay Message Upstream and Relay Mes-
 11013 sage Downstream commands.
 - 11014 5. Set the extended nonce sub field to 1 if APS security was applied. Otherwise, set it to 0.⁹
 - 11015 6. Set the security bit according to section 4.4.1.3 Security Processing of APS Commands.
- 11016 Command identifier values are shown in Table 4-31.

⁹ CCB 2432

11017

Table 4-31. Command Identifier Values

Command Identifier	Value
Reserved	0x01
Reserved	0x02
Reserved	0x03
Reserved	0x04
APS_CMD_TRANSPORT_KEY	0x05
APS_CMD_UPDATE_DEVICE	0x06
APS_CMD_REMOVE_DEVICE	0x07
APS_CMD_REQUEST_KEY	0x08
APS_CMD_SWITCH_KEY	0x09
Reserved	0x0A
Reserved	0x0B
Reserved	0x0C
Reserved	0x0D
APS_CMD_TUNNEL	0x0E
APS_CMD_VERIFY_KEY	0x0F
APS_CMD_CONFIRM_KEY	0x10
APS_CMD_RELAY_MESSAGE_DOWNSTREAM	0x11
APS_CMD_RELAY_MESSAGE_UPSTREAM	0x12

11018

4.4.11.1 Transport-Key Commands

11019

The transport-key command frame shall be formatted as illustrated in Figure 4-8. The optional fields of the APS header portion of the general APS frame format SHALL NOT be present.

11020

Octets: 1	1	1	1	Variable
Frame control	APS counter	APS command identifier	StandardKeyType	Key descriptor
APS header		Payload		

Figure 4-8. Transport-Key Command Frame

11021

11022 **4.4.11.1.1 Command Identifier Field**

11023 The command identifier field SHALL indicate the transport-key APS command type
 11024 (APS_CMD_TRANSPORT_KEY, see Table 4-31).

11025 **4.4.11.1.2 StandardKeyType Field**

11026 This field is 8 -bits in length and describes the type of key being transported. The different types of keys are enumer-
 11027 ated in Table 4-9.

11028 **4.4.11.1.3 Key Descriptor Field**

11029 This field is variable in length and SHALL contain the actual (unprotected) value of the transported key along with
 11030 any relevant identification and usage parameters. The information in this field depends on the type of key being trans-
 11031 ported (as indicated by the StandardKeyType field — see Table 4-9) and shall be set to one of the formats described
 11032 in the following subsections.

11033 **4.4.11.1.3.1 Trust Center Link Key Descriptor Field**

11034 If the key type field is set to 4, the key descriptor field SHALL be formatted as shown in Figure 4-9.

Octets: 16	8	8	Varies
Key	Destination address	Source address	TLVs

Figure 4-9. Trust Center Link Key Descriptor Field in Transport-Key Command

11035

11036 The key sub-field SHALL contain the link key that SHOULD be used for APS encryption.

11037 The destination address sub-field SHALL contain the address of the device which SHOULD use this link key.

11038 The source address sub-field SHALL contain the address of the Trust Center that sent the link key.

11039 The TLVs sub-field is optional. If present, it contains one or more TLVs as described in the section 4.4.11.1.4.

11040

11041 4.4.11.1.3.2 **Network Key Descriptor Field**

11042 If the key type field is set to 1 this field SHALL be formatted as shown in Figure 4-10.

Octets: 16	1	8	8
Key	Sequence number	Destination address	Source address

Figure 4-10. Network Key Descriptor Field in Transport-Key Command

11043 The key sub-field SHALL contain a network key.

11045 The sequence number sub-field SHALL contain the sequence number associated with this network key.

11046 The destination address sub-field SHALL contain the address of the device which SHOULD use this network key.

11047 If the network key is sent to a broadcast address, the destination address subfield SHALL be set to the all-zero string
11048 and SHALL be ignored upon reception.

11049 The source address sub-field SHALL contain the address of the device (for example, the Trust Center) which originally
11050 sent this network key.

11051 The source address field SHALL contain 0xFFFFFFFFFFFFFFFF in a distributed security network. This indicates to
11052 the receiving device this is a distributed security network with no Trust Center.

11053 4.4.11.1.3.3 **Application Link Key Descriptor Field**

11054 If the key type field is set to 2 or 3, this field SHALL be formatted as shown in Figure 4-11.

Octets: 16	8	1	Varies
Key	Partner address	Initiator flag	TLVs

Figure 4-11. Application Link Key Descriptor in Transport-Key Command

11056 The key sub-field SHALL contain a link key that is shared with the device identified in the partner address sub-field.

11057 The partner address sub-field SHALL contain the address of the other device that was sent this link key.

11058 The initiator flag sub-field SHALL be set to 1 if the device receiving this packet requested this key. Otherwise, this
11059 sub-field SHALL be set to 0.

11060 The TLVs sub-field is optional. If present, it contains one or more TLVs as described in the section 4.4.11.1.4.

11061 **4.4.11.1.4 TLVs**

11062 4.4.11.1.4.1 **Local TLVs**

11063 This local TLV (tag ID 0x00) indicates link-key features and the peer device’s link-key capabilities as shown in Figure
11064 4-12.

Octets
Features

Figure 4-12. Format of the Link-Key Features & Capabilities TLV

11066 The fields of the Link-Key Features & Capabilities TLV are described in Table 4-32.

11067

Table 4-32. Fields of the Link-Key Features & Capabilities TLV

Name	Type	Valid Range	Description
Features	map8	0x00 – 0xFF	This contains the key features bitmap as specified in Table 4-36.

11068 4.4.11.2 Update Device Commands

11069 The APS command frame used for device updates is specified in this section. The optional fields of the APS header
11070 portion of the general APS frame format SHALL NOT be present.

11071 The update-device command frame SHALL be formatted as illustrated in Figure 4-13.

11072

Octets: 1	1	1	8	2	1	Varies
Frame control	APS counter	APS command identifier	Device Address	Device short address	Status	JoinerTLVs
APS Header		Payload				

11073

Figure 4-13. Update-Device Command Frame Format

11074 4.4.11.2.1 Command Identifier Field

11075 The command identifier field SHALL indicate the update-device APS command type (APS_CMD_UPDATE_DE-
11076 VICE, see Table 4-31).

11077 4.4.11.2.2 Device Address Field

11078 The device address field SHALL be the 64-bit extended address of the device whose status is being updated.

11079 4.4.11.2.3 Device Short Address Field

11080 The device short address field SHALL be the 16-bit network address of the device whose status is being updated.

11081 4.4.11.2.4 Status Field

11082 The status field SHALL be assigned a value as described for the Status parameter in Table 4-14.

11083 4.4.11.2.5 JoinerTLVs Field

11084 The JoinerTLVs field MAY or MAY NOT be present. This field will be one or more TLVs received during Network
11085 Commissioning by the parent router. If the joining device or parent router has implemented a version prior to R23
11086 then the fields will not be present. Only if both joiner and router support Revision 23 or later will the Joiner TLVs
11087 field be present.

11088 4.4.11.3 Remove Device Commands

11089 The APS command frame used for removing a device is specified in this section. The optional fields of the APS header
11090 portion of the general APS frame format SHALL NOT be present. The remove-device command frame shall be for-
11091 matted as illustrated in Figure 4-14.

Octets: 1	1	1	8
Frame control	APS counter	APS command identifier	Target address
APS Header		Payload	

Figure 4-14. Remove-Device Command Frame Format

11092

11093 **4.4.11.3.1 Command Identifier Field**

11094 The command identifier field SHALL indicate the remove-device APS command type (APS_CMD_REMOVE_DE-
11095 VICE, see Table 4-31).

11096 **4.4.11.3.2 Target Address Field**

11097 The target address field SHALL be the 64-bit extended address of the device that is requested to be removed from the
11098 network.

11099 **4.4.11.4 Request-Key Commands**

11100 The APS command frame used by a device for requesting a key is specified in this section. The optional fields of the
11101 APS header portion of the general APS frame format SHALL NOT be present.

11102 The request-key command frame SHALL be formatted as illustrated in Figure 4-15.

Octets: 1	1	1	1	0/8
Frame control	APS counter	APS command identifier	RequestKeyType	Partner address
APS Header		Payload		

Figure 4-15. Request-Key Command Frame Format

11103

11104 **4.4.11.4.1 Command Identifier Field**

11105 The command identifier field SHALL indicate the request-key APS command type (APS_CMD_REQUEST_KEY,
11106 see).

11107 **4.4.11.4.2 RequestKeyType Field**

11108 The key type field SHALL be set to the key being requested. Note this Key Type is different than the StandardKeyType
11109 values used in Table 4-9 for other APS Commands or other APSME primitives. The RequestKeyType field values for
11110 the APS Command Request Key are defined in Table 4-19.

11111 **4.4.11.4.3 Partner Address Field**

11112 When the RequestKeyType field is 2 (that is, an application key), the partner address field SHALL contain the ex-
11113 tended 64-bit address of the partner device that SHALL be sent the key. Both the partner device and the device origi-
11114 nating the request-key command will be sent the key.

11115 When the RequestKeyType field is 4 (that is, a trust center link key), the partner address field will not be present.

11116 4.4.11.5 Switch-Key Commands

11117 The APS command frame used by a device for switching a key is specified in this section. The optional fields of the
11118 APS header portion of the general APS frame format SHALL NOT be present.

11119 The switch-key command frame SHALL be formatted as illustrated in Figure 4-16.

Octets: 1	1	1	1
Frame control	APS counter	APS command identifier	Sequence number
APS Header		Payload	

11120 **Figure 4-16. Switch-key Command Frame Format**

11121 4.4.11.5.1 Command Identifier Field

11122 The command identifier field SHALL indicate the switch-key APS command type (APS_CMD_SWITCH_KEY, see
11123 Table 4-31).

11124 4.4.11.5.2 Sequence Number Field

11125 The sequence number field SHALL contain the sequence number identifying the network key to be made active.

11126 4.4.11.6 Tunnel Command

11127 The APS command frame used by a device for sending a command to a device that lacks the current network key is
11128 specified in this section. The optional fields of the APS header portion of the general APS frame format SHALL NOT
11129 be present. The tunnel-key command frame is sent unsecured.

11130 The tunnel-key command frame SHALL be formatted as illustrated in Figure 4-17.

Octets:1	1	1	8	2	13	Variable	4
Frame control	APS counter	APS command identifier	Destination address	Tunneled APS header	Tunneled auxiliary frame	Tunneled command	Tunneled APS MIC
APS Header		Payload					

11131 **Figure 4-17. Tunnel Command Frame Format**

11132 4.4.11.6.1 Command Identifier Field

11133 The command identifier field SHALL indicate the tunnel APS command type (APS_CMD_TUNNEL, see Table
11134 4-31).

11135 4.4.11.6.2 Destination Address

11136 The destination address field SHALL be the 64-bit extended address of the device that is to receive the tunneled
11137 command.

11138 4.4.11.6.3 Tunneled Auxiliary Frame Field

11139 The tunneled auxiliary frame field shall be the auxiliary frame (see section 4.5.1) used to encrypt the tunneled com-
11140 mand. The auxiliary frame SHALL indicate that a link key was used and SHALL include the extended nonce field.

11141 4.4.11.6.4 Tunneled Command Field

11142 The tunneled command field SHALL be the APS command frame to be sent to the destination.

11143 4.4.11.7 Verify-Key Command

11144 This APS command is used by a joining device to verify its updated link key with the peer device, such as the Trust
11145 Center.

11146 The Verify-Key Command frame is formatted as illustrated in Figure 4-18.

Octets:1	1	1	1	8	16
Frame control	APS counter	APS command identifier	Standard Key Type	Source address	Initiator Verify-Key Hash Value
APS Header		APS Payload			

11147 **Figure 4-18. Verify-Key Command Frame**

11148 4.4.11.7.1 Command Identifier Field

11149 The command identifier field SHALL indicate the verify-key request command type (APS_CMD_VERIFY_KEY,
11150 see Table 4-31).

11151 4.4.11.7.2 StandardKeyType Field

11152 This is the type of key being verified. See Table 4-9.

11153 4.4.11.7.3 Source Address

11154 This Source address field SHALL be the 64-bit extended address of the partner device that the destination shares the
11155 link key with.

11156 4.4.11.7.4 Initiator Verify-Key Hash Value

11157 This value is the outcome of executing the specialized keyed hash function specified in section B.1.4 using a key
11158 with the 1-octet string '0x03' as the input string. The resulting value SHALL NOT be used as a key for encryption
11159 or decryption.

11160 4.4.11.8 Confirm-Key Command

11161 This APS command is used by a device (such as the trust center) to confirm its updated link key with the peer device.

11162 The Confirm-Key command frame is formatted as illustrated in Figure 4-19.

11163

Octets:1	1	1	1	1	8
Frame control	APS counter	APS command identifier	Status	StandardKeyType	Destination address
APS Header		APS Payload			

Figure 4-19. Confirm-Key Command Frame

11164

11165 **4.4.11.8.1 Command Identifier Field**

11166 The command identifier field SHALL indicate the Confirm-Key command type (APS_CMD_VERIFY_KEY_RE-
11167 SPONSE, see Table 4-31).

11168 **4.4.11.8.2 Status**

11169 This will be the 1-byte status code indicating the result of the operation. See Table 2.27.

11170 **4.4.11.8.3 StandardKeyType**

11171 This is the type of key being verified. See Table 4-9.

11172 **4.4.11.8.4 Destination Address**

11173 This destination address field SHALL be the 64-bit extended address of the source device of the Verify-Key message.

11174 **4.4.11.9 Relay Message Downstream Command**

11175 This APS command is used by a Trust Center to relay a message through a parent router to a joining node as shown
11176 in Figure 4-20.

Octets: 1	1	1	Varies
Frame Control	APS Counter	APS Command Identifier	TLVs
APS Header		APS Payload	

Figure 4-20. Relay Message Downstream Command Frame

11177

11178 **4.4.11.9.1 Command Identifier Field**

11179 The command identifier field SHALL indicate the Relay Message command type (APS_CMD_RELAY_MES-
11180 SAGE_DOWNSTREAM).

11181 **4.4.11.9.2 TLVs**

11182 This field contains one or more TLVs. This command SHALL have at a minimum the Relay Message TLV.

11183

11184 4.4.11.9.2.1 **Local TLVs**

11185 4.4.11.9.2.1.1 Relay Message TLV (ID = 0)

11186 This local TLV (tag ID 0x00) indicates the message to be relayed and the destination of the device it is relayed to as
 11187 shown in Figure 4-21.

Octets: 8	Varies
Destination EUI64	Message to be relayed

11188 **Figure 4-21. Format of the Relay Message TLV**

11189 The fields of the Relay Message TLV are defined in Table 4-33.

11190 **Table 4-33. Fields of the Relay Message TLV**

Name	Type	Valid Range	Description
Destination EUI64	EUI64	0x0000000000000000 – 0xFFFFFFFFFFFFFFFF	This contains the EUI64 of the unauthorized neighbor that is the intended destination of the relayed message.
Message to be relayed	Special	Varies	This contains the single APS message, or message fragment, to be relayed from the Trust Center to the Joining device. The message SHALL start with the APS Header of the intended recipient.

11191 **4.4.11.10 Relay Message Upstream Command**

11192 This APS command is used by an unauthorized joining node to relay a message through a parent router to the Trust
 11193 Center as shown in Figure 4-22.

Octets: 1	1	1	Varies
Frame Control	APS Counter	APS Command Identifier	TLVs
APS Header		APS Payload	

11194 **Figure 4-22. Relay Message Upstream Command Frame**

11195 **4.4.11.10.1 Command Identifier Field**

11196 The command identifier field SHALL indicate the Relay Message command type (APS_CMD_RELAY_MES-
 11197 SAGE_UPSTREAM , see Table 4-31).

11198 **4.4.11.10.2 TLVs**

11199 This field contains one or more TLVs. This command SHALL have at a minimum the Relay Message TLV.

11200

11201 4.4.11.10.2.1 **Local TLVs**

11202 4.4.11.10.2.1.1 Relay Message TLV (ID = 0)

11203 This local TLV (tag ID 0x00) indicates the message to be relayed and the source of the device it is being relayed from
 11204 as show in Figure 4-23.

Octets: 8	Varies
Source EUI64	Message to be relayed

11205 **Figure 4-23. Format of the Relay Message TLV**

11206 The fields of the Relay Message TLV are defined in Table 4-34.

11207 **Table 4-34. Fields of the Relay Message TLV**

Name	Type	Valid Range	Description
Source EUI64	EUI64	0x0000000000000000 – 0xFFFFFFFFFFFFFFFF	This contains the EUI64 of the unauthorized neighbor that is the source of the relayed message.
Message to be relayed	Special	Varies	This contains the single APS message, or message fragment, to be relayed from the joining device to the Trust Center. The message SHALL start with the APS Header of the intended recipient.

11208 **4.4.12 Security-Related AIB Attributes**

11209 The AIB contains attributes that are required to manage security for the APS layer. Each of these attributes can be
 11210 read or written using the APSME-GET.request and APSME-SET.request primitives, respectively. The security-related
 11211 attributes contained in the APS PIB are presented in Table 4-35.

11212 **Table 4-35. AIB Security Attributes**

Attribute	ID	Type	Range	Description	Default
<i>apsDeviceKeyPairSet</i>	0xaa	Set of key-pair de-scriptor entries. See Table 4.39.	Variable	A set of key-pair de-scriptors containing link keys shared with other devices.	-
<i>apsTrustCenterAddress</i>	0xab	Device address	Any valid 64-bit address	Identifies the address of the device’s Trust Center. If this value is 0xFFFFFFFFFFFFFFFF, this means that there is no Trust Center in the network and the network	0xFFFFFFFFFFFFFFFF

Attribute	ID	Type	Range	Description	Default
				is operating in distributed security mode.	
<i>apsSecurityTimeOut-Period</i>	0xac	Integer	0x0000 – 0xFFFF	The period of time a device will wait for the next expected security protocol frame (in milliseconds).	10 seconds
<i>trustCenterPolicies</i>	0xad	-	Variable	A set of policies encoded in the trust center on how it deals with various security events. See Table 4-42.	
<i>apsSupportedKeyNegotiationMethods</i>	0xaf	Bit-mask	Any 32-bit value	This indicates the set of supported key negotiation methods by the local device. The set of valid values corresponds to the Supported Key Negotiation Methods Global TLV. At a minimum the device SHALL support one method, the Key Request Method.	0x01
<i>apsChallengePeriod-TimeoutSeconds</i>	0xb0	Integer	0 – 10	The timeout in seconds for how long a challenge for an APS frame counter verification is valid.	5
<i>apsChallengePeriodRemainingSeconds</i>	0xb1	Integer	0 – 10	The amount of time remaining for an outstanding challenge value.	0
<i>apsChallengeValue</i>	0xb2	Integer	Any	The value of the last challenge that was sent.	0
<i>apsChallengeTargetEui64</i>	0xb3	EUI64	Any	The EUI64 of the target device that the last APS frame counter challenge was sent to.	Null
<i>apsDeviceInterview-TimeoutPeriod</i>	0xb4	Integer	6 – 60	The timeout duration in seconds of the period of inactivity between	12

Attribute	ID	Type	Range	Description	Default
				Device Interview Frame-before the device interview session is closed.	
<i>apsChallengeFrameCounter</i>	0xb5	Integer	0x00000000-0xFFFFFFFF	A special outgoing frame counter used to generate a MIC using a nonce and key used specifically for frame counter synchronization. Note: This is a 32 bit value. See Table 2-127 which specifies that the Challenge SecurityFrameCounter is 4 octets.	0

11213

11214

Table 4-36. Elements of the Key-Pair Descriptor

Name	Type	Range	Description	Default
<i>Features & Capabilities</i>	map8	0x00, 0x01	A set of feature flags pertaining to this security material or denoting the peer’s support for specific APS security features: Bit #0: Frame Counter Synchronization Support When set to ‘1’ the peer device supports APS frame counter synchronization; else, when set to ‘0’, the peer device does not support APS frame counter synchronization. Bits #1..#7 are reserved and SHALL be set to ‘0’ by implementations of the current Revision of this specification and ignored when processing.	0x00
<i>DeviceAddress</i>	Device address	Any valid 64-bit address	Identifies the address of the entity with which this key-pair is shared.	-
<i>KeyAttributes</i>	Enumeration	0x00 – 0x02	This indicates attributes about the key. 0x00 = PROVISIONAL_KEY 0x01 = UNVERIFIED_KEY	-

Name	Type	Range	Description	Default
			0x02 = VERIFIED_KEY	
<i>LinkKey</i>	Set of 16 octets	-	The actual value of the link key.	-
<i>OutgoingFrameCounter</i>	Set of 4 octets	0x00000000 – 0xFFFFFFFF	Outgoing frame counter for use with this link key.	0x00000000
<i>IncomingFrameCounter</i>	Set of 4 octets	0x00000000 – 0xFFFFFFFF	Incoming frame counter value corresponding to <i>DeviceAddress</i> .	0x00000000
<i>apsLinkKeyType</i>	Enumeration	0x00 – 0x01	The type of link key in use. This will determine the security policies associated with sending and receiving APS messages. 0x00 = Unique Link Key 0x01 = Global Link Key	0x00
<i>InitialJoinAuthentication</i>	Enumeration	0x00 – 0x03	0x00 = NO_AUTHENTICATION 0x01 = INSTALL_CODE_KEY 0x02 = ANONYMOUS_KEY_NEGOTIATION 0x03 = KEY_NEGOTIATION_WITH_AUTHENTICATION	0x00
<i>KeyNegotiationMethod</i>	Enumeration	0x00 – 0x08	The value of the selected TLV sent to the device.	0x00
<i>KeyNegotiationState</i>	Enumeration	0x00 – 0x02	0x00 = NO_KEY_NEGOTIATION 0x01 = START_KEY_NEGOTIATION 0x02 = COMPLETE_KEY_NEGOTIATION	0x00
<i>Passphrase</i>	Variable size with an upper bound of 16 Octets. Refer to section 4.9.7.	Any	A value that is used by both sides during dynamic key negotiation. An unset value means this key-pair entry was not dynamically negotiated. Any other value indicates the entry was dynamically negotiated.	Unset

Name	Type	Range	Description	Default
<i>Timeout</i>	16-bit value	0 – 0xFFFF	The timeout, in seconds, for the specified key. When this timeout expires, the key SHALL be marked EXPIRED_KEY in the KeyAttributes and the LinkKey value SHALL not be used for encryption of messages. A value of 0xFFFF for the Timeout mean the key never expires.	0xFFFF (no expiry)
<i>PassphraseUpdateAllowed</i>	Boolean	TRUE or FALSE	This indicates whether the particular KeyPair passphrase MAY be updated for the device. A passphrase update is normally only allowed shortly after joining. See section 4.7.2.1.	TRUE
<i>VerifiedFrameCounter</i>	Boolean	TRUE or FALSE	Indicates whether the incoming frame counter value has been verified through a challenge response.	FALSE
<i>PostJoinKeyUpdateMethod</i>	Enumeration	0x00 – 0x04	This indicates what Link Key update method was used after the device joined the network. 0x00 = Not Updated 0x01 = Key Request Method 0x02 = Unauthenticated Key Negotiation 0x03 = Authenticated Key Negotiation 0x04 = Application Defined Certificate Based Mutual Authentication	0x00
<i>TrustCenterSwapOut-LinkKey</i>	Set of 16 octets	Any	The key used to indicate a Trust Center Swap-out has occurred. This key SHALL always be set to a hash of the LinkKey element. If the LinkKey is updated, then this value MUST be updated as well. See section 4.7.4.1.2.4. If the entry in the apsDeviceKey-PairSet is an application link key (where local device and the partner are not Trust Centers), implementations MAY elide this element for that entry.	-

Name	Type	Range	Description	Default
<i>isVirtualDevice</i>	Boolean	TRUE or FALSE	If set to TRUE, the device identified by DeviceAddress is a Zigbee Direct Virtual Device (ZVD). A Trust Center SHALL NOT send network keys to this device.	FALSE

11215 4.4.12.1 Persistence of Security-Related AIB Values

11216 Security Related AIB values listed below SHALL be persistently stored across reboot. Exceptions are noted below.

- 11217 • *apsTrustCenterAddress*
- 11218 • *apsDeviceKeyPairSet*
- 11219 ○ All entries SHALL be backed up.
- 11220 ○ The following sub-elements in each entry SHALL not be persisted
- 11221 ▪ *IncomingFrameCounter*
- 11222 ▪ *Timeout*
- 11223 ▪ *VerifiedFrameCounter*

11224 All other values are not required to be stored across reboots.

11225 4.4.13 Security-Related AIB Constants

11226 Table 4-37. Security-Related AIB Constants

Constant	Description	Value
<i>apscWellknownPSK</i>	A pre-shared secret that is well-known. It is used in lieu of a real pre-shared secret to allow for unauthenticated key-agreement while retaining the overall message flow and structure of an authenticated key agreement protocol like SPEKE or EC-DHE-PSK.	5a 69 67 42 65 65 41 6c 6c 69 61 6e 63 65 31 38 (hexadecimal) that is, the ASCII representation of the string “ZigBeeAlliance18”
<i>apscJoinerTLVsUnfragmented-MaxSize</i>	The maximum size for the JoinerTLVs passed via the NLME-JOIN.indication that are relayed in the APSME-UPDATE-DEVICE.request.	79

11227 4.5 Common Security Elements

11228 This section describes security-related features that are used in more than one Zigbee layer. The NWK and APS layers
 11229 SHALL use the auxiliary header as specified in section 4.5.1 and the security parameters specified in section 4.5.2.
 11230 The formatting of all frames and fields in this specification are depicted in the order in which they are transmitted by
 11231 the NWK layer, from left to right, where the leftmost bit is transmitted first in time. Bits within each field are numbered
 11232 from 0 (leftmost and least significant) to k-1 (rightmost and most significant), where the length of the field is k bits.

11233 Fields that are longer than a single octet are sent to the next layer in the order from the octet containing the lowest
11234 numbered bits to the octet containing the highest numbered bits.

11235 4.5.1 Auxiliary Frame Header Format

11236 The auxiliary frame header, as illustrated by Figure 4-24, SHALL include a security control field and a frame counter
11237 field, and MAY include a sender address field and key sequence number field.

Octets: 1	4	0/8	0/1
Security control	Frame counter	Source address	Key sequence number

11238 **Figure 4-24. Auxiliary Frame Header Format**

11239 4.5.1.1 Security Control Field

11240 The security control field SHALL consist of a security level, a key identifier, and an extended nonce sub-field and
11241 shall be formatted as shown in Figure 4-25.

Bit: 0-2	3-4	5	6	7
Security level	Key identifier	Extended nonce	Require Veri- fied Frame Counter	Reserved

11242 **Figure 4-25. Security Control Field Format**

11243 4.5.1.1.1 Security Level Sub-Field

11244 The security level identifier indicates how an outgoing frame is to be secured, how an incoming frame purportedly
11245 has been secured; it also indicates whether or not the payload is encrypted and to what extent data authenticity over
11246 the frame is provided, as reflected by the length of the message integrity code (MIC). The bit-length of the MIC MAY
11247 take the values 0, 32, 64 or 128 and determines the probability that a random guess of the MIC would be correct. The
11248 security properties of the security levels are listed in Table 4-38. Note that security level identifiers are not indicative
11249 of the relative strength of the various security levels. Also note that security levels 0 and 4 SHOULD NOT be used
11250 for frame security.

11251 **Table 4-38. Security Levels Available to the NWK, and APS Layers**

Security Level Identifier	Security Level Sub-Field	Security Attributes	Data Encryption	Frame Integrity (length M of MIC, in Number of Octets)
0x00	‘000’	None	OFF	NO (M = 0)
0x01	‘001’	MIC-32	OFF	YES (M=4)
0x02	‘010’	MIC-64	OFF	YES (M=8)
0x03	‘011’	MIC-128	OFF	YES (M=16)

Security Level Identifier	Security Level Sub-Field	Security Attributes	Data Encryption	Frame Integrity (length M of MIC, in Number of Octets)
0x04	‘100’	ENC	ON	NO (M = 0)
0x05	‘101’	ENC-MIC-32	ON	YES (M=4)
0x06	‘110’	ENC-MIC-64	ON	YES (M=8)
0x07	‘111’	ENC-MIC-128	ON	YES (M=16)

11252 4.5.1.1.2 Key Identifier Sub-Field

11253 The key identifier sub-field consists of two bits that are used to identify the key used to protect the frame. The encoding
11254 for the key identifier sub-field SHALL be as listed in Table 4-39. Key derivation is described in section 4.5.3.

11255 **Table 4-39. Encoding of the Key Identifier Sub-Field**

Key Identifier	Key Identifier Sub-Field (Figure 4-19)	Description
0x00	‘00’	A data key.
0x01	‘01’	A network key.
0x02	‘10’	A key-transport key.
0x03	‘11’	A key-load key.

11256 4.5.1.1.3 Extended Nonce Sub-Field

11257 The extended nonce sub-field SHALL be set to 1 if the sender address field of the auxiliary header is present. Other-
11258 wise, it SHALL be set to 0.

11259 4.5.1.1.4 Require Verified Frame Counter

11260 This bit indicates to the receiver that it SHALL only accept the message if the receiver has verified the frame counter
11261 of the corresponding *apsDeviceKeyPairSet*. When the bit is set, and the receiver has an unverified frame counter it
11262 SHALL drop the current received message and initiate a challenge via the ZDO Security_Challenge_req. See section
11263 4.6.3.8 for more details.

11264 4.5.1.2 Counter Field

11265 The counter field is used to provide frame freshness and to prevent processing of duplicate frames.

11266 4.5.1.3 Source Address Field

11267 The source address field SHALL only be present when the extended nonce sub-field of the security control field is 1.
11268 When present, the source address field SHALL indicate the extended 64-bit address of the device responsible for
11269 securing the frame.

11270 4.5.1.4 Key Sequence Number Field

11271 The key sequence number field SHALL only be present when the key identifier subfield of the security control field
11272 is 1 (that is, a network key). When present, the key sequence number field SHALL indicate the key sequence number
11273 of the network key used to secure the frame.

11274 4.5.2 Security Parameters

11275 This section specifies the parameters used for the CCM security operations.

11276 4.5.2.1 CCM Mode of Operation and Parameters

11277 Applying security to a NWK or APS frame on a particular security level corresponds to a particular instantiation of
11278 the AES-CCM mode of operation as specified in section Figure 4-25.

11279 The nonce SHALL be formatted as specified in section 4.5.2.2.

11280 Table 4-38 gives the relationship between the security level sub-field of the security control field (Figure 4-25), the
11281 security level identifier, and the CCM encryption/authentication properties used for these operations.

11282 4.5.2.2 CCM Nonce

11283 The nonce input used for the CCM encryption and authentication transformation and for the CCM decryption and
11284 authentication checking transformation consists of data explicitly included in the frame and data that both devices can
11285 independently obtain. Figure 4-26 specifies the order and length of the subfields of the CCM nonce. The nonce's
11286 security control and frame counter fields SHALL be the same as the auxiliary header's security control and frame
11287 counter fields (as defined in section 4.5.1) of the frame being processed. The nonce's source address field SHALL be
11288 set to the extended 64-bit IEEE address of the device originating security protection of the frame. When the extended
11289 nonce sub-field of the auxiliary header's security control field is 1, the extended 64-bit IEEE address of the device
11290 originating security protection of the frame SHALL correspond to the auxiliary header's source address field (as de-
11291 fined in section 4.5.1) of the frame being processed.

Octets: 8	4	1
Source address	Frame counter	Security control

11292 **Figure 4-26. CCM Nonce**

11293 4.5.3 Cryptographic Key Hierarchy

11294 The link key established between two (or more) devices is used to determine related secret keys, including data keys,
11295 key-transport keys, and key-load keys. These keys are determined as follows:

- 11296 1. *Key-Transport Key*. This key is the outcome of executing the specialized keyed hash function specified in sec-
11297 tion B.1.4 under the link key with the 1-octet string '0x00' as the input string.
- 11298 2. *Key-Load Key*. This key is the outcome of executing the specialized keyed hash function specified in section
11299 B.1.4 under the link key with the 1-octet string '0x02' as the input string.
- 11300 3. *Data Key*. This key is equal to the link key.

11301 All keys derived from the link key SHALL share the associated frame counters. Also, all layers of Zigbee SHALL
11302 share the active network key and associated outgoing and incoming frame counters.

11303 4.5.4 Implementation Requirements

11304 This section provides requirements that SHOULD be followed to ensure a secure implementation.

11305 **4.5.4.1 Random Number Generator**

11306 A Zigbee device generating random keys for distribution requires a strong method of random number generation. For
11307 example, when link keys are pre-installed (for example, in the factory), a random number MAY NOT be needed.

11308 In all cases that require random numbers, it is critical that the random numbers are not predictable or have enough
11309 entropy, so an attacker will not be able determine them by exhaustive search. Random number generation SHALL
11310 meet the random number tests specified in FIPS 140- 2 [B15]. Methods for generation of random numbers include:

- 11311 1. Base the random number on random clocks and counters within the Zigbee hardware;
- 11312 2. Base the random number on random external events;
- 11313 3. Seed each Zigbee device with a good random number from an external source during production. This random
11314 number can then be used as a seed to generate additional random numbers.

11315 A combination of these methods can be used. Since the random number generation is likely integrated into the Zigbee
11316 IC, its design — and hence the ultimate viability of any encryption/security scheme — is left up to the IC manufac-
11317 turers.

11318 **4.6 Functional Description**

11319 This section provides detailed descriptions of how the security services SHALL be used in a Zigbee network. A de-
11320 scription of the security initialization responsibilities for a device starting a network is given in section 4.6.1. A brief
11321 description of the Trust Center application is given in section 4.6.2. Detailed security procedures are given in section
11322 4.6.3.

11323 **4.6.1 Zigbee Security Initialization**

11324 The device starting a network SHALL configure the security level of the network by setting the *nwkSecurityLevel*
11325 attribute in the NIB. If the *nwkSecurityLevel* attribute is set to zero, the network will be unsecured, otherwise it will
11326 be secured.

11327 The *key* value of the *nwkSecurityMaterialSet* attribute SHALL be set to any non-zero, random number within the
11328 range of all possible values. See section 4.5.4.1 for the requirements of random number generation. The *Key-*
11329 *SeqNumber* of the *nwkSecurityMaterialSet* SHALL be set to 0.

11330 If it is a centralized security network then the device SHALL configure the address of the Trust Center by setting the
11331 AIB attribute *apsTrustCenterAddress*. The device forming the network MAY also set the *apsTrustCenterAddress* to
11332 0xFFFFFFFFFFFFFFFF indicating a distributed security network.

11333 **4.6.2 Trust Center Application**

11334 The Trust Center application runs on a device trusted by devices within a Zigbee network to distribute keys for the
11335 purpose of network and end-to-end application configuration management. The Trust Center SHALL configure net-
11336 work security policies and SHALL be used to help establish end-to-end application keys. These keys SHALL be
11337 generated at random unless a key establishment protocol is used.

11338 **4.6.2.1 Distributed Security Mode**

11339 In Distributed Security Mode, there is no unique Trust Center in the network. Keys are distributed to joining devices
11340 by routers in the network using the standard transport key commands, or by other out of band methods.

11341 **4.6.2.2 Centralized Security Mode**

11342 The centralized security mode of the Trust Center is designed for applications where a centralized security device and
11343 set of security policies is required. In this mode, the Trust Center MAY maintain a list of devices, link keys and

11344 network keys with all the devices in the network; however, it SHALL maintain a network key and controls policies of
11345 network admittance. In this mode, the *nwkAllFresh* attribute in the NIB SHALL be set to FALSE.

11346 In Centralized networks, the Trust Center SHALL be co-located with the network Coordinator for the lifetime of the
11347 network. A Trust Center that supports Key Negotiation SHALL support all cryptographic methods for anonymous
11348 key negotiation OR all cryptographic methods for Authenticated Key Negotiation. It MAY also support both sets of
11349 cryptographic methods (authenticated and anonymous).

11350 Each device that joins the network securely SHALL either have a Global Link key or a unique link key depending
11351 upon the application in use. It is required that the trust center have prior knowledge of the value of the link key and
11352 the type (Global or unique) in order to securely join the device to the network. A Global Link key has the advantage
11353 that the memory required by the Trust Center does not grow with the number of devices in the network. A unique link
11354 key has the advantage of being unique for each device on the network and application communications can be secured
11355 from other devices on the network. Both types of keys MAY be used on the network, but a device SHALL only have
11356 one type in use per device-key pair. A joining device that supports Key Negotiation SHALL support at least one
11357 cryptographic method for anonymous Key Negotiation and one cryptographic method for Authenticated Key Negoti-
11358 ation. This is in addition to the mandatory Request Key Behavior.

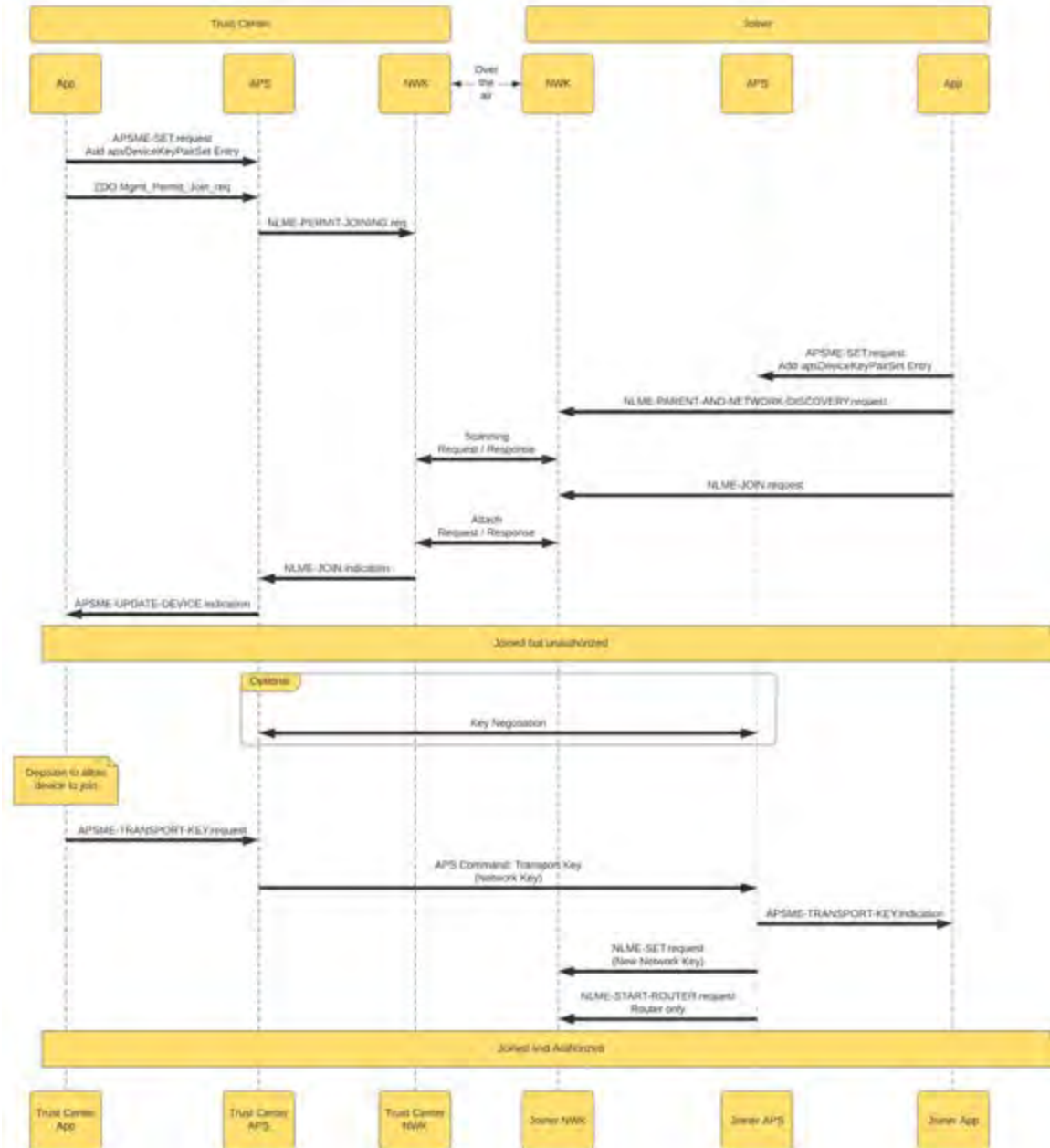
11359 The security policy settings for centralized security are further detailed in section 4.7.1.

11360 **4.6.3 Security Procedures**

11361 This section gives message sequence charts for joining a secured network, authenticating a newly joined device, up-
11362 dating the network key, recovering the network key, establishing end-to-end application keys, and leaving a secured
11363 network.

11364 **4.6.3.1 Joining a Secured Network**

11365 Figure 4-27 shows the high level flow and interfaces that are activated for a device joining.



11366
11367

Figure 4-27. Joining a Secured Network

11368 When a device prepares to join a secured network it SHALL create an `apsDeviceKeyPairSet` entry for the Trust Center
 11369 with its initial joining link key. It will set the `apsLinkKeyType` of that entry according to the kind of key it has. If it is
 11370 using the default trust center link key, or another Global Link key, it SHALL set `apsLinkKeyType` to 0x01. If it is
 11371 using a unique link key it SHALL set `apsLinkKeyType` to 0x00. If it supports key negotiation it will also set its initial
 11372 Passphrase attribute of the `apsDeviceKeyPairSet` entry.

11373 The joiner will use the `NLME-NETWORK-AND-PARENT-DISCOVERY` primitives to discover a set of candidate
 11374 networks and parents to join, and then use the `NLME-JOIN` primitives to attempt to join each one until it is accepted
 11375 in the network or until all candidates are exhausted. The details of this are discussed in chapter 3 (section 3.6.1.5 and
 11376 section 3.6.1.6).

11377 After receiving a MAC Association Response or Network Commissioning Response command, the joiner device will
 11378 be considered joined but unauthorized. The parent router informs the trust center of the new device (centralized net-
 11379 work) and the Trust Center decides the next steps. If the Trust Center wants to deny the device it can send an APS
 11380 Remove Device command to the parent router, or simply let the joining device timeout. If the Trust Center wants to
 11381 allow the device onto the network the next steps will depend on various factors.

11382 If both Trust Center and joiner support Dynamic Link Key Negotiation, and the intermediate router is an R23 device
 11383 that can relay key negotiation messages, then the Trust Center and joiner will negotiate a link key. If key negotiation
 11384 is not supported, or there is a pre-R23 device that the joiner has joined to, then the Trust Center can simply send the
 11385 joiner an APS Transport key.

11386 If a link key is negotiated prior to joining, the Trust Center will APS encrypt the APS Transport key with the new link
 11387 key. Otherwise the Trust Center will encrypt the message with the previously configured link key for the device.

11388 When joining for the first time the address of the Trust Center will not be known to the joiner. The DeviceAddress
 11389 value for the *apsDeviceKeyPairSet* entry for the Trust Center will initially have an all F's address, as will the *apsTrustCenterAddress*
 11390 AIB value. Once the joiner device joins the network it will learn the address of the Trust Center
 11391 from the Source Address field of the APS Transport Key command. If that source address is all F's then the joiner
 11392 device will know the network is a Distributed Security network. Otherwise it will know the address of the Trust Center
 11393 that is operating in a Centralized Security network. It will update the *apsDeviceKeyPairSet* entry and *apsTrustCenterAddress*
 11394 AIB values accordingly. Many of the security policies for the network will vary based on whether it is a
 11395 distributed or centralized security network.

11396 The Trust Center MAY require that a specific link key is used for joining to authenticate the joining device. The device
 11397 MAY not know the preferences of the Trust Center and could require multiple attempts before successfully authenti-
 11398 cating.

11399 The Trust Center decides whether the device is allowed to join anonymously, or whether authentication is required. If
 11400 anonymous joining is allowed then the key negotiation will use a well-known shared secret, or if using APS Transport
 11401 Key without key negotiation then a well-known key is used to encrypt the message.

11402 If authentication is required the Trust Center will use a static secret key or passcode known by both the joining device
 11403 and trust center. This secret is relayed out of band. Authenticated key negotiation will utilize the shared secret in the
 11404 cryptographic exchange to derive a link key. If just APS Transport Key is used, then the command will be encrypted
 11405 with the secret key.

11406 **4.6.3.2 Authorization**

11407 Once a device joins a secured network and is declared “joined but unauthorized”, it SHALL be authorized by receiving
 11408 an APS transport key command containing the active network key.

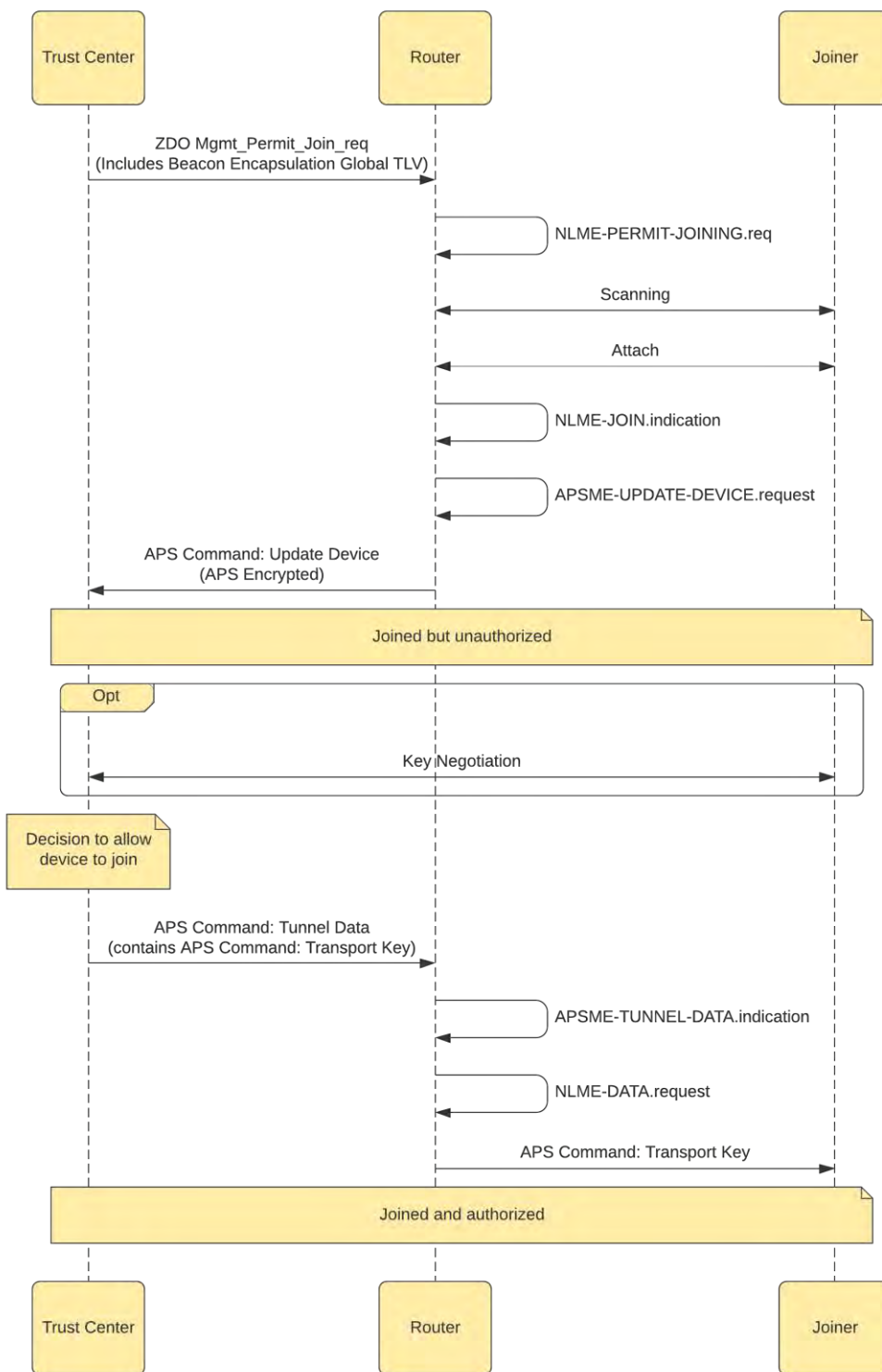
11409 **4.6.3.2.1 Router Operation**

11410 If the *apsTrustCenterAddress* is 0xFFFFFFFFFFFFFFFF, this indicates a Distributed Security network. If the *apsTrustCenterAddress*
 11411 is any other value, it indicates a Centralized Security network.

11412 In centralized security networks, the router SHALL do the following upon receipt of an NLME-JOIN.indication.

- 11413 1. If the Method parameter indicates NWK Association it SHALL do the following:
 - 11414 a. Verify that JoinerTLVs are not greater than *capsJoinerTLVsUnfragmentedMaxSize* .
 - 11415 i. If they are, then a NLME-JOIN.response SHALL be generated with the following parameters:
 - 11416 1. STATUS is set to INV_REQUESTTYPE
 - 11417 2. NetworkAddress is set to the NetworkAddress in the NLME-JOIN.indication.
 - 11418 3. ExtendedAddress is set to the ExtendedAddress in the NLME-JOIN.indication.
 - 11419 ii. No further processing SHALL be done on the router.
 - 11420 b. If the router NLME has verified it has the resources to allow the device to join or rejoin, it SHALL do the
 11421 following:
 - 11422 i. Send back an NLME-JOIN.response with
 - 11423 1. STATUS of SUCCESS
 - 11424 2. NetworkAddress is set to the NetworkAddress in the NLME-JOIN.indication.
 - 11425 3. ExtendedAddress is set to the ExtendedAddress in the NLME-JOIN.indication.

- 11426 c. Continue processing.
- 11427 2. Issue an APSME-UPDATE-DEVICE.request with the following parameters:
- 11428 a. Status set to the DeviceStatus value returned via the NLME-JOIN.indication primitive.
- 11429 b. DestAddress is the apsTrustCenterAddress of the AIB
- 11430 c. DeviceAddress is set to the ExtendedAddress of the NLME-JOIN.request primitive.
- 11431 d. DeviceShortAddress is the NetworkAddress of the NLME-JOIN.request primitive.
- 11432 In a Distributed Security Network no Update Device message is generated. The router SHALL issue an APSME-
11433 TRANSPORT-KEY.request with the StandardKeyType set to 0x01 (Standard Network Key) and the key value from
11434 the nwkSecurityMaterialSet of the NIB with a KeySeqNumber equal to the nwkActiveSeqNumber of the NIB. The
11435 message SHALL be APS encrypted with the Distributed Security Global Key in the apsDeviceKeyPairSet.
- 11436 If the router is not the Trust Center, it generates an APSME-UPDATE-DEVICE.request that generates an APS Com-
11437 mand Update Device message over-the-air to the Trust Center. If the router is the Trust Center, it generates an APSME-
11438 UPDATE-DEVICE.indication and SHALL begin the authorization procedure by simply operating as a Trust Center.
- 11439 The router SHALL NOT forward messages to a child device, or respond to ZDO requests or NWK command requests
11440 on that child's behalf, while the value of the relationship field entry in the corresponding *nwkNeighborTable* in the
11441 NIB is 0x05 (unauthenticated child). It SHALL react to APS Commands Tunnel Data, and Relay Message Down-
11442 stream from the Trust Center to send messages to the unauthenticated child. It SHALL also react to the APS Command
11443 Relay Message Upstream sent from the Joiner to the Router. If the relationship of the *nwkNeighborTable* for that child
11444 device changes or the entry is removed, the router SHALL silently reject those commands.
- 11445 The APS Command Update Device, APS Command Tunnel Data, and APS Commands Relay Message Up-
11446 stream/Downstream communicated between the Trust Center and the router SHALL be secured at the NWK layer by
11447 the active network key. The conditions for APS encryption of the the APS Command Update Device is described
11448 below. The transport-key command and Relay Message Downstream sent from the router to the joiner SHALL NOT
11449 be secured at the network layer.
- 11450 Two copies of the update-device APS command SHALL be generated by the parent router if the apsDeviceKeyPairSet
11451 entry for the TC indicates the apsLinkKeyType is 0x01 (Global). One copy SHALL be encrypted at both the APS and
11452 the NWK layer, while the other copy SHALL only be encrypted at the NWK layer. This is done due to an interoper-
11453 ability issue where previously certified Trust Centers MAY have requirements on the encryption that it accepts for the
11454 APS Command Update Device message.
- 11455 A device with apsDeviceKeyPairSet that has an apsLinkKeyType of 0x00 (Unique Link Key) does not have to gen-
11456 erate two update device messages and SHALL only generate a single APS encrypted APS Command Update Device.



11457
11458
11459

Figure 4-28. Router Message Passing when a Device Joins the Network

11460 4.6.3.2.2 Trust Center Operation

11461 The Trust Center role in the authorization procedure SHALL be activated upon receipt of an APSME-UPDATE-
11462 DEVICE.indication primitive. The Trust Center behaves differently depending on the following factors:

11463 a) Whether the Trust Center decides to allow any device to perform a first time join (for example, the Trust Center
11464 is in a mode that allows new devices to join)

11465 b) If the Trust Center Policies require prior knowledge of the device to allow joining

11466 If, at any time during the authorization procedure, the Trust Center decides not to allow the new device to join the
11467 network (for example, a policy decision or a failed higher level key-establishment protocol), it SHALL take actions
11468 to remove the device from the network. If the Trust Center is not the router of the newly joined device, it MAY remove
11469 the device from the network by issuing the APSME-REMOVE-DEVICE.request primitive with the ParentAddress
11470 parameter set to the address of the router originating the update-device command and the ChildAddress parameter set
11471 to the address of the joined (but unauthorized) device. Alternatively the Trust Center MAY let an unauthorized device
11472 just timeout; in that case the Trust Center will not send a removal message.

11473 4.6.3.2.2.1 Applying Security Policies

11474 After being activated for the authorization procedure, the Trust Center SHALL determine whether or not to allow the
11475 device onto the network. This decision will be based on its own security policies, see section 4.7.1. The Trust Center
11476 MAY also require that the device update its link key prior to joining and receiving the network key.

11477 If the Trust Center requires key negotiation first, it SHALL follow the procedure in section 4.6.3.2.2.2. Otherwise it
11478 MAY skip to section 4.6.3.2.2.3.

11479 4.6.3.2.2.2 Dynamic Key Negotiation Joining

11480 If both the Trust Center and joiner support negotiation of a link key before joining, the Trust Center MAY choose to
11481 do so. This is known as Dynamic Key Negotiation Joining. The Trust Center does this by issuing a Secu-
11482 rity_Start_Key_Update_req to the joining device. The message SHALL include the following:

11483 Selected Key Negotiation Method TLV

11484 Prior to successful negotiation of a link key the Trust Center SHALL restrict what messages it accepts from the joiner
11485 to the following:

11486 • ZDO Security_Start_Key_Negotiation_req

11487 • ZDO Security_Key_Update_rsp

11488 • APS Command: Relay Message Upstream

11489 • APS Command: APS Confirm Key

11490 • APS Acknowledgement frames

11491 It then follows the procedure described in section 4.6.3.5.

11492 If the Dynamic Key Negotiation Joining fails for any reason, both the Trust Center and the joiner SHALL discard any
11493 generated material and SHALL ensure that the respective APS Key Pair Table entries are identical with what they
11494 were prior to initiation of Key Negotiation, as described in section 4.4.9.

11495 After successfully negotiating a link key the Trust Center MAY send the device additional application layer messages
11496 before accepting the device on the network. The messages sent are up to the higher layer application. It is recom-
11497 mended that the Trust Center apply restrictions to the messages it accepts from the joining device based on the mes-
11498 sages it will generate to the device. For example, if only a ZDO Node Descriptor Request is sent then the Trust Center
11499 during joining it, then it SHOULD only accept a ZDO Node Descriptor Response. No other messages SHOULD be
11500 accepted in that example.

11501 4.6.3.2.2.3 Initial Network Key Distribution

11502 If the Trust Center decides to allow the device onto the network, it SHALL send the device the active network key by
11503 issuing the APSME-TRANSPORT-KEY.request primitive with the DestAddress parameter set to the address of the
11504 newly joined device, and the StandardKeyType parameter set to 0x01 (that is, standard network key).

11505 The KeySeqNumber sub-parameter of the APSME-TRANSPORT-KEY.request SHALL be set to the sequence count
11506 value for the active network key and the NetworkKey sub-parameter SHALL be set to the active network key. The
11507 UseParent sub-parameter SHALL be set to FALSE if the Trust Center is the router; otherwise, the UseParent sub-
11508 parameter SHALL be set to TRUE and the ParentAddress sub-parameter SHALL be set to the address of the router
11509 originating the update-device command.

11510 4.6.3.2.2.4 Managing Network Admittance of Zigbee Direct Virtual Devices

11511 If the JoiningDeviceTLVs parameter of the APSME-UPDATE-DEVICE.indication primitive contains the Device Ca-
11512 pability Extension Global TLV (Table I.4.8-4-64 Global TLV Definitions in Annex I) and the “Zigbee Direct Virtual
11513 Device” flag (bit #0) in this TLV indicates the joiner is a Zigbee Direct Virtual Device (ZVD), the Trust Center
11514 SHALL NOT send a transport key message with the active network key to the virtual joiner. The joiner is indicating
11515 that it does NOT need the active network key to participate in the mesh and can use a variant of the network key
11516 instead. This is further enforced by the Zigbee Direct Device (ZDD), which will not generate an update device message
11517 for a ZVD that did not include the Device Capability Extension Global TLV with the Zigbee Direct Virtual Device
11518 flag set to '1'.

11519 Instead, if the *allowVirtualDevices* Trust Center Policy Value equals TRUE, the Trust Center SHALL construct a
11520 Transport Key message including the Basic Authorization Key for the joiner and send it to the joining virtual device
11521 via its parent router (ZDD). The Basic Authorization Key SHALL be derived as specified in section 6.3.2.1 Authori-
11522 zation Keys of [B2]. The Trust Center SHALL send this Transport Key message encrypted with the Trust Center Link
11523 Key for the joining ZVD and, more specifically, it SHALL apply the ‘key-load key’ derivative key as APS encryption
11524 key as opposed to the ‘key-transport key’. The ‘key-transport key’ SHALL exclusively be used for the delivery of
11525 active or prospective network keys to IEEE Std 802.15.4 Zigbee devices; the ‘key-load key’ SHALL exclusively be
11526 used for the delivery of keys to the ZVD. The Trust Center SHALL record that the joining device is a Zigbee Direct
11527 Virtual Device in the corresponding *apsDeviceKeyPairSet* entry for the joiner, by setting the *isVirtualDevice* element
11528 of the Key-Pair Descriptor to TRUE. It SHALL always refer back to this information when it performs a network key
11529 rotation and SHALL NOT send a prospective network key to the ZVD. Instead, the Trust Center SHALL apply the
11530 above mentioned approach to deliver an updated Basic Authorization key derived under the prospective network key
11531 to a particular Zigbee Direct Virtual Device in its *apsKeyPairSet*.

11532 If the *allowVirtualDevices* Trust Center Policy Value equals FALSE, the Trust Center SHALL NOT admit the joiner
11533 to the network. It MAY instigate an APSME-REMOVE-DEVICE.request to the effect of notifying the Zigbee Direct
11534 Device (ZDD) acting as parent router of the ZVD of its decision to not admit the joining ZVD to the network such
11535 that the ZDD could purge the unauthenticated ZVD from its neighbor table sooner.

11536 4.6.3.2.3 Joining Device Operation

11537 The joining device SHALL be preconfigured with a Trust Center link key and start a timer. It sets the SecurityTimer
11538 value of the *nwkNeighborTable* entry of NIB for its parent to *apsSecurityTimeOutPeriod*. It will then wait to receive
11539 one of the following:

- 11540 1) APS Command of Transport Key containing the active network key encrypted with its preconfigured link key
- 11541 2) ZDO Security_Start_Key_Update_req Command indicating the device SHALL start key negotiation.

11542 If the timer reaches zero before receiving one of the above messages, the joiner SHALL considered the join operation
11543 to have failed.

11544 4.6.3.2.3.1 Dynamic Key Negotiation Joining

11545 If the Trust Center and joiner both support dynamic link key negotiation, the Trust Center MAY choose to negotiate
11546 a link key with the device prior to it receiving the Network Key.

11547

11548 Prior to negotiating a link key, a joined but unauthorized device SHALL restrict what messages it processes to only
11549 the key negotiation messages. These include the following:

- 11550 • ZDO Security_Start_Key_Update_Response
- 11551 • ZDO Start Key Negotiation Response
- 11552 • APS Command: Verify Key
- 11553 • APS Command: Verify Key
- 11554 • APS Acknowledgement frames

11555 The Joining Device SHALL follow the procedure in section 4.6.3.2.2.2.

11556 Once a link key has been successfully negotiated, the joiner MAY receive additional application layer messages be-
11557 fore the Trust Center transmits a copy of the current network key. The joiner SHALL respond to those messages but
11558 MAY limit the responses based on its own security policy values. It SHALL reset the SecurityTimer value of the
11559 *nwkNeighborTable* entry of its parent in the NIB to *apsSecurityTimeoutPeriod* for every received and APS en-
11560 crypted message.

11561 Receiving a Network Key. Upon receipt of the APSME-TRANSPORT-KEY.indication primitive with the Standard-
11562 KeyType parameter set to 0x01 (that is, the standard network key), the joining device SHALL set the *apsTrustCen-*
11563 *terAddress* attribute in its AIB to the SrcAddress parameter of the APSME-TRANSPORT-KEY.indication primitive.
11564 The joining device is now considered authorized and SHALL enter the normal operating state for standard security
11565 mode.

11566 If the *apsTrustCenterAddress* is set to 0xFFFFFFFFFFFFFFFF the network is in distributed security mode. The device
11567 SHALL enter the normal operating state.

11568 Additional application layer security authentication or initialization MAY be required by the higher layer specifica-
11569 tion.

11570 If the joining device did not receive any APS encrypted messages within the *apsSecurityTimeoutPeriod* since receiv-
11571 ing the NLME-JOIN.confirm primitive, it SHALL reset and MAY choose to start the joining procedure again.

11572 If the Dynamic Key Negotiation Joining fails for any reason, both the Trust Center and the joiner SHALL discard any
11573 generated material and SHALL ensure that the respective APS Key Pair Table entries are identical with what they
11574 were prior to initiation of Key Negotiation, as described in section 4.4.9.

11575 4.6.3.2.3.2 **Joining Complete**

11576 After successfully joining or rejoining a secured network by receiving the network key, the joining device SHALL set
11577 the *nwkSecurityLevel* attribute in the NIB to the values indicated by the stack profile.

11578 A joined and authorized device SHALL always apply NWK layer security to outgoing frames unless the frame is
11579 destined for a newly joined but unauthorized child.

11580 In a secured network, if the device does not become authorized within a preconfigured amount of time, it SHALL
11581 leave the network (see section 4.6.3.6.3).

11582 **4.6.3.3 Rejoining Security**

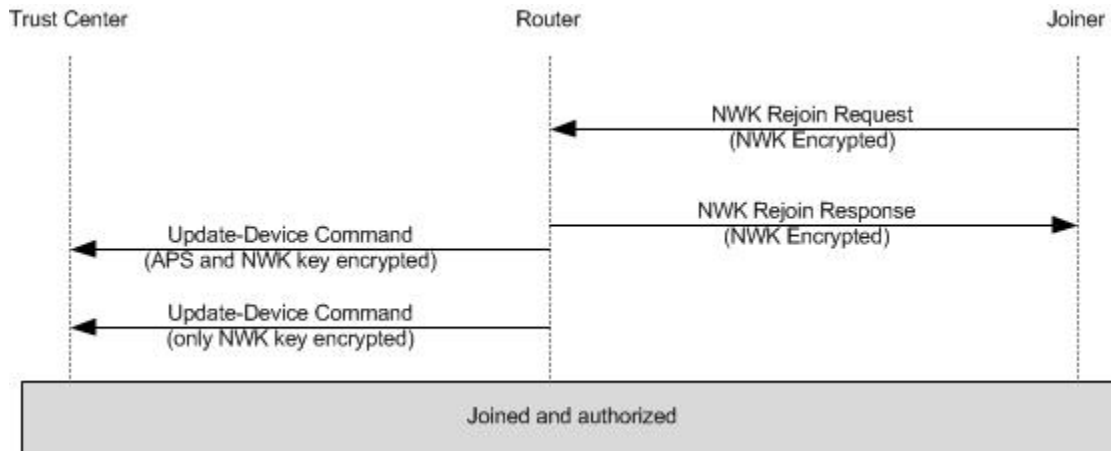
11583 Devices SHALL follow the procedures described in this section as necessary to support rejoining, in conjunction with
11584 the mechanism described in section 2.5.4.5.2.2.

11585 A device does not have to verify its trust center link key with the APSME-VERIFY-KEY services after a rejoin.

11586 4.6.3.3.1 **Secure Rejoin**

11587 When a device is rejoining and secures the NWK rejoin request command with the active network key, no further
11588 authorization is required beyond validation of the NWK security. Both centralized and distributed networks MAY use
11589 Secure Rejoin.

11590 Figure 4-29 shows the flow of messages during a secure rejoin. Note that in Distributed network security the APS
 11591 Command Update Device SHALL NOT be sent.



11592

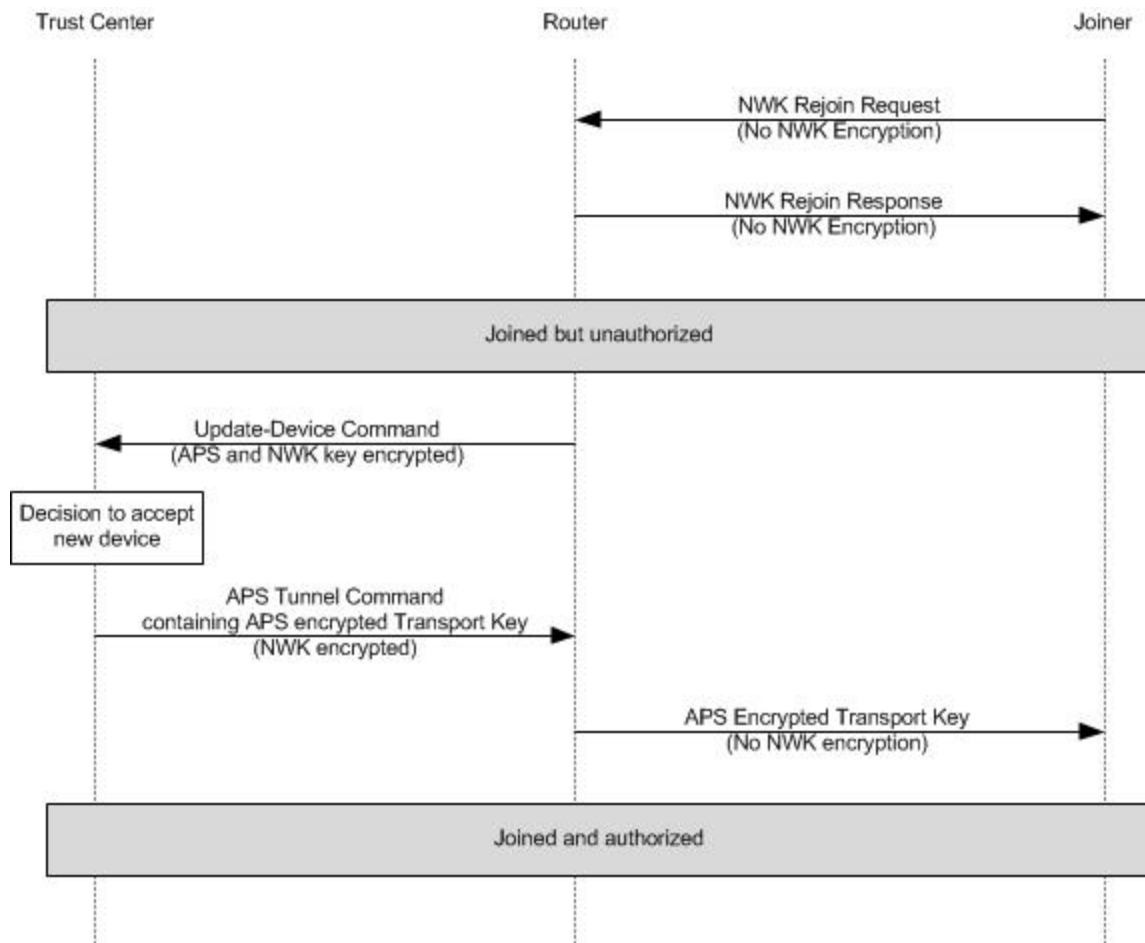
11593

Figure 4-29. Secure Rejoin

11594 4.6.3.3.2 Trust Center Rejoin

11595 A Trust Center Rejoin is used when a device MAY no longer have the current network key and therefore SHOULD
 11596 NOT secure the NWK rejoin command. If the network is using a different network key then the device using the old
 11597 network key will be rejected. A Trust Center rejoin is a NWK Rejoin command where the command is sent without
 11598 NWK layer security and allows a device to request the current active network key.

11599 Figure 4-30 illustrates a trust center rejoin operation.



11600

11601

Figure 4-30. Trust Center Rejoin

11602 A Trust Center Rejoin SHALL only be allowed in a centralized security network. Attempts to use a Trust Center rejoin
11603 in a distributed security network shall be rejected.

11604 While it is conceptually possible to use a pre-configured link-key or security authentication token previously estab-
11605 lished during initial join to negotiate a new trust center link-key in the course of a trust center rejoin, and in particular
11606 the trust center swap-out procedure, this approach is not supported in current revisions of the specification. Therefore,
11607 a trust center SHALL NOT select a dynamic key negotiation scheme for a trust center rejoin. Trust center link-key
11608 updates SHALL be performed on-network, once the rejoin procedure completed successfully.

11609 The trust center device SHALL assume no key agreement or pre-shared secret capabilities if the Supported Key Ne-
11610 gotiation Methods Global TLV is not included in the network commissioning request. Prior knowledge of general key
11611 agreement capabilities, if any, SHALL be considered stale and ignored

11612 The following sections describe the behavior of the devices in the network and the orphaned devices.

11613 4.6.3.3.3 Coordinator and Router Operation

11614 This text describes the security operations for support of rejoining which are to be carried out by the Zigbee coordinator
11615 and by Zigbee routers that are already operating on the network. These devices will receive rejoin requests by orphaned
11616 devices and will act as follows.

11617 Following the steps described in section , an orphaned device (router or end device) SHALL be provisionally accepted
11618 onto the network by the coordinator or router for at least *apsSecurityTimeOutPeriod* milliseconds. During this period
11619 it SHALL be required to send at least one correctly formed Zigbee message secured with the network key to the new
11620 parent. If this message successfully passes all the security processing steps described in this document, it SHALL be
11621 accepted as a member of the network.

11622 Starting from the time a device has been added to the `nwkNeighborTable`, after `apsSecurityTimeOutPeriod` milliseconds
11623 if that device does not send at least one network encrypted message, where it is using its long address in the
11624 network layer auxiliary security header, then it SHALL be deleted from the `nwkNeighborTable`. This applies regard-
11625 less of whether the device type in the `nwkNeighborTable` is a router, coordinator, or end device

11626 If the router, or Trust Center acting as router, receives an `APSME-UPDATE-TUNNEL.indication` then it SHALL
11627 reset the timeout for that specific device back to `apsSecurityTimeOutPeriod`. This allows the Trust Center to extend
11628 the timeout for the joining or rejoining device while it awaits user interaction or off-network verification to authenti-
11629 cate the device. This specification neither specifies nor requires any action from the router or coordinator in the case
11630 that a message from an orphaned device fails security processing above that required by text elsewhere in this docu-
11631 ment.

11632 **4.6.3.4 Network Key Update**

11633 The Trust Center and network devices SHALL follow the procedures described in this section when updating the
11634 active network key. Updating of the network key is not possible when operating in distributed security mode.

11635 **4.6.3.4.1 Trust Center Operation**

11636 When updating a standard network key with a new key of the same type, the Trust Center MAY broadcast or unicast
11637 the key update. If it chooses to broadcast the new key to all devices on the network it issues the `APSME-`
11638 `TRANSPORT-KEY.request` primitive with the `DestAddress` parameter set to the broadcast address and the `Standard-`
11639 `KeyType` parameter set to `0x01` (that is, a network key).

11640 For a unicast key update the Trust Center SHALL issue multiple `APSME-TRANSPORT-KEY.request` primitive with
11641 the `DestAddress` set to each device it wants to notify of the new key.

11642 The `TransportKeyData` sub-parameters SHALL be set as follows for both unicast and broadcast key updates:

- 11643 • The `KeySeqNumber` sub-parameter SHALL be set to the sequence count value for the new network key.
- 11644 • The `NetworkKey` sub-parameter SHALL be set to the new network key.
- 11645 • The `UseParent` sub-parameter SHALL be set to `FALSE`.

11646 If the sequence count for the previously distributed network key is represented as N , then the sequence count for this
11647 new network key SHALL be $(N+1) \bmod 256$.

11648 The Trust Center MAY cause a switch to this new key by issuing the `APSME-SWITCH-KEY.request` primitive with
11649 the `DestAddress` parameter set to the broadcast address and the `KeySeqNumber` parameter set to the sequence count
11650 value for the updated network key. The switch key SHALL NOT be unicast. It shall be encrypted at the network
11651 layer with either the current network key or the updated network key, and the key sequence number SHALL indicate
11652 the key used.

11653 In centralized security mode, the Trust Center MAY maintain a list of all of the devices in the network. To update the
11654 active network key using this list, the Trust Center MAY first send the new network key to each device on this list
11655 and then ask the network to switch to the new key.

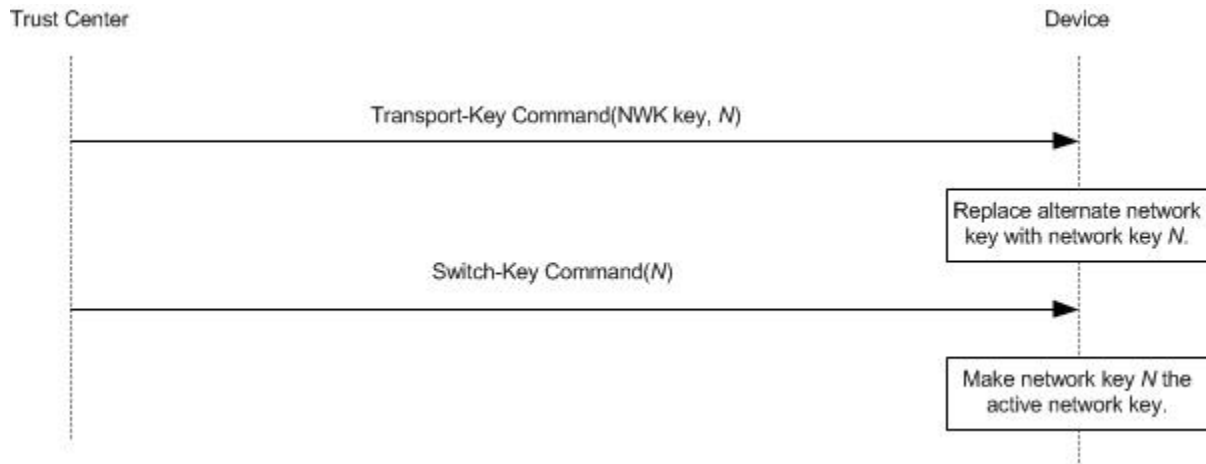
11656 **4.6.3.4.2 Network Device Operation**

11657 Devices SHALL be capable of storing 2 network keys, the current and an alternate.

11658 When in the normal operating state and upon receipt of a `APSME-TRANSPORT-KEY.indication` primitive with the
11659 `StandardKeyType` parameter set to `0x01` (that is, a network key), a device SHALL accept the `TransportKeyData` pa-
11660 rameters as a network key only if the `SrcAddress` parameter is the same as the Trust Center's address (as maintained
11661 in the `apsTrustCenterAddress` attribute of the AIB). If accepted, the key and sequence number data contained in the
11662 `TransportKeyData` parameter SHALL replace the alternate network key. Otherwise, the key and sequence number
11663 data contained in the `TransportKeyData` parameter SHALL replace the active network key. In either case, all incoming
11664 frame counters and the outgoing frame counter of the appropriate network key SHALL be set to 0.

11665 When in the normal operating state and upon receipt of an `APSME-SWITCH-KEY.indication` primitive, a device
11666 SHALL switch its active network key to the one designated by the `KeySeqNumber` parameter only if the `SrcAddress`

11667 parameter is the same as the Trust Center's address (as maintained in the *apsTrustCenterAddress* attribute of the AIB).
 11668 Figure 4-31 illustrates the procedure.



11669
 11670

Figure 4-31. Example Network Key-Update Procedure

11671 4.6.3.4.3 Message Sequence Chart

11672 An example of a successful network key-update procedure for two devices is shown in Figure 4-31. In this example,
 11673 the Trust Center sends a network key with sequence number *N* to the device. All devices are required to be capable of
 11674 storing two network keys, an active and alternate. Upon receipt of the transport-key command, the device replaces its
 11675 alternate network key with the new network key. Next, upon receipt of the switch-key command, the device makes
 11676 the new network key the active network key.

11677 4.6.3.5 Dynamic Link Key Negotiation

11678 The Trust Center MAY provide support for dynamically negotiating a link key via the ZDO Security Key Negotiation
 11679 services. If both trust center and partner device support key negotiation the joiner SHALL use one of the defined ways
 11680 that key negotiation.

- 11681 1) Prior to joining or rejoining, the Trust Center sends a ZDO Security Start Key Update Request to the partner
 11682 device with the key negotiation method and, as far as applicable, the pre-shared secret it requests the partner
 11683 device to use. The Trust Center will make use of the APSDE-DATA.request with Relay=TRUE to have the parent
 11684 router relay the messages to the not yet joined device.
- 11685 2) During normal operation on the network, the Trust Center sends a Security_Start_Key_Update_req to the partner
 11686 device with the key negotiation method and, as far as applicable, the pre-shared secret it requests the partner
 11687 device to use. When the partner device is a sleepy end device (RxOnWhenIdle=FALSE), the Trust Center
 11688 SHOULD use an application defined mechanism to ensure that the partner is awake when it initiates the Dynamic
 11689 Link Key Negotiation.
- 11690 3) Immediately after joining or rejoining, the partner device discovers via the ZDO Node Descriptor Response that
 11691 the Trust Center is capable of performing key negotiation and which key negotiation method and, as far as appli-
 11692 cable, the pre-shared secret the partner device is EXPECTED to use.
 - 11693 a) This could occur when the parent router does not support Revision 23 or higher of this specification and the
 11694 device joined with the well-known link key or install code derived key.

11695 Once the partner device has determined it should initiate key negotiation, it SHALL do the following.

- 11696 1) Generate a ZDO Security Start Key Negotiation Request as described in section 2.4.3.4.6.
- 11697 2) Wait up to *apsSecurityTimeoutPeriod* to receive a ZDO Security Start Key Negotiation Response. Processing
 11698 SHALL be done as described in section 2.4.4.4.1.4.
- 11699 3) If processing of the response was successful, generate an APSME-VERIFY-KEY.request.

11700 4) Wait up to *apsSecurityTimeOutPeriod* to receive an APSME-CONFIRM-Key.indication that indicates the key
11701 was successfully confirmed.

11702 The Trust Center SHALL do the following.

11703 1) Process a request to start key negotiation as described in section 2.4.4.4.1.4. Successful processing will generate
11704 a ZDO Security Start Key Negotiation Response.

11705 2) Wait up to *apsSecurityTimeOutPeriod* to receive an APSME-VERIFY-KEY.indication from the partner device.

11706 3) Generate an APSME- CONFIRM-KEY.request.

11707 The initial negotiation of a link key MAY be anonymous. After updating the link key using key negotiation and
11708 replacing the passphrase, anonymous key negotiation SHALL NOT be used for any further updates. All dynamic key
11709 negotiation SHALL be authenticated from then on.

11710 4.6.3.5.1 Rejoining Device Operation

11711 Following the steps described in section , an orphaned device (router or end device) SHALL be provisionally accepted
11712 onto the network for at least *apsSecurityTimeOutPeriod* milliseconds. During this period, it SHALL be required to
11713 send at least one Zigbee message, secured with the network key to the new parent.

11714 If the device receives any message from its router parent it SHALL extend its timeout back to *apsSecurityTimeOut-*
11715 *Period* to allow the Trust Center more time to authenticate it. If no messages are received after *apsSecurityTimeOut-*
11716 *Period* it SHALL leave the network. As normal, the device SHALL NOT accept an unsecured network key (having
11717 no NWK security) from the Trust Center.

11718 Note that a Zigbee device MAY also carry out an orphan scan as described in section . In this case it SHALL, at this
11719 time, also follow the steps described in this sub-section.

11720 While it is conceptually possible to use a pre-configured link-key or security authentication token previously estab-
11721 lished during initial join to negotiate a new trust center link-key in the course of a trust center rejoin, and in particular
11722 the trust center swap-out procedure, this approach is not supported in current revisions of the specification. Therefore,
11723 a rejoining device SHALL NOT select a dynamic key negotiation scheme for a trust center rejoin. Trust center link-
11724 key updates SHALL be performed on-network, once the rejoin procedure completed successfully. A rejoining device
11725 SHALL reject attempts to negotiate a dynamic link key during rejoin.

11726 A rejoining device SHALL NOT include the Supported Key Negotiation Methods Global TLV. This will allow a
11727 future version of the specification to revisit this limitation and enable rejoining devices to indicate support.

11728 4.6.3.5.2 End-to-End Application Key Establishment

11729 An initiator device, a Trust Center, and a responder device can follow the procedures described in this section when
11730 establishing a link key for purposes of end-to-end application security between initiator and responder devices. This
11731 process involves requesting a partner link key from the Trust Center with a third party device.

11732 If both devices support Dynamic Link Key they MAY use Request Key to establish an Application Link Key first.
11733 Afterwards the initiator can use the APSME-KEY-NEGOTIATE.request to derive a new application link key using
11734 the previously requested key as the passphrase during the key negotiation.

11735 4.6.3.5.3 Device Operation

11736 The initiator device SHALL begin the procedure to establish a link key with a responder device by issuing the APSME-
11737 REQUEST-KEY.request primitive. The DestAddress parameter SHALL be set to the address of its Trust Center, the
11738 RequestKeyType parameter SHALL be set to 0x02 (that is, application link key), and the PartnerAddress parameter
11739 SHALL be set to the address of the responder device.

11740 In a distributed security network where there is not a trust center to authorize the distribution of application link keys,
11741 an initiator device MAY issue an APSME-TRANSPORT-KEY.request to a responder device based on application
11742 policies on the device.

11743 4.6.3.5.3.1 Upon Receipt of a Link Key

11744 Upon receipt of an APSME-TRANSPORT-KEY.indication primitive with the StandardKeyType parameter set to
11745 0x03 (that is, application link key), a device MAY accept the TransportKeyData parameters as a link key with the
11746 device indicated by the PartnerAddress parameter only if the SrcAddress parameter is the same as the *apsTrustCenterAddress*
11747 attribute of the AIB. If accepted, the *apsDeviceKeyPairSet* attribute in AIB table will be updated. A key-
11748 pair descriptor in the AIB SHALL be created (or updated if already present) for the device indicated by the PartnerAd-
11749 dress parameter, by setting the DeviceAddress element to the PartnerAddress parameter, the LinkKey element to the
11750 link key from the TransportKeyData parameter, the Features & Capabilities element to the Features field of the Link-
11751 Key Features and Capabilities TLV (if present), and the OutgoingFrameCounter and IncomingFrameCounter elements
11752 to 0 unless the value is the same as the previous link key.

11753 In the case of a distributed security network, a device MAY accept an APSME-TRANSPORT-KEY.indication prim-
11754 itive with the StandardKeyType parameter set to 0x03 (that is, application link key) from a partner device since no
11755 trust center exists. The device and this partner can then establish an application link key based on the application level
11756 policies of the device.

11757 4.6.3.5.4 Trust Center Operation

11758 Upon receipt of APSME-REQUEST-KEY.indication primitives with the StandardKeyType parameter set to 0x02
11759 (that is, application link key).

11760 The Trust Center SHALL issue two APSME-TRANSPORT-KEY.request primitives with the StandardKeyType param-
11761 eter SHALL be set to 0x03 (that is, application link key). The first primitive SHALL have the DestAddress pa-
11762 rameter set to the address of the device requesting the key. The TransportKeyData sub-parameters SHALL be set as
11763 follows:

- 11764 • The PartnerAddress sub-parameter SHALL be set to the PartnerAddress sub-parameter of the APSME-RE-
11765 QUEST-KEY.indication primitive's TransportKeyData parameter.
- 11766 • The Initiator sub-parameter SHALL be set to TRUE.
- 11767 • The Key sub-parameter SHALL be set to a new key K (link key).
- 11768 • The TLVs sub-field SHALL include a TLV with the Link-Key Features and Capabilities local TLV included
11769 and set to the same value stored in the Trust Center's *apsDeviceKeyPairSet* entry for the device identified by
11770 the PartnerAddress sub-parameter of the APSME-REQUEST-KEY.indication primitive's TransportKeyData
11771 parameter.

11772 The key SHALL have been generated in a random fashion. The second primitive SHALL have the DestAddress pa-
11773 rameter set to the PartnerAddress sub-parameter of the APSME-REQUEST-KEY.indication primitive's Transport-
11774 KeyData parameter. The TransportKeyData sub-parameters SHALL be set as follows:

- 11775 • The PartnerAddress sub-parameter SHALL be set to the address of the device requesting the key.
- 11776 • The Initiator sub-parameter SHALL be set to FALSE.
- 11777 • The Key sub-parameter SHALL be set to K.
- 11778 • The TLVs sub-field SHALL include a TLV with the Link-Key Features.

11779 4.6.3.5.5 Message Sequence Chart

11780 An example message sequence chart of the end-to-end application key establishment procedure is shown in Figure
11781 4-32. The procedure begins with the transmission of the request-key command from the initiator to the Trust Center.

11782 The Trust Center SHALL now send transport-key commands containing the application link to the initiator and re-
11783 sponder devices. Upon completion (or time-out), the status of the protocol is reported to the ZDOs of the initiator and
11784 responder devices. If successful, the initiator and responder will now share a link key and secure communications will
11785 be possible.

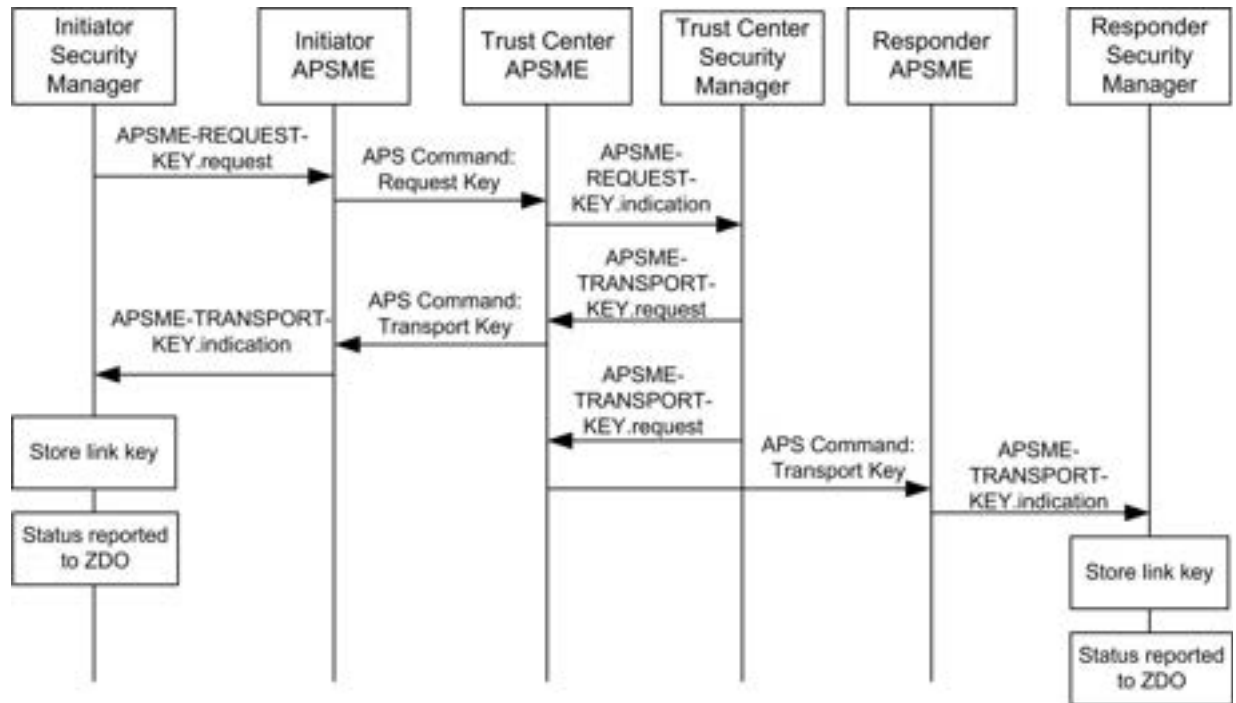


Figure 4-32. Example End-to-End Application Key Establishment Procedure

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4.6.3.6 Network Leave

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A device, its router, and the Trust Center SHALL follow the procedures described in this section when the device is to leave the network.

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4.6.3.6.1 Trust Center Operation

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If a Trust Center wants a device to leave and if the Trust Center is not the router for that device, the Trust Center SHALL issue the APSME-REMOVE-DEVICE.request primitive, with the ParentAddress parameter set to the router’s address and the ChildAddress parameter set to the address of the device it wishes to leave the network.

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The Trust Center will also be informed of devices that leave the network. Upon receipt of an APSME-UPDATE-DEVICE.indication primitive with the Status parameter set to 0x02 (that is, Device Left), the DeviceAddress parameter SHALL indicate the address of the device that left the network and the SrcAddress parameter SHALL indicate the address of parent of this device.

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4.6.3.6.2 Router Operation

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Routers are responsible for receiving remove-device commands and for sending update-device commands.

11800

Upon receipt of an APSME-REMOVE-DEVICE.indication primitive, if the SrcAddress parameter is equal to the *apsTrustCenterAddress* attribute of the AIB then the command SHALL be accepted. The router SHALL ignore APSME-REMOVE-DEVICE.indication primitives with the SrcAddress parameter not equal to the *apsTrustCenterAddress* attribute of the AIB.

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If the DeviceAddress corresponds to the local device’s address, then the device SHALL remove itself from the network according to section 4.6.3.6.3. If the DeviceAddress corresponds to address of a child device then a router SHALL issue an NLME-LEAVE.request primitive with the DeviceAddress parameter the same as the DeviceAddress parameter of the APSME-REMOVE-DEVICE.indication primitive and the rejoin parameter set to 0. Other fields are defined by the stack profile.

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If the DeviceAddress does not correspond to the local device address, nor does it correspond to a child device of the router, the command SHALL be discarded.

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11811

11812 Upon receipt of an NLME-LEAVE.indication primitive with the DeviceAddress parameter set to one of its children
 11813 and with the Rejoin Parameter = 0, a router that is not also the Trust Center SHALL issue an APSME-UPDATE-
 11814 DEVICE.request primitive with:

- 11815 • The DstAddress parameter set to the address of the Trust Center.
- 11816 • The Status parameter set to 0x02 (that is, Device Left).
- 11817 • The DeviceAddress parameter set to the DeviceAddress parameter of the NLME-LEAVE.indication primitive.

11818 If the router is the Trust Center, it SHOULD simply operate as the Trust Center and SHALL NOT issue the APSME-
 11819 UPDATE-DEVICE.request primitive (see section 4.6.3.6.1).

11820 4.6.3.6.3 Leaving Device Operation

11821 Devices are responsible for receiving and sending leave messages. The following rules apply to all three types of leave
 11822 messages: NWK Leave Command, ZDO Mgmt Leave, and APS Command: Remove Device.

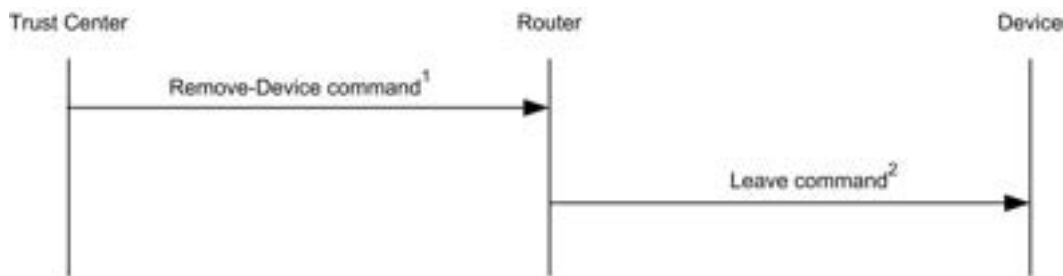
11823 In a secured Zigbee network, leave messages SHALL be secured with the active network key and sent with security
 11824 enabled at the level indicated by the *nwkSecurityLevel* attribute in the NIB.

11825 In a secured Zigbee network, leave messages SHALL be received and processed only if secured with the active net-
 11826 work key and received with security enabled at the level indicated by the *nwkSecurityLevel* attribute in the NIB.

11827 A device SHALL only send a NWK leave message (request or announcement) if it has the active network key. A
 11828 device that wishes to leave the network and does not have the active network key SHALL quietly leave the network
 11829 without sending a NWK leave announcement.

11830 4.6.3.6.4 Message Sequence Charts

11831 Figure 4-33 shows an example message sequence chart in which a Trust Center asks a router to remove one of its
 11832 children from the network. If a Trust Center wants a device to leave and if the Trust Center is not the router for that
 11833 device, the Trust Center SHALL send the router a remove-device command with the address of the device it wishes
 11834 to leave the network. In a secure network, the remove-device command SHALL be secured with a link key if present;
 11835 otherwise SHALL be secured with the active network key. Upon receipt of the remove-device command, a router
 11836 SHALL send a leave command to the device to leave the network.



Notes:

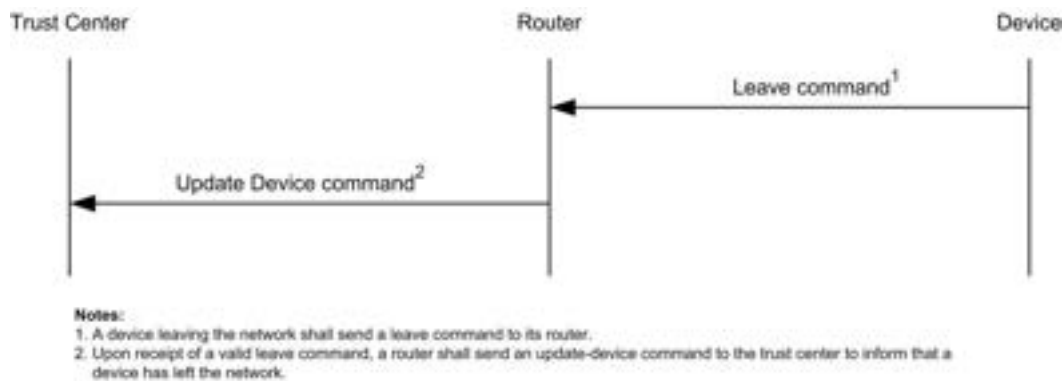
1. If a trust center wants a device to leave and if the trust center is not the router for that device, the trust center shall send the router a remove-device command with the address of the device it wishes to leave the network.
2. A router shall send a leave command to cause on of its children to leave the network.

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Figure 4-33. Example Remove-Device Procedure

11839 Figure 4-34 shows an example message sequence chart whereby a device notifies its router that it is leaving the net-
 11840 work. In this example, the device sends a leave command (secured with the active network key) to its router. The
 11841 router then sends an update-device command to the Trust Center. In a secured network, the update-device command
 11842 SHALL be secured with the link key, if present, or the active network key.



11843

11844

Figure 4-34. Example Device-Leave Procedure

11845 4.6.3.7 Command Tunneling & APS Relaying

11846 There are two commands used for over-the-air transportation of data to a node in the process of joining or rejoining.
 11847 The original APS Command: Tunnel Data is used as a one-way communication of the network key to the device. This
 11848 operation is called Command Tunneling.

11849 To avoid problems of backward compatibility with the existing single-purpose tunnel command a new command has
 11850 been created that is designed from the start to allow bi-directional communication: the APS Relay command. This
 11851 operation is called Relaying.

11852 Devices SHALL follow the procedures described in this section to allow secure communication between the Trust
 11853 Center and a remote device that does not have the current network key.

11854 4.6.3.7.1 Trust Center Operation

11855 To embed a command in a tunnel command, the Trust Center SHALL first apply security protection as specified in
 11856 section 4.4.1.1 and then, if security processing succeeds, the secured command frame SHALL be embedded in a
 11857 Tunnel command frame as follows:

- 11858 1. The APS header fields SHALL be set to the values of the APS header fields of the command to be embedded.
- 11859 2. The destination address field SHALL be set to the 64-bit extended address of the destination device.
- 11860 3. The tunneled auxiliary frame field SHALL be set to the auxiliary frame of the secured command, with follow-
 11861 ing changes:
 - 11862 • The extended nonce sub-field SHALL be set to 1.
 - 11863 • The source address field SHALL be set to the 64-bit extended address of the Trust Center.
 - 11864 • The tunneled command SHALL be set to the secured payload of the embedded command.
 - 11865 • The tunneled command SHALL then be sent to the parent or other neighbor of the destination device.

11866 4.6.3.7.2 Parent Operations

11867 Upon receipt of an APS tunnel command, a router SHALL extract the embedded command as follows:

- 11868 1. The APS header fields SHALL be set to the values of the APS header fields of the tunnel command.
- 11869 2. The auxiliary frame field SHALL be set to the value of the tunneled auxiliary frame field of the tunnel com-
 11870 mand.
- 11871 3. The APS payload field SHALL be set to the tunneled command field of the tunnel command.

11872 The extracted command SHALL be sent to the destination indicated by the destination address field by issuing the
 11873 NLDE-DATA.request primitive with security disabled.

11874 4.6.3.7.3 **Tunneled Data Destination Operation**

11875 The following applies to the end destination of the tunneled data payload after the parent has extracted and transmitted
11876 the payload from the APS tunnel command. Upon receipt of a message secured at the APS layer and with an extended
11877 nonce in the APS auxiliary frame, the message SHALL be processed as usual, except that the message SHALL NOT
11878 be looked up in, or added to, the APS duplicate rejection table.

11879 4.6.3.7.4 **Multi-hop with Dynamic Key Negotiation Joining**

11880 In order to facilitate a node joining and negotiating a link key multiple hops away from the Trust Center it is necessary
11881 to exchange a number of messages between the joiner and Trust Center. Since the joiner has not yet been authorized,
11882 the network SHALL rely on the parent router to relay the messages from the joiner in a way that restricts communi-
11883 cation to only the Trust Center. If the Trust Center conditionally accepts the new device then the router will accept
11884 new messages and relay them to the trust center with limited filtering of those packets. The limited filtering is meant
11885 to future proof communication between trust center and joiner so that the router does need to be upgraded to support
11886 newer devices that MAY send different messages than the router knows about.

11887 4.6.3.7.5 **Tunneling and Relaying of Messages**

11888 The APS Tunnel Command is limited to a single use case of sending from the Trust Center through the parent router
11889 to the Joiner. It does not handle tunneling packets upstream to the Trust Center. To avoid problems of backward
11890 compatibility with the existing single-purpose tunnel command a new command has been created that is designed
11891 from the start to allow bi-directional communication: the APS Relay command.

11892 Before key negotiation messages are relayed through the parent router, the joiner's own capabilities SHALL be relayed
11893 to the Trust Center and the Trust Center acknowledges back to the joiner what the next steps are. The Network Com-
11894 missioning Request Command Frame will contain the joining device's capabilities as TLVs inside the Joining Device
11895 Encapsulation Global TLV. This specific TLV will be picked up by the parent router and relayed in the APS Update
11896 Device Command Frame.

11897 A legacy Trust Center will ignore the TLV data and perform its normal legacy processing of the request. If the device
11898 is allowed to join the Trust Center will send the current network key in an APS Transport Key Command encrypted
11899 with the device's link key, and tunnel that message inside an APS Tunnel Command.

11900 A newer Trust Center will examine the capabilities of the joining device as relayed in the Joining Device Encapsulation
11901 Global TLV. If the device is being allowed to join but is an older device, or one without Key Negotiation capabilities,
11902 the Trust Center will behave much like a Legacy Trust Center. If the device and Trust Center have Key Negotiation
11903 Capabilities the Trust Center will send a ZDO Security_Key_Update_req command to signal that the device is allowed
11904 to go ahead and start key negotiation. The ZDO command will be contained inside the APS Relay Command.

11905 The Trust Center and Joining Device will continue to exchange messages back and forth through the parent router.
11906 The messages are all APS Command Relay Downstream and APS Relay Command Upstream containing the actual
11907 message that will be forwarded to the target. These messages MAY be fragmented and MAY enable APS Retries. The
11908 APS Acknowledgements will also be forwarded between Trust Center and Joiner via APS Relay Command frames.
11909 The APS Command Relay Downstream and APS Relay Command Upstream themselves will not be fragmented, and
11910 retries MAY be enabled on those messages. This avoids multiple levels of fragmentation on the same underlying
11911 message.

11912 Fragmentation parameters are communicated using the Fragmentation Parameters Global TLV. The joiner includes
11913 this TLV inside the Joining Device Encapsulation Global TLV. That TLV is sent to the parent router via the Network
11914 Commissioning Request Command Frame, and relayed to the Trust Center in the APS Update Device command.
11915 Fragmentation Parameters of the Trust Center are communicated to the joiner via the ZDO Security_Key_Update_req
11916 that is contained within an APS Relay Command.

11917 Key Negotiation is done via the ZDO Security_Start_Key_Negotiation_req and ZDO Security_Start_Key_Negotia-
11918 tion_rsp. The new key is verified by both sides using the APS Verify Key and APS Confirm Key Messages. Once the
11919 key is verified both sides can use the key but the device is not yet on the network.

11920 The Trust Center MAY abort the communication at any time by issuing an APS Remove Device to the parent router.
11921 At that point the parent router will no longer accept messages from the Joining Device that need to be relayed.

11922 After a key is negotiated but before the Trust Center grants access the Trust Center MAY exchange additional mes-
11923 sages with the device as part of the Device Interview. This step is optional and the choice to use it is determined by
11924 the Trust Center. Those messages are also sent via APS Relay Command frames and all Device interview messages
11925 are APS encrypted with the newly negotiated link key.

11926 Once the Trust Center decides to grant access it uses the same mechanism as a Legacy Trust Center. It will send the
11927 current network key in an APS Transport Key Command encrypted with the device's link key, and tunnel that message
11928 inside an APS Tunnel Command. In this case the link key used will be the one that was negotiated via ZDO.

11929 **4.6.3.7.6 Parent Router Filtering**

11930 By default, a Parent router will not relay frames from an unauthorized joining device. A router keeps track of unau-
11931 thorized joiners in the nwkNeighborTable by setting the Relationship status of that device to 0x05 unauthenticated
11932 child.

11933 The router waits to receive an APS Relay Command from the Trust Center addressed to that joining device. At that
11934 point it sets the status of the child to 0x06, unauthenticated child with relay allowed.

11935 The router will keep track of each unauthorized node independently in case multiple devices join through the parent
11936 router.

11937 A router will only accept APS Command Relay Downstream and APS Relay Command Upstream from the joiner if
11938 its status is 0x06, unauthenticated child with relay allowed. The router parent will maintain a security timer for each
11939 of those devices. If they do not become fully authorized on the network before the timer runs out, the device is removed
11940 from the neighbor table. The timer is reset only when the Trust Center sends an APS Relay command; it is never reset
11941 by the joining device.

11942 **4.6.3.7.7 Tunneling and Relaying of APS Data and APS Commands**

11943 The APS Tunnel command SHALL always be used to tunnel the APS Command: Transport Key from the Trust Center
11944 to a joining or rejoining device. The APS Tunnel Command SHALL NOT be used for tunneling any other APS data
11945 or APS command. The APS Tunnel Command SHALL be used for the APS Transport key even when both the Trust
11946 Center and joiner/rejoining device support Revision 23 and the APS relay command.

11947 The APS Relay command SHALL be used for sending and receiving all other messages to a joining or rejoining
11948 device while it is not on the network. If an parent router is needed to send over multiple hops it also needs to be R23
11949 in order to relay messages.

11950 The APS relay command SHALL be used to encapsulate messages from the relaying router. This SHALL occur even
11951 in the circumstance that the relaying router is also the Trust Center. In other words, the APS relay command SHALL
11952 be used for single-hop or multi-hop join/rejoin situations.

11953 An example of a full multi-hop join using the APS Relay command can be seen in Figure 4-35.



11954
 11955

Figure 4-35. R23 Joining Using Multi-hop Relay

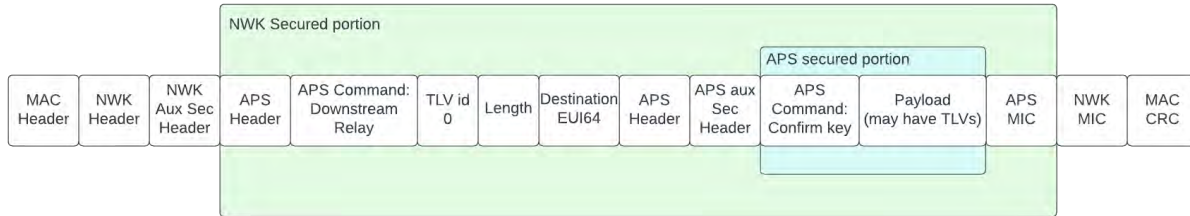
11956 **4.6.3.7.8 APS Retries for Relay Commands**

11957 APS retries MAY be used on the APS Command Relay Downstream and APS Relay Command Upstream themselves.
 11958 APS retries can also be used on the messages within the APS Relay Commands.

11959 **4.6.3.7.9 Encryption of Relay Commands and their contents**

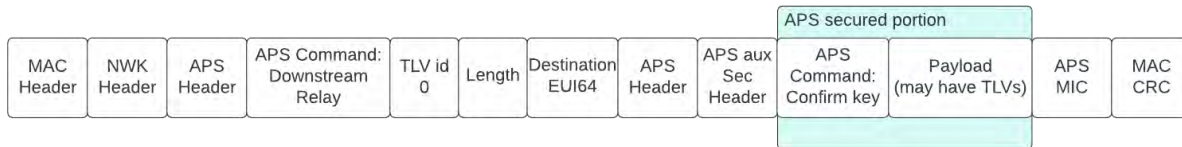
11960 APS encryption SHALL NOT be used on the APS Relay command itself. The APS message within the APS Relay
 11961 will use APS encryption as required by this specification or the Application.

11962 Figure 4-36 is an example of APS encryption of the APS Command Confirm Key sent from the Trust Center to the
 11963 intermediate Router for relay to the joining / rejoining device.



11964
 11965 **Figure 4-36. Relay Command Frame to the Parent Router**

11966 When the message is sent from the intermediate router to the joining / rejoining device it will look very familiar but
 11967 will NOT have Network Encryption. Figure 4-37 shows the message in that case.



11968
 11969 **Figure 4-37. Relay Command Frame to the Joiner**

11970 **4.6.3.7.10 Message Size and Fragmentation for APS Relayed Messages**

11971 When relaying messages prior to joining or rejoining, the Trust Center and Joiner can use fragmentation and APS
 11972 Acknowledgements. Each side SHALL advertise fragmentation parameters via the Fragmentation Parameters Global
 11973 TLV.

11974 The APS Command Relay Downstream and APS Relay Command Upstream SHALL NOT be fragmented. The mes-
 11975 sages inside the APS Relay Command MAY be fragmented when needed.

11976 When encapsulating a message inside an APS Relay command the joiner SHALL take into consideration the following
 11977 when calculating the maximum size of the message within the APS relay command.

- 11978 1. Overhead of the APS Relay command itself
- 11979 2. Overhead of NWK Auxiliary Security Header and NWK Auxiliary Security Header MIC

11980 The joiner SHALL not include the NWK Auxiliary Security Header and NWK Auxiliary Security Header MIC when
 11981 sending to the parent router. However, the joiner SHALL leave space in the message for the parent router to add these
 11982 headers. The Maximum size of an APS Relayed message is shown in Table 4-40.

11983

11984

Table 4-40. Maximum Message Size of an APS Relayed Message

Item	Size (bytes)	Notes
Max 802.15.4 MTU	128	
PHY Length byte	1	
MAC Header	9	
NWK Header	8	
NWK Auxiliary Security Header	14	The size of this overhead is always included even when the message is not NWK encrypted.
APS Header for APS Command	2	
APS Relay Overhead	10	
NWK Auxiliary Security Header MIC	4	The size of this overhead is always included even when the message is not NWK encrypted.
MAC CRC	2	
Max Remaining size of APS Relayed Message	79	The APS relayed message SHALL include its own APS Header. Note: If source routing is enabled on the TC, the maximum remaining size of the APS relayed message would be smaller. The network header will have the source route present and depending on the number of hops the payload will be reduced.

11985 If the parent router receives an APS Relay command from a joiner that would exceed the max MTU when NWK
11986 Encryption is applied, the parent router SHALL drop the message and no further processing SHALL be done.

11987 When encapsulating a message inside an APS Relay command the Trust Center SHALL take into consideration the
11988 following overhead when calculating the maximum size of the message within the APS relay command.

11989 1. Overhead of the APS Relay command itself

11990 2. Overhead of NWK Auxiliary Security Header and NWK Auxiliary Security Header MIC

11991 3. The size of any source routing overhead in the NWK Header if source routing is used.

11992 If an APS Datagram to be relayed is greater than the max MTU then it SHALL be fragmented, and the fragments
11993 SHALL be put inside APS Relay messages. Both Trust Center and Joiner are required to support fragmentation starting
11994 with R23.

11995 If an APS Command to be relayed is greater than the max MTU then it SHALL be dropped. No APS command for
11996 this specification will exceed the max MTU when relayed.

11997 When sending APS Acknowledgements for relayed message fragments, the APS Acknowledgements SHALL also be
11998 sent within APS Relay Commands.

11999 Figure 4-38 and Figure 4-39 show how fragments are relayed inside the APS Command. This is the last hop between
12000 router parent and joiner/rejoiner, with no network encryption.



12001

12002

Figure 4-38. Relay Frame with Fragmentation to the Parent Router



12003

12004

Figure 4-39. Relay Frame with Fragmentation to the Joiner

12005 4.6.3.7.11 Rules for Processing Relay Commands for already joined devices

12006 This section applies to devices that are NOT currently joining or rejoining the network. This section details the rules
12007 for forwarding and processing relay messages.

12008 Upon receipt of an APS_CMD_RELAY_MESSAGE_DOWNSTREAM the router SHALL do the following.

12009 1. If the message was not NWK encrypted it SHALL be dropped and no further processing SHALL be done.

12010 2. If the message is not from the Trust Center it SHALL be dropped and no further processing SHALL be done.

12011 3. Execute the General Processing Rules in Annex I. If the result indicates an error, then the message SHALL be
12012 discarded and no more processing SHALL be done.

12013 4. If the message does not contain the Relay Message TLV then it SHALL be discarded, and no more processing
12014 SHALL be done.

12015 5. Examine the Destination EUI64 in the Relay Message TLV.

12016 a. If there is no entry in the *nwkNeighborTable* with an Extended Address matching the Destination EUI64 then
12017 the message SHALL be dropped, and no further processing SHALL be done.

12018 b. If a matching entry is found and the Relationship is 0x05, unauthorized child, then the Trust Center has given
12019 permission for relay to occur. The device SHALL do the following:

12020 i. Perform an NLME-SET.request on the *nwkNeighborTable* for that entry. Set the Relationship status to
12021 0x06, unauthorized child with relay allowed.

12022 c. If a matching entry is found and the Relationship is neither 0x05 (unauthorized child) nor 0x06 (unauthorized
12023 child with relay allowed), discard the message and no further processing SHALL be done.

12024 d. Update the security timer for how long the device is allowed to be an unauthorized neighbor. The router
12025 SHALL do the following:

12026 i. Perform an NLME-SET.request on the *nwkNeighborTable* for that entry, set the SecurityTimer field to
12027 *apsSecurityTimeOutPeriod*.

12028 e. Transmit the data in the message Message to be Relayed field of the Relay Message TLV to the device
12029 associated with the *nwkNeighborTableEntry*. Create a new APS Command Header with Command ID
12030 APS_CMD_RELAY_MESSAGE_DOWNSTREAM with a new APS sequence number generated by the
12031 parent router. Append the complete Relay Message TLV from the received APS_CMD_RELAY_MES-
12032 SAGE_DOWNSTREAM command frame. Generate an NLME-DATA.request with the new APS Command
12033 header and the APS_CMD_RELAY_MESSAGE_DOWNSTREAM message.

12034 Upon receipt of an APS_CMD_RELAY_MESSAGE_UPSTREAM the router or coordinator router SHALL do the
12035 following:

- 12036 1. If the device is a router and the message was NWK encrypted it SHALL be dropped and no further processing
12037 SHALL be done. Routers SHALL ignore these messages from other devices on the network.
- 12038 2. If the outer APS command was APS encrypted it SHALL be dropped and no further processing SHALL be done.
- 12039 3. Execute the General TLV Processing Rules in Annex I. If the result indicates an error, then the message SHALL
12040 be discarded and no more processing SHALL be done.
- 12041 4. If the message does not contain the Relay Message TLV then it SHALL be discarded, and no more processing
12042 SHALL be done.
- 12043 5. Examine the Source EUI64 in the Relay Message TLV.
 - 12044 a. If there is no entry in the *nwkNeighborTable* with an Extended Address matching the Source EUI64 then the
12045 message SHALL be discarded and no further processing SHALL be done.
 - 12046 b. If a matching entry is found and the Relationship is not 0x06, unauthorized child with relay allowed, then the
12047 message SHALL be dropped, and no further processing SHALL be done.
 - 12048 c. Send the entire received Relay Message TLV to the Trust Center. Create a new APS Command Header with
12049 Command ID APS_CMD_RELAY_MESSAGE_UPSTREAM, with a new APS sequence number generated
12050 by the parent router. Append the complete Relay Message TLV from the received APS_CMD_RE-
12051 LAY_MESSAGE_UPSTREAM command frame. The device SHALL then execute an NLDE-DATA.re-
12052 quest with the following parameters. If the device is the Coordinator this will result in a loopback message.
 - 12053 i. The NDSU SHALL be the new APS Relay Upstream Command including APS Header.
 - 12054 ii. DstAddrMode SHALL be set to 0x02, 16-bit network address.
 - 12055 iii. DstAddr SHALL be set to 0x0000.
 - 12056 iv. SecurityEnable SHALL be set to TRUE.
 - 12057 v. UseAlias SHALL be set to FALSE.

12058 It is important to note that unknown TLVs in the APS Command Relay Upstream are NOT relayed by the parent
12059 router. Only known TLVs described in this section are relayed to the TC or to the Joiner. The only known TLV in this
12060 specification revision is the Relay Message TLV. All unknown TLVs inside the APS Command Relay Downstream
12061 and APS Relay Command Upstream are dropped by the parent router. If Trust Center and Joiner wish to include newer
12062 TLVs unknown to the parent router they must be put inside the message that is embedded within the Relay Message
12063 TLV. TLVs inside the Relay Message TLV SHALL not be parsed by the parent router and passed thru in their entirety.

12064 4.6.3.7.12 Rules for Processing Relay Commands for Joining or Rejoining De- 12065 vices

12066 Devices joining or rejoining the network need to protect against unencrypted messages they receive during their at-
12067 tempt to get onto, or back onto, the network. Filtering the messages is key part of this protection.

12068 Devices joining or rejoining the network SHALL only accept APS Relay Downstream Commands, and APS Transport
12069 Key commands from their router parent that are *unencrypted* at the network layer.

12070 Before a link key has been successfully negotiated the stack SHALL not pass up messages to the application layer.

12071 4.6.3.7.13 Device Interview Timeouts and Exiting Device Interviews

12072 After a key has been negotiated, but before the device has received APS Transport Key command, there is a period of
12073 message exchange known as the Device Interview. The Trust Center decides whether this step is performed. During
12074 the Device Interview the stack SHALL reject messages inside the Relay Message TLV that do not have APS encryp-
12075 tion with the newly negotiated key.

12076 The stack SHALL pass up APS encrypted application layer messages to the application layer for processing during
12077 the device interview.

12078 During the Device Interview the application layer MAY restrict the application layer messages that are allowed to be
 12079 sent or received. It could do this, for example, by restricting the cluster ID of messages inside the Relay Message TLV
 12080 of the APS Relay Downstream and APS Relay Upstream commands. Again, these messages are still required to be
 12081 APS encrypted.

12082 Inter-PAN messages are not permitted during device interview process. If received, they SHALL be dropped. Mes-
 12083 sages that do not have Delivery Mode of Normal Unicast Delivery SHALL be dropped. The ZDO messages are nor-
 12084 mally NWK encrypted only. During device interview , these messages SHALL be APS encrypted. If these are NWK
 12085 encrypted, they SHALL be dropped.

12086 The following client requests are allowed during device interview, the destination addressing SHALL be unicast only.

12087 • NWK_addr_req

12088 • IEEE_addr_req

12089 • Node_Desc_req

12090 • Power_Desc_req

12091 • Simple_Desc_req

12092 • Active_EP_req

12093 • Match_Desc_req

12094 All other ZDO requests shall be dropped and it is permissible to send a NOT SUPPORTED response for everything
 12095 that is not allowed during device interview.

12096 The Device Interview period is complete once the Trust Center chooses to allow the device on the network and sends
 12097 the APS Transport Key command, or the Trust Center chooses to reject the joining/rejoining device. Once the Device
 12098 Interview period is complete and the device is on the network the application’s normal rules for processing messages
 12099 will apply.

12100 Before establishing a TCLK the Joining Device and parent router use the apsSecurityTimeout to determine how long
 12101 to wait for a message before giving up and removing all entries in the neighbor table. After Establishing the TCLK
 12102 the joiner and the trust center operate on a “rolling timeout” that periodically refreshes on device interview stack
 12103 events.

12104 Trust center refreshes the timeout for a joining device by apsDeviceInterviewTimeoutPeriod whenever it generates a
 12105 downstream request.

12106 **4.6.3.7.14 Device Interview Timeouts and Exiting Device Interviews**

12107 Parent router device refreshes the timeout for a joining child by apsDeviceInterviewTimeoutPeriod whenever there is
 12108 a downstream relay command with network encryption for one of its joining children.

12109 Joiner refreshes its timeout by apsDeviceInterviewTimeoutPeriod when it receives a downstream relay command with
 12110 a relay payload encrypted with the negotiated TCLK.

12111 If a device interview session is quiet for apsDeviceInterviewTimeoutPeriod and the TC has not sent the APSME
 12112 Transport Key command with the Network Key to the joining device the joining device will fail the joining process.
 12113 If the session apsDeviceInterviewTimeoutPeriod for the joining device expires on the TC and Parent router, without
 12114 receiving network encrypted traffic from the joiner, the Device Interview session will be closed. The TC will clear the
 12115 associated apsDeviceKeyPairTable entry and notify the higher layer with a SECURITY_FAIL (0xAD) error. the join-
 12116 ing device will need to issue a separate nwk commissioning join request to establish a new TCLK.

12117 **4.6.3.8 APS Frame Counter Verification**

12118 All devices implementing Revision 23 of this specification SHALL support enforcement of verified APS frame coun-
 12119 ters. A verified frame counter is where the receiver of an APS encrypted frame has knowledge of a recent frame
 12120 counter that was successfully received.

- 12121 Under certain circumstances a device can lose track of the latest APS frame counter of the corresponding device in
12122 the apsDeviceKeyPair entry. When this occurs, the device SHALL set VerifiedFrameCounter to FALSE for the cor-
12123 responding entry of the apsDeviceKeyPairSet.
- 12124 One example of losing track of the latest frame counter is after a reboot. After reboot a device commonly sets the
12125 IncomingFrameCounter for all apsDeviceKeyPairSet entries to 0.
- 12126 Since a device does not know how long it has been down after a power cycle, it is required to verify the frame counter
12127 for other devices it shares a link key with. After reboot, all entries in apsDeviceKeyPairSet SHALL set VerifiedFrame-
12128 Counter to FALSE.
- 12129 If the result of the Security Processing of Incoming Frames is UNVERIFIED_FRAME_COUNTER then the received
12130 message is dropped. Frame Counter synchronization SHALL be initiated to the sender of the message by following
12131 the procedure in section 4.6.3.8.1, subject to rate limiting as described in section 4.6.3.8.1.
- 12132 Figure 4-40 illustrates the general flow of how this will work.

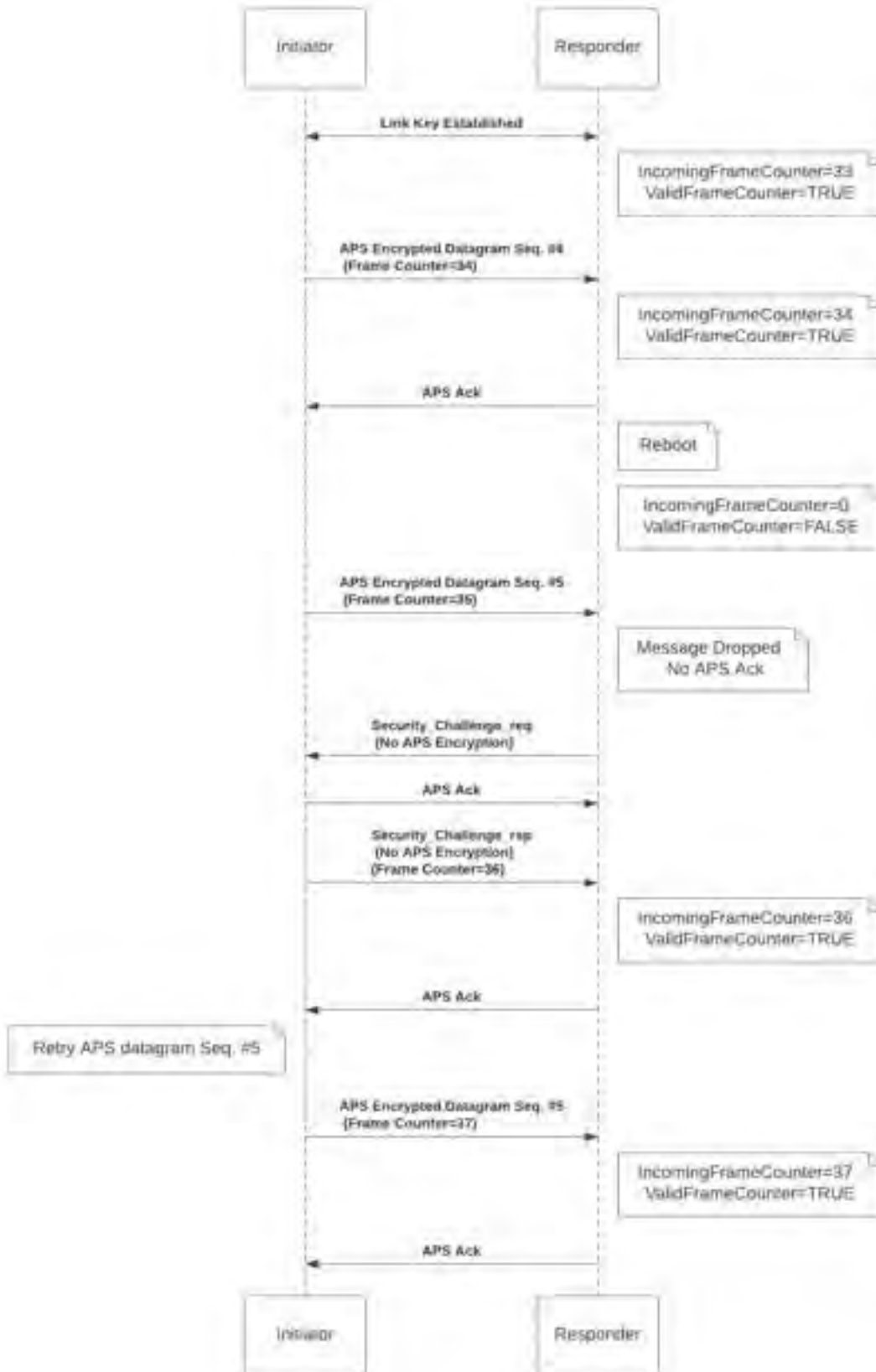


Figure 4-40. APS Frame Counter Synchronization

12133
 12134

12135 Table 4-41 indicates when to set the Verified Frame Counter Sub-field. Sending devices SHALL use this when determining what value to set.

12137 **Table 4-41. Setting for Verified Frame Counter Field based on APS Frame Type**

Description	APS Frame Type Field (b1 b0)	ACK Format Field
APS Datagram	00 (Data)	1
APS Command	01 (Command)	0
ACK to Datagram	10 (ACK)	1
ACK to Command	10 (ACK)	0

12138 When the *apsDeviceKeyPairSet* entry indicates *VerifiedFrameCounter* is FALSE and the Frame Counter Synchroni-
 12139 zation bit in the Features & Capabilities bitmap = '1', frame counters from received APS encrypted messages SHALL
 12140 not be stored. Legacy devices will not have Frame Counter Synchronization bit in the Features & Capabilities bitmap
 12141 = '1', and as a result the incoming frame counter for APS encrypted messages MAY be stored. The rules for processing
 12142 incoming messages are detailed in section 4.4.1.2.

12143 It is permissible for a device to proactively synchronize unverified frame counters prior to receiving an APS encrypted
 12144 message that would trigger synchronization. This could be done by periodically examining its *apsDeviceKeyPairSet*
 12145 for entries where *VerifiedFrameCounter* is FALSE and following the procedure in section 4.6.3.8.1.

12146 After a device initially joins a network by receiving an APS Encrypted Transport Key command, it SHALL set the
 12147 *VerifiedFrameCounter* to TRUE and the *IncomingFrameCounter* field to the frame counter value for the received APS
 12148 Transport Key Command. Synchronization is not necessary because there are no previous messages that can be re-
 12149 played to the device.

12150 If a device rejoins a network, it SHALL NOT change the state of *VerifiedFrameCounter* for its *apsDeviceKeyPairSet*
 12151 entries. A rejoining device initiates frame counter synchronization based on subsequent APS encrypted messages it
 12152 receives and the state of its *apsDeviceKeyPairSet*, as described previously in this section.

12153 4.6.3.8.1 Initiating a Challenge

12154 When a device determines it needs to send an APS Frame counter challenge it SHALL do as follows.

- 12155 1) Generate an 8-byte random challenge value.
- 12156 2) Construct an APS Frame Counter TLV with the Sender's EUI64 and the random value.
- 12157 3) Store the challenge in *apsChallengeValue* of the AIB
- 12158 4) Store the target EUI64 of the challenge in the *apsChallengeTargetEui64*.
 - 12159 a) This SHALL be the same value as the DeviceAddress of the associated *apsDeviceKeyPairSet* entry.
- 12160 5) Set the *apsChallengePeriodRemainingSeconds_ of the AIB to _apsChallengePeriodTimeoutSeconds*.
- 12161 6) Start a timer that decrements *apsChallengePeriodRemainingSeconds_ every second*.
- 12162 7) Unicast the ZDO Security_Challenge_req to the *apsChallengeTargetEui64*.
 - 12163 a) The message SHALL NOT apply APS encryption.

12164

12165 Implementations MAY provide contextual storage for `apsChallengeTargetEUI64` to allow multiple challenge re-
12166 quests to different targets simultaneously.

12167 **4.6.3.8.2 Challenge Nonce and Key**

12168 To generate the challenge response the responder SHALL construct a nonce value as follows:

- 12169 a) The Source EUI-64 set to the EUI-64 of the device originating the challenge response frame.
- 12170 b) The frame counter field set to `apsChallengeFrameCounter`.
- 12171 c) The security control frame set to reflect security level 2: No encryption, 64-bit MIC.

12172 The responder SHALL then increment `apsChallengeFrameCounter` by one. `apsChallengeFrameCounter` is reset to 0
12173 whenever the APS outgoing frame counter for that key is incremented, and upon reboot.

12174 The AES-CCM-128 key for the challenge response is set to the link-key, where bytes 0, 4, 8, and 12 are XORed with
12175 the bytes 0, 1, 2, and 3 of the 32-bit APS outgoing security frame counter assuming a little-endian in-memory repre-
12176 sentation of that value. For example, if the APS outgoing security frame counter was 0x11223344, and stored in
12177 memory as { 0x44, 0x33, 0x22, 0x11 }, and the APS key was C0:C1:C2:C3:C4:C5:C6:C7:C8:C9:CA:CB:CD:CE:CF,
12178 the resulting AES-CCM-128 key for the challenge response would be calculated as:
12179 84:C1:C2:C3:F7:C4:C5:C6:C7:EA:C9:CA:CB:DC:CE:CF. This allows multiple challenges for the same outgoing se-
12180 curity frame counter to be answered without reusing the nonce for the same key.

12181 Initiator and responder use the same approach to derive the symmetric AES-128-CCM key for the challenge/response
12182 exchange.

12183 **4.6.3.8.3 Computing the AES-CCM-128 MIC for a Challenge**

12184 To compute the AES-CCM-128 MIC for a particular combination of link-key, corresponding outgoing security frame
12185 counter, and challenge, the initiator and responder execute the CCM* authentication scheme as specified in Annex A
12186 applying security level 2: No Encryption, 64-bit Message Integrity Code (MIC). The CCM* procedure is executed
12187 with the following parameters:

- 12188 1) a = the octet string that represents the Frame Counter Challenge TLV, including tag-ID and length fields, and the
12189 current APS frame counter, in little-endian representation
- 12190 2) $m = \{ \}$ (empty octet string)
- 12191 3) N = nonce as determined in section 4.6.3.8.2.
- 12192 4) Key as determined in section 4.6.3.8.2.

12193 **4.6.3.8.4 Responding to a Challenge**

12194 1) Construct an APS Frame Counter Response TLV with the following:

- 12195 a) The local device EUI64 value as the Sender EUI64 field.
- 12196 b) The random value in the received APS Frame Counter Challenge TLV.
- 12197 c) The current value of the `OutgoingFrameCounter` for the corresponding `apsDeviceKeyPairSet` entry.
- 12198 d) The value of the `apsChallengeFrameCounter` that was used to calculate the AES-CCM-128 MIC for the re-
12199 sponse.
- 12200 e) The AES-CCM-128 MIC that was calculated for the particular combination for challenge, link-key and out-
12201 going security frame counter.

12202 2) Unicast the ZDO Security_Challenge_rsp to the Sender EUI64 value of the APS Frame Counter Challenge TLV.

- 12203 a) Set the Status to SUCCESS.
- 12204 b) Do not apply APS encryption.

12205 4.6.3.8.5 **Validating the Response to a Challenge**

12206 On receipt of a message containing the APS Frame Counter Response TLV, do the following.

12207 1) Validate the Responder MIC field:

12208 a) Create the Responder Challenge Key value as described in section 4.6.3.8.2.

12209 b) Execute AES-CCM algorithm described in section .

12210 c) Compare the calculated MIC to the value of the Responder MIC field.

12211 i) If they do not match, no further processing SHALL be done.

12212 (1) If they do match set the corresponding apsDeviceKeyPairSet_ entry as follows:

12213 a) Set the IncomingFrameCounter to the APS Frame Counter value of the TLV.

12214 b) Set the VerifiedFrameCounter to TRUE.

12215 4.7 **Security Operations in Centralized Security Net-** 12216 **works**

12217 The security services provided here offer a range of options within a Zigbee network. For interoperable and consistent
12218 field behavior, a more defined set of policies and processes is defined here. The basis for these operations is that the
12219 device forming a network can establish security policies believed appropriate for the network and that a joining device
12220 will acknowledge and use the policies in place in the network. Joining is therefore based on the forming device setting
12221 policies within the allowed settings in this section and the joining device having the appropriate flexibility to adapt to
12222 these settings.

12223 4.7.1 **Trust Center Policies**

12224 The Trust Center is a critical security component in a Zigbee network. The policies that the Trust Center puts in place
12225 control what devices get on the network and how they do so in a secure manner. Security is not an end unto itself but
12226 a means to establish a reasonable level of protection of the application and data that is being transmitted across the
12227 Zigbee network. Often an increase in security increases the overhead in management, requires additional time and
12228 functional states while security is negotiated, and can detract from a user experience by requiring them to go through
12229 additional steps that seem unnecessary. Therefore a balance SHALL be struck between the hardening the network
12230 against attacks and the ability to use the network for the applications it was intended for.

12231 It is important to understand the security decisions that are being made in the network and the design of the Trust
12232 Center application is at the heart of those decisions. This section presents the options and settings for the Trust Center
12233 and requires a series of choices to be set on network start up.

12234 4.7.2 **Trust Center Link Keys**

12235 Support for link keys SHALL be required for all devices. Link keys offer an additional level of security for devices to
12236 be able to send messages with end-to-end security instead of just with the hop-by-hop security provided by network
12237 encryption.

12238 In addition, link keys are crucial for providing a simple authorization mechanism. The Trust Center can send devices
12239 a copy of the network key that is intended only for a specific device using that device's link key to encrypt the message.

12240 4.7.2.1 **Trust Center Passphrase Updates**

12241 When a device is initially added to the apsDeviceKeyPairEntrySet the PassphraseUpdateAllowed is set to TRUE.
12242 After successfully joining and negotiating a link key the device is required to update its authentication token

12243 (symmetric passphrase). After update, the PassphraseUpdateAllowed field for that device’s entry is set to FALSE and
 12244 is never set back to TRUE. The only way to change the passphrase at that point is to administratively remove the
 12245 apsDeviceKeyPairEntrySet on the Trust Center and perform a fresh join to the network for that device.

12246 Future revisions of this specification may expand the set of authentication token types and will need to describe how
 12247 those tokens are deployed and the interaction with the existing token type.

12248 4.7.3 Trust Center Policy Values

12249 The following is a list of configuration values that relate to the Trust Center’s policy decisions that are part of the
 12250 security related AIB in Table 4-35. They will be used to describe requirements for dictating the network security
 12251 policies. The trust center can use these policies to create higher or lower sets of security and controls on the network.
 12252 For example:

- 12253 • A system can be set up with centralized security such that any device can join the network. In such a permissive
 12254 network, trust center link keys are still updated from the global value used initially for joining.
- 12255 • A system can also be set up with trust center policies that only allow known devices. A user SHALL then install
 12256 the IEEE address and a link key for the new device into the trust center prior to the device joining. This could
 12257 be done using install code based keys. This validates to the joining device that it is on a network that knows its
 12258 identity during the joining process. The trust center in this network can also update the trust center link keys of
 12259 joining devices so secure key updates and rejoining can be conducted during the lifetime of the network.

12260 Table 4-42 describes the Trust Center policy values trustCenterPolicies of the AIB and their usage.

12261

Table 4-42. Trust Center Policy Values

Attribute	ID	Type	Range	Description	Usage
<i>allowJoins</i>	0xad	Boolean	TRUE or FALSE	This boolean indicates whether the Trust Center is currently allowing devices to join the network. A value of TRUE means that the Trust Center is allowing devices that have never been sent the network key or a trust center link key, to join the network.	This is set to FALSE in centralized security networks that do not want to allow new devices on the network.
<i>requireInstallCodesOrPre-resetPassphrase</i>	0xaf	enumeration	0x00 – 0x10	This enumeration indicates if the Trust Center requires install codes to be used with joining devices. 0x00 – do not support Install Codes 0x01 – Support but do not require use of Install Codes or preset passphrases 0x02 – Require the use of Install Codes by joining devices or preset Passphrases	This is set to 0x02 if the trust center requires install codes in new devices performing symmetric key joins or preset passphrases for key negotiations. Trust Centers that support setting 0x01 or 0x02 SHALL provide a user interface or out of band means to input the Install Code.
<i>allowRejoinsWithWellKnownKey</i>	0xb6	Boolean	TRUE or FALSE	This value indicates if the trust center allows rejoins using well known or default keys. A setting of FALSE means rejoins are only allowed with trust center link keys where the KeyAttributes of	By default, this attribute shall be set to FALSE. A higher-level Trust Center

Attribute	ID	Type	Range	Description	Usage
				the <code>apsDeviceKeyPairSet</code> entry indicates <code>VERIFIED_KEY</code> .	policy may change the value. ¹⁰
<i>allowTrustCenterLinkKeyRequests</i>	0xb7	Enumeration	0x00 – 0x02	This value controls whether devices are allowed to request a Trust Center Link Key after they have joined the network. It MAY have the following values: 0x00 – never 0x01 – any device MAY request 0x02 – Only devices in the <code>apsDeviceKeyPairSet</code> with a <code>KeyAttribute</code> value of <code>PROVISIONAL_KEY</code> MAY request.	This is set to 0x00 in networks with higher level protocols for establishing link keys. This is set to either 0x01 or 0x02 in centralized security networks.
<i>networkKeyUpdatePeriod</i>	0xb9	Integer	0x00000000 – 0xFFFFFFFF	The period, in minutes, of how often the network key is updated by the Trust Center. A period of 0 means the Trust Center will not periodically update the network key (it MAY still update key at other times).	This is used in the Trust Center of centralized security networks to establish the network key update period. When this time is up the Trust Center updates the network key.
<i>networkKeyUpdateMethod</i>	0xba	Enumeration	0x00 – 0x01	This value describes the method the Trust Center uses to update the network key. 0x00 – Broadcast using only network encryption 0x01 – Unicast using network encryption and APS encryption with a device's link key.	This is used in centralized security networks to establish the policy for updating the network key.
<i>allowApplicationKeyRequests</i>	0xbb	Enumeration	0x00 – 0x02	This value determines how the Trust Center SHALL handle attempts to request an application link key with a partner node. 0x00 – never 0x01 – Any device MAY request an application link key with any device (except the Trust Center) 0x02 – Only those devices listed in <code>applicationKeyRequestList</code> MAY request and receive application link keys.	This is used in centralized security networks to establish the Trust Center policy on providing Application Link keys between devices on the network. It is normally set to 0x01 allowing any device to request a link key with another device to support those applications that want to encrypt application payload.

¹⁰ CCB 2446

Attribute	ID	Type	Range	Description	Usage
<i>applicationKeyRequestList</i>	0xbc	List of address pairs	Variable	This is a list of IEEE pairs of devices, which are allowed to establish application link keys between one another. The first IEEE address is the initiator, the second is the responder. If the responder address is set to 0xFFFFFFFFFFFFFFFF, then the initiator is allowed to request an application link key with any device. If the responder's address is not 0xFFFFFFFFFFFFFFFF, then it MAY also initiate an application link key request. This list is only valid if <i>allowApplicationkeyRequests</i> is set to 0x02.	This list is normally not used in centralized security networks unless the Trust Center policy restricts those devices allowed to request link keys.
<i>allowRemoteTcPolicyChange</i>	0xbd	Boolean	TRUE or FALSE	This policy indicates whether a node on the network that transmits a ZDO Mgmt_Permit_Join with a significance set to 1 is allowed to effect the local Trust Center's policies.	
<i>allowVirtualDevices</i>	0xbe	Boolean	TRUE or FALSE	This Boolean indicates whether the Trust Center is currently allowing Zigbee Direct Virtual Devices (ZVDs) to join the network. A value of TRUE means that the Trust Center is allowing such devices.	This is set to FALSE in centralized security networks that do not want to allow Zigbee Direct Virtual devices on the network.

12262 4.7.3.1 Allowing Devices to Join using Symmetric Key

12263 As an optional first step, the Trust Center MAY provide a means to enter in the new device and its associated Install
 12264 Code into the apsDeviceKeyPairSet. This will then provide a means for the device to perform an authenticated joining.
 12265 The apsDeviceKeyPairSet entry is setup as follows:

- 12266 • DeviceAddress = <New Device Address>
- 12267 • LinkKey = <Install Code derived key>
- 12268 • Passphrase = <Install Code derived key>
- 12269 • KeyAttributes = PROVISIONAL_KEY
- 12270 • apsLinkKeyType = Unique
- 12271 • InitialJoinAuthentication = INSTALL_CODE_KEY

12272 If the Trust Center receives notification that a device is joining the network via the APSME-UPDATE-DEVICE.indi-
 12273 cation with the Status field set to Standard Device Unsecured Join (0x01), the following procedure SHALL be per-
 12274 formed:

- 12275 1. If allowJoins is set to FALSE, the following SHALL be done.
 - 12276 a. The Trust Center SHALL proceed to the process of rejecting the join described in section 4.7.3.6. No further
 12277 processing SHALL be done.

- 12278 2. Search the `apsDeviceKeyPairSet` for an address that matches the IEEE of the joining device.
- 12279 a. If none is found and the TC Policy `requireInstallCodesOrPresetPassphrase` is 0x02, do the following:
- 12280 i. The TC SHALL proceed to the process of rejecting the join described in section 4.7.3.6. No further
12281 processing SHALL be done.
- 12282 b. Otherwise, add an `apsDeviceKeyPairSet` entry for the new device with the following properties:
- 12283 i. `DeviceAddress` = <New Device Address>
- 12284 ii. `LinkKey` = “ZigbeeAlliance09”
- 12285 iii. `Passphrase` = “Value of *apscWellknownPSK*”
- 12286 iv. `KeyAttributes` = PROVISIONAL_KEY
- 12287 v. `apsLinkKeyType` = Global
- 12288 vi. `InitialJoinAuthentication` = NO_AUTHENTICATION
- 12289 3. The device has been authorized for admission to the network and the following SHALL be performed.
- 12290 a. Generate an APSME-TRANSPORT-KEY.request primitive with the following parameters.
- 12291 i. Set the `DestAddress` to the `DeviceAddress` of the APSME-UPDATE-DEVICE.indication.
- 12292 ii. Set the `StandardKeyType` to Standard Network Key (0x01).
- 12293 iii. Set the `TransportKeyData` to the `Key` field of the active network key entry in the `nwkSecurityMaterialSet`
12294 NIB attribute.
- 12295 Figure 4-41 shows the process for device joins using a symmetric key.
- 12296 The `VerifiedFrameCounter` field in the `apsDeviceKeyPairSet` is updated under following situations:
- 12297 1. `VerifiedFrameCounter` is set to FALSE for all entries of `apsDeviceKeyPairSet` when the local device is reset.
12298 This is to indicate that the incoming frame counter is now un-reliable for the remote device and a frame counter
12299 synchronisation is required.
- 12300 2. `VerifiedFrameCounter` is set to TRUE for the Trust Center on the joining device when the APS Encrypted
12301 Transport Key command is received.
- 12302 3. `VerifiedFrameCounter` is set to TRUE when a confirm key frame is generated with a status of SUCCESS for a
12303 remote node after receiving a verify key.
- 12304 4. `VerifiedFrameCounter` is set to TRUE when a confirm key frame of SUCCESS is received from the remote
12305 node.

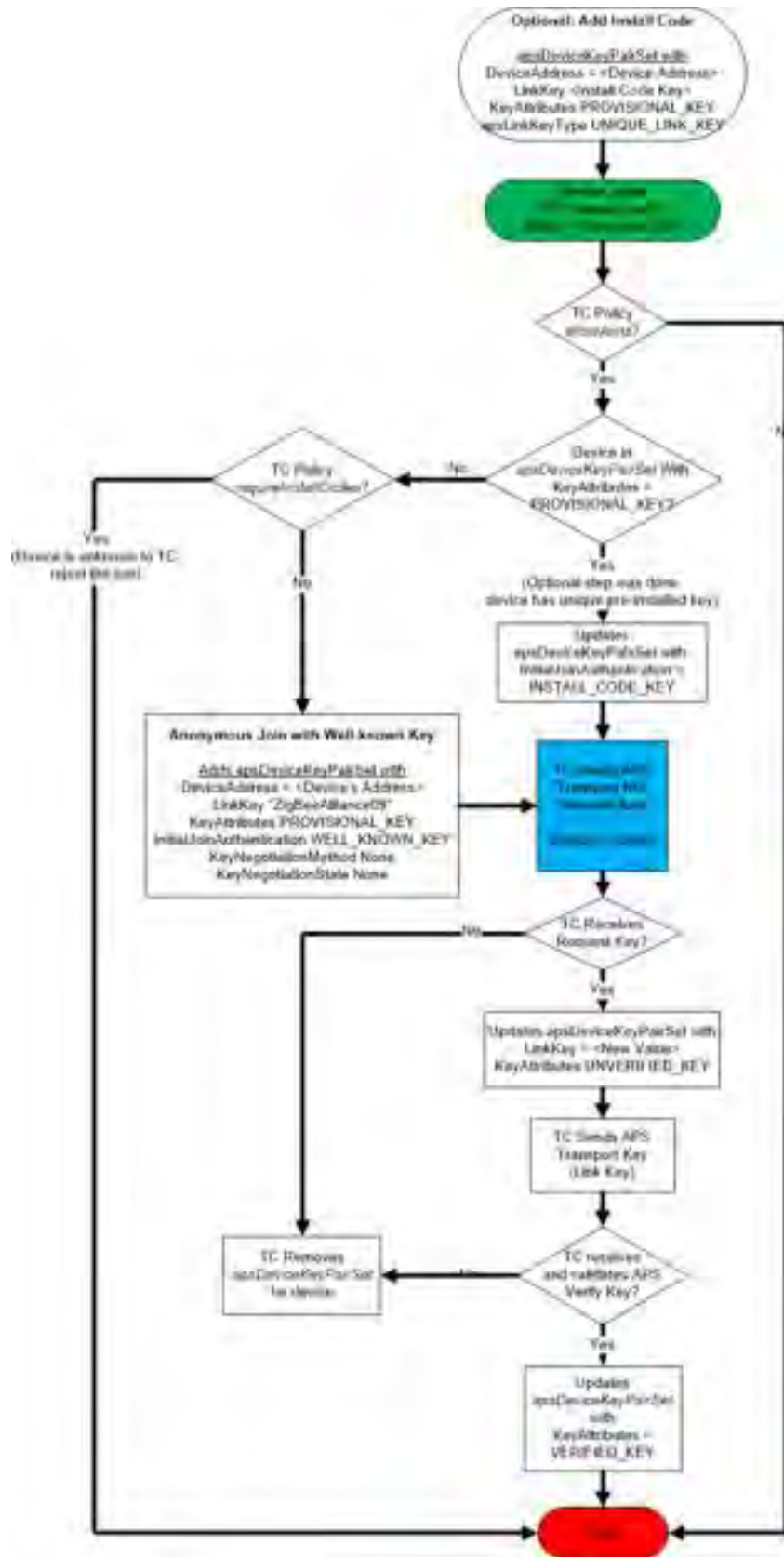


Figure 4-41. Trust Center Processing for Initial Join Using Symmetric Key

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12308 **4.7.3.2 Allowing Devices to Rejoin**

12309 A device can rejoin the network at any time for various reasons. When the rejoin is not secured at the Network Layer,
12310 the device will need a copy of the active network key in order to communicate on the network. That key **MUST** be
12311 encrypted with a key that is unique to the device and not global. This is done to avoid exposing the network key to
12312 unauthorized devices.

12313 For distributed networks without a Trust Center, devices **SHALL NOT** perform a rejoin without security at the Net-
12314 work Layer. Attempts to do so **SHALL** be rejected by routers operating in that network.

12315 For centralized security networks, the following additional rules apply.

12316 If the Trust Center receives notification that a device is joining the network via the APSME-UPDATE-DEVICE.indi-
12317 cation with the Status field set to Standard Device Trust Center Rejoin (0x03) or Standard Device Secure Rejoin
12318 (0x00), the following procedure shall be performed:

- 12319 1. If the Status is 0x00, consult the next higher level to determine whether the device is authorized to be on the
12320 network. If not, execute the procedure in section 4.7.3.6. Otherwise, no further action is required to allow the
12321 device to rejoin the network.
- 12322 2. If the Status is 0x03, determine the Trust Center Link Key in use by the device. Lookup the device in the
12323 apsDeviceKeyPairSet Table and examine whether the entry has apsLinkKeyType set to 0x00, Unique Link Key.
12324 If it is, consult the next higher level to determine whether the device is authorized to be on the network. If no
12325 entry is found or the apsLinkKeyType is not set to 0x00, Unique Link Key, the rejoin **SHALL** be rejected. If the
12326 rejoin is rejected or the device is not authorized to be on the network, follow the procedure in section 4.7.3.6.

12327 The next higher layer **MAY** have further rules for determining what devices are authorized to be on the network.
12328 Alternatively it **MAY** rely on the stack to determine this based solely on the apsDeviceKeyPairSet table data.

12329 **4.7.3.3 Allowing Devices to Join Using Key Negotiation**

12330 As an optional first step, the Trust Center **MAY** provide a means to enter an Install Code into the apsDeviceKeyPair-
12331 Set. The apsDeviceKeyPairSet entry is setup as follows:

- 12332 • DeviceAddress = <New Device Address>
- 12333 • LinkKey = <Install Code derived key>
- 12334 • Passphrase = <Install Code derived key>
- 12335 • KeyAttributes = PROVISIONAL_KEY
- 12336 • apsLinkKeyType = Unique
- 12337 • InitialJoinAuthentication = WELL_KNOWN_PASSPHRASE

12338 Note that use of Key negotiation requires that parent routers are R23-compliant, supporting the APS Relay command.
12339 Routers that do not support this will require the Trust Center to use anonymous joining via the well-known key or an
12340 install code based symmetric key.

12341 Furthermore, R23 joining devices must indicate their support for Key Negotiation by embedding a Supported Key
12342 Negotiation Methods TLV inside the Joiner Encapsulation TLV, indicating one or more asymmetric key negotiation
12343 methods.

12344 If the Trust Center receives notification that a device is joining the network via the APSME-UPDATE-DEVICE.indi-
12345 cation with the Status field set to Standard Device Unsecured Join and key negotiation support as described above,
12346 the following procedure **SHALL** be performed to negotiate a key before joining :

- 12347 1. If allowJoins is set to FALSE, the following **SHALL** be done.
 - 12348 a. The Trust Center **SHALL** proceed to the process of rejecting the join described in section 4.7.3.6. No further
12349 processing **SHALL** be done.
- 12350 2. Search the apsDeviceKeyPairSet for an address that matches the IEEE of the joining device.

- 12351 a. If none is found and the TC Policy requireInstallCodesOrPresetPassphrase is 0x02, do the following:
- 12352 i. The TC SHALL proceed to the process of rejecting the join described in section 4.7.3.6. No further
12353 processing SHALL be done.
- 12354 b. Select the appropriate Key Negotiation Method based on the received Supported Key Negotiation Method
12355 TLV and the Trust Center’s supported method. Create a Selected Key Negotiation Method TLV.
- 12356 c. Otherwise, add an apsDeviceKeyPairSet entry for the new device with the following properties:
- 12357 i. DeviceAddress = <New Device Address>
- 12358 ii. LinkKey = “ZigbeeAlliance09”
- 12359 iii. Passphrase = “Value of *apscWellknownPSK*”
- 12360 iv. KeyAttributes = PROVISIONAL_KEY
- 12361 v. apsLinkKeyType = Unique
- 12362 vi. InitialJoinAuthentication = WELL_KNOWN_PASSPHRASE
- 12363 vii. KeyNegotiationMethod = (Selected Key Negotiation Method)
- 12364 3. Generate a ZDO Security_Start_Key_Update_req containing the Selected Key Negotiation Method TLV
- 12365 4. The Trust Center waits for a ZDO Start Key Negotiation Request and sends a ZDO Start Key Negotiation Re-
12366 sponse.
- 12367 a. If none is received, delete the apsDeviceKeyPairSet entry for that device and no further processing SHALL
12368 be done.
- 12369 5. Using the Selected Key Negotiation Methods, the Passphrase in the apsDeviceKeyPairSet entry, execute the cor-
12370 responding cryptographic method as described in ANNEX J. Set the entry as follows:
- 12371 a. LinkKey = <Derived Key>
- 12372 b. KeyAttributes = UNVERIFIED_KEY
- 12373 c. KeyNegotiationState = COMPLETE_KEY_NEGOTIATION
- 12374 6. Wait for apsSecurityTimeout to receive and validate an APS Verify Key command
- 12375 a. If none is received, delete the apsDeviceKeyPairSet entry for that device and no further processing SHALL
12376 be done.
- 12377 7. After validation of the APS Verify Key Command, set the apsDeviceKeyPairSet entry to the following:
- 12378 a. KeyAttributes = VERIFIED_KEY
- 12379 b. Frame Counter Synchronization bit in the Features & Capabilities bitmap = '1'.
- 12380 8. Generate an APS Confirm Key Command to the device
- 12381 9. OPTIONAL: The Trust Center MAY send or receive additional messages, encrypted at the APS layer with the
12382 newly generated key.
- 12383 10. The Trust Center decides whether to allow the device on the network.
- 12384 a. If allowed, the Trust Center SHALL generate an APS Transport Key containing the network key.
- 12385 b. If not allowed, the Trust Center SHALL proceed to the process of rejecting the join described in section
12386 4.7.3.6. No further processing SHALL be done.

12387 Figure 4-42 shows the processing for the Trust Center of an Initial Join using Key negotiation.

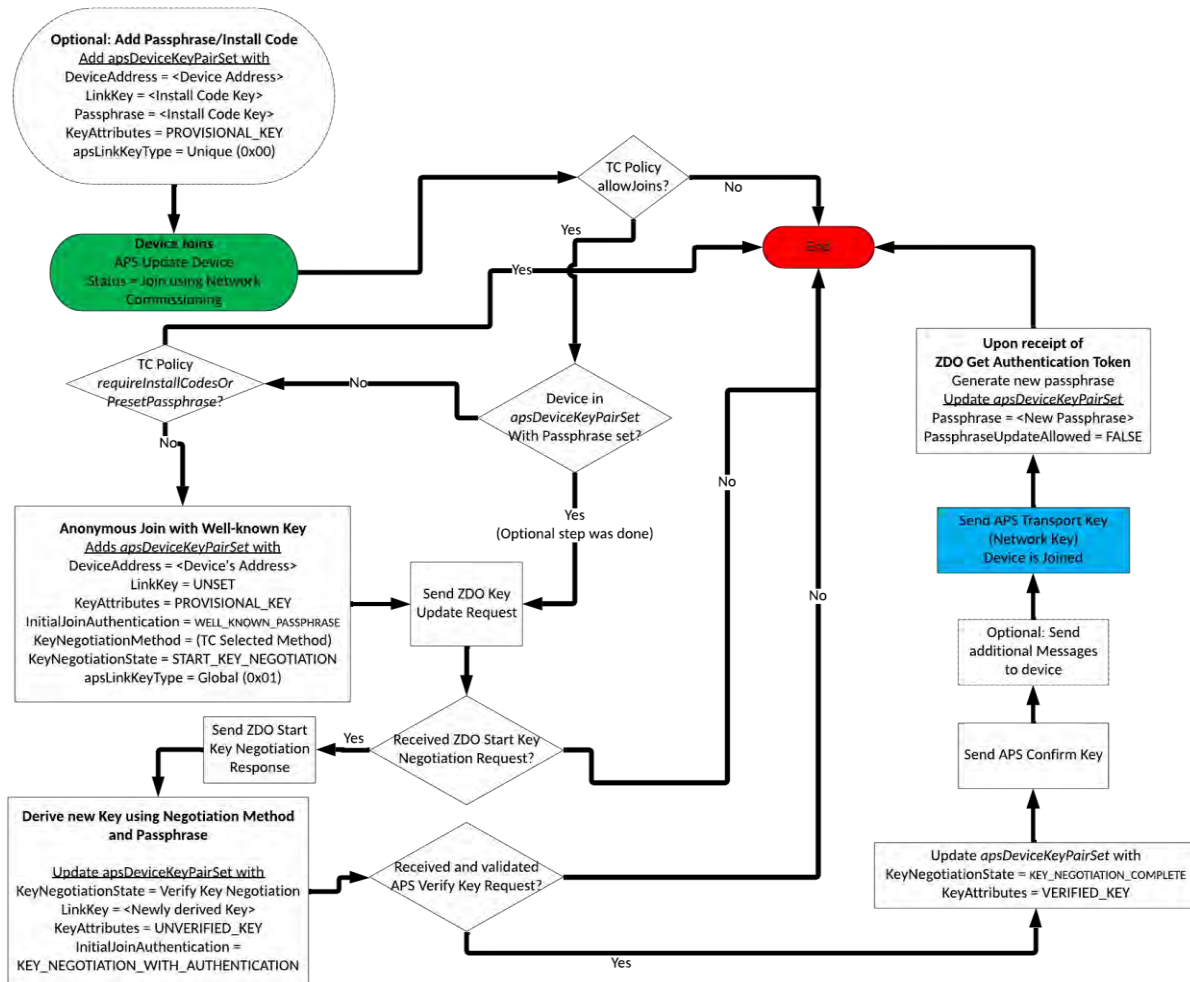


Figure 4-42. Trust Center Processing for Initial Join Using Key Negotiation

4.7.3.4 Remote Device Changing Trust Center Policy

In some networks it MAY be permissible for a joined device to request that the Trust Center allow an unjoined device to be commissioned on the network. This can be accomplished through the ZDO Mgmt_Permit_Joining_req sent to the Trust Center with the TC_Significance field set to 1. Upon receipt of this request, the following procedure SHALL be executed.

1. If allowRemoteTcPolicyChange is set to 0, then the operation SHALL be denied and the status of 0xa3 (ILLEGAL_REQUEST) passed back to the ZDO. No further processing SHALL be done.
2. If requireInstallCodesOrPresetPassphrase is set to 0x02, then the operation is invalid and the status of 0xaa (NOT_SUPPORTED) SHALL be passed back to the ZDO. No further processing SHALL be done.
3. The operation is allowed by the Trust Center and a status of 0x00 (SUCCESS) SHALL be passed back to the ZDO.

When a Trust Center receives a Mgmt_Permit_joining_req where the allowRemoteTcPolicyChange=FALSE, the Trust Center MAY broadcast a Mgmt_Permit_joining_req with permitDuration=0 to close the network and prevent it from advertising that new devices are being accepted.

When the new device requests to join the network the trust center will still process the joining device as described in section 4.7.3.1.

12406 **4.7.3.5 Determining the Link Key for Encryption or Decryption by the** 12407 **Trust Center**

12408 If the Trust Center has determined that a message SHALL be sent with APS encryption or has been received and
12409 SHALL be decrypted, it SHALL determine what link key to use for the operation. The Trust Center SHALL examine
12410 the IEEE address of the destination (if encrypting) or source (if decrypting) and search the *apsDeviceKeyPairSet* for
12411 a matching address entry. If a match is found, it will use the associated link key to APS encrypt or decrypt the message.

12412 If no matching entry is found then no link key is defined and processing of the message SHALL be stopped. The
12413 message will not be sent or received because there is no link key that can be used.

12414 See sections 4.4.1.1 and 4.4.1.2 for outgoing and frame processing respectively.

12415 **4.7.3.6 Rejecting the Join or Rejoin**

12416 A join or rejoin is processed via an APSME-UPDATE-DEVICE.indication. Following the decision to reject a join or
12417 rejoin the following SHALL be done by the Trust Center.

12418 1. If the Status of APSME-UPDATE-DEVICE.indication was Standard Device Unsecured Join (0x01) or Standard
12419 Device Trust Center Rejoin (0x03), the following SHALL be done.

12420 a. The joining or rejoining device does not have the current network key and will be left to timeout.

12421 b. No further processing SHALL be done.

12422 2. If the Status of the APSME-UPDATE-DEVICE.indication was Standard Device Secured Rejoin (0x00), the fol-
12423 lowing SHALL be done.

12424 a. Follow the procedure in section 4.7.3.7.

12425 **4.7.3.7 Removing Devices**

12426 The Trust Center has the ability to remove devices in the network via the APS Remove Device command. This mes-
12427 sage can be used to force well-behaved devices to leave the network. This is useful if the Trust Center determines after
12428 they have joined that they are not on the correct network or that the device is unable to communicate in a required
12429 application specific way.

12430 It is important to note that this is not a secure means of removing a device. Once a malicious device has the current
12431 network key the only way to force it off the network is to distribute a new network key in a manner that prevents the
12432 malicious device from obtaining the new key. See section 4.7.3.12.

12433 **4.7.3.8 Processing Trust Center Link Key Requests**

12434 The Trust Center link key is a crucial element in joining the network when a preconfigured key is in place, or when a
12435 device attempts to rejoin after a missed network key update. It is also the means by which application keys are estab-
12436 lished with other devices on the network.

12437 Devices are required to update their Trust Center link key after joining if key negotiation was not used during joining.
12438 One of the main mechanisms is the Trust Center Link Key Request using the APS Command Request Key. However,
12439 if a device has negotiated a link key using a different mechanism than APS Command Request Key then the Trust
12440 Center SHALL reject attempts to update using APS Command Request Key. More secure mechanisms for updating
12441 the link key SHALL NOT be overridden by a Trust Center Link Key request.

12442 The process in Zigbee for transporting a new link key to the device requires the previous link key as an authentication
12443 mechanism. In addition it uses APS commands which do not have support for APS retries. As a result it is possible
12444 for devices to get out of sync with regard to the Trust Center link key currently in use. To avoid this risk the Trust
12445 Center MAY decide to prohibit requests for new trust center link keys when one is already in place.

12446 The following describes the process when the Trust Center is notified of an APS Request key via the APSME-RE-
12447 QUEST-KEY.indication with the RequestKeyType set to 0x04 (Trust Center Link Key):

- 12448 1. If the APS Command Request Key message is not APS encrypted, the device SHALL drop the message and no
12449 further processing SHALL be done.
- 12450 2. The device SHALL verify the key used to encrypt the APS command. If the SrcAddress of the APSME-RE-
12451 QUEST-KEY.indication primitive does not equal the value of the DeviceAddress of the corresponding
12452 apsDeviceKeyPairSet entry used to decrypt the message, the message shall be dropped and no further processing
12453 SHALL be done.
- 12454 3. The Trust Center SHALL examine the KeyNegotiationMethod of the apsDeviceKeyPairSet entry for the corre-
12455 sponding device and if the value is NOT 0x00 then the request SHALL be silently dropped.
- 12456 4. If the RequestKeyType is set to 0x04, Trust Center Link Key, the following SHALL be performed:
- 12457 a. If *allowTrustCenterLinkKeyRequests* is 0, then no further processing SHALL be done. The request is silently
12458 rejected.
- 12459 b. If *allowTrustCenterLinkKeyRequests* is 1, then the following is performed:
- 12460 i. Follow the procedure in section 4.7.3.8.1.
- 12461 c. If *allowTrustCenterLinkKeyRequests* is 2, do the following.
- 12462 i. Find an entry in the apsDeviceKeyPairSet of the AIB where the DeviceAddress of the entry matches the
12463 PartnerAddress of the APSME-REQUEST-KEY.indication primitive, and the KeyAttributes has a value
12464 of PROVISIONAL_KEY (0x00). If no entry can be found matching those criteria, then the request shall
12465 be silently dropped and no further processing SHALL be done.
- 12466 ii. Otherwise, follow the procedure in section 4.7.3.8.1.

12467 4.7.3.8.1 Procedure for Generating and Sending a new Trust Center Link Key

12468 This procedure takes an IEEE address DeviceAddress.

- 12469 1. Create a new 128-bit key, KeyValue. This MAY be done using a random number generator, or programmatically
12470 using an algorithm.
- 12471 2. Create a new entry in the apsDeviceKeyPairSet.
- 12472 a. Set the DeviceAddress of the entry to the DeviceAddress passed to this procedure.
- 12473 b. Set the LinkKey value of the entry to the KeyValue previously generated in this procedure.
- 12474 c. Set the KeyAttributes of the entry to UNVERIFIED_KEY (0x01).
- 12475 d. Set the ApsLinkKeyType of the entry to Unique Link Key (0x00).
- 12476 3. If there is no space in the apsDeviceKeyPairSet attribute then processing SHALL fail and no further steps are
12477 executed in this procedure.
- 12478 4. Issue an APSME-TRANSPORT-KEY.request primitive with the DestAddress set to the DeviceAddress, the
12479 StandardKeyType set to 0x04 (Trust Center Link Key), and the TransportKeyData set to the KeyValue.

12480 4.7.3.9 Alternate methods of Updating the Trust Center Link Key

12481 Updating the Trust Center link key using APS request key or unsolicited transport key messages is problematic due
12482 to synchronization issues. Neither side knows which key the other side is using and future attempts to update the key
12483 require knowledge of the current key.

12484 An alternate mutual authentication protocol SHALL have all of the following properties:

- 12485 1. The protocol SHALL use one or more shared secrets that are not transmitted over the air during the protocol
12486 negotiation.
- 12487 2. The protocol SHALL allow both sides to inject random data in the key generation to prevent one device from
12488 controlling the result of the key generation.

12489 3. The protocol SHALL not require knowledge of a previously generated Trust Center link key in order to generate
12490 a new one.

12491 Both CBKE (Certificate Based Key-Exchange) and DLK (Dynamic Link Key) have all of these properties.

12492 **4.7.3.10 Processing Application Link Key Requests**

12493 Devices MAY use the Trust Center to establish application link keys with one another. Those devices can leverage
12494 the secure communications channel they have established with the Trust Center in order to establish secure commu-
12495 nications with other devices. The Trust Center policy dictates whether or not it will answer application link key re-
12496 quests. Trust Center SHALL only allow application link key requests it receives that are encrypted with the device's
12497 Trust Center link key. Any application link key request that is not APS encrypted shall be dropped. In addition, if the
12498 Trust Center does not have a link key in *apsDeviceKeyPairSet* for the responder device listed in the APS Request Key
12499 Command, it SHALL drop the request. The purpose of the using the Trust Center to establish an application link key
12500 is leverage the trust each device has with the Trust Center (through their Trust Center Link Key).

12501 The Trust Center SHALL ignore any requests made to establish application link keys with itself. Zigbee provides no
12502 protocol mechanism to differentiate whether a Trust Center link key or an application link key was used to encrypt a
12503 message. Therefore a device cannot determine what key to use when decrypting the message.

12504 It is worth noting that devices are not required to use the Trust Center to establish application link keys, and that some
12505 application profiles allow devices to establish link keys without the trust center. The application profile in use by the
12506 device MAY require that the Trust Center be utilized to do this.

12507 Application link key requests are initiated by the requesting device MAY occur at any time. Therefore the Trust Center
12508 SHALL NOT change its handling of those requests based on whether it is currently operating in commissioning or
12509 operational mode.

12510 Upon receipt of an APSME-REQUEST-KEY.indication with the RequestKeyType set to 0x02 (Application Link Key)
12511 the following SHALL be performed:

12512 1. If the PartnerAddress of the primitive is equal to the *apsTrustCenterAddress* of the AIB, the request SHALL be
12513 dropped and no further processing SHALL be done.

12514 2. If the Trust Center policy of *allowApplicationLinkKeyRequests* is 0x00, then the request SHALL be dropped and
12515 no further processing SHALL be done.

12516 3. If the Trust Center policy of *allowApplicationLinkKeyRequests* is 0x01, then the Trust Center SHALL do the
12517 following.

12518 a. Run the procedure in section 4.7.3.10.1 using *SrcAddress* from the primitive as the *InitiatorAddress* in
12519 the procedure, and *PartnerAddress* from the primitive as the *ResponderAddress* in the procedure.

12520 b. No further processing SHALL be done.

12521 4. If the Trust Center policy of *allowApplicationLinkKeyRequests* is 0x02, then the following SHALL be per-
12522 formed.

12523 a. Find an entry in the *allowApplicationKeyRequestList* where the *SrcAddress* of the primitive matches
12524 the *Initiator Address* of the entry, and the *PartnerAddress* of the primitive matches the *Responder Ad-*
12525 *dress* of the entry.

12526 b. If no entry is found, then the request SHALL be dropped and no further processing SHALL be done.

12527 c. If an entry is found, follow the procedure in section 4.7.3.10.1.

12528 **4.7.3.10.1 Procedure for Generating and Sending Application Link Keys**

12529 This procedure takes two IEEE addresses, *InitiatorAddress* and *ResponderAddress*.

12530 1. The Trust Center SHALL generate a random 128-bit key *KeyValue* for the application link key.

12531 2. It SHALL issue an APSME-TRANSPORT-KEY.request with the *StandardKeyType* set to 0x03, *Application*
12532 *Link Key*, the *TransportKeyData* set to *KeyValue*, and the *DestAddress* set to *InitiatorAddress*.

12533 3. It SHALL issue a second APSME-TRANSPORT-KEY.request with the StandardKeyType set to 0x03, Applica-
12534 tion Link Key, the TransportKeyData set to KeyValue, and the DestAddress set to ResponderAddress.

12535 **4.7.3.11 Key Lifetime**

12536 How long a network key or trust-center link key remains in use is up to the trust center. The longer a key is in use the
12537 more chance there is of it becoming compromised. On the other hand, updating a key too often adds management
12538 overhead and increases the risk that problems during key transmission will disrupt the network. A balance SHALL be
12539 struck between the needs of security and the temporary disruption a new key can cause.

12540 **4.7.3.11.1 Link Key Lifetime**

- 12541 • It is advisable that the trust center have a policy for link keys to be changed periodically. This is can be difficult
12542 for sleepy end devices, which SHALL check with the trust center periodically to receive any newly-available
12543 key.
- 12544 • It is recommended that old, unused link keys be deleted from the Trust Center to prevent them from being used.
12545 This requires that devices periodically communicate with the Trust Center using APS security to allow it to
12546 keep track of usage of the keys.
- 12547 • Often a link key is used to initially join the network and thus it is uncertain how long the key MAY have been
12548 in use before joining the network. Preconfigured link keys MAY be extremely long lived and thus increases the
12549 need to update the link key as soon as the device joins the network.
- 12550 • Link keys that are established using higher level protocols are not updated based on trust center policies but on
12551 the higher level application policies.

12552 **4.7.3.11.2 Network Key Lifetime**

12553 The trust center SHALL periodically distribute and then switch to a new network key. There are two main reasons for
12554 doing this:

- 12555 1. An update and switch resets the outgoing NWK frame counter of all devices on the network. This lengthens the
12556 life of the network, since once the frame counter of a device gets to all 0xFFFFFFFF it cannot send network
12557 encrypted traffic.
- 12558 2. It reduces the risk of a network key being compromised through attacks.

12559 If a trust center detects that the frame counter for any device in its neighbor table is greater than 0x80000000 it
12560 SHOULD update the network key.

12561 Trust centers SHOULD update the network key at least once per year. It is not recommended to update the network
12562 key more than once every 30 days except when required by the application or profile.

12563 Trust centers that do not have a real time clock or other means of tracking time are recommended to perform a network
12564 key update when their outgoing frame counter reaches 0x40000000.

12565 **4.7.3.12 Updating the Network Key**

12566 Updating the Network key is one of the core responsibilities of the Trust Center. It helps to insure that a key does not
12567 remain in use for too long and thus is not too susceptible to compromise.

12568 **4.7.3.12.1 Period of Updates**

12569 The network key SHALL be updated periodically. How often an update is sent out is based on the *nwkKeyTrustCen-*
12570 *terUpdatePeriod*.

12571 **4.7.3.12.2 Sleepy Devices**

12572 Sleepy devices MAY miss many network events, such as a channel change, PAN ID change, or a parent that has left.
12573 Sleepy devices MAY NOT be awake at the point when the Trust Center is updating the network key, regardless of
12574 whether the key is broadcast or unicast. If the sleeping device happens to poll within *nwkcTransactionPersistenceTime*
12575 for a unicast key update, or *nwkcBroadcastDeliveryTime* for a broadcast key update, the update message SHALL be

12576 delivered. Otherwise the delivery of the key update to the sleepy device will timeout and the sleepy device will not
12577 receive the update.

12578 The sleepy device SHOULD consider the network key update another one of those events and will need to handle that
12579 case when waking up. A child that sends a message to its parent and receives a MAC ACK but no response at the
12580 application layer MAY have missed a key update and therefore SHOULD try to perform a rejoin. If the parent has
12581 switched to the newer key, the sleeping device SHALL perform a trust center rejoin.

12582 4.7.3.12.3 **Broadcast Network Key Updates**

12583 Broadcast key updates are the simplest mechanism for distributing new network keys. The new network key is broad-
12584 cast using the existing network key to encrypt it. There is no way to exclude a device that has the current network key
12585 from this kind of key update.

12586 4.7.3.12.4 **Unicast Network Key Updates**

12587 A more secure way of sending out network key updates is by using unicast messages encrypted with each device's
12588 link key. This requires that all authorized devices on the network have a link key so that the Trust Center can individ-
12589 ually update them in a secure manner. A Trust Center that wishes to securely remove a previously authorized device
12590 SHOULD use this mechanism as it can be used to exclude a device from the network.

12591 If this unicast method is used by the trust center, it is required that the Trust Center maintain a list of all the routers on
12592 the network and send key updates to only those devices. Sleepy devices are unlikely to be awake when the Trust
12593 Center decides to change the network key. Sending to only routers also reduces the amount of network traffic that the
12594 Trust Center has to generate in order to update the network.

12595 4.7.3.12.5 **Key Switch**

12596 Regardless of the mechanism used to perform a key update (broadcast or unicast), it is required that the APS key
12597 switch command be broadcast. Devices will implicitly switch the network key when they hear another device using
12598 the newer key. This mechanism insures that even if the device did not receive the formal key switch, it will start using
12599 the new key.

12600 A device can determine if the new network key is actively being used by examining the key sequence number in the
12601 NWK auxiliary header of packets it receives. If it receives a message that passes decryption using the new key se-
12602 quence number then it SHALL switch to using the newer network key and stop sending message encrypted using the
12603 old network key.

12604 4.7.3.12.6 **Old Network Keys**

12605 A network key update and switch does not preclude the use of the previous network key. A device is allowed to accept
12606 messages encrypted using the last network key, as this insures a smooth transition to the new key. A device SHALL
12607 never send messages using the old key.

12608 To completely deprecate a key's use, the Trust Center will have to perform an update and switch twice. If using a
12609 broadcast key update, the Trust Center SHOULD make sure that both the key update and the key switch broadcasts
12610 have completely expired before sending a second set to update and switch.

12611 4.7.4 **Trust Center Swap-out Overview**

12612 The Trust Center is a key role in a centralized security network. As such it is important to have a process to securely
12613 replace a failed trust center. The general process is that a subset of the security material and NIB values of the Trust
12614 Center will be backed up off-network. Once the old Trust Center has been removed the new Trust Center can be
12615 brought online with the Trust Center backup data.

12616 The application layer SHOULD employ mechanisms to detect the loss of the Trust Center. It is expected that the
12617 application layer keepalive mechanism will be used for this purpose. The details of the application layer keepalive are
12618 outside the scope of this specification. Upon the detection of one or more failed keepalives the application will trigger
12619 an NLME-JOIN.request with RejoinNetwork = 2 (network rejoin procedure) and SecureRejoin = FALSE.

12620 Often the loss of a Trust Center is a transitory issue and connectivity will return to normal. However, it is possible the
 12621 existing trust center has enacted a network wide change such as changing the network key, radio channel, or PAN ID.
 12622 A Network Rejoin will fix this issue. The least likely scenario, but an important one to handle, is that the trust center
 12623 has been replaced and its identity and security material have changed.

12624 There are two methods of Trust Center Swap-out: a less secure method that supports networks with legacy devices,
 12625 and a more secure method that only supports devices compliant with Revision 23 of the specification and later.

12626 For the first method that supports legacy devices, a set of security and network information is backed up off-device,
 12627 including active security keys, and all of this information is restored onto a new Trust Center when required. Because
 12628 extracting security information off-device can pose a security risk, Trust Center Swap-out support for networks with
 12629 legacy devices is an optional feature.

12630 For the second method that only supports new devices, security and network information is also backed up but security
 12631 keys will be run through a hash function before they are extracted off-device, thus preventing a passive network attack
 12632 in the case where the backup data set is compromised. This method also changes the EUI64 of the Trust Center when
 12633 it is swapped out, so devices will be able to differentiate between the swap-out methods.

12634 Nodes that perform a Trust Center rejoin and notice that the Trust Center EUI64 has changed will obtain a new network
 12635 key by creating the hashed variant of the current Trust Center link key to decrypt the Transport Key message. After
 12636 any application layer verification of the new Trust Center, the nodes will now be bound to the new Trust Center. Even
 12637 if the old Trust Center returns, nodes that have made the switch will not go back to the old Trust Center.

12638 After being bound to the new Trust Center the device MUST update its existing link key and a new backup for that
 12639 key SHALL be created.

12640 All Zigbee devices are required to support Trust Center Swap-out.

12641 **4.7.4.1 Trust Center Backup**

12642 **4.7.4.1.1 Networks with Legacy Devices**

12643 A Legacy device for Trust Center Backup is one running Revision 22 or earlier.

12644 *4.7.4.1.1.1 NIB / AIB Values*

12645 In order to replace the existing Trust Center in a network with legacy devices, the new Trust Center must use the same
 12646 set of network and security information as the original Trust Center.

12647 The following are the values needed for the backup:

12648 4.7.4.1.1.1.1 NIB Values

Value
nwkExtendedPanId
nwkSecurityMaterialSet
nwkActiveKeySeqNumber
nwkPANId
nwkIeeeAddress
Network Channel (via nwkMacInterfaceTable)

12649

12650 4.7.4.1.1.1.2 AIB Values

Value
apsBindingTable
apsDeviceKeyPairSet (all elements of the entry)
apsTrustCenterAddress
trustCenterPolicies

12651 4.7.4.1.1.2 **Period of Backup**

12652 A backup of the Trust Center is required each time any of the values in section 4.7.4.1.1.1 are modified on the Trust
12653 Center.

12654 4.7.4.1.1.3 **Replacing the Trust Center**

12655 The following steps are to replace an old Trust Center in a network with legacy devices.

- 12656 1. Backup of NIB and AIB values as described in section 4.7.4.1.1.1 and section 4.7.4.1.1.2. The period of
12657 backup is described in section 4.7.4.1.1.2.
- 12658 2. New Trust Center Device is provisioned and installed
- 12659 a. New Trust Center NIB / AIB values are set to the values restored from a backup
- 12660 b. New Trust Center calls NLME-NETWORK-FORMATION.req

12661 NOTE: The new Trust Center will use the IEEE Address of the old Trust Center. It will NOT use its own IEEE
12662 address.

12663 For networks with legacy devices, the replacement of the Trust Center should be seamless, i.e. devices on the network
12664 should not need to perform any new action to reestablish connection with the new Trust Center. The Trust Center
12665 MAY perform additional actions at this point such as rotating the network key, but any further actions would be
12666 application specific.

12667 4.7.4.1.2 **Networks with Revision 23 Devices and later**

12668 In order to replace the existing Trust Center in a network, a subset of the material contained in the AIB and NIB is
12669 required. It is important to note that this backup never requires that the active encryption keys to be stored. The appli-
12670 cation may choose to backup additional application layer data as it sees fit, but it SHALL only backup the
12671 apsDeviceKeyPairSet items as noted in Table 4-43.

12672 The application may choose to backup additional application layer data as it sees fit, but it SHALL only backup the
12673 apsDeviceKeyPairSet items as noted in Table 4-43.

12674 The following are the values needed for the backup:

12675 4.7.4.1.2.1 **NIB Values**

Value
nwkExtendedPanId

12676 4.7.4.1.2.2 **AIB Values**

12677 It is important to note that the neither the current nor alternate network key is backed up. This avoids compromising
12678 security material that is actively in use by the network.

12679

12680 4.7.4.1.2.2.1 AIB Values

Value	Mandatory
apsDeviceKeyPairSet (partial)	Mandatory
trustCenterPolicies	Optional

12681 Each entry in the apsDeviceKeyPairSet SHALL be backed up but not all elements contained within an entry are
 12682 backed up as shown in Table 4-43. This is done to avoid backing up actively used security material.

12683 **Table 4-43. Items to Back Up from the apsDeviceKeyPairSet**

Value	Backed Up
DeviceAddress	Yes
KeyAttributes	Yes
LinkKey	No
OutgoingFrameCounter	No
InitialJoinAuthentication	Yes
KeyNegotiationMethod	Yes
KeyNegotiationState	No
Passphrase	Yes (if supported)
Timeout	No
PassphraseUpdateAllowed	No
TrustCenterSwapOutLinkKey	Yes

12684 4.7.4.1.2.3 **Link Key Special Handling (TrustCenterSwapOutLinkKey)**

12685 In order to protect the security of the network, a hash on the TC link key will be performed and that will be the key
 12686 stored externally. It is highly recommended that the actual link key used for operational networks never be transported
 12687 out of the Trust Center. Using this method, if the backup data for the TC is compromised then it cannot be used to
 12688 passively compromise existing Zigbee network communications.

12689 4.7.4.1.2.4 **Process for Creating the TrustCenterSwapOutLinkKey**

12690 The new hashed version of the Link key shall be created by performing a 128-bit AES-MMO hash on the 128-bit key
 12691 data of the LinkKey element of the entry from the apsDeviceKeyPairSet (See section B.1.3 for details of the hashing
 12692 algorithm.) Table 4-44 defines the test vector for the hash.

12693 **Table 4-44. Test Vector for Hash of the Trust Center Link Key**

Trust Center Link Key	C0C1C2C3C4C5C6C7C8C9CACBCCCDCECF
Hashed Trust Center Link Key	A7977E88BC0B61E8210827109A228F2D

12694 The AIB apsDeviceKeyPairSet stores the value of key used for Trust Center swap out in the TrustCenterSwapOut-
 12695 LinkKey value. The TrustCenterSwapOutLinkKey value SHALL be replaced with the hashed version of the Trust
 12696 Center Link Key whenever the Trust Center Link Key is updated.

12697 4.7.4.1.2.5 **Period of Backup**

12698 A backup of the Trust Center is only needed when a device changes its Trust Center link key. This could occur when
12699 a device is added to the network, a device is removed from the network, or when the device updates the trust center
12700 link key.

12701 Changes to the Network Key do not require a new backup.

12702 4.7.4.1.2.6 **Replacing the Trust Center**

12703 The following are the steps to replace the old trust center.

- 12704 1. Backup of NIB and AIB values as described previously.
- 12705 2. New Trust Center Device is provisioned and installed.
 - 12706 a. The New Trust Center will use its own EUI64 as the *apsTrustCenterAddress*.
 - 12707 b. New Trust Center will randomly generate a new short PAN ID that is different than the old PAN ID.
 - 12708 c. New Trust Center will randomly generate a new Network Key and sequence number.
 - 12709 d. New Trust Center calls NLME-NETWORK-FORMATION.req.
- 12710 3. Import the AIB values that were backed up with the additional special handling.
 - 12711 a. Set each associated LinkKey value to the value of the appropriate TrustCenterSwapOutLinkKey.
 - 12712 b. Recalculate the TrustCenterSwapOutLinkKey from the LinkKey as described in section 4.7.4.1.2.4.
- 12713 4. Application detects the loss of the trust center.
- 12714 5. Application will trigger nodes to rejoin to the new Trust Center using a Trust Center Rejoin operation.
 - 12715 a. This will be an NLME-JOIN.req with RejoinNetwork parameter set to 2 (network rejoin procedure) and
12716 SecurityEnable set to FALSE.
 - 12717 b. Nodes will scan for the current extended PAN ID but short PAN ID will have changed.
- 12718 6. The new Trust Center will send the new network key to the device via the APS Transport Key Command.
 - 12719 a. The message SHALL be encrypted at the APS layer with the Trust Center's current LinkKey for that de-
12720 vice.
 - 12721 i. For the device, this will be the value of the TrustCenterSwapOutLinkKey from the device's own entry in
12722 its *apsDeviceKeyPairSet* AIB item.
 - 12723 b. The message SHALL use the new Trust Center's EUI64 as the Source Address in the APS Command
12724 frame.
 - 12725 c. The message SHALL set the Extended Nonce of the APS Security Auxiliary Header to TRUE and set the
12726 Source Address in the Auxiliary Header to the new Trust Center's EUI64.
- 12727 7. The device will notify the application via the APSME-TRANSPORT-KEY.indication primitive and SHALL
12728 update the value used for LinkKey in the *apsDeviceKeyPairSet* entry. See section 4.4.2.2.
- 12729 8. The device will perform a key update mechanism according to its local supported mechanisms and the sup-
12730 ported mechanisms of the Trust Center. It is important to note that an update to the Trust Center Link Key for a
12731 device is required after rejoining to the new Trust Center. This ensures that the existing backup of the link key
12732 is not used as an active encryption key. Once the link key has been updated a hash of that key is backed up as
12733 previously described.

12734 4.7.4.1.2.7 **Node Behavior**

12735 In order for the node to detect the loss of the Trust Center there must be an application layer keepalive to the Trust
12736 Center. The definition of that keepalive is outside the scope of this specification.

12737 When the application layer keepalive has failed there are several different possible reasons. They are listed below
12738 from most likely to least likely.

- 12739 1. The Trust Center is temporarily unavailable.
- 12740 2. The device missed a network key update by the Trust Center and is using an old network key.
- 12741 3. The Trust Center changed channels or PAN IDs to avoid congestion.
- 12742 4. The Trust Center has been swapped out.

12743 To fix any of these issues the application can trigger a rejoin operation via the NLME-JOIN.req with RejoinNetwork
12744 parameter set to 2.

12745 When the Node is performing a Trust Center rejoin and receives an APS Command with APS encryption it SHALL
12746 do the following additional behavior.

12747 1. Execute the Security Processing of Incoming [APS] Frames as described in section 4.4.1.2.

12748 2. If security processing fails, execute the Security Processing a second time using the TrustCenterSwapOutLinkKey
12749 as the link key for decryption. The frame counter check SHALL not be performed.

12750 a. If security processing succeeds, then a Trust Center Swap-out has occurred. The device SHALL execute the
12751 procedure described in section 4.7.4.1.2.9.

12752 b. The join has succeeded and the NLME-JOIN.confirm SHALL be issued.

12753 c. The apsDeviceKeyPairSet entry associated with the apsTrustCenterAddress SHALL set the KeyAttributes
12754 element to PROVISIONAL_KEY.

12755 3. If security processing fails a second time, continue executing the NLME-JOIN.req.

12756 4.7.4.1.2.8 ***AIB Update Due to New Trust Center***

12757 If the node detects a Trust Center Swap-out has occurred, it SHALL do the following.

12758 1. Find the entry in the apsDeviceKeyPairSet of the AIB corresponding to the existing apsTrustCenterAddress.

12759 a. Copy the value of the TrustCenterSwapOutLinkKey to the LinkKey value.

12760 b. Recalculate the TrustCenterSwapOutLinkKey based on the new LinkKey value

12761 c. Update the DeviceAddress of the entry to the value of the SourceAddress in the APS Transport Key Com-
12762 mand.

12763 2. Update the apsTrustCenterAddress in its AIB with the value of the SourceAddress in the APS Transport Key
12764 Command.

12765 4.7.4.1.2.9 ***Notification to the Application***

12766 The application will be notified of the change in Trust Center via the APSME-TRANSPORT-KEY.indication primi-
12767 tive. The APSME-TRANSPORT-KEY.indication will contain a SrcAddress Parameter that DOES NOT match the
12768 current AIB apsTrustCenterAddress field.

12769 The application SHOULD accept the new Trust Center. However, the application MAY perform additional checks
12770 based on its own security requirements to authenticate the new trust center.

12771 If the new Trust Center is accepted, the application MUST perform an APSME-SET.req to update the AIB ap-
12772 sTrustCenterAddress with the new value received in the SrcAddress of the APSME-TRANSPORT-KEY.indication
12773 primitive. The application MUST also update the current LinkKey value for the Trust Center entry of the
12774 apsDeviceKeyPairSet AIB item. The value of the TrustCenterSwapOutLinkKey is copied to the LinkKey value using
12775 the APSME-SET.req.

12776 The stack will then automatically update the TrustCenterSwapOutLinkKey for that entry based on the new LinkKey
12777 value, as described in section 4.7.4.1.2.4.

12778 4.7.4.1.2.10 ***Additional Behavior after Trust Center Swap-out***

12779 The application may require additional behavior from the node once a Trust Center Swap-out has occurred and the
12780 device has successfully rejoined to the network. It may need to query the node for additional data that was not backed
12781 up, or it may choose to do additional security updates such as changing the Trust Center Link Key from the value that
12782 was in the backup.

12783 The application will have to perform additional changes to other application data. For example, bindings to the old
12784 Trust Center would have to be updated to the new Trust Center's EUI64 and MAY require a re-discovery to update
12785 endpoint information.

12786 The application SHOULD not perform any APS encrypted messaging until the link key has been updated. This means
12787 performing a link key update using the application’s designated mechanism. This will change the value that was used
12788 by the new Trust Center to perform the swap-out (apsDevicekeyPairSet.TrustCenterSwapOutLinkKey).

12789 **4.7.4.1.3 Networks with a Mix of Revision 23 and Earlier Devices**

12790 It is possible to support Trust Center Swap-out with a mix of devices from Revision 23 and later, and those that are
12791 running a stack of Revision 22 and earlier. For those devices that support Revision 23 a Trust Center can determine
12792 that support and avoid backing up the active encryption key and only back-up a hash of the key as described earlier.
12793 For those devices that do not support Revision 23 the Trust Center can backup the link key as described in the prior
12794 section.

12795 This would mean a backup of a heterogeneous network would contain a mix of active encryption keys for devices
12796 which implement legacy revisions of this specification and only hashes of the active key for R23 and profiles which
12797 support it. Even in this case there is still benefit as it reduces the active security material that must be backed up and
12798 thus is vulnerable to attack.

12799 **4.8 Security Operations in Distributed Security Net-** 12800 **works**

12801 In distributed security networks, there is not a single trust center in control of the network. Each router can act as a
12802 parent and transport keys to joining devices. In addition, if a device already has a network key from an out of band
12803 installation method or commissioning, the device is accepted into the network without any trust center authorization.

12804 **4.8.1 Trust Center Address**

12805 In distributed security networks the trust center address is 0xFFFFFFFFFFFFFFFF. This address is used in transport
12806 key commands as the source address to indicate the network is in a distributed security model.

12807 **4.8.2 Network Key Updates**

12808 Network key updates are not done in distributed security networks.

12809 **4.8.3 Link Keys**

12810 Link keys are only used to APS encrypt transport key commands during joining in distributed security networks. The
12811 key type stored internally SHALL be 0x01 (Global Link Key).

12812 **4.8.4 Application Link Keys**

12813 Devices MAY require use of application link keys for APS data. In a distributed security network the partner devices
12814 SHALL use a higher level protocol to establish the application link key without the trust center involvement or per-
12815 missions.

12816 **4.8.5 Requesting Keys**

12817 There is no facility to process or answer APSME-REQUEST-KEY primitives. All APS Command Request Key
12818 frames shall be dropped and no further processing SHALL be done.

12819 4.9 Device Operations

12820 Devices joining the network SHALL also have policies that dictate what security they expect from the network. The
12821 following are the settings that can be used to adjust their security policy.

12822 4.9.1 Joining Device Policy Values

12823 A joining device MAY have a set of policy values enumerated in Table 4-45. However, it normally sets these policy
12824 values upon joining based on if the network is a centralized or distributed security model. All devices SHALL support
12825 joining either network and adapting their security policies accordingly unless their application profile mandates join-
12826 ing only one type of network.

12827 **Table 4-45. Joining Device Policy Values**

Name	Type	Range	Description	Usage
<i>requestNewTrustCenterLinkKey</i>	Boolean	TRUE or FALSE	This Boolean indicates whether the device will request a new Trust Center Link key after joining. A value of TRUE means the device SHALL send an APS request key command to the Trust Center with RequestKeyType 0x04. If the request is not answered requestLinkKeyTimeout seconds then the device will leave the network. A value of FALSE means the device will not request a new link key.	This is set to TRUE in centralized security networks to ensure devices have a trust center link key for rejoining or key updates. Note this value is set to FALSE in a distributed security network.
<i>requestLinkKeyTimeout</i>	Integer	0 – 10	This integer indicates the maximum time in seconds that a device will wait for a response to a request for a Trust Center link key.	This is ignored in a distributed security network.
<i>acceptNewUnsolicitedApplicationLinkKey</i>	Boolean	TRUE or FALSE	This Boolean indicates whether the device will accept a new unsolicited application link key sent to it by the Trust Center.	
<i>requireLinkKeyEncryption-ForApsTransportKey</i>	Boolean	TRUE or FALSE	This indicates whether or not the device will require that the APS Transport Key command SHALL be APS encrypted with the device's unique Trust Center Link Key.	By default this is FALSE.

12828 4.9.2 Trust Center Address

12829 A device will not know the address of the Trust Center prior to joining. The *apsTrustCenterAddress* in the AIB SHALL
12830 be initially set to 0x0000000000000000. Upon joining a device SHALL receive an APS Transport key and the source
12831 address SHALL indicate the address of the trust center. The *apsTrustCenterAddress* in the AIB will be set to the
12832 address in the received packet.

- 12833 A value of 0xFFFFFFFFFFFFFFFF for the *apsTrustCenterAddress* in the AIB indicates a distributed security network
12834 and the device settings SHOULD be adjusted accordingly.
- 12835 See section 4.4.1.5 for a description of when and how the trust center address of APS commands are validated.

12836 **4.9.3 Trust Center Link Keys**

- 12837 All devices implementing this specification SHALL support one or more methods to update their link key. At a minimum,
12838 they SHALL support the Key Request Update Mechanism. They MAY support others. Devices SHALL indicate their support in the Supported Key Negotiation Methods Global TLV.
12839
- 12840 All devices in a centralized security network SHALL obtain an updated Trust Center link key when they first join the
12841 network and the Trust Center supports this behavior. An updated trust center link key protects the device from compromise if the original joining key is discovered. The application MAY utilize a key establishment algorithm if one is
12842 available. If such an algorithm is not available, the Request Key services of the APSME SHALL be used.
12843
- 12844 Prior to Revision 21 of this specification, there was not an interoperable mechanism to update the link key so. Therefore a Trust Center operating on a prior Revision is not assumed to have support for this behavior. Determining the
12845 Trust Center Revision can be done using the Server Mask and the ZDO Node Descriptor Request. Initiation of this
12846 process is done by the higher application.
12847
- 12848 Once the device has obtained an updated Trust Center link key it SHALL ignore any APS commands from the Trust
12849 Center that are not encrypted with that key.

12850 **4.9.4 Receiving New Link Keys**

- 12851 It is possible a device's security policy MAY restrict application link keys sent to it by the trust center for use with
12852 another partner device. This could be because the device wishes to control which other devices it shares link keys
12853 with, or because it uses some other mechanism to establish application link keys with devices besides the trust center.
- 12854 There are instances where higher level application policies determine what data is shared with application link keys.
12855 For example, networks where updated Trust Center link keys SHALL be established through the Certificate Based
12856 Key Exchange protocol.
- 12857 If the devices receives a transport key command containing an application link key, but it has not sent a request for
12858 one, and `acceptNewUnsolicitedApplicationLinkKey` is set to FALSE, it SHALL ignore the message.

12859 **4.9.5 Requesting a Link Key**

- 12860 If both the joining device and trust center support a key negotiation mechanism they SHALL use that to update the
12861 link key and SHALL NOT use this Request Key method to update the Link Key. Otherwise the joining device SHALL
12862 update its key via the request key method described below.
- 12863 A device SHALL attempt to update its trust center link key as part of its initial joining operations in a centralized
12864 security network. Trust Centers prior to the Revision 21 version of this specification did not support updating trust
12865 center link keys via the APSME request key method. Determination of whether the trust center supports this behavior
12866 is left up to the higher level application. The application MAY use either the APSME Request Key facilities or an
12867 alternative key establishment protocol.
- 12868 If the device is requesting a trust center link key using the APSME, it SHALL start a timer after sending the initial
12869 request. Once the timer has reached *requestLinkKeyTimeout*, the device SHALL no longer accept a transport key
12870 message containing a new Trust Center link key unless the device initiates a new request.
- 12871 If the device is requesting an application link key and `acceptNewUnsolicitedApplicationLinkKey` is set to FALSE, it
12872 SHALL start a timer after sending the initial request. Once the timer has reached `requestLinkKeyTimeout` the device
12873 SHALL no longer accept a transport key message containing a new application link key unless it initiates a new
12874 request.

12875 A device that did not request a new application link key and has `acceptNewUnsolicitedApplicationLinkKey` set to
12876 `FALSE` SHALL silently drop the APS Transport Key Command for an application link key. It SHALL NOT process
12877 the command.

12878 **4.9.6 Negotiating a Trust Center Link Key**

12879 A device with support for negotiating a link key SHALL prefer using that mechanism to establish a new Trust Center
12880 Link Key over the Request Key method. If the Trust Center does not support negotiation a link key the device can fall
12881 back to using the Request Key Mechanism.

12882 A device uses the `APSME-KEY-NEGOTIATION.request` primitive to initiate the process either before joining the
12883 Zigbee network, or after joining the network. It is preferred to do negotiate a link key before joining a Zigbee network.
12884 However, when a router without APS Relay support is between the Trust Center and the joiner it is necessary for the
12885 device to complete the joining operation first. After completing the joining operation and receiving the network key
12886 the device can learn the capabilities of the Trust Center. If both the device and Trust Center support negotiating a link
12887 key SHALL be the preferred mechanism.

12888 Application defined key negotiation mechanisms MAY be used as an alternative to the `APSME-KEY-NEGOTIA-`
12889 `TION` primitives. This is outside the scope of this specification.

12890 **4.9.7 Updating the Trust Center Passphrase after Initially** 12891 **Joining a Network**

12892 After a device first joins the network and performs Key Negotiation with the Trust Center, it SHALL update its initial
12893 passphrase. This passphrase is stored in the `Passphrase` field of the AIB `apsDeviceKeyPairSet` entry associated with
12894 the Trust Center's EUI64. It is only required to do this behavior if the Trust Center Link Key was updated using Key
12895 Negotiation; other mechanisms (such as Request Key) do not require this behavior to be performed.

12896 A Trust Center Link Key passphrase update is required if the device negotiates a Trust Center Link Key before joining
12897 the network, or if it joins and negotiates a new link key after joining the network. See Figure 1-5, Joining in Revision
12898 23 with Dynamic Key Negotiation before receiving the network key, and Figure 1-6 Joining in Revision 23 with
12899 Dynamic Key Negotiation after receiving the network key.

12900 The Trust Center Link Key passphrase update is only done once the device has received the Network Key and is joined
12901 and authorized on the network. This update is a one-time operation. The new passphrase SHALL be kept for the life
12902 of the device on the network and SHALL NOT be updated a second time. A device updates the Passphrase for its
12903 Trust Center Link Key as follows

- 12904 1. Send a `ZDO Security_Retrieve_Authentication_Token_req` to the Trust Center.
 - 12905 a. Include the Authentication Token ID Local TLV with an ID value of 69, requesting the 128-bit Symmetric
12906 Passphrase Global TLV.
- 12907 2. Follow the processing rules in section 2.4.4.4.2 upon receipt of the `ZDO Security_Retrieve_Authentication_rsp`
12908 command. Notify the application of the change to the passphrase.
- 12909 3. If no passphrase update occurs within `apsSecurityTimeOutPeriod`, notify the application.
 - 12910 a. The application will determine whether to retry this operation or take other action.

12911 **4.9.8 Negotiation an Application Link Key with a Partner** 12912 **Device**

12913 After joining a network two devices MAY negotiate an application link key using the `APSME-KEY-NEGOTIATION`
12914 primitives. The rules for determining whether partner devices are allowed to negotiate application link keys is outside
12915 the scope of this specification. The higher level application MAY impose restrictions on this. When the device receives
12916 a request to negotiate an application link key the `APSME-KEY-NEGOTIATION.indication` is passed to the

12917 application. The application can determine if the negotiation is allowed and respond by initiating the APSME-KEY-
 12918 NEGOTIATION.response.

12919 In a centralized network partner devices can leverage the Trust Center to establish a symmetric passphrase first. This
 12920 can be used by both sides to authenticate the partner link key. Figure 4-43 shows the exchange between Initiator, Trust
 12921 Center, and Responder.

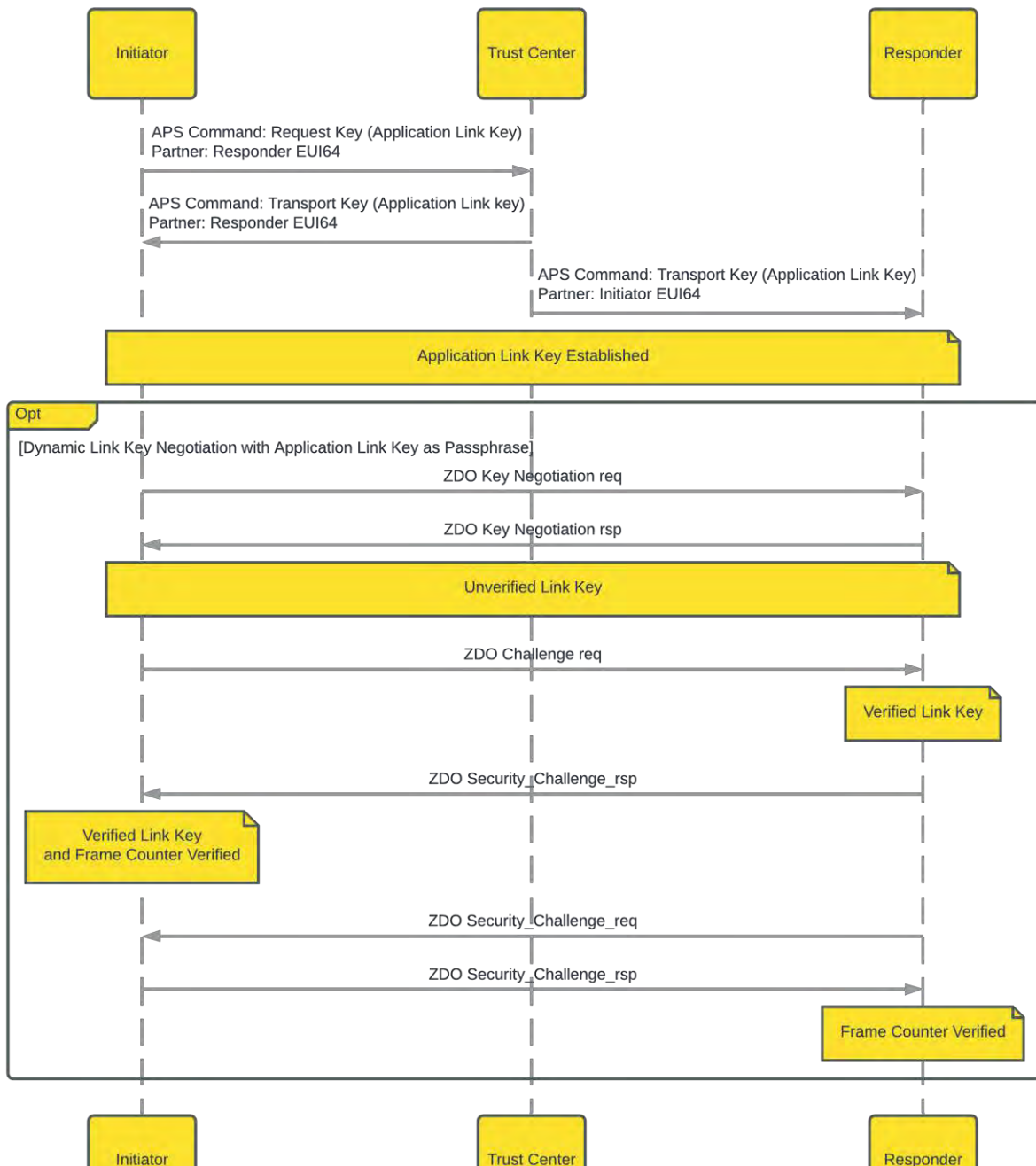


Figure 4-43. Dynamic Application Link Key Establishment

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ANNEX A CCM* MODE OF OPERATION

12936

12937 CCM* is a generic combined encryption and authentication block cipher mode. CCM* is only defined for use with
12938 block ciphers having a 128-bit block size, such as AES-128 [B8]. The CCM* principles can easily be extended to
12939 other block sizes but doing so will require further definitions.

12940 The CCM* mode coincides with the original CCM mode specification [B16] for messages that require authentication
12941 and, possibly, encryption, but does also offer support for messages that require only encryption. As with the CCM
12942 mode, the CCM* mode requires only one key. The security proof for the CCM mode ([B17] and [B18]) carries over
12943 to the CCM* mode described here. The design of the CCM* mode takes into account the results of [B19], thus allow-
12944 ing it to be securely used in implementation environments in which the use of variable-length authentication tags,
12945 rather than fixed-length authentication tags only, is beneficial.

12946 **Prerequisites:** The following are the prerequisites for the operation of the generic CCM* mode:

- 12947 1. A block-cipher encryption function E SHALL have been chosen, with a 128-bit block size. The length in bits of
12948 the keys used by the chosen encryption function is denoted by $keylen$.
- 12949 2. A fixed representation of octets as binary strings SHALL have been chosen (for example, most-significant-bit
12950 first order or least-significant-bit-first order).
- 12951 3. The length L of the message length field, in octets, SHALL have been chosen. Valid values for L are the inte-
12952 gers 2, 3, ..., 8 (the value $L=1$ is reserved).
- 12953 4. The length M of the authentication field, in octets, SHALL have been chosen. Valid values for M are the inte-
12954 gers 0, 4, 6, 8, 10, 12, 14, and 16. (The value $M=0$ corresponds to disabling authenticity, since then the authenti-
12955 cation field contains an empty string.)

12956 A.1 Notation and Representation

12957 Throughout this specification, the representation of integers as octet strings SHALL be fixed. All integers SHALL be
12958 represented as octet strings in most-significant-octet first order. This representation conforms to the conventions in
12959 Section 4.3 of ANSI X9.63-2001 [B7].

12960 A.2 CCM* Mode Encryption and Authentication Transformation

12961 The CCM* mode forward transformation involves the execution, in order, of an input transformation (A.2.1), an
12962 authentication transformation (A.2.2), and encryption transformation (A.2.3).

12963 **Input:** The CCM* mode forward transformation takes as inputs:

- 12964 1. A bit string Key of length $keylen$ bits to be used as the key. Each entity SHALL have evidence that access to this
12965 key is restricted to the entity itself and its intended key-sharing group member(s).
- 12966 2. A nonce N of $15-L$ octets. Within the scope of any encryption key Key , the nonce value SHALL be unique.
- 12967 3. An octet string m of length $l(m)$ octets, where $0 \leq l(m) \leq 28L$.
- 12968 4. An octet string a of length $l(a)$ octets, where $0 \leq l(a) < 2^{64}$.

12969 The nonce N SHALL encode the potential values for M such that one can uniquely determine from N the value of M
12970 actually used. The exact format of the nonce N is outside the scope of this specification and SHALL be determined
12971 and fixed by the actual implementation environment of the CCM* mode.

12972 *Note:* The exact format of the nonce N is left to the application, to allow simplified hardware and software implemen-
12973 tations in particular settings. Actual implementations of the CCM* mode MAY restrict the values of M that are allowed
12974 throughout the life-cycle of the encryption key Key to a strict subset of those allowed in the generic CCM* mode. If
12975 so, the format of the nonce N SHALL be such that one can uniquely determine from N the actually used value of M in
12976 that particular subset. In particular, if M is fixed and the value $M=0$ is not allowed, then there are no restrictions on N ,
12977 in which case the CCM* mode reduces to the CCM mode.

12978 A.2.1 Input Transformation

12979 This step involves the transformation of the input strings a and m to the strings *AuthData* and *PlainTextData*, to be
 12980 used by the authentication transformation and the encryption transformation, respectively.

12981 This step involves the following steps, in order:

- 12982 1. Form the octet string representation $L(a)$ of the length $l(a)$ of the octet string a , as follows:
 - 12983 a. If $l(a)=0$, then $L(a)$ is the empty string.
 - 12984 b. If $0 < l(a) < 2^{16}-2^8$, then $L(a)$ is the 2-octets encoding of $l(a)$.
 - 12985 c. If $2^{16}-2^8 \leq l(a) < 2^{32}$, then $L(a)$ is the right-concatenation of the octet 0xff, the octet 0xfe, and the 4-octets
 12986 encoding of $l(a)$.
 - 12987 d. If $2^{32} \leq l(a) < 2^{64}$, then $L(a)$ is the right-concatenation of the octet 0xff, the octet 0xff, and the 8-octets en-
 12988 coding of $l(a)$.
- 12989 2. Right-concatenate the octet string $L(a)$ with the octet string a itself. Note that the resulting string contains a
 12990 encoded in a reversible manner.
- 12991 3. Form the padded message *AddAuthData* by right-concatenating the resulting string with the smallest non-nega-
 12992 tive number of all-zero octets such that the octet string *AddAuthData* has length divisible by 16.
- 12993 4. Form the padded message *PlaintextData* by right-concatenating the octet string m with the smallest non-nega-
 12994 tive number of all-zero octets such that the octet string *PlaintextData* has length divisible by 16.
- 12995 5. Form the message *AuthData* consisting of the octet strings *AddAuthData* and *PlaintextData*:

12996 $AuthData = AddAuthData || PlaintextData$

12997 A.2.2 Authentication Transformation

12998 The data *AuthData* that was established above SHALL be tagged using the tagging transformation as follows:

- 12999 1. Form the 1-octet *Flags* field consisting of the 1-bit *Reserved* field, the 1-bit *Adata* field, and the 3-bit represen-
 13000 tations of the integers M and L , as follows:

13001 $Flags = Reserved || Adata || M || L$

13002 Here, the 1-bit *Reserved* field is reserved for future expansions and SHALL be set to '0'. The 1-bit *Adata* field
 13003 is set to '0' if $l(a)=0$, and set to '1' if $l(a)>0$. The L field is the 3-bit representation of the integer $L-1$, in most-
 13004 significant-bit-first order. The M field is the 3-bit representation of the integer $(M-2)/2$ if $M>0$ and of the integer
 13005 0 if $M=0$, in most-significant-bit-first order.

- 13006 2. Form the 16-octet B_0 field consisting of the 1-octet *Flags* field defined above, the 15- L octet nonce field N , and
 13007 the L -octet representation of the length field $l(m)$, as follows:

13008 $B_0 = Flags || Nonce N || l(m)$

- 13009 3. Parse the message *AuthData* as $B_1 || B_2 || \dots || B_t$, where each message block B_i is a 16-octet string.

13010 The CBC-MAC value X_{t+1} is defined by:

13011 $X_0 = 0_{128}; X_{i+1} = E(Key, X_i \oplus B_i)$ for $i=0, \dots, t$.

13012 Here, $E(K, x)$ is the cipher-text that results from encryption of the plaintext x using the established block-cipher
 13013 encryption function E with key Key ; the string 0^{128} is the 16-octet all-zero bit string.

13014 The authentication tag T is the result of omitting all but the leftmost M octets of the CBC-MAC value X_{n+1} thus
 13015 computed.

13016

13017 A.2.3 Encryption Transformation

13018 The data *PlaintextData* that was established in section A.2.1 (step 4) and the authentication tag *T* that was established
13019 in section A.2.2 (step 3) SHALL be encrypted using the encryption transformation as follows:

- 13020 1. Form the 1-octet *Flags* field consisting of two 1-bit *Reserved* fields, and the 3-bit representations of the inte-
13021 gers *0* and *L*, as follows:

13022 $Flags = Reserved \parallel Reserved \parallel 0 \parallel L$

13023 Here, the two 1-bit *Reserved* fields are reserved for future expansions and SHALL be set to '0'. The *L* field is
13024 the 3-bit representation of the integer *L-1*, in most-significant-bit-first order. The '0' field is the 3-bit represen-
13025 tation of the integer 0, in most-significant-bit-first order.

13026 Define the 16-octet A_i field consisting of the 1-octet *Flags* field defined above, the 15-*L* octet nonce field *N*, and
13027 the *L*-octet representation of the integer *i*, as follows:

13028 $A_i = Flags \parallel Nonce N \parallel Counter i, \text{ for } i=0, 1, 2, \dots$

13029 Note that this definition ensures that all the A_i fields are distinct from the B_0 fields that are actually used, as
13030 those have a *Flags* field with a non-zero encoding of *M* in the positions where all A_i fields have an all-zero en-
13031 coding of the integer 0 (see section A.2.2, step 1).

13032 Parse the message *PlaintextData* as $M_1 \parallel \dots \parallel M_t$, where each message block M_i is a 16-octet string.

13033 The ciphertext blocks C_1, \dots, C_t are defined by:

13034 $C_i := E(Key, A_i) \oplus M_i \text{ for } i=1, 2, \dots, t$

13035 The string *Ciphertext* is the result of omitting all but the leftmost *l(m)* octets of the string $C_1 \parallel \dots \parallel C_t$

13036 Define the 16-octet encryption block S_0 by:

13037 $S_0 := E(Key, A_0)$

- 13038 2. The encrypted authentication tag *U* is the result of XOR-ing the string consisting of the leftmost *M* octets of S_0
13039 and the authentication tag *T*.

13040 **Output:** If any of the above operations has failed, then output 'invalid'. Otherwise, output the right-concatenation of
13041 the encrypted message *Ciphertext* and the encrypted authentication tag *U*.

13042 A.3 CCM* Mode Decryption and Authentication Checking Transformation

13043 **Input:** The CCM* inverse transformation takes as inputs:

- 13044 1. A bit string *Key* of length *keylen* bits to be used as the key. Each entity SHALL have evidence that access to this
13045 key is restricted to the entity itself and its intended key-sharing group member(s).
- 13046 2. A nonce *N* of 15-*L* octets. Within the scope of any encryption key *Key*, the nonce value SHALL be unique.
- 13047 3. An octet string *c* of length *l(c)* octets, where $0 \leq l(c)-M < 2^{8L}$.
- 13048 4. An octet string *a* of length *l(a)* octets, where $0 \leq l(a) < 2^{64}$.

13049 A.3.1 Decryption Transformation

13050 The decryption transformation involves the following steps, in order:

- 13051 1. Parse the message *c* as $C \parallel U$, where the rightmost string *U* is an *M*-octet string. If this operation fails, output
13052 'invalid' and stop. *U* is the purported encrypted authentication tag. Note that the leftmost string *C* has length
13053 *l(c)-M* octets.
- 13054 2. Form the padded message *CiphertextData* by right-concatenating the string *C* with the smallest non-negative
13055 number of all-zero octets such that the octet string *CiphertextData* has length divisible by 16.
- 13056 3. Use the encryption transformation in section A.2.3, with the data *CiphertextData* and the tag *U* as inputs.
- 13057 4. Parse the output string resulting from applying this transformation as $m \parallel T$, where the rightmost string *T* is an
13058 *M*-octet string. *T* is the purported authentication tag. Note that the leftmost string *m* has length *l(c)-M* octets.

13059 **A.3.2 Authentication Checking Transformation**

13060 The authentication checking transformation involves the following steps:

- 13061 1. Form the message AuthData using the input transformation in section A.2.1, with the string *a* and the octet
13062 string *m* that was established in section A.3.1 (step 4) as inputs.
- 13063 2. Use the authentication transformation in section A.2.2, with the message AuthData as input.
- 13064 3. Compare the output tag MACTag resulting from this transformation with the tag *T* that was established in sec-
13065 tion A.3.1 (step 4). If MACTag=*T*, output ‘valid’; otherwise, output ‘invalid’ and stop.

13066 **Output:** If any of the above verifications has failed, then output ‘invalid’ and reject the octet string *m*. Otherwise,
13067 accept the octet string *m* and accept one of the key sharing group member(s) as the source of *m*.

13068 **A.4 Restrictions**

13069 All implementations SHALL limit the total amount of data that is encrypted with a single key. The CCM* encryption
13070 transformation SHALL invoke not more than 2^{61} block-cipher encryption function operations in total, both for the
13071 CBC-MAC and for the CTR encryption operations.

13072 At CCM* decryption, one SHALL verify the (truncated) CBC-MAC before releasing any information, such as,
13073 *Plaintext*. If the CBC-MAC verification fails, only the fact that the CBC-MAC verification failed shall be exposed;
13074 all other information shall be destroyed.

13075

ANNEX B SECURITY BUILDING BLOCKS

13076
13077 This annex specifies the cryptographic primitives and mechanisms that are used to implement the security protocols
13078 in this standard.

13079 B.1 Symmetric-Key Cryptographic Building Blocks

13080 The following symmetric-key cryptographic primitives and data elements are defined for use with all security-pro-
13081 cessing operations specified in this standard.

13082 B.1.1 Block-Cipher

13083 The block-cipher used in this specification SHALL be the Advanced Encryption Standard AES-128, as specified in
13084 FIPS Pub 197. This block-cipher has a key size *keylen* that is equal to the block size, in bits, *i.e.*, *keylen*=128.

13085 B.1.2 Mode of Operation

13086 The block-cipher mode of operation used in this specification SHALL be the CCM* mode of operation, as specified
13087 in section A.2.3, with the following instantiations:

- 13088 1. Each entity SHALL use the block-cipher *E* as specified in section B.1.1.
- 13089 2. All octets SHALL be represented as specified in the “Conventions and Abbreviations.”
- 13090 3. The parameter *L* SHALL have the integer value 2.
- 13091 4. The parameter *M* SHALL have one of the following integer values: 0, 4, 8, or 16.

13092 B.1.3 Cryptographic Hash Function

13093 The cryptographic hash function used in this specification SHALL be the blockcipher based cryptographic hash func-
13094 tion specified in section B.4, with the following instantiations:

- 13095 1. Each entity SHALL use the block-cipher *E* as specified in section B.1.1.
- 13096 2. All integers and octets SHALL be represented as specified in section B.1.2.

13097 The Matyas-Meyer-Oseas hash function (specified in section B.4) has a message digest size *hashlen* that is equal to
13098 the block size, in bits, of the established block-cipher.

13099 B.1.4 Keyed Hash Function for Message Authentication

13100 The keyed hash message authentication code (HMAC) used in this specification SHALL be HMAC, as specified in
13101 the FIPS Pub 198 [B9], with the following instantiations:

- 13102 1. Each entity SHALL use the cryptographic hash *H* function as specified in section B.1.3.
- 13103 2. The block size *B* SHALL have the integer value 16 (this block size specifies the length of the data integrity key,
13104 in bytes, that is used by the keyed hash function, *i.e.*, it uses a 128-bit data integrity key).
- 13105 3. The output size *HMAClen* of the HMAC function SHALL have the same integer value as the message digest
13106 parameter *hashlen* as specified in section B.1.3.

13107 B.1.5 Specialized Keyed Hash Function for Message Authentication

13108 The specialized keyed hash message authentication code used in this specification SHALL be as specified in section
13109 B.1.4.

13110 B.1.6 Challenge Domain Parameters

13111 The challenge domain parameters used in the specification SHALL be as specified in section B.2.1, with the following
13112 instantiation: (*minchallengelen*, *maxchallengelen*)=(128,128).

13113 All challenges SHALL be validated using the challenge validation primitive as specified in section B.3.

13114 B.2 Challenge Domain Parameter Generation and Validation

13115 This section specifies the primitives that SHALL be used to generate and validate challenge domain parameters.

13116 Challenge domain parameters impose constraints on the length(s) of bit challenges a scheme expects. As such, this
13117 establishes a bound on the entropy of challenges and, thereby, on the security of the cryptographic schemes in which
13118 these challenges are used. In most schemes, the challenge domain parameters will be such that only challenges of a
13119 fixed length will be accepted (for example, 128-bit challenges). However, one MAY define the challenge domain
13120 parameters such that challenges of varying length might be accepted. Doing so is useful in contexts in which entities
13121 that wish to engage in cryptographic schemes might have a bad random number generator onboard. Allowing both
13122 entities that engage in a scheme to contribute sufficiently long inputs enables each of them to contribute sufficient
13123 entropy to the scheme.

13124 In this standard, challenge domain parameters will be shared by a number of entities using a scheme determined by
13125 the standard. The challenge domain parameters MAY be public; the security of the system does not rely on these
13126 parameters being secret.

13127 B.2.1 Challenge Domain Parameter Generation

13128 Challenge domain parameters SHALL be generated using the following routine.

13129 **Input:** This routine does not take any input.

13130 **Actions:** The following actions are taken:

- 13131 1. Choose two nonnegative integers *minchallengelen* and *maxchallengelen*, such that
13132 $minchallengelen \leq maxchallengelen$.

13133 **Output:** Challenge domain parameters $D=(minchallengelen, maxchallengelen)$.

13134 B.2.2 Challenge Domain Parameter Verification

13135 Challenge domain parameters SHALL be verified using the following routine.

13136 **Input:** Purported set of challenge domain parameters $D=(minchallengelen, maxchallengelen)$.

13137 **Actions:** The following checks are made:

- 13138 1. Check that *minchallengelen* and *maxchallengelen* are non-negative integers.
- 13139 2. Check that $minchallengelen \leq maxchallengelen$.

13140 **Output:** If any of the above verifications has failed, then output ‘invalid’ and reject the challenge domain parameters.
13141 Otherwise, output ‘valid’ and accept the challenge domain parameters.

13142 B.3 Challenge Validation Primitive

13143 It is used to check whether a challenge to be used by a scheme in the standard has sufficient length (for example,
13144 messages that are too short are discarded, due to insufficient entropy).

13145 **Input:** The input of the validation transformation is a valid set of challenge domain parameters
13146 $D=(minchallengelen, maxchallengelen)$, together with the bit string *Challenge*.

13147 **Actions:** The following actions are taken:

- 13148 1. Compute the bit-length *challengelen* of the bit string *Challenge*.
- 13149 2. Verify that $challengelen \in [minchallengelen, maxchallengelen]$. (That is, verify that the challenge has an appro-
13150 priate length.)

13151 **Output:** If the above verification fails, then output ‘invalid’ and reject the challenge. Otherwise, output ‘valid’ and
13152 accept the challenge.

13153 B.4 Block-Cipher-Based Cryptographic Hash Function

13154 This section specifies the Matyas-Meyer-Oseas hash function, a cryptographic hash function based on block-ciphers.
 13155 We define this hash function for blockciphers with a key size equal to the block size, such as AES-128, and with a
 13156 particular choice for the fixed initialization vector IV (we take $IV=0$). For a more general definition of the Matyas-
 13157 Meyer-Oseas hash function, refer to Section 9.4.1 of [B15].

13158 **Prerequisites:** The following are the prerequisites for the operation of Matyas- Meyer-Oseas hash function:

- 13159 1. A block-cipher encryption function E SHALL have been chosen, with a key size that is equal to the block size.
 13160 The Matyas-Meyer-Oseas hash function has a message digest size that is equal to the block size of the estab-
 13161 lished encryption function. It operates on bit strings of length less than 22^n , where n is the block size, in octets,
 13162 of the established block-cipher.
- 13163 2. A fixed representation of integers as binary strings or octet strings SHALL have been chosen.

13164 **Input:** The input to the Matyas-Meyer-Oseas hash function is as follows:

- 13165 1. A bit string M of length l bits, where $0 \leq l < 22^n$

13166 **Actions:** The hash value SHALL be derived as follows:

- 13167 1. If the message M has length less than 2^n bits, pad this message according to the following procedure:
 13168 a. Right-concatenate to the message M the binary consisting of the bit '1' followed by k '0' bits, where k is
 13169 the smallest non-negative solution to the equation:
 13170
$$l + l + k \equiv 7n \pmod{8n} \tag{1}$$
- 13171 b. Form the padded message M' by right-concatenating to the resulting string the n -bit string that is equal to the
 13172 binary representation of the integer l .
- 13173 2. Otherwise pad this message according to the following method:
 13174 a. Right concatenate to the message M the binary consisting of the bit '1' followed by k '0' bits, where k is the
 13175 smallest non-negative solution to the equation:
 13176
$$l + l + k \equiv 5n \pmod{8n} \tag{2}$$
- 13177 b. Form the padded message M' by right-concatenating to the resulting string the $2n$ -bit string that is equal to
 13178 the binary representation of the integer l and right-concatenating to the resulting string the n -bit all-zero bit
 13179 string.
- 13180 3. Parse the padded message M' as $M_1 || M_2 || \dots || M_t$ where each message block M_i is an n -octet string.
- 13181 4. The output $Hash_t$ is defined by
 13182
$$Hash_0 = 0^{8n}; Hash_j = E(Hash_{j-1}, M_j) \oplus M_j \text{ for } j=1, \dots, t \tag{3}$$

 13183 Here, $E(K, x)$ is the ciphertext that results from encryption of the plaintext x , using the established block-cipher
 13184 encryption function E with key K ; the string 0^{8n} is the n -octet all-zero bit string.

13185 **Output:** The bit string $Hash_t$ as the hash value.

13186 Note that the cryptographic hash function operates on bit strength of length less than 22^n bits, where n is the block
 13187 size (or key size) of the established block cipher, in bytes. For example, the Matyas-Meyer-Oseas hash function with
 13188 AES- 128 operates on bit strings of length less than 232 bits. It is assumed that all hash function calls are on bit strings
 13189 of length less than 22^n bits. Any scheme attempting to call the hash function on a bit string exceeding 22^n bits SHALL
 13190 output 'invalid' and stop.

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ANNEX C TEST VECTORS FOR CRYPTOGRAPHIC BUILDING BLOCKS

13211 This annex provides sample test vectors for the Zigbee community, aimed at with the intent of assisting in building
 13212 interoperable security implementations. The sample test vectors are provided as is, pending independent validation.
 13213
 13214

13215 C.1 Data Conversions

13216 For test vectors, see Appendix J1 of ANSI X9.63-2001 [B7].

13217 C.2 AES Block Cipher

13218 This annex provides sample test vectors for the block-cipher specified in section B.1.1.

13219 For test vectors, see FIPS Pub 197 [B8].

13220 C.3 CCM* Mode Encryption and Authentication Transformation

13221 This annex provides sample test vectors for the mode of operation as specified in section B.1.2.

13222 **Prerequisites:** The following prerequisites are established for the operation of the mode of operation:

13223 1. The parameter M SHALL have the integer value 8.

13224 **Input:** The inputs to the mode of operation are:

13225 1. The key Key of size $keylen=128$ bits to be used:

13226 $Key = C0 C1 C2 C3 C4 C5 C6 C7 C8 C9 CA CB CC CD CE CF$

13227 2. The nonce N of $15-L=13$ octets to be used:

13228 $Nonce = A0 A1 A2 A3 A4 A5 A6 A7 || 03 02 01 00 || 06$

13229 3. The octet string m of length $l(m)=23$ octets to be used:

13230 $m = 08 09 0A 0B 0C 0D 0E 0F 10 11 12 13 14 15 16 17 18 19 1A 1B 1C 1D 1E$

13231 4. The octet string a of length $l(a)=8$ octets to be used:

13232 $a = 00 01 02 03 04 05 06 07$

13233 C.3.1 Input Transformation

13234 This step involves the transformation of the input strings a and m to the strings $AuthData$ and $PlainTextData$, to be
 13235 used by the authentication transformation and the encryption transformation, respectively.

13236 1. Form the octet string representation $L(a)$ of the length $l(a)$ of the octet string a :

13237 $L(a) = 00 08$

13238 2. Right-concatenate the octet string $L(a)$ and the octet string a itself:

13239 $L(a) || a = 00 08 || 00 01 02 03 04 05 06 07$

13240 3. Form the padded message $AddAuthData$ by right-concatenating the resulting string with the smallest non-nega-
 13241 tive number of all-zero octets such that the octet string $AddAuthData$ has length divisible by 16:

13242 $AddAuthData = 00 08 || 00 01 02 03 04 05 06 07 || 00 00 00 00 00 00$
 13243

- 13244 4. Form the padded message *PlaintextData* by right-concatenating the octet string *m* with the smallest non-negative number of all-zero octets such that the octet string *PlaintextData* has length divisible by 16:

13246 *PlaintextData* = 08 09 0A 0B 0C 0D 0E 0F 10 11 12 13 14 15 16 17 ||
13247 18 19 1A 1B 1C 1D 1E || 00 00 00 00 00 00 00 00

- 13248 5. Form the message *AuthData* consisting of the octet strings *AddAuthData* and *PlaintextData*:

13249 *AuthData* = 00 08 00 01 02 03 04 05 06 07 00 00 00 00 00 00 ||
13250 08 09 0A 0B 0C 0D 0E 0F 10 11 12 13 14 15 16 17
13251 18 19 1A 1B 1C 1D 1E 00 00 00 00 00 00 00 00

13252 C.3.2 Authentication Transformation

13253 The data *AuthData* that was established above SHALL be tagged using the following tagging transformation:

- 13254 1. Form the 1-octet *Flags* field as follows:

13255 *Flags* = 59

- 13256 2. Form the 16-octet *B₀* field as follows:

13257 *B₀* = 59 || A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06 || 00 17

- 13258 3. Parse the message *AuthData* as *B1* || *B2* || *B3*, where each message block *B_i* is a 16-octet string.

- 13259 4. The CBC-MAC value *X4* is calculated as follows:

i	<i>B_i</i>	<i>X_i</i>
0	59 A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06 00 17	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00
1	00 08 00 01 02 03 04 05 06 07 00 00 00 00 00 00	F7 74 D1 6E A7 2D C0 B3 E4 5E 36 CA 8F 24 3B 1A
2	08 09 0A 0B 0C 0D 0E 0F 10 11 12 13 14 15 16 17	90 2E 72 58 AE 5A 4B 5D 85 7A 25 19 F3 C7 3A B3
3	18 19 1A 1B 1C 1D 1E 00 00 00 00 00 00 00 00 00	5A B2 C8 6E 3E DA 23 D2 7C 49 7D DF 49 BB B4 09
4	æ	B9 D7 89 67 04 BC FA 20 B2 10 36 74 45 F9 83 D6

13260 The authentication tag *T* is the result of omitting all but the leftmost *M*=8 octets of the CBC-MAC value *X₄*:

13261 *T* = B9 D7 89 67 04 BC FA 20

13262 C.3.3 Encryption Transformation

13263 The data *PlaintextData* SHALL be encrypted using the following encryption transformation:

- 13264 1. Form the 1-octet *Flags* field as follows:

13265 *Flags* = 01

13266

13267 2. Define the 16-octet A_i field as follows:

i	A_i
0	01 A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06 00 00
1	01 A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06 00 01
2	01 A0 A1 A2 A3 A4 A5 A6 A7 03 02 01 00 06 00 02

13268 3. Parse the message PlaintextData as $M1 || M2$, where each message block M_i is a 16-octet string.

13269 4. The ciphertext blocks C_1, C_2 are computed as follows:

i	AES(Key, A_i)	$C_i = \text{AES}(\text{Key}, A_i) \oplus M_i$
1	12 5C A9 61 B7 61 6F 02 16 7A 21 66 70 89 F9 07	1A 55 A3 6A BB 6C 61 0D 06 6B 33 75 64 9C EF 10
2	CC 7F 54 D1 C4 49 B6 35 46 21 46 03 AA C6 2A 17	D4 66 4E CA D8 54 A8 35 46 21 46 03 AA C6 2A 17

13270 5. The string Ciphertext is the result of omitting all but the leftmost $l(m)=23$ octets of the string $C_1 || C_2$:

13271 $Ciphertext = 1A\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ ||\ D4\ 66\ 4E\ CA\ D8\ 54\ A8$

13272 6. Define the 16-octet encryption block S_0 by:

13273 $S_0 = E(Key, A_0) = B3\ 5E\ D5\ A6\ DC\ 43\ 6E\ 49\ D6\ 17\ 2F\ 54\ 77\ EB\ B4\ 39$

13274 7. The encrypted authentication tag U is the result of XOR-ing the string consisting of the leftmost $M=8$ octets of
13275 S_0 and the authentication tag T :

13276 $U = 0A\ 89\ 5C\ C1\ D8\ FF\ 94\ 69$

13277 **Output:** the right-concatenation c of the encrypted message *Ciphertext* and the encrypted authentication tag
13278 U :

13279 $c = 1A\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ ||\ D4\ 66\ 4E\ CA\ D8\ 54\ A8\ ||\ 0A\ 89\ 5C\ C1\ D8\ FF\ 94\ 69$

13280 C.4 CCM* Mode Decryption and Authentication Checking Transform 13281 ation

13282 This annex provides sample test vectors for the inverse of the mode of operation as specified in section B.1.2.

13283 **Prerequisites:** The following prerequisites are established for the operation of the mode of operation:

13284 1. The parameter M SHALL have the integer value 8.

13285 **Input:** The inputs to the inverse mode of operation are:

13286 1. The key Key of size $keylen=128$ bits to be used:

13287 $Key = C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF$

13288 2. The nonce N of $15-L=13$ octets to be used:

13289 $Nonce = A0\ A1\ A2\ A3\ A4\ A5\ A6\ A7\ ||\ 03\ 02\ 01\ 00\ ||\ 06$

13290 3. The octet string c of length $l(c)=31$ octets to be used:

13291 $c = 1A\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ ||\ D4\ 66\ 4E\ CA\ D8\ 54\ A8\ ||\ 0A\ 89\ 5C\ C1\ D8\ FF\ 94\ 69$

13292 4. The octet string a of length $l(a)=8$ octets to be used:

13293 $a = 00\ 01\ 02\ 03\ 04\ 05\ 06\ 07$

13294 C.4.1 Decryption Transformation

13295 The decryption transformation involves the following steps, in order:

13296 1. Parse the message c as $C || U$, where the rightmost string U is an M -octet string:

13297 $C = 1A\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ ||\ D4\ 66\ 4E\ CA\ D8\ 54\ A8;$

13298 $U = 0A\ 89\ 5C\ C1\ D8\ FF\ 94\ 69$

13299 2. Form the padded message $CiphertextData$ by right-concatenating the string C with the smallest non-negative
13300 number of all-zero octets such that the octet string $CiphertextData$ has length divisible by 16.

13301 $CipherTextData = 1A\ 55\ A3\ 6A\ BB\ 6C\ 61\ 0D\ 06\ 6B\ 33\ 75\ 64\ 9C\ EF\ 10\ ||\ D4\ 66\ 4E\ CA\ D8\ 54\ A8\ ||\ 00\ 00\ 00$

13302 $00\ 00\ 00\ 00\ 00$

13303 3. Form the 1-octet $Flags$ field as follows:

13304 $Flags = 01$

13305 4. Define the 16-octet A_i field as follows:

i	A_i
0	$01\ \ A0\ A1\ A2\ A3\ A4\ A5\ A6\ A7\ 03\ 02\ 01\ 00\ 06\ \ 00\ 00$
1	$01\ \ A0\ A1\ A2\ A3\ A4\ A5\ A6\ A7\ 03\ 02\ 01\ 00\ 06\ \ 00\ 01$
2	$01\ \ A0\ A1\ A2\ A3\ A4\ A5\ A6\ A7\ 03\ 02\ 01\ 00\ 06\ \ 00\ 02$

13306 5. Parse the message $CiphertextData$ as $C_1 || C_2$, where each message block C_i is a 16-octet string.

13307 6. The ciphertext blocks P_1, P_2 are computed as follows.

i	$AES(Key, A_i)$	$P_i = AES(Key, A_i) \oplus C_i$
1	$12\ 5C\ A9\ 61\ B7\ 61\ 6F\ 02\ 16\ 7A\ 21\ 66\ 70\ 89\ F9\ 07$	$08\ 09\ 0A\ 0B\ 0C\ 0D\ 0E\ 0F\ 10\ 11\ 12\ 13\ 14\ 15\ 16\ 17$
2	$CC\ 7F\ 54\ D1\ C4\ 49\ B6\ 35\ 46\ 21\ 46\ 03\ AA\ C6\ 2A\ 17$	$18\ 19\ 1A\ 1B\ 1C\ 1D\ 1E\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$

13308 7. The octet string m is the result of omitting all but the leftmost $l(m)=23$ octets of the string $P_1 || P_2$:

13309 $m = 08\ 09\ 0A\ 0B\ 0C\ 0D\ 0E\ 0F\ 10\ 11\ 12\ 13\ 14\ 15\ 16\ 17\ ||\ 18\ 19\ 1A\ 1B\ 1C\ 1D\ 1E$

13310 8. Define the 16-octet encryption block S_0 by

13311 $S_0 = E(Key, A_0) = B3\ 5E\ D5\ A6\ DC\ 43\ 6E\ 49\ D6\ 17\ 2F\ 54\ 77\ EB\ B4\ 39$

13312 9. The purported authentication tag T is the result of XOR-ing the string consisting of the leftmost $M=8$ octets of
13313 S_0 and the octet string U :

13314 $T = B9\ D7\ 89\ 67\ 04\ BC\ FA\ 20$

13315

13316 **C.4.2 Authentication Checking Transformation**

13317 The authentication checking transformation involves the following steps:

- 13318 1. Form the message *AuthData* using the input transformation in Input Transformation, with the string *a* as inputs
 13319 and the octet string *m* that was established in section C.4.1 (step7):

13320
$$AuthData = 00\ 08\ 01\ 02\ 03\ 04\ 05\ 06\ 07\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ ||$$

 13321
$$08\ 09\ 0A\ 0B\ 0C\ 0D\ 0E\ 0F\ 10\ 11\ 12\ 13\ 14\ 15\ 16\ 17$$

 13322
$$18\ 19\ 1A\ 1B\ 1C\ 1D\ 1E\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$$

- 13323 2. Use the authentication transformation in section C.3.2, with the message *AuthData* to compute the authentica-
 13324 tion tag *MACTag* as input:

13325
$$MACTag = B9\ D7\ 89\ 67\ 04\ BC\ FA\ 20$$

- 13326 3. Compare the output tag *MACTag* resulting from this transformation with the tag *T* that was established in sec-
 13327 tion C.4.1 (step 9):

13328
$$T = B9\ D7\ 89\ 67\ 04\ BC\ FA\ 20 = MACTag$$

13329 **Output:** Since $MACTag=T$, output ‘valid’ and accept the octet string *m* and accept one of the key sharing group
 13330 member(s) as the source of *m*.

13331 **C.5 Cryptographic Hash Function**

13332 This section provides sample test vectors for the cryptographic hash function specified in section B.1.3.

13333 **C.5.1 Test Vector Set 1**

13334 **Input:** The input to the cryptographic hash function is as follows:

- 13335 1. The bit string *M* of length $l=8$ bits to be used:

13336
$$M=C0$$

13337 **Actions:** The hash value SHALL be derived as follows:

- 13338 1. Pad the message *M* by right-concatenating to *M* the bit ‘1’ followed by the smallest non-negative number of ‘0’
 13339 bits, such that the resulting string has length $14 \pmod{16}$ octets:

13340
$$C0 || 80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$$

- 13341 2. Form the padded message *M'* by right-concatenating to the resulting string the 16-bit string that is equal to the
 13342 binary representation of the integer 1:

13343
$$M' = C0 || 80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00 || 00\ 08$$

- 13344 3. Parse the padded message *M'* as M_1 , where each message block M_i is a 16-octet string.

- 13345 4. The hash value *Hash1* is computed as follows:

i	Hash _i	M _i
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	æ
1	AE 3A 10 2A 28 D4 3E E0 D4 A0 9E 22 78 8B 20 6C	C0 80 00 00 00 00 00 00 00 00 00 00 00 00 00 08

13346 **Output:** the 16-octet string $Hash = Hash_1 = AE\ 3A\ 10\ 2A\ 28\ D4\ 3E\ E0\ D4\ A0\ 9E\ 22\ 78\ 8B\ 20\ 6C$.

13347

13348 **C.5.2 Test Vector Set 2**13349 **Input:** The input to the cryptographic hash function is as follows:13350 1. The bit string M of length $l=128$ bits to be used:13351 $M=C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF$ 13352 **Actions:** The hash value SHALL be derived as follows:13353 1. Pad the message M by right-concatenating to M the bit '1' followed by the smallest non-negative number of '0'
13354 bits, such that the resulting string has length $14 \pmod{16}$ octets:13355 $C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF\ ||$
13356 $80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$ 13357 2. Form the padded message M' by right-concatenating to the resulting string the 16-bit string that is equal to the
13358 binary representation of the integer l:13359 $M' = C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF\ ||$
13360 $80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ ||\ 00\ 80$ 13361 3. Parse the padded message M' as $M1\ ||\ M2$, where each message block M_i is a 16-octet string.

13362 4. The hash value Hash2 is computed as follows:

i	Hash _i	M _i
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	æ
1	84 EE 75 E5 4F 9A 52 0F 0B 30 9C 35 29 1F 83 4F	C0 C1 C2 C3 C4 C5 C6 C7 C8 C9 CA CB CC CD CE CF
2	A7 97 7E 88 BC 0B 61 E8 21 08 27 10 9A 22 8F 2D	80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 08

13363 **Output:** the 16-octet string $Hash = Hash_2 = A7\ 97\ 7E\ 88\ BC\ 0B\ 61\ E8\ 21\ 08\ 27\ 10\ 9A\ 22\ 8F\ 2D$.13364 **C.5.3 Test Vector Set 3**13365 **Input:** The input to the cryptographic hash function is as follows:13366 1. The bit string M of length $l = 65528$ bits to be used.

13367 2. 8191 bytes (sequence of 0, 1, 2, ... 255, 0, 1, 2, ...)

13368 3. This test vector is beneath the threshold of a 216 bit string so the first padding method described in section B.4
13369 is utilized.13370 **Actions:** The hash value SHALL be derived as follows:13371 1. Pad the message by right-concatenating to M the bit 1 followed by the smallest non-negative number of '0' bits,
13372 such that the resulting string has length $14 \pmod{16}$ octets:13373 $00\ 01\ 02\ 03\ 04\ \dots\ FB\ FC\ FD\ FE\ ||\ 80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00$ 13374 2. Form the padded message M' by right-concatenating to the resulting string the 16-bit string that is equal to the
13375 binary representation of the integer l:13376 $00\ 01\ 02\ 03\ 04\ \dots\ FB\ FC\ FD\ FE\ ||\ 80\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ 00\ ||\ FF\ F8$ 13377 3. Parse the padded message M' as $M1$, where each message block M_i is a 16-octet string.

13378

13379 4. The hash value Hash1 is computed as follows using 16-byte hash block operations:

i	Hash _i	M _i
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	-
1	7A CB 0D DA B8 D3 EA 7B 97 9E 4C 6D 1A EB AC 8D	00 01 02 03 04 05 06 07 08 09 0A 0B 0C 0D 0E 0F
...
i - 1	C3 22 D1 D3 9D 10 86 43 82 06 BD EB 26 41 66 1C	F0 F1 F2 F3 F4 F5 F6 F7 F8 F9 FA FB FC FD FE 80
i	24 EC 2F E7 5B BF FC B3 47 89 BC 06 10 E7 F1 65	00 00 00 00 00 00 00 00 00 00 00 00 00 00 FF F8

13380 **C.5.4 Test Vector 4**

13381 **Input:** The input to the cryptographic hash function is as follows:

- 13382 1. The bit string M of length $l = 65536$ bits to be used.
- 13383 2. 8192 bytes (sequence of 0, 1, 2, ... 255, 0, 1, 2, ...)
- 13384 3. This test vector is above the threshold of a 216 bit string so the second padding method described in section B.4
- 13385 is utilized.

13386 **Actions:** The hash value SHALL be derived as follows.

- 13387 1. Pad the message by right-concatenating to M the bit 1 followed by the smallest non-negative number of '0' bits,
- 13388 such that the resulting string has length $10 \pmod{16}$ octets:

13389 00 01 02 03 04 ... FB FC FD FE FF || 80 00 00 00 00 00 00 00 00

- 13390 2. Form the padded message M' by right-concatenating to the resulting string the 32-bit string that is equal to the
- 13391 binary representation of the integer l:

13392 00 01 02 03 04 ... FB FC FD FE FF || 80 00 00 00 00 00 00 00 00 || 00 01 00 00

- 13393 3. Concatenate a 16-bit string of zeros for the padding normally used by the first padding method described in sec-
- 13394 tion B.4.

13395 00 01 02 03 04 ... FB FC FD FE FF || 80 00 00 00 00 00 00 00 00 || 00 01 00 00 || 00 00

- 13396 4. Parse the padded message M' as M1, where each message block M_i is a 16-octet string.

- 13397 5. The hash value Hash1 is computed as follows using 16-byte hash block operations:

i	Hash_i	M_i
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	-
1	7A CB 0D DA B8 D3 EA 7B 97 9E 4C 6D 1A EB AC 8D	00 01 02 03 04 05 06 07 08 09 0A 0B 0C 0D 0E 0F
...
i - 1	4E 55 0D CE 34 31 42 96 41 BA D0 C7 BC 44 34 67	F0 F1 F2 F3 F4 F5 F6 F7 F8 F9 FA FB FC FD FE FF
i	DC 6B 06 87 F0 9F 86 07 13 1C 17 0B 3B D3 15 91	80 00 00 00 00 00 00 00 00 00 00 01 00 00 00 00

13398 **C.5.5 Test Vector 5**

13399 **Input:** The input to the cryptographic hash function is as follows:

- 13400 1. The bit string M of length $l = 65608$ bits to be used.
- 13401 2. 8201 bytes (sequence of 0, 1, 2, ... 255, 0, 1, 2, ...)
- 13402 3. This test vector is above the threshold of a 216 bit string so the second padding method described in section B.4
- 13403 is utilized.

13404 **Actions:** The hash value SHALL be derived as follows.

- 13405 1. Pad the message by right-concatenating to M the bit 1 followed by the smallest non-negative number of '0' bits,
- 13406 such that the resulting string has length 10 (mod 16) octets:

13407 00 01 02 03 04 ... 04 05 06 07 08 || 80

- 13408 2. Form the padded message M' by right-concatenating to the resulting string the 32-bit string that is equal to the
- 13409 binary representation of the integer 1:

13410 00 01 02 03 04 ... 04 05 06 07 08 || 80 || 00 01 00 48

- 13411 3. Concatenate a 16-bit string of zeros for the padding normally used by the first padding method described in sec-
- 13412 tion B.4.

13413 00 01 02 03 04 ... 04 05 06 07 08 || 80 || 00 01 00 48 || 00 00

- 13414 4. Parse the padded message M' as M1, where each message block M_i is a 16-octet string.

13415

13416 5. The hash value Hash1 is computed as follows using 16-byte hash block operations:

i	Hash _i	M _i
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	-
1	7A CB 0D DA B8 D3 EA 7B 97 9E 4C 6D 1A EB AC 8D	00 01 02 03 04 05 06 07 08 09 0A 0B 0C 0D 0E 0F
...
i - 1	4E 55 0D CE 34 31 42 96 41 BA D0 C7 BC 44 34 67	F0 F1 F2 F3 F4 F5 F6 F7 F8 F9 FA FB FC FD FE FF
i	72 C9 B1 5E 17 8A A8 43 E4 A1 6C 58 E3 36 43 A3	00 01 02 03 04 05 06 07 08 80 00 01 00 48 00 00

13417 **C.5.6 Test Vector 6**

13418 **Input:** The input to the cryptographic hash function is as follows:

- 13419 1. The bit string M of length $l = 65616$ bits to be used.
- 13420 2. 8202 bytes (sequence of 0, 1, 2, ... 255, 0, 1, 2, ...)
- 13421 3. This test vector is above the threshold of a 216 bit string so the second padding method described in section B.4
- 13422 is utilized.

13423 **Actions:** The hash value SHALL be derived as follows.

- 13424 1. Pad the message by right-concatenating to M the bit 1 followed by the smallest non-negative number of '0' bits,
- 13425 such that the resulting string has length $10 \pmod{16}$ octets:

13426 00 01 02 03 04 ... 05 06 07 08 09 || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00

- 13427 2. Form the padded message M' by right-concatenating to the resulting string the 32-bit string that is equal to the
- 13428 binary representation of the integer l:

13429 00 01 02 03 04 ... 05 06 07 08 09 || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 || 00 01 00 50

- 13430 3. Concatenate a 16-bit string of zeros for the padding normally used by the first padding method described in sec-
- 13431 tion B.4.

13432 00 01 02 03 04 ... 05 06 07 08 09 || 80 00 00 00 00 00 00 00 00 00 00 00 00 00 00 || 00 01 00 50 || 00 00

- 13433 4. Parse the padded message M' as M1, where each message block M_i is a 16-octet string.

13434

13435 5. The hash value Hash1 is computed as follows using 16-byte hash block operations:

i	Hash_i	M_i
0	00 00 00 00 00 00 00 00 00 00 00 00 00 00 00 00	-
1	7A CB 0D DA B8 D3 EA 7B 97 9E 4C 6D 1A EB AC 8D	00 01 02 03 04 05 06 07 08 09 0A 0B 0C 0D 0E 0F
...
i - 1	CC C1 F8 A3 D5 6A 93 20 41 08 10 2B 46 25 0D A7	00 01 02 03 04 05 06 07 08 09 80 00 00 00 00 00
i	BC 98 28 D5 9B 2A A3 23 DA F2 0B E5 F2 E6 65 11	00 00 00 00 00 00 00 00 00 00 00 01 00 50 00 00

13436 **C.6 Keyed Hash Function for Message Authentication**

13437 This annex provides sample test vectors for the keyed hash function for message authentication as specified in section
 13438 B.1.4.

13439 **C.6.1 Test Vector Set 1**

13440 **Input:** The input to the keyed hash function is as follows:

13441 1. The key Key of size keylen=128 bits to be used:

13442 *Key* = 40 41 42 43 44 45 46 47 48 49 4A 4B 4C 4D 4E 4F

13443 2. The bit string M of length l=8 bits to be used:

13444 *M*=C0

13445 **Actions:** The keyed hash value SHALL be derived as follows:

13446 1. Create the 16-octet string *ipad* (inner pad) as follows:

13447 *ipad* = 36 36 36 36 36 36 36 36 36 36 36 36 36 36 36 36

13448 2. Form the inner key *Key1* by XOR-ing the bit string *Key* and the octet string *ipad*:

13449 *Key1* = *Key* ⊕ *ipad* = 76 77 74 75 72 73 70 71 7E 7F 7C 7D 7A 7B 78 79

13450 3. Form the padded message *M1* by right-concatenating the bit string *Key1* with the bit string *M*:

13451 *M1* = *Key1* || *M* = 76 77 74 75 72 73 70 71 7E 7F 7C 7D 7A 7B 78 79 || C0

13452 4. Compute the hash value *Hash1* of the bit string *M1*:

13453 *Hash1* = 3C 3D 53 75 29 A7 A9 A0 3F 66 9D CD 88 6C B5 2C

13454 5. Create the 16-octet string *opad* (outer pad) as follows:

13455 *opad* = 5C 5C 5C 5C 5C 5C 5C 5C 5C 5C 5C 5C 5C 5C 5C 5C

13456 6. Form the outer key *Key2* by XOR-ing the bit string *Key* and the octet string *opad*:

13457 *Key2* = *Key* ⊕ *opad* = 1C 1D 1E 1F 18 19 1A 1B 14 15 16 17 10 11 12 13

13458

13459 7. Form the padded message M2 by right-concatenating the bit string Key2 with the bit string Hash1:

13460 $M_2 = Key_2 \parallel Hash_1 = 1C\ 1D\ 1E\ 1F\ 18\ 19\ 1A\ 1B\ 14\ 15\ 16\ 17\ 10\ 11\ 12\ 13 \parallel$

13461 $3C\ 3D\ 53\ 75\ 29\ A7\ A9\ A0\ 3F\ 66\ 9D\ CD\ 88\ 6C\ B5\ 2C$

13462 8. Compute the hash value Hash2 of the bit string M2:

13463 $Hash_2 = 45\ 12\ 80\ 7B\ F9\ 4C\ B3\ 40\ 0F\ 0E\ 2C\ 25\ FB\ 76\ E9\ 99$

13464 **Output:** the 16-octet string $HMAC = Hash_2 = 45\ 12\ 80\ 7B\ F9\ 4C\ B3\ 40\ 0F\ 0E\ 2C\ 25\ FB\ 76\ E9\ 99$

13465 C.6.2 Test Vector Set 2

13466 **Input:** The input to the keyed hash function is as follows:

13467 1. The key Key of size $keylen=256$ bits to be used:

13468 $Key = 40\ 41\ 42\ 43\ 44\ 45\ 46\ 47\ 48\ 49\ 4A\ 4B\ 4C\ 4D\ 4E\ 4F \parallel 50\ 51\ 52\ 53\ 54\ 55\ 56\ 57\ 58\ 59\ 5A\ 5B\ 5C\ 5D\ 5E\ 5F$

13469 2. The bit string M of length $l=128$ bits to be used:

13470 $M = C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF$

13471 **Actions:** The keyed hash value SHALL be derived as follows:

13472 1. Compute the hash value Key0 of the bit string Key:

13473 $Key_0 = 22\ F4\ 0C\ BE\ 15\ 66\ AC\ CF\ EB\ 77\ 77\ E1\ C4\ A9\ BB\ 43$

13474 2. Create the 16-octet string ipad (inner pad) as follows:

13475 $ipad = 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36\ 36$

13476 3. Form the inner key Key1 by XOR-ing the bit key Key0 and the octet string ipad:

13477 $Key_1 = Key_0 \oplus ipad = 14\ C2\ 3A\ 88\ 23\ 50\ 9A\ F9\ DD\ 41\ 41\ D7\ F2\ 9F\ 8D\ 75$

13478 4. Form the padded message M1 by right-concatenating the bit string Key1 with the bit string M:

13479 $M_1 = Key_1 \parallel M = 14\ C2\ 3A\ 88\ 23\ 50\ 9A\ F9\ DD\ 41\ 41\ D7\ F2\ 9F\ 8D\ 75 \parallel$

13480 $C0\ C1\ C2\ C3\ C4\ C5\ C6\ C7\ C8\ C9\ CA\ CB\ CC\ CD\ CE\ CF$

13481 5. Compute the hash value Hash1 of the bit string M1:

13482 $Hash_1 = 42\ 65\ BE\ 29\ 74\ 55\ 8C\ A2\ 7B\ 77\ 85\ AC\ 73\ F2\ 22\ 10$

13483 6. Create the 16-octet string opad (outer pad) as follows:

13484 $opad = 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C\ 5C$

13485 7. Form the outer key Key2 by XOR-ing the bit string Key0 and the octet string opad:

13486 $Key_2 = Key_0 \oplus opad = 7E\ A8\ 50\ E2\ 49\ 3A\ F0\ 93\ B7\ 2B\ 2B\ BD\ 98\ F5\ E7\ 1F$

13487 8. Form the padded message M2 by right-concatenating the bit string Key2 with the bit string Hash1:

13488 $M_2 = Key_2 \parallel Hash_1 = 7E\ A8\ 50\ E2\ 49\ 3A\ F0\ 93\ B7\ 2B\ 2B\ BD\ 98\ F5\ E7\ 1F \parallel$

13489 $42\ 65\ BE\ 29\ 74\ 55\ 8C\ A2\ 7B\ 77\ 85\ AC\ 73\ F2\ 22\ 10$

13490 9. Compute the hash value Hash2 of the bit string M2:

13491 $Hash_2 = A3\ B0\ 07\ 99\ 84\ BF\ 15\ 57\ F7\ 4A\ 0D\ 63\ 87\ E0\ A1\ 1A$

13492 **Output:** the 16-octet string $HMAC = Hash_2 = A3\ B0\ 07\ 99\ 84\ BF\ 15\ 57\ F7\ 4A\ 0D\ 63\ 87\ E0\ A1\ 1A$

13493 C.6.3 Specialized Keyed Hash Function for Message Authentication

13494 This annex provides sample test vectors for the specialized keyed hash function for message authentication as specified
13495 in section B.1.4.

13496 For test vectors, see section C.6.

13497 C.7 Key Agreement using Elliptic Curve Diffie Helmann Derivatives

13498 Important Note: In this section, binary octet streams are represented with the first octet (for example, 41 hex in the
13499 first Private Key below) in the first location in memory and subsequent octets in successive locations in memory.

13500 C.7.1 Test Vectors for SPEKE/Curve25519/AES-MMO-128/HMAC-AES-MMO-128

13501 This section provides test vectors for the Simple Password Exponential Key Exchange (SPEKE) Password-Authenti-
13502 cated Key Exchange (PAKE) algorithm as specified in section J.1.3. In order to generate reproducible results the
13503 private keys of the two parties engaging in key agreement, henceforth referred to as Alice and Bob, are not generated
13504 randomly from a cryptographically safe source, as they usually would be, but they are rather set as follows:

Party	Chosen Private Key (ASCII)	Private Key (Binary)
Alice	AliceAliceAliceAliceAliceAliceAl	41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C
Bob	BobBobBobBobBobBobBobBobBobBobBo	42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F

13505 These keys undergo Curve25519 private key clamping as follows:

Party	Chosen Private Key (ASCII)	Clamped Private Key d (Binary)
Alice (d _i)	AliceAliceAliceAliceAliceAliceAl	40 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C
Bob (d _r)	BobBobBobBobBobBobBobBobBobBobBo	40 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F

13506 Notice that the binary octet stream printed above corresponds to a little-endian representation, i.e., if the key were
13507 considered a large integer, its value would be 6C416563...696C40 for Alice, for example.

Party	Identification (EUI-64) A
Alice (A _i)	A0:A0:0A:0A:A0:A0:00:AA
Bob (A _r)	B0:B0:0B:0B:B0:B0:00:BB

13508 C.7.1.1 Test Vector #1

13509 For the present test vector, the Pre-shared Key (PSK) is assumed as *apscWellKnownPSK*, the well-known key for
13510 anonymous key exchanges when there is no installation code derived pre-configured link-key available. This results
13511 in the following generator base-point G:

13512
$$G = H^*(PSK) = 90\ 2B\ 44\ 85\ C8\ 4E\ C4\ A0\ 59\ 44\ AB\ 34\ 42\ 92\ 68\ 78\ ||$$

13513
$$90\ 2B\ 44\ 85\ C8\ 4E\ C4\ A0\ 59\ 44\ AB\ 34\ 42\ 92\ 68\ 78$$

13514

13515 The public points that result for the given private keys on Curve25519 are calculated as $Q = dG$, where G is the
 13516 generator base-point as noted above:

Party	Public Key Point Q
Alice (Q_i)	BA BB C4 D7 85 6A BF 56 1B B4 37 8F D9 FD 24 92 C1 EA 16 02 1B 90 D6 1F CE 3A 96 5B 04 1C A2 59
Bob (Q_r)	47 7D C0 5F F5 42 AC 83 AD DF 2B 87 87 11 92 DC 6C 59 6A C5 40 C8 D3 5A FB 7E C7 25 9A 71 5B 6C

13517 Alice and Bob calculate the shared SPEKE (ECDH) secret point via point-multiplication on the curve, by multiplying
 13518 the remote public point times the local private key, both arriving at the same value:

13519 $xk = \text{FB 9C 3C 7A 2E 49 03 CD D2 36 DA 82 CD 0B 71 81 B1 61 7D 99 67 4C 4E A8 A3 F5 D4 60 31 DD A7 09}$

13520 For calculating the session identifier I the identifications and public points of initiator (Alice) and responder (Bob) are
 13521 concatenated; the concatenation order depends on the integer comparison of both identifications (EUI-64s)::

13522 $A_i || Q_i = \text{AA 00 A0 A0 0A 0A A0 A0 ||}$
 13523 BA BB C4 D7 85 6A BF 56 1B B4 37 8F D9 FD 24 92 C1 EA 16 02 1B 90 D6 1F CE 3A 96 5B 04 1C A2 59
 13524

13525 $A_r || Q_r = \text{BB 00 B0 B0 0B 0B B0 B0 ||}$
 13526 47 7D C0 5F F5 42 AC 83 AD DF 2B 87 87 11 92 DC 6C 59 6A C5 40 C8 D3 5A FB 7E C7 25 9A 71 5B 6C
 13527

13528 $I = \text{AA 00 A0 A0 0A 0A A0 A0}$
 13529 BA BB C4 D7 85 6A BF 56 1B B4 37 8F D9 FD 24 92 C1 EA 16 02 1B 90 D6 1F CE 3A 96 5B 04 1C A2 59

13530 $\text{BB 00 B0 B0 0B 0B B0 B0}$
 13531 47 7D C0 5F F5 42 AC 83 AD DF 2B 87 87 11 92 DC 6C 59 6A C5 40 C8 D3 5A FB 7E C7 25 9A 71 5B 6C

13532 The final SPEKE shared secret is obtained by concatenating above x -coordinate, the session identifier and the based
 13533 point G , which was derived from the pre-shared secret (PSK) and calculating the SHA-256 hash value:

13534 $s = H(xk || I || G) = \text{AE 46 6B 75 30 9D 5C 2D 71 5F 7E 44 31 DF 04 7A}$

13535 The derived APS link-key is then finally:

13536 $KDF(s, \{ 0x01 \}) = \text{HMAC-AES-128}(s, \{ 0x01 \}) =$
 13537 **25 47 F3 AF 96 39 1E 1E BF F2 A3 B7 6D 6A 29 29**

C.7.1.2 Test Vector #2

13539 For the present test vector, the Pre-shared Key (PSK) is assumed as “ZigBeeAlliance20”. This results in the following
 13540 generator base-point G :

13541 $G = H^*(\text{PSK}) = \text{DE E6 39 E5 FF F9 46 D7 B1 00 CC 5F 3F 9C E8 9C ||}$
 13542 DE E6 39 E5 FF F9 46 D7 B1 00 CC 5F 3F 9C E8 9C

13543 $G[0] = 09$

13544 $G = 09 D0 7B 39 6D 10 C1 48 E3 DA C6 DE A7 DC B2 82$

13545 BB DD EE 80 63 63 A6 5C 2B 9F C9 72 7F E2 D4 F0

13546 Notice that this base point is larger than the prime $p = 2^{255} - 19$. This test vector has specifically been chosen to
 13547 ensure that implementations do not fail, when they encounter such basepoints, and perform as expected.

13548

13549 The public points that result for the given private keys on Curve25519 are calculated as $Q = dG$, where G is the
 13550 generator base-point as noted above:

Party	Public Key Point Q
Alice (Q_i)	04 8E 8D 32 31 21 96 39 28 21 7B 2C F3 C7 DB 23 AA 4E 75 66 D9 69 BF 0E D5 FC A9 F1 A2 3E 0F 6E
Bob (Q_r)	02 29 D3 63 E4 C5 E6 7F C4 B1 0B E2 CD 98 E4 53 E8 6D 86 33 8E 15 A0 3A 3A 68 A4 83 3F E7 84 0C

13551 Alice and Bob calculate the shared SPEKE (ECDH) secret point via point-multiplication on the curve, by multiplying
 13552 the remote public point times the local private key, both arriving at the same value:

13553 $x_k = 78\ 3F\ 96\ 76\ C9\ C7\ 4A\ 69\ C1\ 41\ C3\ C2\ 7B\ B9\ B4\ 64\ 55\ 12\ E7\ 1B\ C6\ E1\ 76\ 79\ B4\ BC\ 33\ E7\ 48\ 5B\ F5\ 04$

13554 For calculating the session identifier I the identifications and public points of initiator (Alice) and responder (Bob) are
 13555 concatenated; the concatenation order depends on the integer comparison of both identifications (EUI-64s)::

13556 $A_i || Q_i = AA\ 00\ A0\ A0\ 0A\ 0A\ A0\ A0\ ||$
 13557 04 8E 8D 32 31 21 96 39 28 21 7B 2C F3 C7 DB 23 AA 4E 75 66 D9 69 BF 0E D5 FC A9 F1 A2 3E 0F 6E
 13558

13559
 13560 $A_r || Q_r = BB\ 00\ B0\ B0\ 0B\ 0B\ B0\ B0\ ||$
 13561 02 29 D3 63 E4 C5 E6 7F C4 B1 0B E2 CD 98 E4 53 E8 6D 86 33 8E 15 A0 3A 3A 68 A4 83 3F E7 84 0C
 13562

13563 $I = AA\ 00\ A0\ A0\ 0A\ 0A\ A0\ A0$
 13564 04 8E 8D 32 31 21 96 39 28 21 7B 2C F3 C7 DB 23 AA 4E 75 66 D9 69 BF 0E D5 FC A9 F1 A2 3E 0F 6E
 13565 BB 00 B0 B0 0B 0B B0 B0
 13566 02 29 D3 63 E4 C5 E6 7F C4 B1 0B E2 CD 98 E4 53 E8 6D 86 33 8E 15 A0 3A 3A 68 A4 83 3F E7 84 0C

13567 The final SPEKE shared secret is obtained by concatenating above x -coordinate, the session identifier and the based
 13568 point G , which was derived from the pre-shared secret (PSK) and calculating the AES-MMO-128 hash value:

13569 $s = H(x_k || I || G) = D9\ 7B\ 98\ 45\ C6\ 06\ E1\ 05\ 72\ 8E\ 84\ C1\ 48\ 6A\ 9F\ 68$

13570 The derived APS link-key is then finally:

13571 $KDF(s, \{ 0x01 \}) = HMAC-AES-MMO-128(s, \{ 0x01 \}) =$
 13572 63 F2 38 9B 06 38 72 20 96 48 18 65 7B 47 B5 DB

C.7.2 Test Vectors for Curve25519/SHA-256/HMAC-SHA-256

13574 This section provides test vectors for the password-authenticated key exchange (PAKE) algorithm as specified in
 13575 section J.1.3. In order to generate reproducible results, the private keys of the two parties engaging in key agreement,
 13576 henceforth referred to as Alice and Bob, are not generated randomly from a cryptographically safe source, as they
 13577 usually would be, but they are rather set as follows:

Party	Chosen Private Key (ASCII)	Private Key (Binary)
Alice	AliceAliceAliceAliceAliceAliceAl	41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C
Bob	BobBobBobBobBobBobBobBobBobBobBo	42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F

13578 These keys undergo Curve25519 private key clamping as follows:

Party	Chosen Private Key (ASCII)	Clamped Private Key d (Binary)
Alice (d_i)	AliceAliceAliceAliceAliceAliceAl	40 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C 69 63 65 41 6C
Bob (d_r)	BobBobBobBobBobBobBobBobBobBobBo	40 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F 62 42 6F

13579 Notice that the binary octet stream printed above corresponds to a little-endian representation, i.e. if the key were
13580 considered a large integer, its value would be 6C416563...696C40 for Alice, for example.

Party	Identification (EUI-64) A
Alice (A_i)	A0:A0:0A:0A:A0:A0:00:AA
Bob (A_r)	B0:B0:0B:0B:B0:B0:00:BB

13581 **C.7.2.1 Test Vector #1**

13582 For the present test vector, the Pre-shared Key (PSK) is assumed as *apscWellKnownPSK*, the well-known key for
13583 anonymous key exchanges when there is no installation code derived pre-configured link-key available. This results
13584 in the following generator base-point G:

13585 $G = H(\text{PSK}) = \text{EE E8 B7 90 39 6F 5B C0 99 4B E4 4F A7 3C AE 1A}$
13586 $4B \text{ FE DC 7A 71 2F 7B 32 86 4B 45 BF 86 F9 F1 78}$

13587 To avoid collision with a number of known, weak generator points the first byte is set to 0x09. This results in:

13588 $G[0] = 09$
13589 $G = 09 \text{ E8 B7 90 39 6F 5B C0 99 4B E4 4F A7 3C AE 1A}$
13590 $4B \text{ FE DC 7A 71 2F 7B 32 86 4B 45 BF 86 F9 F1 78}$

13591 The public points that result for the given private keys on Curve25519 are calculated as $Q = dG$, where G is the
13592 generator base-point as noted above:

Party	Public Key Point Q
Alice (Q_i)	02 B0 9E DD 3B 8D E0 88 4F C4 E1 93 A2 AE 17 97 02 F8 73 6C 79 F0 E2 34 2C 09 9C 4B F5 F9 B2 77
Bob (Q_r)	68 8F A2 A3 F0 D1 92 B4 64 6F E6 62 99 4D 27 CC 5B 6A 57 71 BA 56 F3 3F 3E 8B ED 5E 71 71 09 0F

13593 Alice and Bob calculate the shared ECDH secret point via point-multiplication on the curve, by multiplying the remote
13594 public point times the local private key, both arriving at the same value:

13595 $xk = 24 \text{ 14 1C 4C 06 A2 E7 D5 9F 15 36 7F AC E3 9E C2 0C 17 67 BB 97 25 79 41 6F 14 10 CC 36 22 2A 2F}$

13596 For calculating the session identifier I the identifications and public points of initiator (Alice) and responder (Bob) are
13597 concatenated; the concatenation order depends on the integer comparison of both identifications (EUI-64s):

13598 $A_i || Q_i = AA\ 00\ A0\ A0\ 0A\ 0A\ A0\ A0\ ||$
 13599 02 B0 9E DD 3B 8D E0 88 4F C4 E1 93 A2 AE 17 97 02 F8 73 6C 79 F0 E2 34 2C 09 9C 4B F5 F9 B2 77
 13600

13601 $A_r || Q_r = BB\ 00\ B0\ B0\ 0B\ 0B\ B0\ B0\ ||$
 13602 68 8F A2 A3 F0 D1 92 B4 64 6F E6 62 99 4D 27 CC 5B 6A 57 71 BA 56 F3 3F 3E 8B ED 5E 71 71 09 0F
 13603

13604 $I = AA\ 00\ A0\ A0\ 0A\ 0A\ A0\ A0$
 13605 02 B0 9E DD 3B 8D E0 88 4F C4 E1 93 A2 AE 17 97 02 F8 73 6C 79 F0 E2 34 2C 09 9C 4B F5 F9 B2 77
 13606 BB 00 B0 B0 0B 0B B0 B0
 13607 68 8F A2 A3 F0 D1 92 B4 64 6F E6 62 99 4D 27 CC 5B 6A 57 71 BA 56 F3 3F 3E 8B ED 5E 71 71 09 0F

13608 The final shared secret is obtained by concatenating above x-coordinate, the session identifier and the based point G,
 13609 which was derived from the pre-shared secret (PSK) and calculating the SHA-256 hash value:

13610 $s = H(xk || I || G) = 6F\ 79\ F3\ 60\ C9\ 8A\ E7\ F2\ 4E\ 6D\ DD\ AB\ B5\ A3\ 6D\ 6E$
 13611 A8 0C 76 5A C6 91 1B AE 17 1F 21 20 3E 88 90 AD

13612 The derived APS link-key is then finally:

13613 $KDF(s, \{ 0x01 \}) = HMAC\text{-}SHA\text{-}256(s, \{ 0x01 \}) =$
 13614 EB 52 E5 BF 6B 5A C7 F0 A9 44 0C AD 78 0B B7 0B

13615 Note: The HMAC is truncated to the first 128-bits.

13616 **C.7.2.2 Test Vector #2**

13617 For the present test vector, the Pre-shared Key (PSK) is assumed as “ZigBeeAlliance20”. This results in the following
 13618 generator base-point G:

13619 $G = H(PSK) = F0\ D0\ 7B\ 39\ 6D\ 10\ C1\ 48\ E3\ DA\ C6\ DE\ A7\ DC\ B2\ 82$
 13620 BB DD EE 80 63 63 A6 5C 2B 9F C9 72 7F E2 D4 F0

13621 To avoid collision with a number of known, weak generator points the first byte is set to 0x09. This results in:

13622 $G[0] = 09$
 13623 $G = 09\ D0\ 7B\ 39\ 6D\ 10\ C1\ 48\ E3\ DA\ C6\ DE\ A7\ DC\ B2\ 82$
 13624 BB DD EE 80 63 63 A6 5C 2B 9F C9 72 7F E2 D4 F0

13625 Notice that this base point is larger than the prime $p = 2^{255} - 19$. This test vector has specifically been chosen to
 13626 ensure that implementations do not fail, when they encounter such basepoints, and perform as expected.

13627 The public points that result for the given private keys on Curve25519 are calculated as $Q = dG$, where G is the
 13628 generator base-point as noted above:

Party	Public Key Point Q
Alice (Q _i)	CF 65 21 5E 9A 4A C0 15 AD 5B 1E 08 70 54 24 DA 83 94 6C 7B 80 7A B1 9F FD D0 3 C 2F 6F B6 37 58
Bob (Q _r)	AA F7 27 B1 F7 9D 63 4C 21 DA 31 E4 AF 39 FD 52 62 92 37 BF 53 C8 B2 03 A5 6C 4B 17 BB 3F B5 51

13629 Alice and Bob calculate the shared secret point via point-multiplication on the curve, by multiplying the remote public
 13630 point times the local private key, both arriving at the same value:

13631 $xk = 35\ 88\ 71\ B8\ F6\ 22\ 24\ 4D\ 9A\ CF\ 82\ 62\ 47\ 13\ 7F\ 88\ 9F\ AF\ 39\ 38\ A5\ 38\ DC\ 41\ 7D\ E2\ E4\ 14\ BB\ E6\ 0C\ 2D$

13632 For calculating the session identifier I the identifications and public points of initiator (Alice) and responder (Bob) are
 13633 concatenated; the concatenation order depends on the integer comparison of both identifications (EUI-64s):

13634
$$A_i || Q_i = AA\ 00\ A0\ A0\ 0A\ 0A\ A0\ A0\ ||$$

13635
 13636 CF 65 21 5E 9A 4A C0 15 AD 5B 1E 08 70 54 24 DA 83 94 6C 7B 80 7A B1 9F FD D0 3C 2F 6F B6 37 58

13637

13638
$$A_r || Q_r = BB\ 00\ B0\ B0\ 0B\ 0B\ B0\ B0\ ||$$

13639 AA F7 27 B1 F7 9D 63 4C 21 DA 31 E4 AF 39 FD 52 62 92 37 BF 53 C8 B2 03 A5 6C 4B 17 BB 3F B5 51

13640

13641
$$I = AA\ 00\ A0\ A0\ 0A\ 0A\ A0\ A0$$

13642 09 D0 7B 39 6D 10 C1 48 E3 DA C6 DE A7 DC B2 82 BB DD EE 80 63 63 A6 5C 2B 9F C9 72 7F E2 D4 F0

13643

$$BB\ 00\ B0\ B0\ 0B\ 0B\ B0\ B0$$

13644 AA F7 27 B1 F7 9D 63 4C 21 DA 31 E4 AF 39 FD 52 62 92 37 BF 53 C8 B2 03 A5 6C 4B 17 BB 3F B5 51

13645 The final shared secret is obtained by concatenating above x-coordinate, the session identifier and the based point G,
 13646 which was derived from the pre-shared secret (PSK) and calculating the SHA-256 hash value:

13647
$$s = H(xk || I || G) = B7\ 7D\ 9E\ A0\ B6\ 4D\ 6A\ D7\ 33\ 9A\ B2\ 7D\ 60\ 03\ E6\ 8B$$

13648 8A 27 26 19 7F 76 E2 0F B8 24 C4 3B 6B B4 5A 47

13649 The derived APS link-key is then finally:

13650
$$KDF(s, \{ 0x01 \}) = HMAC-SHA-256(s, \{ 0x01 \}) =$$

13651 31 ED 35 83 AE 18 89 BE B4 24 90 1B CB 18 1A 99

13652

ANNEX D MAC AND PHY SUB-LAYER CLARIFICATIONS

13653

D.1 Introduction

13654

D.1.1 Scope

13655 This annex applies to the IEEE Std 802.15.4-2020 Medium Access Control sub-layer (MAC) and Physical Layer
13656 (PHY) specification when used in conjunction with higher layers defined by the Zigbee specification. Nothing is
13657 implied about the usage under other circumstances.

13658

D.1.2 Purpose

13659 The current Zigbee specification assumes the use of the MAC and PHY sub-layers defined in the IEEE Std 802.15.4-
13660 2020 specification. However, as developers have put the MAC and PHY sub-layers into use, they have uncovered
13661 problems that MAY or MAY NOT have been anticipated by the authors of the specification, or are not covered in the
13662 IEEE Std 802.15.4-2020 specification. This document is intended to provide solutions to such problems, for use by
13663 the Connectivity Standards Alliance.

13664

D.2 Numeric Status Code Values

13665 Some Zigbee over-the-air messages contain MAC layer status code values. IEEE Std 802.15.4-2003 and -2006 con-
13666 tained numeric values for symbolic MAC/PHY status code enumerations, whereas later revisions did not include such
13667 values any more. MAC layer status codes, when sent over the air, SHALL use the numeric values provided in IEEE
13668 Std 802.15.4-2006, as far as such numeric values exist.

13669

D.3 Stack Size Issues

13670 Both MAC and Zigbee stack developers have discovered that implementation of a full-blown MAC is a major under-
13671 taking and requires a great deal of code space. Even with the optional GTS and MAC security features eliminated, it
13672 is not surprising to find the MAC taking up more than 24K of code space on a processor with 64K of available space.

13673 The Connectivity Standards Alliance has adopted a compensating policy to declare MAC features that are not required
13674 to support a particular stack profile optional with respect to that stack profile. In particular, any MAC feature that will
13675 not be exploited as a result of platform compliance testing for a particular stack profile need not be present in order
13676 for an implementation to be declared platform compliant. For example, since the Zigbee Pro stack profile relies on a
13677 beaconless network, the platform compliance testing for the stack profile does not employ beaconing. The code to
13678 support regular beaconing, beacon track, and so on, MAY therefore be absent from the code base of the device under
13679 test without the knowledge of the testers, without presenting a problem with respect to platform compliance certifica-
13680 tion.

13681 The exact list of MAC features that SHALL be supported in a platform is described in the PICS document used for
13682 MAC conformance testing.

13683

D.4 MAC Association

13684 At association time, according to the IEEE Std 802.15.4 specification, a number of frames are sent, including an
13685 association request command, an associate response command and a data request. There is some ambiguity in the
13686 specification regarding the addressing fields in the headers for these frames. Table D-1 to Table D-3 outline the al-
13687 lowable options that SHALL be recognized by devices implementing the Zigbee specification. In each case, the first
13688 option given is the preferred option and SHOULD be used.

13689

Table D-1. Associate Request Header Fields

DstPANId	DstAddr	SrcPANId	SrcAddr
The PANId of the destination device.	The 16-bit short address of the destination device.	0xffff	The 64-bit extended address of the source device.
		PANId omitted because the IntraPAN sub-field in the frame control field is set to one.	
		The PANId of the destination device.	
Not present if the destination device is the PAN coordinator.	Not present if the destination device is the PAN coordinator.		

13690 Note that in this case and the case below, the source of the command is the device requesting association.

13691

Table D-2. Data Request Header Fields

DstPANId	DstAddr	SrcPANId	SrcAddr
The PANId of the destination device.	The 16-bit short address of the destination device.	0xffff	The 64-bit extended address of the source device.
		PANId omitted because the IntraPAN sub-field in the frame control field is set to one.	
		The PANId of the destination device.	
Not present if the destination device is the PAN coordinator.	Not present if the destination device is the PAN coordinator.		

13692

Table D-3. Association Response Header Fields

DstPANId	DstAddr	SrcPANId	SrcAddr
The PANId of the destination device.	The 64-bit extended address of the destination device.	PANId omitted because the IntraPAN sub-field in the frame control field is set to one.	The 64-bit extended address of the source device.

DstPANId	DstAddr	SrcPANId	SrcAddr
		The PANId of the source device.	
0xffff			

13693 D.5 aMaxMACFrameSize

13694 The IEEE Std 802.15.4-2020 MAC specification [B1] has two constants that define the minimum and maximum
 13695 values for the MAC data packet payload size. These are the *aMaxMACPayloadSize* (118 bytes) and the *aMax-*
 13696 *MACSafePayloadSize* (102 bytes). Since the overhead imposed by the MAC header is variable, the actual limit of the
 13697 MAC data payload size is in between these values and MAY vary by implementation.

13698 When used in a Zigbee platform, the MAC implementation SHALL support transmission and reception of unsecured
 13699 MAC data packet payloads of up to (*aMaxPHYPacketSize* - *nwkcMinHeaderOverhead*) bytes. The value of *nwkcMin-*
 13700 *HeaderOverhead* parameter takes into account the fact that Zigbee uses short addressing modes and intra-PAN com-
 13701 munications.

13702 D.6 Frame Version Value

13703 The MAC specification requires that any unsecured MAC data packet with payload size greater than aMax-
 13704 MACSafePayloadSize (102bytes) SHALL have the Frame Version field set to one (see section 6.3.1 of [B1]). When
 13705 used in a Zigbee platform, the MAC implementation SHALL always set the Frame Version field in unsecured MAC
 13706 data packets to zero. The reason for this is to ensure backwards compatibility with existing deployed Zigbee devices
 13707 that cannot receive packets correctly if these bits are set to a non-zero value. Note that this deviation is only on the
 13708 transmit side, the receive side processing is unchanged. That is, the MAC implementation SHALL be able to receive
 13709 and process MAC data packets with the Frame Version field set to any non-reserved value, as specified in section
 13710 5.6.1.2 of [B1].

13711 The MAC specification allows the coordinator realignment command to be sent with either Frame Version of zero or
 13712 one. The format of the command is different in each case (see section 5.3.8.1 of [B1]). When used in a Zigbee imple-
 13713 mentation, the MAC implementation SHALL always set the Frame Version field in the coordinator realignment com-
 13714 mand to zero.

13715 D.7 Beaconsing in Zigbee Networks

13716 Zigbee SHALL not use periodic beaconing. Beacons are sent in response to beacon requests. Zigbee does not make
 13717 use of GTS. The following MAC PIB values SHALL be set by the Zigbee stack.

PIB	Value
macBeaconOrder	SHALL be set to 0x0F
macSuperframeOrder	SHALL be set to 0x0F

13718 D.8 CSMA Backoff Timing

13719 The IEEE Std 802.15.4-2020 specification provides an increase in *macMaxBE* to 8 from 5. This higher value is al-
 13720 lowed within Zigbee and it is recommended as the default. The default value of *macMinBE* SHOULD be 5 instead of

13721 3. This provides better joining performance in dense networks where many devices MAY be responding to a beacon
13722 request. For NA Regional Sub-GHz FSK PHY it is recommended to increase macMinBE to 7 and macMaxBE to 10.

13723 D.9 Recommended Scan Duration

13724 The time a device listens for beacons is set by IEEE Std 802.15.4 to $aBaseSuperframeDuration * (2^n + 1)$ symbols where
13725 n is the value of the *ScanDuration* parameter. For Zigbee implementations the value of n SHOULD be set to ensure
13726 the duration of the listening window is similar to the length of time the beacon responses are EXPECTED.

13727 For the 2.4GHz a *ScanDuration* value of 3 is recommended. For GB SE and Sub-GHz FSK PHY's and Regional Sub-
13728 GHz FSK PHY a *ScanDuration* value of 5 is required.

13729 D.10 MAC Interface Changes

13730 The IEEE Std 802-15-4-2020 specification has no notification when a MAC data poll is received by a coordinator
13731 (FFD) or any ability for the Zigbee layers to dictate the response to the MAC data poll. Therefore the following
13732 interfaces are defined for a MAC used by Zigbee network layers.

13733 D.10.1 Additional Primitives accessed through the MLME-SAP

13734 Those primitives marked with a diamond (◊) are optional for an RFD.

Name	Request	Indication	Response	Confirm
MLME-Poll	(Already specified in reference [B1])	D.10.2	-	(Already specified in reference [B1])

13735 D.10.2 MLME-POLL.indication

13736 The MLME-Poll.indication primitive notifies the next higher level that a request for data has been received.

13737 D.10.2.1 Semantics of the Service Primitive

13738 The semantics of the MLME-Poll.indication primitive is as follows.

```

13739 MLME-Poll.indication          {
13740                               AddrMode
13741                               DeviceAddress
13742                               }

```

13743

Name	Type	Valid Range	Description
AddrMode	Integer	0x02 – 0x03	This value can take one of the following values: 2=16 bit short address. 3=64 bit extended address.
DeviceAddress	Integer	As specified by AddrMode parameter.	The address of the device requesting pending data.

13744 D.10.2.2 When Generated

13745 The MLME-POLL.indication primitive indicates the reception of a Data request command frame by the MAC sub-
13746 layer and issued to the local SSCS (service specific convergence sublayer).

13747 **D.10.2.3 Effect on Receipt**

13748 The effect on receipt of the MLME-Poll.indication primitive is that the next higher layer is notified that a device is
13749 requesting to see if there is a pending MAC data frame. If an indirect frame is queued by the higher layer during the
13750 processing of an MLME-POLL.indication it SHALL affect the pending bit in the ACK frame corresponding to the
13751 data request frame that caused the MLME-POLL.indication to be issued.

13752 **D.11 MAC Feature Enhancements**

13753 This section describes the MAC Enhancements Applicable to Sub-GHz Only mode, optional for 2.4GHz operation.

13754 **D.11.1 Enhanced Beacon Request and Enhanced Beacon (response)**

13755 When networks are required to provide a mechanism for joining devices to sort through multiple PANs in overlapping
13756 proximity to find the correct network to join, the Enhanced Beacons capability introduced in IEEE Std 802.15.4
13757 2015 can be used to accomplish this.

13758 In addition to supporting the existing usage of beaconing for the purpose of surveying IEEE Std 802.15.4 networks,
13759 devices operating in the GB Smart Energy and Regional Sub-GHz FSK bands SHALL (for devices operating in the
13760 2.4 GHz band it is optional) support the use of Enhanced Beacons. Support of Enhanced Beacons includes sup-
13761 porting the Enhanced Beacon Request for joining and rejoining, and the Enhanced Beacon Filtering and Enhanced
13762 Beacon (response) for joining, along with any additions/changes specified in this section.

13763 While it is possible for IEs to be included in any MAC frame, devices SHALL only include IEs in frames during the
13764 joining and rejoining process when sending Enhanced Beacon Requests and Enhanced Beacons. IEs SHALL NOT be
13765 included and the length of the Information Element field SHALL be 0, as shown in Figure D-13, for any other MAC
13766 frame. When using Enhanced Beacon Requests and Enhanced Beacons, the length of the Information Element field
13767 SHALL NOT be 0.

13768 The Enhanced Beacon Request (EBR) and Enhanced Beacon (EB) are very similar in format to the Beacon Request
13769 and Beacon from prior specifications. The Enhanced types provide a mechanism to reduce the beacon storm typically
13770 seen when a non-Enhanced Beacon Request is used. The Enhanced types set the Frame Version field to b010. EBRs
13771 are achieved by sending a Beacon Request command in a MAC command frame, with the Frame Version field set to
13772 b010. A version of b010 indicates the frames are compatible with IEEE Std 802.15.4 2015 and NOT compatible with
13773 IEEE Std 802.15.4-2003 nor compatible with IEEE Std 802.15.4-2006. Devices implemented with earlier versions of
13774 the IEEE Std 802.15.4 specification will drop the received frames when the Frame Version field is set to b010. When
13775 using EBRs and EBs, devices SHALL set the Frame Version field to b010.

13776 EBs and EBRs enable use of a new field in the IEEE Std 802.15.4 MAC protocol known as Information Elements
13777 (IEs). These IEs contain arbitrary data and are used in two ways:

- 13778 1. The IEs contain arbitrary information that the EBs can advertise to un-joined devices about the MLME parame-
13779 ters or higher level application running on top of the IEEE Std 802.15.4 network.
- 13780 2. The IEs can contain filter criteria that restrict the types of devices or the number of devices that respond to
13781 EBRs.

13782 When a device receives unrecognized IEs, the unrecognized IEs are ignored, and the rest of the frame, including any
13783 recognized IEs are processed.

13784 The Enhanced Beacon Request (EBR) along with the Enhanced Beacon (EB) response, as defined below, MAY be
13785 used in any band in which Zigbee operates. When EBR and EB are used in systems with legacy devices, the legacy
13786 devices will ignore the frames, since EBR and EB are version 2 frames.

13787 **D.11.1.1 Enhanced Beacon Filter IE (used for joining)**

13788 The EB Filter is described in 7.4.4.6 of IEEE Std 802.15.4-2020. When joining a network a device SHALL send an
13789 EBR containing the EB Filter IE and SHALL set the Permit Joining On field of the EB Filter IE to 1. Joining devices
13790 MAY additionally set either or both of the “Include Link Quality Filter” and the “Include Percent Filter” fields of the
13791 EB Filter IE to 1. Devices will not make use of the Attribute IDs and therefore the Attribute IDs Length field of the
13792 EB Filter IE SHALL be set to 0.

13793 When a joining device sends the EBR containing the EB Filter IE, it SHALL set the PAN ID Compression field bit of
 13794 the Frame Control field to 1. The joining device SHALL set the Destination Addressing Mode field of the Frame
 13795 Control field to 10 and the Source Addressing Mode field of the Frame Control field to 11. The joining device SHALL
 13796 set the Destination Address to the broadcast short address (0xffff), the Destination PAN ID to the broadcast PAN ID
 13797 (0xffff), and the Source Address to the extended address of the joining device. A Source PAN ID is not included.

13798 The format of the frame when an EBR is sent during initial joining, including the TX Power IE defined in D.11.2,
 13799 SHALL be as defined Figure D-1.

Field	Sub-field	Bytes	Value	Notes
PHY Length		1	36	
MAC Frame Control		2	0xEA43	
	Frame Type (bits 0-2)		3	
	Security Enabled (bit 3)		0	
	Frame Pending (bit 4)		0	
	ACK Required (bit 5)		0	
	PAN ID Compression (bit 6)		1	Formerly known as Intra-PAN
	Reserved (bit 7)			
	Sequence Number Suppression (bit 8)		0	
	IE List Present (bit 9)		0	
	Destination Address Mode (bits 10-11)		2	Short Address Mode
	Frame Version (bits 12-13)		2	Normally Zigbee Uses 0 for the version
	Source Address Mode (bits 14-15)		3	Long Address Mode
Sequence Number		1	0	May be any value
Destination PAN ID		2	0xFFFF	
Destination Address		2	0xFFFF	
Source PAN Identifier		0		Not Present since PAN ID Compression = 1
Source Address		8	0x0123456789ABCDEF	This will be the device's actual EUI64
Auxiliary Security Header		0		Not Present
Header IE Termination		2	0x3F00	Termination IE Payload IE to follow
	Length (bits 0 – 6)		0	Length field does not include frame control
	Group ID (bits 11-14)		1	0x01 = MLME (Nested)
	Type (bit 15)		0	0 = Header IE
Payload IE		2	0x8803	MLME Nested
	Length (bits 0 - 10)		3	Length field does not include Frame Control
	Group ID (bits 11-14)		1	0x01 = MLME (Nested)
	Type (bit 15)		1	1 = Payload IE
Sub-IE Descriptor		2	0x1E01	Enhanced Beacon Filter
	Length		1	Length field does not include Frame Control
	Sub-ID (bits 8-14)		0x1E	0x1E = EB Filter
	Type (bit 15)		0	0 = Short (0-255 length)
	Filter Control Field	1	0x01	Filters Enabled: Permit Joining on

Field	Sub-field	Bytes	Value	Notes
Payload IE		2	0x9006	Vendor Specific: Zigbee OUI
	Length (bits 0-10)		0x06	
	Group ID (bits 11-14)		0x02	Vendor Specific
	Type (bit 15)		1	1 = Payload IE
Vendor OUI		3	0x4A191B	The Connectivity Standards Alliance’s assigned CID value is 4A-19-1B
	Sub-IE Descriptor	2	0x0041	TX Power
	Length (bits 0-5)		0x01	
	Sub-ID (bits 6-15)		0x0001	Zigbee TX Power IE
	TX Power	1	0x1B	TX Power (dBm) used to send this frame (e.g. 27)
Payload IE		2	0xF800	Termination IE
	Length (bits 0-10)		0	
	Group ID (bits 11-14)		0xF	Payload Termination IE
	Type (bit 15)		1	1 = Payload IE
Command Frame Identifier		1	0x07	0x07 = Beacon Request
CRC		2	0x1234	This value is calculated according to the rest of the frame.

Figure D-1. Enhanced Beacon Request Format

13800

D.11.1.2 Additional Filtering When Joining

13801

13802 Using the EB Filter helps to filter out networks only when a single network within an area is commissioned at a time.
 13803 However, there are many cases where multiple devices are commissioned to different PANs in close proximity within
 13804 the same time window. This could occur for example within a multiple dwelling unit where there are unique PANs
 13805 per apartment. Installers MAY setup multiple networks at the same time, or end users within close proximity are
 13806 enrolled into a utility program at the same time and are commissioning devices. In these cases simply filtering on open
 13807 networks will still produce a large number of results.

13808 Unlike Beacon Requests, EBRs MAY contain the source address field and therefore the extended address of the device
 13809 sending the EBR. This provides a mechanism for an additional layer of filtering to occur. The Trust Center, for the
 13810 networks capable of doing so, SHALL update the *mibJoiningIeeeList* by adding the IEEE addresses of devices allowed
 13811 to join the network and sending the updated list to coordinators/routers in the network. The coordinators/routers
 13812 SHALL store the *mibJoiningIeeeList* within volatile memory. Coordinators/Routers in the network SHALL use the
 13813 *mibJoiningPolicy* to set their current permitted joining mode. The *mibJoiningIeeeList* and *mibJoiningPolicy* attributes
 13814 are described in Table D-4.

13815 In the case where the network has no Trust Center (distributed network), routers SHALL NOT support the IEE-
 13816 ELIST_JOIN *mibJoiningPolicy*.

13817 Additionally when a new coordinator/router joins the network the Trust Center SHALL send the *mibJoiningIeeeList*
 13818 to the newly joined coordinator/router. Trust center SHALL use the *Mgmt_NWK_IEEE_Joining_List_rsp* to inform
 13819 the devices at the join time of the *mibJoiningIeeeList*.

13820 In case of updates to the *mibJoiningIeeeList* while no new device has joined, the trust center SHALL send
 13821 *Mgmt_NWK_IEEE_Joining_List_rsp* to inform the network devices of the latest *mibJoiningIeeeList*.

13822

Table D-4. Joining MAC PIB Attributes

Attribute	Type	Range	Description	Default
-----------	------	-------	-------------	---------

Read/Write Attributes				
<i>mibJoiningIeeeList</i>	List of 64bit mac addresses	-	List containing the 64bit <i>macExtendedAddress</i> of each device permitted to join.	Empty
<i>mibJoiningPolicy</i>	Enumeration	NO_JOIN, ALL_JOIN, IEEEEELIST_JOIN	Sets the permitted mode of joining	NO_JOIN
<i>mibIeeeExpiryInterval</i>	Integer	1-1440 (min)	Time before clearing <i>mibJoiningIeeeList</i> .	5 (min)
<i>mibIeeeExpiryInterval-Countdown</i>	Integer	0-86400 (sec)	Number of seconds remaining before clearing <i>mibJoiningIeeeList</i> and setting <i>mibJoiningPolicy</i> to NO_JOIN.	Empty

13823 The combination of the *mibJoiningIeeeList* and *mibJoiningPolicy* SHALL, for the MAC interfaces capable of doing
 13824 so, be used as part of the filtering and joining process used in response to EBRs as described below. For the MAC
 13825 interfaces unable to support the *mibJoiningIeeeList* capability only the NO_JOIN and ALL_JOIN options of the *mib-*
 13826 *JoiningPolicy* SHALL be used.

13827 The higher layer SHALL manage the *mibJoiningIeeeList* via the MLME-GET.request and MLME-SET.request prim-
 13828 itives. Whenever the MLME-SET.request is used to modify the *mibJoiningIeeeList* a timer known as *mibIeeeEx-*
 13829 *piryIntervalCountdown* SHALL be started. The initial value of *mibIeeeExpiryIntervalCountdown* SHALL be set to
 13830 60 times the *mibIeeeExpiryInterval*. Every second the *mibIeeeExpiryIntervalCountdown* shall be decremented until it
 13831 reaches 0. Upon reaching zero, the *mibJoiningIeeeList* SHALL be set to an empty list and the *mibJoiningPolicy*
 13832 SHALL be set to NO_JOIN.

13833 If a coordinator/router has not been told the *macExtendedAddress* of devices joining into the network, prior to receiv-
 13834 ing an EBR, then the *mibJoiningIeeeList* in the coordinator/router SHALL remain empty.

13835 Coordinators/Routers hearing an EBR with the EB Filter IE, who have their *mibJoiningPolicy* set to NO_JOIN,
 13836 SHALL NOT allow devices to join and an EB SHALL NOT be sent.

13837 Coordinators/Routers hearing an EBR with the EB Filter IE, who have their *mibJoiningPolicy* set to ALL_JOIN or
 13838 IEEEEELIST_JOIN, SHALL first filter on the EB Filter IE as described previously. If the applied filter indicates that an
 13839 EB is to be generated, then the coordinator/router SHALL examine their *mibJoiningPolicy*:

- 13840 • If the *mibJoiningPolicy* is set to ALL_JOIN, then any device MAY join that coordinator/router and an EB SHALL
 13841 be sent.
- 13842 • If the *mibJoiningPolicy* is set to IEEEEELIST_JOIN, then a secondary filter SHALL be applied. This secondary
 13843 filter SHALL examine the *mibJoiningIeeeList*:
 - 13844 ○ If the contents of the *mibJoiningIeeeList* contains an address matching the source address field in the com-
 13845 mand frame containing the EBR, then an EB SHALL be sent.
 - 13846 ○ If the contents of the *mibJoiningIeeeList* does not contain an address matching the source address field in the
 13847 command frame containing the EBR, then an EB SHALL NOT be sent.

13848 When an EB is sent by a coordinator/router in response to an EBR, and is sent as part of the joining process (i.e. an
 13849 EBR containing the EB Filter IE), it SHALL set the PAN ID Compression field bit of the Frame Control field to 0.
 13850 The coordinator/router SHALL set the Destination Addressing Mode field of the Frame Control field to 00 and Source
 13851 Addressing Mode field of the Frame Control field to 11. By setting the Destination Address Mode to NONE (00),
 13852 devices from other networks can receive the EB to perform PAN ID conflict detection and resolution the same as it
 13853 would for a standard (non-enhanced) Beacon.

13854 The coordinator/router SHALL set the Source PAN ID to the *macPanId*, and the Source Address field to the extended
 13855 address of the device transmitting the beacon. The Destination PAN ID and Destination Address fields are not in-
 13856 cluded.

13857 For consistency the standard beacon information SHALL be presented to the Zigbee layers when receiving an En-
 13858 hanced Beacon, whether it is during the initial joining or the rejoining process. To facilitate this EBs SHALL include
 13859 the EB Payload IE. The EB Payload IE is a Zigbee Payload (Nested) IE. The Sub-ID Value for the EB Payload IE is
 13860 given in .

13861 The Content field format of the EB Payload IE is shown in Figure D-2.

Bits: 0-7	8-11	12-15	16-17	18	19-22	23	24-87	88-111	112-119
Protocol ID	Stack Profile	NWK Protocol Version	Reserved	Router Capacity	Device Depth	End Device Capacity	NWK EXT PAN ID	Tx Offset	NWK Update ID

Bits: 120-123	124-127	128-131	132	133	134	135	136-151
Beacon Order	Super-Frame Order	Final CAP Slot	Battery Life Extension	Re-served	PAN Coordinator	Associa-tion Permit	Source Short Address

Figure D-2. EB Payload IE Content Field Format

13862

13863 An EB frame sent in response to an EBR received during initial joining, including the TX Power IE defined in D.11.2,
 13864 SHALL be formatted as defined in Figure D-3.

Field	Bytes	Value	Notes
PHY Length	1	49	
Frame Control	2	0xE200	
Frame Type (bits 0-2)		0	Beacon Frame
Security Enabled (bit 3)		0	
Frame Pending (bit 4)		0	
ACK Required (Bit 5)		0	
PAN ID Compression (bit 6)		0	Formerly known as Intra-PAN
Reserved (bit 7)		0	
Sequence Number Suppression (bit 8)		0	
IE List Present (bit 9)		1	IEs are present
Destination Address Mode (bits 10-11)		0	None Address Mode (required for PAN ID conflict detection)
Frame Version (bits 12-13)		2	Version 2 = Enhanced Beacon
Source Address Mode (bits 14-15)		3	Long Address mode. (Short address in EB Payload IE)
Sequence Number	1	0x00	0-255
Destination PAN ID	0		Not present since PAN ID Compression = 0
Destination Address	0		Not present
Source PAN Identifier	2	0x1234	Sender's macPANid
Source Address	8	0x0123456789ABCDEF	Sender's Address
Auxiliary Security Header	0		Not Present since Security Enabled = 0
HIE Termination (Payload IE to follow)	2	0x3F00	Termination IE
Length (bits 0 - 6)		0	Length field does not include Frame control
Element ID (bits 7 - 14)		0x7E	Header Termination IE 1
Type (bit 15)		0	Header IE = 0
PIE (Vendor Specific: ZigBee OUI)	2	0x901B	
Length (bits 0 - 10)		0x1B ²⁷	
Group ID (bits 11 - 14)		0x2	Vendor Specific
Type (bit 15)		1	1 = Payload IE
Vendor OUI	3	0x4A191B	The ZigBee Alliance's assigned CID value is 4A-19-1B
Sub-IE descriptor (EB Payload)	2	0x0093	
Length (bits 0 – 5)		0x13 ¹⁹	
Sub-ID (bits 6 -15)		0x0002	ZigBee EB Payload IE
Beacon Payload	15		Standard ZigBee PRO Beacon Payload
Protocol ID (bits 0-7)		0x00	
Stack Profile (bits 8-11)		0x2	
NWK Protocol Version (bits 12-15)		0x2	
Reserved (bits 16-17)		0	
Router Capacity (bit 18)		1	0 or 1
Device Depth (bits 19-22)		0x0	0 to 15
End Device Capacity (bit 23)		1	0 or 1
NWK EXT PAN ID (bits 24-87)		0x1122334455667788	EXT PAN ID of network
Tx Offset (bits 88-111)		0xFFFFFFFF	
NWKUpdate ID (bits 112-119)		0x00	0-255
Superframe Specification	2	0xCFFF	
Beacon Order (bits 0-3)		0xF	
SuperFrame Order (bits 4-7)		0xF	
Final CAP Slot (bits 8-11)		0xF	
Battery Life Extension (bit 12)		0	0 or 1
Reserved (bit 13)		0	
PAN Coordinator (bit 14)		1	0 or 1
Association Permit (bit 15)		1	0 or 1
Source Short Address (bit 0-15)	2	0x1234	Short address of device sending EB
Sub-IE descriptor (TX Power)	2	0x0041	
Length (bits 0 – 5)		0x01	
Sub-ID (bits 6 -15)		0x0001	ZigBee TX Power IE
TX Power	1	0x1B	TX Power (dBm) used to send this frame (e.g. 27).
PIE (Termination)	2	0xF800	Termination IE
Length (bits 0 - 10)		0	
Group ID (bits 11 - 14)		0xF	Payload Termination IE
Type (bit 15)		1	
CRC	2	0x1234	This value is calculated according to the rest of the frame.

13865

13866

Figure D-3.

- 13867 After successful joining of a device it is EXPECTED that the Trust Center can update the *mibJoiningIeeeList* to
 13868 remove the IEEE addresses of devices that have successfully joined and send the updated list to coordinators/routers
 13869 in the network. This MAY be done via sending the ZDO message Mgmt_NWK_IEEE_Joining_List_rsp. The ZDO
 13870 layer on the coordinator/router receiving this message in turn will inform the MLME.
- 13871 The *mibJoiningPolicy* only affects when Enhanced Beacons are sent. It has no relation to *macAssociationPermit*
 13872 within the MLME. It is EXPECTED that the higher layer will inform the MLME separately of the state of *macAsso-*
 13873 *ciationPermit*, *mibJoiningPolicy*, *mibJoiningIeeeList*, *mibIeeeExpiryInterval*, and *mibIeeeExpiryIntervalCountdown*.
 13874 These MLME attributes can be uniformly managed across the Zigbee Network by the ZDO.

	<i>Response When</i>		
	<i>Permit Join true</i>	<i>mibJoiningPolicy is IEEEELIST_JOIN and IEEE found</i>	<i>Permit Join false</i>
Association Request	Success	Success	Reject
Beacon Request	Beacon with pjoin=true		Beacon* with pjoin=false
Enhanced Beacon Request	respond per filter	respond per filter	no response

- 13875 * If *mibJoiningPolicy* is IEEEELIST_JOIN a Beacon Request is always responded to with a Beacon with pjoin=true.

13876 **D.11.1.3 Rejoining a Network**

- 13877 It is possible in any Zigbee network that the network MAY be moved to a different channel or short PAN ID due to
 13878 contention. Channel changes MAY be more common in the sub-GHz bands vs. that of 2.4 GHz, due to the fact that
 13879 some channels MAY be limited in their allowable power levels as a result of regional regulatory restrictions. Channel
 13880 changes can take place temporarily for commissioning or they could be a permanent change to accommodate a new
 13881 device that needs to use a higher power level in order to successfully communicate with the coordinator/router.

- 13882 This change in channel or short PAN ID will cause any device that misses the notification (such as a sleepy end device)
 13883 to perform a rejoin. A rejoin requires an EBR and response (EB) very similar to joining.

- 13884 Rejoining devices will want to be able to use a similar method to joining devices to filter out extraneous beacons of
 13885 other networks. However, they will want to filter based on the Extended PAN ID, which is a universal identifier for
 13886 the specific network they were already commissioned on. They do not want to filter based on the permit joining flag
 13887 since that flag is only used by new devices when first joining the network.

- 13888 When rejoining a network a device SHALL use the EBR, but it SHALL NOT contain the EB Filter IE. The rejoining
 13889 device SHALL include the nested Zigbee Payload IE, containing the Rejoin IE and TX Power IE.

- 13890 The rejoining device SHALL set the Network Extended PAN ID field of the Rejoin IE to the *nwkExtendedPANId* of
 13891 the NIB of the rejoining device.

- 13892 When a rejoining device sends an EBR, it SHALL set the PAN ID Compression field bit of the Frame Control field
 13893 to 1. The rejoining device SHALL set the Destination Addressing Mode field of the Frame Control field to 10 and the
 13894 Source Addressing Mode field of the Frame Control field to 11. The rejoining device SHALL set the Destination
 13895 Address to the broadcast short address (0xffff), the Destination PAN ID to the broadcast PAN ID (0xffff), and the
 13896 Source Address to the extended address of the rejoining device. A Source PAN ID is not included.

- 13897 The format of the frame when an EBR is sent during rejoining SHALL be as defined in Figure D-4.

Field	Bytes	Value	Notes
PHY Length	1	43	
Frame Control	2	0xEA43	According to 802.15.4e the EBR is a Beacon Request with version b010
Frame Type (bits 0-2)		3	MAC Command
Security Enabled (bit 3)		0	
Frame Pending (bit 4)		0	
ACK Required (Bit 5)		0	
PAN ID Compression (bit 6)		1	Formerly known as Intra-PAN
Reserved (bit 7)		0	
Sequence Number Suppression (bit 8)		0	
IE List Present (bit 9)		1	
Destination Address Mode (bits 10-11)		2	Short Address mode.
Frame Version (bits 12-13)		2	Normally Zigbee uses 0 for the version
Source Address Mode (bits 14-15)		3	Long Address mode.
Sequence Number	1	0	This may be any value.
Destination PAN ID	2	0xFFFF	Broadcast
Destination Address	2	0xFFFF	Broadcast
Source PAN Identifier	0		Not present since PAN ID Compression = 1
Source Address	8	0x0123456789ABCDEF	This will be set to the device's actual EUI64
Auxiliary Security Header	0		Not Present since Security Enabled = 0
HIE Termination (Payload IE to follow)	2	0x3F00	Termination IE
Length (bits 0 - 6)		0	Length field does not include Frame control
Element ID (bits 7 - 14)		0x7E	Header Termination IE 1
Type (bit 15)		0	Header IE = 0
PIE (Vendor Specific: ZigBee OUI)	2	0x9012	
Length (bits 0 - 10)		0x12	18
Group ID (bits 11 - 14)		0x2	Vendor Specific
Type (bit 15)		1	1 = Payload IE
Vendor OUI	3	0x4A191B	The ZigBee Alliance's assigned CID value is 4A-19-1B
Sub-IE descriptor (Rejoin)	2	0x000A	
Length (bits 0 – 5)		0x0A	
Sub-ID (bits 6 -15)		0x0000	ZigBee Rejoin IE
Extended PAN ID	8	0x1234567890abcdef	Extended PAN ID of Network to Rejoin
Source Short Address (bit 0-15)	2	0x1234	Short address of device sending EBR
Sub-IE descriptor (TX Power)	2	0x0041	
Length (bits 0 – 5)		0x01	
Sub-ID (bits 6 -15)		0x0001	ZigBee TX Power IE
TX Power	1	0x1B	TX Power (dBm) used to send this frame (e.g. 27).
PIE (Termination)	2	0xF800	Termination IE
Length (bits 0 - 10)		0	
Group ID (bits 11 - 14)		0xF	Payload Termination IE
Type (bit 15)		1	
Command Frame Identifier	1	0x07	0x07 = Beacon Request.
CRC	2	0x1234	This value is calculated according to the rest of the frame.

13898

13899

Figure D-4. Enhanced Beacon Frame Format

13900 Coordinators/Routers hearing an EBR with a nested Zigbee Payload IE, containing the Rejoin IE and TX Power IE,
 13901 SHALL filter on the Network Extended PAN ID field of the Rejoin IE. If the Network Extended PAN ID field of the
 13902 Zigbee IE matches the value of the *nwkExtendedPanID* in their NIB, then the coordinator/router SHALL send an EB.
 13903 If the Network Extended PAN ID field of the Zigbee IE does not match the value of the *nwkExtendedPanID* in their
 13904 NIB, then the coordinator/router SHALL NOT send an EB.

13905 The EB frame sent in response to an EBR received during rejoining SHALL be the same format as the EB sent for
 13906 initial joining.

13907 **D.11.1.4 Zigbee Payload IE**

13908 The general format of a Payload IE is given in the IEEE Std 802.15.4-2020 Standard.

13909 The Zigbee Payload IE is a Vendor Specific Payload IE (Group ID = 0x2) using the Zigbee OUI value of 0x4A191B.

13910 The Zigbee Payload (Nested) IE SHALL be formatted as shown in Figure D-5.

Bits: 0-5	6-15	Octets: Variable
Length	Sub-ID	Content

13911 **Figure D-5. Zigbee Payload Nested IE**

13912 The Sub-ID field values for Zigbee Payload Nested IEs are shown in Table D-5.

13913 **Table D-5. Sub-ID Allocation for Zigbee Payload Nested IE**

Sub-ID value	Name
0x00	Rejoin IE
0x01	TX Power IE
0x02	EB Payload IE
0x003-0x3ff	Reserved

13914 The Rejoin IE Content field SHALL be formatted as illustrated in Figure D-6.

Octets: 8	2
Network Extended PAN ID	Sender Short Address

13915 **Figure D-6. Rejoin IE Content Field Format**

13916 The TX Power IE Content field SHALL be formatted as illustrated in Figure D-7.

Octets: 1
TX Power (in dBm - used to send the frame)

13917 **Figure D-7. TX Power IE Content Field Format**

13918 The EB Payload IE Content field SHALL be formatted as illustrated in Figure D-8. Refer to 7.4.4.6 of IEEE Std
 13919 802.15.4-2020 for more detailed information.

Octets: 15	2	2
Beacon Payload	Superframe Specification	Sender Short Address

Figure D-8. EB Payload IE Content Field Format

13920

13921 **D.11.1.5 Support for Non-Enhanced Beaconing**

13922 Devices supporting Enhanced Beaconing SHALL also support the usage reception of non-enhanced Beaconing for
13923 the purposes of surveying IEEE Std 802.15.4 networks.

- 13924 • All coordinators/routers SHALL process Beacons for PAN ID Conflict, as per the standard mechanism.
- 13925 • All coordinators/routers SHALL respond to Beacon Requests with a Beacon.
- 13926 ○ When power control is used the Beacon SHALL be sent at a power level that corresponds to the highest power
13927 level of all the known devices joined to the coordinator/router plus 6 dB, up to the maximum allowable for the
13928 channel.

13929 Example: Four devices are joined to a Sub-GHz coordinator/router. One device requires that the coordina-
13930 tor/router transmit at a power level of +10 dBm while all others require less. If the channel that the Beacon Request
13931 was received on had a maximum allowable transmit power of +27 dBm, the coordinator/router would respond to
13932 the Beacon Request using a transmit power level of +16 dBm. If however, the channel that the Beacon Request
13933 was received on had a maximum allowable transmit power of +14dB, the coordinator/router would respond to
13934 the Beacon Request using a transmit power level of +14 dBm.

- 13935 ○ When power control is not used the Beacon SHALL be sent at the power level currently being used for the chan-
13936 nel.

13937 **D.11.1.6 Association Following an Enhanced Beacon**

13938 To remain consistent with the IEEE Std 802.15.4-2020 specification a device sending an Enhanced Beacon request
13939 SHALL use long destination address mode when sending the Association Request. However devices receiving the
13940 Association request SHALL accept either long or short destination address modes. The receiving destination SHALL
13941 NOT filter the association request based on a prior beacon request.

13942 **D.11.2 MAC Support for Power Control**

13943 **D.11.2.1 Power Control Primitive Parameters**

13944 **D.11.2.1.1 RSSI Parameter**

13945 The RSSI of the received packet SHALL be measured for each received packet. This measurement MAY be taken
13946 over any portion of the received frame. Upon reception of a packet the RSSI (in dBm) of the received packet SHALL
13947 be passed up to the MAC using the appropriate MLME primitive. RSSI is an 8-bit signed integer representing the
13948 measured receive power dBm, in one dB increments. To accomplish this the RSSI parameter SHALL be added as
13949 follows:

Name	Type	Valid Range	Description
RSSI	Signed integer	See Table D-14.	The Received Signal Strength Indicator is a measure of the RF power level (in dBm) at the input of the transceiver measured during any portion of the frame being received.

13950 **D.11.2.2 TX Power IE for EBR and EB (During Joining and Rejoining Process)**

13951 To facilitate power control during the Joining and Rejoining process, the Zigbee Payload (Nested) IE, shown in Figure
13952 D-8, SHALL be sent when using the EBR and EB. The Zigbee Payload (Nested) IE SHALL contain the TX Power
13953 IE. The Content field format of the TX Power IE is shown in Figure D-7. The Sub-ID Value for the TX Power IE is

13954 given in Table D-5. The TX Power field SHALL be set to the transmit power setting of the device sending the packet.
 13955 TX Power is an 8-bit signed integer representing the TX Power in dBm, in one dB increments.

13956 **D.11.2.3 Power Control Information Table**

13957 To facilitate power control in the MAC, used for standard messages as well as for acknowledgements (ACKs), the
 13958 MAC SHALL maintain a Power Control Information Table. The table SHALL contain the links presently being used
 13959 by the MAC. These include links that are being established as well as links that have been established and have an
 13960 entry in the neighbor table. The table SHALL NOT persist over a power reset/interruption. After a power reset/interruption
 13961 devices SHALL start at their maximum power level (i.e. up to and including +14 dBm for GB 868) and
 13962 renegotiate down from there. The format of the information stored for each link represented in the Power Control
 13963 Information Table is shown in Figure D-9.

Octets	2	8	1	1	1
Data Type	Short Address	IEEE Address	TX Power Level	Last RSSI Level	NWK Negotiated

13964 **Figure D-9. Format of Power Control Information Table Entry**

13965 The Power Control Information Table SHALL support at least 1 entry.

13966 Both the Short Address and the IEEE Address are required in the EB during joining and rejoining. As soon as an EB
 13967 is received an entry is created in the MAC Power Control Information Table. Even though the use of the short and
 13968 long addresses MAY allow for the required Tx power for ACKs to be set quickly - it MAY still be extremely tight
 13969 timing to perform a lookup. Therefore ACKs MAY be sent with a Tx power equal to the maximum Tx Power Level
 13970 in the Power Control Information Table if there is not enough time to perform a lookup in the MAC Power Control
 13971 Information Table.

13972 If the sending device has an entry in the MAC Power Control Information Table then this entry is used as the power
 13973 level for all transmissions to this device. If the sending device has no entry then the maximum power level for the
 13974 channel is to be used.

13975 During the joining / rejoining process the entry in the table remains and the entry NWK Negotiated remains 0. Should
 13976 the device successfully join and an entry is created in the neighbor table then the flag NWK Negotiated SHOULD be
 13977 set to 1.

13978 A regular NWK housekeeping routine (used for power negotiation) checks for table entries not having a NWK Nego-
 13979 tiated flag set to 1, and if after 10 seconds from an entry being made during the joining / rejoining process the NWK
 13980 Negotiated flag has not been set to 1, the entry SHALL be removed from the Power Control Information Table.

13981 **D.11.2.3.1 MLME-GET-POWER-INFORMATION-TABLE.request**

13982 The MLME-GET-POWER-INFORMATION-TABLE.request primitive returns the Power Control Information entry
 13983 for the link pair. The request MAY include the Short Address and/or the IEEE (Long) Address.

13984 **D.11.2.3.1.1 Semantics of the Service Primitive**

13985 The semantics of the MLME-GET-POWER-INFORMATION-TABLE.request primitive are as follows:

13986	MLME-GET-POWER-INFORMATION-TABLE.request	{
13987		Short Address
13988		IEEE Address
13989		}
13990		

13991

Name	Type	Valid Range	Description
Short Address	16bit mac address	0-0xffff7	Short address of the link pair to transmit the packet to.
IEEE Address	64bit mac address	valid mac address	Extended (IEEE) address of the link pair to transmit the packet to.

13992 **D.11.2.3.2 MLME-GET-POWER-INFORMATION-TABLE.confirm**

13993 The MLME-GET-POWER-INFORMATION-TABLE.confirm primitive returns the status and the information requested by the MLME-GET-POWER-INFORMATION-TABLE.request.
13994

13995 **D.11.2.3.2.1 Semantics of the Service Primitive**

13996 The semantics of the MLME-GET-POWER-INFORMATION-TABLE.confirm primitive are as follows:

13997 MLME-GET-POWER-INFORMATION-TABLE.confirm	{
13998	Status
13999	Elements in Figure D-9
14000	}

14001

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, FAIL, UNSUPPORTED	Used to indicate if an entry was found for the pair requested.
Elements in Figure D-9	Variable	-	Elements in Figure D-9 for the pair requested.

14002 **D.11.2.3.3 MLME-GET-POWER-INFORMATION-TABLE.request**

14003 The MLME-GET-POWER-INFORMATION-TABLE.request primitive adds the Power Control Information entry for the link pair.
14004

14005 **D.11.2.3.3.1 Semantics of the Service Primitive**

14006 The semantics of the MLME-GET-POWER-INFORMATION-TABLE.request primitive are as follows:

14007 MLME-GET-POWER-INFORMATION-TABLE.request	{
14008	Elements in Figure D-9
14009	}

14010

Name	Type	Valid Range	Description
Elements in Figure D-9	Variable	-	Elements in Figure D-9 for the pair requested.

14011 **D.11.2.3.4 MLME-SET-POWER-INFORMATION-TABLE.confirm**

14012 The MLME-SET-POWER-INFORMATION-TABLE.confirm primitive returns the status and the information requested by the MLME-SET-POWER-INFORMATION-TABLE.request.
14013

14014

14015 **D.11.2.3.4.1 Semantics of the Service Primitive**

14016 The semantics of the MLME-SET-POWER-INFORMATION-TABLE.confirm primitive are as follows:

```

14017 MLME-SET-POWER-INFORMATION-TABLE.confirm    {
14018                                             Status
14019                                             }
14020

```

Name	Type	Valid Range	Description
Status	Enumeration	SUCCESS, FAIL, UNSUPPORTED	Used to indicate if an entry for the pair was successfully added to the Power Information Table.

14021 **D.11.2.4 Power Control Functional Description**

14022 **D.11.2.4.1 Power Control during Energy Scans and Active Scans**

14023 The Tx power level used during Energy scans SHALL be at whatever power level the devices are currently transmitting at.

14025 The Tx power level used during Active scans (when sending beacon requests and not enhanced beacon requests) during network formation SHALL be at the device's maximum power level (i.e. up to and including +14 dBm for GB 868) and the resulting beacons SHALL be sent at the device's maximum power level (i.e. up to and including +14 dBm for GB 868).

14029 **D.11.2.4.2 Power Control during EBR and EB Frames**

14030 The use of the EBR and the EB Frame with embedded TX Power IE allows a quick power level negotiation before the bulk of the communication has started. This ensures that the network traffic, especially in the early stages of joining a Zigbee network will be limited in range due to the power level negotiation, thus reducing the interference of the Zigbee network to other Zigbee networks and to other users on these frequencies.

14034 The initial power level negotiation ensures that the optimum received signal level is maintained at the receiver for good reception. The functional description of initial power negotiation occurring when using an EBR and EB during initial joining is explained below.

- 14037 • The device SHALL send the initial EBR at it's maximum power for the selected channel.
- 14038 • The initial EBR contains a TX power IE with the transmit power of the frame
- 14039 • On receipt of the EBR the normal filtering is carried out as well as the extraction of the TX power IE for further processing: EBRpwr (dBm)
- 14041 • On receipt of the EBR the RSSI of the Frame is noted: EBRRSSI (dBm)*
- 14042 * where the EBRRSSI measurement is in reference to the antenna (i.e. not between the chip and an external LNA)
- 14043 • The optimum received signal level is defined as OPTRSSI (dBm): defined as 20dB above the sensitivity requirement
- 14044
- 14045 • The recipient then calculates the difference between the incoming RSSI level of the EBR and the power level of the EBR to give the effective path loss of this link:
- 14046
- 14047 $PATHLOSS_{pwr} (dB) = EBRRSSI (dBm) - EBRpwr (dBm)$
- 14048 • Nonlinear absorption of the packet energy is neglected for the link and it is assumed that the link loss between antennae is symmetric
- 14049
- 14050 • In order to establish the optimum power level at the sender, the recipient calculates what power is necessary to overcome this path loss. This is then set as the TX power used for the EB: EBPWR (dBm). This is calculated as follows:
- 14051
- 14052

14081 The SFD SHALL be as defined in 20.2.1.2 of IEEE Std 802.15.4-2020 with the following constraint: All devices
 14082 SHALL support *phyMRFSKSF*D = 0 for uncoded PHR + PSDU fields, resulting in the following value for the SFD
 14083 field:

14084 SFD: 1001 0000 0100 1110

14085 When *phyMRFSKSF*D = 0, FEC is not supported. *phyMRFSKSF*D = 1 is not supported.

14086 **D.12.1.1.2 PHR for GB Smart Energy Sub-GHz FSK**

14087 European Sub-GHz FSK SHALL use the IEEE Std 802.15.4-2020 SUN FSK PHR, with the following settings as
 14088 shown in Figure D-12.

Bit string index	0	1-2	3	4	5-15
Bit settings	0	00	1	1	000011111111
Field name	Mode Switch	Reserved	FCS Type	Data Whitening	Frame Length*

14089 **Figure D-12. PHR**

14090 * Maximum value of Frame Length

14091 where:

- 14092 • The Mode Switch bit SHALL be set to 0 (signifying that only a single data rate is used and that mode switching
 14093 is not supported);
- 14094 • The Reserved bits SHALL be set to 0;
- 14095 • The FCS Type bit SHALL be set to 1 (signifying that a 2 byte FCS is used - to align with the 2 byte FCS used by
 14096 Zigbee for the 2.4 GHz PHY);
- 14097 • The Data Whitening bit SHALL be set to 1 (signifying data whitening of the PSDU is always enabled);
- 14098 • The Frame Length field SHALL indicate the total number of octets contained in the PSDU (i.e., PHY payload).
 14099 The PHY constant *aMaxPHYPacketSize* SHALL be set to 127, and therefore the maximum value the Frame
 14100 Length field SHALL be 127. This is done to align with the maximum Frame Length used by Zigbee for the 2.4
 14101 GHz PHY.

14102 **D.12.1.1.3 PSDU Format for GB Smart Energy Sub-GHz FSK**

14103 European Sub-GHz FSK SHALL use the IEEE Std 802.15.4-2020 PSDU for General MAC Frames, with permissible
 14104 field lengths as shown in Figure D-13, so that it matches the PSDU for General MAC Frames used by Zigbee for the
 14105 2.4 GHz PHY.

Octets: 2	1	0/2	0/2/8	0/2	0/2/8	variable	variable		variable	2
Frame Control	Sequence Number	Destination PAN Identifier	Destination Address	Source PAN Identifier	Source Address	Auxiliary Security Header	Information Elements		Frame Payload	FCS
		Addressing fields					Header IEs	Payload IEs		
MHR								MAC Payload		MFR

14106 **Figure D-13. PSDU for General MAC Frames**

14107 While it is possible for IEs to be included in any MAC frame, IEs SHALL only be included in EB frames and MAC
 14108 Command Frames sending an EBR. Otherwise IEs SHALL NOT be included and the length of the Information Ele-
 14109 ment field SHALL be 0, as shown in Figure D-12.

14110 **D.12.2 GB Smart Energy Sub-GHz FSK PHY**

14111 **D.12.2.1 Modulation Specification**

14112 European Sub-GHz FSK SHALL NOT use any of the mandatory or optional modes defined in IEEE Std 802.15.4-
14113 2020 SUN FSK. European Sub-GHz FSK SHALL use the modulation requirements as specified in Table D-6.

14114 **Table D-6. Modulation Requirements**

Parameter	Configuration
Modulation	2-Level GFSK
Data Rate	100 kbps
Tx Filter BT	0.5 (Gaussian)
Modulation Index	0.7

14115 No other modulation parameters are supported.

14116 **D.12.2.1.1 Forward Error Correction (FEC)**

14117 GB Smart Energy Sub-GHz FSK devices SHALL NOT support FEC coding.

14118 **D.12.2.1.2 Data Whitening**

14119 GB Smart Energy Sub-GHz FSK devices SHALL support Data whitening as specified in 20.4 of IEEE Std 802.15.4-
14120 2020.

14121 **D.12.2.1.3 Channels and Frequencies**

14122 GB Smart Energy Sub-GHz FSK devices SHALL be capable of operating on all the channels specified, in all of the
14123 bands specified in Table D-7.

14124 **Table D-7. Total Number of Channels and First Channel Center Frequencies**

Frequency band (MHz)	ChanSpacing (MHz)	TotalNumChan	ChanCenterFreq0
863 – 876 (Europe)	0.2	63	863.25
915 – 921 (Europe)	0.2	27	915.35

14125 The exact Channel Plan used in a GB Smart Energy Sub-GHz FSK deployment SHALL be specified using a channel
14126 mask.

14127 **D.12.2.1.4 Channel Numbering**

14128 Channel numbers are assigned as follows:

$$14129 \quad ChanCenterFreq = ChanCenterFreq0 + NumChan * ChanSpacing$$

14130 where *ChanCenterFreq0* is the first channel center frequency in MHz, *ChanSpacing* is the separation between adjacent
14131 channels in MHz, and *NumChan* is the channel number from 0 to *TotalNumChan-1*.

14132

14133 For the 863 MHz - 876 MHz band

14134 *TotalNumChan* = 63

14135 *ChanSpacing* = 0.2

14136 *NumChan* goes from 0 to 62

14137 *ChanCenterFreq0* = 863.25

14138 This results in the following channel numbers and center frequencies for 863 MHz to 876 MHz shown in Table D-8
 14139 which SHALL be used for the 863 MHz - 876 MHz band:

14140 **Table D-8. Channels and Center Frequencies for 863 MHz - 876 MHz**

Channel #	Fc (MHz)
0	863.25
1	863.45
...	...
61	875.45
62	875.65

14141 For the 915 MHz - 921 MHz band

14142 *TotalNumChan* = 27

14143 *ChanSpacing* = 0.2

14144 *NumChan* goes from 0 to 26

14145 *ChanCenterFreq0* = 915.35

14146 This results in the following channel numbers and center frequencies for 915 MHz to 921 MHz shown in Table D-9,
 14147 which SHALL be used for the 915 MHz - 921 MHz band:

14148 **Table D-9. Channels and Center Frequencies for 915 MHz - 921 MHz**

Channel #	Fc (MHz)
0	915.35
1	915.55
...	...
25	920.35
26	920.55

14149

14150

14151 **D.12.2.1.5 Channel Pages**

14152 Four new channel pages are allocated, for GB Smart Energy Sub-GHz FSK, using the existing 32-bit mechanism (5-
14153 bits of channel-page, 27-bits of channel-mask), spreading the channels across the 4 pages as follows.

Channel Page	Description
28	863 MHz band, channels 0-26
29	863 MHz band, channels 27-34, 62
30	863 MHz band, channels 35-61 -- the "high-power band"
31	915 MHz band, channels 0-26

14154 **D.12.2.2 GB Smart Energy Sub-GHz FSK RF Requirements**14155 **D.12.2.2.1 Receiver Requirements**14156 **D.12.2.2.1.1 Standard Measurement Conditions**

14157 The Standard Measurement Conditions for all receiver requirements SHALL be:

- 14158 • 139 byte packets (8 bytes Preamble + 2 byte SFD + 2 byte PHR + 127 byte PSDU)
- 14159 • Receiver power measurements made at the antenna connector
- 14160 • Packet Error Rate of less than 1%

14161 The PHY RF requirements, when measured under these conditions, are intended to apply to a typical device rather
14162 than the worst sample of a batch.

14163 **D.12.2.2.1.2 Sensitivity Requirement**

14164 Under the Standard Measurement Conditions, GB Smart Energy Sub-GHz FSK devices SHALL meet a Reference
14165 Sensitivity as specified in Table D-10.

14166 **Table D-10. Receiver Reference Sensitivity Requirement**

Reference Sensitivity (dBm)
-99

14167 **D.12.2.2.1.3 Co-Channel Rejection Requirement**

14168 Under the Standard Measurement Conditions, with the wanted signal at 20 dB above the Reference Sensitivity level
14169 in Table D-10 and with an interfering signal modulated with the same modulation as the wanted signal and on the
14170 same channel, GB Smart Energy Sub-GHz FSK devices SHALL meet the co-channel rejection requirement at the
14171 level relative to the wanted signal level as specified in Table D-11.

14172 **Table D-11. Receiver Co-Channel Rejection Requirement**

Co-Channel Rejection (dB)
-15

14173 **D.12.2.2.1.4 Selectivity Requirements**

14174 Under the Standard Measurement Conditions, with the wanted signal at 3 dB above the Reference Sensitivity level in
14175 and with an interfering signal modulated with the same modulation as the wanted signal; at the frequency offsets
14176 specified in Table D-12, GB Smart Energy Sub-GHz FSK devices SHALL meet the selectivity requirements at a
14177 power level equal to the wanted signal plus the Level of Interferer as specified in Table D-12.

14178

Table D-12. Receiver Selectivity Requirements

Frequency Offset (kHz)	Level of Interferer Relative to Wanted (dB)
200	17
400	30
1000	35

14179 **D.12.2.2.1.5 Blocking Requirements**

14180 Under the Standard Measurement Conditions, with the wanted signal at 3 dB above the Reference Sensitivity level in
 14181 Table D-10 and with an unmodulated interfering signal at the specified frequency offsets specified in Table D-13, GB
 14182 Smart Energy Sub-GHz FSK devices SHALL meet the blocking requirements at a power level equal to the wanted
 14183 signal plus the Level of Interferer as specified in Table D-13.

14184

Table D-13. Receiver Blocking Requirements

Center Frequency of Wanted Signal (MHz)	Frequency Offset of Interferer (MHz)	Level of Interferer Relative to Wanted (dB)
868.05	2	40
868.05	6	45
868.05	10	50

14185 **D.12.2.2.2 Receive Power Level (RSSI)**

14186 The receiver SHALL be capable of measuring the received power level (reported as the RSSI) of a packet (measured
 14187 over any portion of the received packet), on a packet by packet basis over at least the range defined in Table D-14.

14188

Table D-14. Receive Power Measurement Range

Receive Power Measurement Lower Minimum	Receive Power Measurement Upper Minimum
-97 dBm	-50 dBm

14189 The receiver SHALL be capable of measuring the received power with the step size and accuracy defined in Table D-
 14190 15.

14191

Table D-15. Receive Power Measurement Step Size and Accuracy

Receive Power Measurement Step Size	Receive Power Measurement Accuracy
≤ 2 dB	≤ 3 dB

14192 **D.12.2.2.3 Transmitter Requirements**

14193 **D.12.2.2.3.1 Maximum Transmit Power**

14194 The Regulated Maximum Transmit Allowable Power for GB Smart Energy Sub-GHz FSK devices, in each of the
 14195 channels, is as specified in Table D-16.

14196

Table D-16. Regulated Maximum Allowable Transmit Power

IEEE Band (MHz)	Regulated Maximum Transmit Power (dBm)	Channel Number
863-876	14	0-34
863-876	27*	35-61
915-921	14	0-26

14197 * For the Great Britain Sub-GHz FSK Deployment the Maximum Transmit Power for all channels, per. requirement
 14198 from DECC, SHALL be +14dBm.

14199 **D.12.2.2.3.2 Minimum Transmit Power**

14200 The Minimum Transmit Power for GB Smart Energy Sub-GHz FSK devices, for all the channels, SHALL be as
 14201 specified in Table D-17.

14202 **Table D-17. Minimum Transmit Power**

Minimum Transmit Power
-15 dBm

14203 **D.12.2.2.3.3 Transmit Power Step Size and Accuracy**

14204 The transmitter SHALL be capable of adjusting its transmit power over the range from the Minimum Transmit Power
 14205 to the maximum power of the device or the maximum specified power, whichever is reached first, with the step size
 14206 and accuracy specified in Table D-18.

14207 **Table D-18. Transmit Power Step Size and Accuracy**

Transmit Power Step Size	Transmit Power Accuracy
≤ 2 dB	≤ 3 dB

14208 **D.12.3 Channel Plan and Masks**

14209 Given the PHY requirements above a total of 90 possible channels are available for GB Smart Energy Sub-GHz FSK
 14210 deployment. However, depending on regional restrictions and/or considerations due to ER-GSM, alarm channels, etc.
 14211 the number of usable channels for any specific country will be less and a mask SHALL be applied.

14212 Due to interferers or restricted use in each of these bands Great Britain Sub-GHz FSK SHALL mask out the channels
 14213 as indicated below in Table D-19.

14214

14215

Table D-19. Great Britain Sub-GHz FSK Channel Plan and Mask

Channel Page	Channels Available for GB Smart Energy Sub-GHz FSK Deployment				Channel Mask for GB Smart Energy Sub-GHz FSK Deployment			
	Band (channel numbers)	Max. Power	# Avail. Channels		Channels #'s to Mask Out	# Useable Channels	Start Fc* (MHz)	End Fc* (MHz)
			Example 1 all @ +14 dBm	Example 2 all @ Max Pwr				
28	863-868 MHz band (channels 0-26)	+14 dBm	27	27	None	27 (0-26)	863.25	868.45
29	868-870, 870-876 MHz band (channels 27-34, 62)**	+14 dBm	9	9	62	8 (27-34)	868.65	870.05
30	870-876 MHz band (channels 35-61)	+14 dBm	27	0	49-61	14 (35-48)	870.25	872.85
		or +27 dBm	0	27	-	-	-	-
31	915-921 MHz band (channels 0-26)	+14 dBm	27	27	13-26	13 (0-12)	915.35	917.75
Total # of Channels @ +14 dBm			90	63		62		
Total # of Channels @ +27 dBm			0	27		0		
Total # of All Channels			90	90		62		

14216 *Fc spacing = 200 kHz

14217 **While the alarm channels in this band MAY be restricted in other European countries, and therefore SHOULD be
 14218 masked out, these channels are approved for use in UK per IR 2030 and EN 300-220 [B12].

14219 For example, the Channel Mask for GB Smart Energy Sub-GHz FSK Deployment shown in

14220 results in the following channel page bit mask representations.

Channel Page	Bit Mask Representation
28	111100 11111111111111111111111111111111
29	111101 00000000000000000000000011111111
30	111110 00000000000000001111111111111111
31	111111 00000000000000001111111111111111

14221 **D.13 GB Smart Energy and Regional PHY Sub-GHz FSK MAC Specifi-**
 14222 **cation**

14223 The GB Smart Energy Sub-GHz FSK MAC SHALL utilize the same MAC used by the Zigbee PRO Network Stack.
 14224 However, in order to meet the added requirements for sub-GHz use in the UK, several additional MAC capabilities
 14225 SHALL also be supported. The functions of the additional capabilities are included below, along with the descriptions
 14226 and requirements of each of the capabilities.

14227 **D.13.1 MAC Support for Duty Cycle Monitoring**

14228 **D.13.1.1 Duty Cycle Monitoring Specific MAC Constants and PIB Attributes**

14229 The MAC Sublayer Constants required to support Duty Cycle Monitoring are given in Table D-20.

14230 **Table D-20. Duty Cycle MAC Sublayer Constants**

Constant	Description	Default (symbols)	
		Channel Page 28 (channels 0-26) and Channel Page 29 (channels 27-34, 62) per EN300 220-1	Channel Page 30 (channels 35-61) and Channel Page 31 (channels 0-26) per EN300 220-1 & EN303 204
<i>aDUTYCYCLEMeasurementPeriod</i>	The period over which the duty cycle is calculated.	360,000,000 [3600s, 1hr]	
<i>aDUTYCYCLEBuckets</i>	Number of buckets used for duty cycle monitoring	13	
<i>aDUTYCYCLERampUp</i>	Time transmitter is transmitting carrier prior to start of data	Stack/silicon specific (in symbols)	
<i>aDUTYCYCLERampDown</i>	Time transmitter is transmitting carrier after end of data	Stack/silicon specific (in symbols)	

14231 The MAC PIB Attributes required to support Duty Cycle Monitoring are given in Table D-21.

14232

Table D-21. Duty Cycle MAC PIB Attributes

Attribute	Type	Range	Description	Default (symbols)
Read/Write Attributes				
<i>mibDUTYCYCLELimitedThresh</i>	Integer	0 to <i>mibDUTYCYCLERegulated</i> , but SHALL not exceed <i>mibDUTYCYCLECriticalThresh</i>	Threshold level, which if exceeded, identifies the limited duty cycle operation mode (in hundredths of a %).	5,400,000
<i>mibDUTYCYCLECriticalThresh</i>	Integer	0 to <i>mibDUTYCYCLERegulated</i>	Threshold level, which if exceeded, identifies the CRITICAL duty cycle operation mode.	7,500,000
<i>mibDUTYCYCLERegulated</i>	Integer	0 to 360,000,000 (3600s)	The regionally regulated maximum duty cycle permitted over the <i>aDUTYCYCLEMeasurementPeriod</i> .	10,000,000 [100s, ~2.77%] for Channel Pages 28 & 29 9,000,000 [90s, ~2.5%] for Channel Pages 30 & 31
<i>mibDUTYCYCLEUsed</i>	Integer	0 to <i>mibDUTYCYCLERegulated</i>	The current duty cycle used over the current measurement period <i>aDUTYCYCLEMeasurementPeriod</i> .	0
<i>mibDUTYCYCLEPtr</i>	Integer	0 to <i>aDUTYCYCLEBuckets-1</i>	This is an index to the current bucket in the circular accumulator	0
<i>mibDUTYCYCLEBucket[]</i>	Integer array	0 - <i>mibDUTYCYCLERegulated</i>	Array used as a circular accumulator of transmission time used in deriving transmission over past <i>aDUTYCYCLEMeasurementPeriod</i>	0
<i>mibDUTYCYCLEStatus</i>	Enumeration	NORMAL, LIMITED, CRITICAL, SUSPENDED	Current status of the duty cycle over the current <i>aDUTYCYCLEMeasurementPeriod</i> .	NORMAL

14233 **D.13.1.2 Duty Cycle Calculations Over Measurement Period**

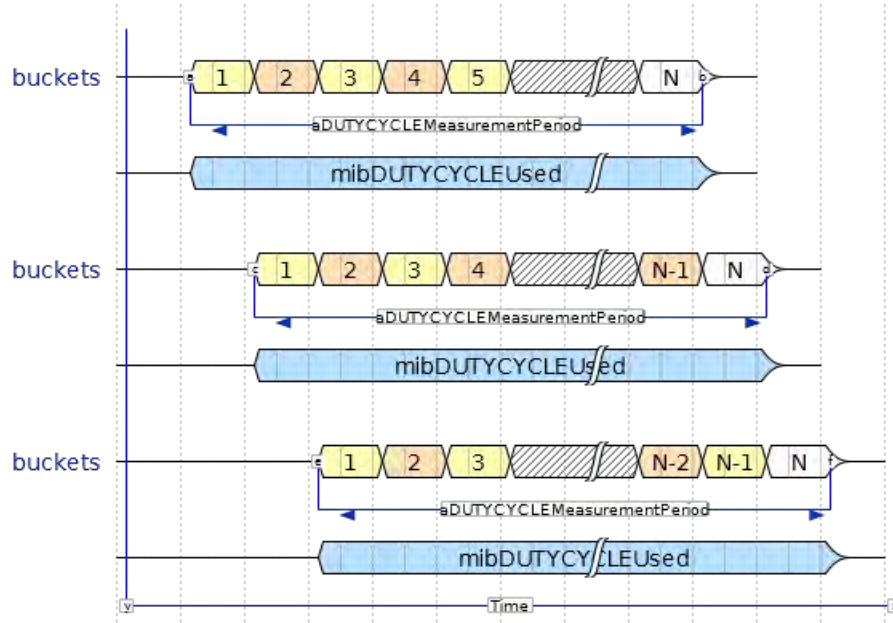
14234 The duty cycle is calculated as the total transmitted time over the measurement period, i.e.:

14235
$$\frac{mibDUTYCYCLEUsed}{aDUTYCYCLEMeasurementPeriod} \%$$

14236 The duty cycle is with respect to the last *aDUTYCYCLEMeasurementPeriod* prior to the current time.

14237 **D.13.1.2.1 Accumulating the Duty Cycle Used**

14238 The duty cycle used is accumulated over the previous *aDUTYCYCLEMeasurementPeriod*, Accumulating over short
 14239 periods and accumulating the sum over the previous N measurement periods the duty cycle is accurately and efficiently
 14240 measured.



14241 The number of accumulation buckets *aDUTYCYCLEBuckets*, that is chosen, has consequences on the accuracy and
 14242 processing required. The following guidance is provided to:
 14243

- 14244 • Reduce processing requirements
- 14245 • Ensure it is not possible to exceed the duty cycle

14246 In order to achieve these objectives it is suggested that the number of buckets is calculated by:

- 14247 • Selecting a time period which is an integer factor of *aDUTYCYCLEMeasurementPeriod*
- 14248 • Selecting *aDUTYCYCLEBuckets* such that:

14249
$$aDUTYCYCLEBuckets = \left(\frac{aDUTYCYCLEMeasurementPeriod}{time\ period} \right) + 1$$

14250 The consequences of the value *aDUTYCYCLEBuckets* are:

- 14251 • The larger the number of time periods
 - 14252 ○ Higher resolution of duty cycle measurement
 - 14253 ○ Lower underutilized available transmission time available
 - 14254 ○ Increased processing
- 14255 • The smaller the number of time periods
 - 14256 ○ Lower resolution of duty cycle measurement
 - 14257 ○ Higher underutilized available transmission time available
 - 14258 ○ Reduced processing

14259

14260

D.13.1.2.2 Examples of Selecting Values for *aDUTYCYCLEBuckets*

Measurement Periods			Min Transmission Left (Seconds)
<i>aDUTYCYCLEBuckets</i>	Resolution		
	in Seconds	in Minutes	
3	1800	30	0.0
13	300	5	0.0
25	150	2.5	0.0
61	60	1	40.0
241	15	0.25	85.0
3601	1	0.02	99.0

14261

14262 Assuming the oldest bucket is maxed out (lower of 100s or resolution) the table indicates the minimum time remain-
 14263 ing of the 100s, until the measurement period is advanced at which point all the accumulated transmission in the old-
 14264 est bucket will be freed.

14265 There's a tradeoff to be made. Other algorithms can be used providing they can GUARANTEE that the maximum
 14266 transmission in the measurement period is NEVER exceeded.

D.13.1.3 DC_CheckMode()

14268 This routine updates the mode based on the accumulated duty cycle usage.

14269 Parameters:

- 14270 • NONE

14271 Returns

- 14272 • NONE

14273 Method:

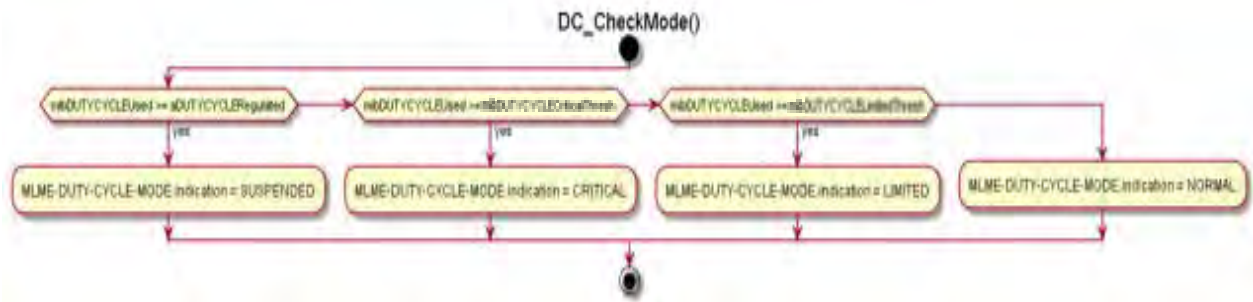
- 14274 1. Set MLME-DUTY-CYCLE-MODE.indication.

```

14275 @startuml
14276 title DC_CheckMode()
14277 start
14278 if (mibDUTYCYCLEUsed >= mibDUTYCYCLERegulated) then (yes)
14279 : mibDUTYCYCLEStatus = SUSPENDED;
14280 elseif (mibDUTYCYCLEUsed >= mibDUTYCYCLECriticalThresh) then (yes)
14281 : mibDUTYCYCLEStatus = CRITICAL;
14282 elseif (mibDUTYCYCLEUsed >= mibDUTYCYCLELimitedThresh) then (yes)
14283 : mibDUTYCYCLEStatus = LIMITED;
14284 else
14285 : mibDUTYCYCLEStatus = NORMAL;
14286 endif
14287 stop
14288 @enduml

```

14289



14290

D.13.1.4 DC_Bump Buckets

14291

This routine handles the sliding integrator at the start of each time period used in monitoring the duty cycle usage.

14292

Parameters:

14293

- NONE

14294

Returns

14295

- NONE

14296

Method:

14297

1. Reduce the running total by the oldest bucket.
2. Clear the oldest bucket.
3. Update *mibDUTYCYCLEStatus* based on the running total.
4. If the *mibDUTYCYCLEStatus* attribute has changed send a MLME-DUTY-CYCLE-MODE.indication containing the new *mibDUTYCYCLEStatus* value.

14298

14299

14300

14301

14302

D.13.1.5 Accumulate Transmit Time

14303

This routine adds the transmission time to the duty cycle usage.

14304

Parameters:

14305

- symbolstransmitted - Number of symbols to add to the used transmission

14306

Returns

14307

- NONE

14308

Method:

14309

1. Add symbolstransmitted to the running total.
2. Add symbolstransmitted to the current accumulation bucket.
3. Update *mibDUTYCYCLEStatus* based on the running total.
4. If the *mibDUTYCYCLEStatus* attribute has changed send a MLME-DUTY-CYCLE-MODE.indication containing the new *mibDUTYCYCLEStatus* value.

14310

14311

14312

14313

14314

D.13.1.6 Duty Cycle Monitoring Primitives Accessed Through the MLME-SAP

14315

To support duty cycle monitoring, as required for GB Smart Energy and EU/UK Regional Sub-GHz FSK, the following primitives are required.

14316

14317

Name	Request	Indication	Response	Confirm
MLME-DUTY-CYCLE-MODE	-	D.13.1.6.1	-	-

14318 **D.13.1.6.1 MLME-DUTY-CYCLE-MODE.indication**

14319 The MLME-DUTY-CYCLE-MODE.indication primitive notifies the next higher level which duty cycle mode the
 14320 device is currently operating in (NORMAL, LIMITED, CRITICAL, or SUSPENDED).

14321 **D.13.1.6.2 Semantics of the Service Primitive**

14322 The semantics of the MLME-DUTY-CYCLE-MODE.indication primitive are as follows:

14323

MLME-DUTY-CYCLE-MODE	{
	Status
	}

14324
14325
14326

Name	Type	Valid Range	Description
Status	Enumeration	NORMAL, LIMITED, CRITICAL, SUSPENDED	Is equal to the current value of <i>mibDUTYCYCLES-tatus</i> and is used to indicate which duty cycle mode the device is currently operating in

14327 **D.13.1.6.3 When Generated**

14328 The MLME-DUTY-CYCLE-MODE.indication primitive indicates which duty cycle mode the device is currently op-
 14329 erating in, (NORMAL, LIMITED, CRITICAL, or SUSPENDED) and is generated when the value of *mibDUTYCY-*
 14330 *CLEStatus* changes.

14331 **D.13.1.6.4 Duty Cycle Operating Modes**

14332 A MLME-DUTY-CYCLE-MODE.indication with the Status parameter set to NORMAL indicates that messages can
 14333 be transmitted at the MAC layer and that the MAC queue is enabled and existing messages already in the MAC queue
 14334 will be transmitted normally (subject to normal checking).

14335 A MLME-DUTY-CYCLE-MODE.indication with the Status parameter set to LIMITED indicates that the Normal
 14336 Operation Duty Cycle limit has been exceeded. Messages can be transmitted at the MAC layer and the MAC queue is
 14337 enabled. Existing messages already in the MAC queue will be transmitted normally, however action MAY be taken,
 14338 by the higher layers as a result of the notification by the MAC of entering the LIMITED mode, to reduce the duty
 14339 cycle to prevent the interface from reaching the CRITICAL mode

14340 A MLME-DUTY-CYCLE-MODE.indication with the Status parameter set to CRITICAL indicates that the Limited
 14341 Operation Duty Cycle limit has been exceeded. Messages can be transmitted at the MAC layer and the MAC queue is
 14342 enabled. Existing messages already in the MAC queue will be transmitted normally; however action MAY be taken,
 14343 by the higher layers as a result of the notification by the MAC of entering the CRITICAL mode, to reduce the duty
 14344 cycle to prevent the interface from reaching the SUSPENDED mode.

14345 A MLME-DUTY-CYCLE-MODE.indication with the Status parameter set to SUSPENDED indicates that no more
 14346 messages SHALL be transmitted at the MAC layer until an MLME-DUTY-CYCLE-MODE.indication is received
 14347 with the Status parameter set to other than SUSPENDED. Each message in the MAC queue will be returned to the
 14348 higher layer with a MCPS-DATA.confirm and a status of DUTY_CYCLE_EXCEEDED.

14349 **D.13.2 MAC Support for Listen Before Talk (LBT)**

14350 The MAC Sublayer Constants required to support LBT, due to regulatory limits, are given in Table D-22. The data
 14351 rate for the GB Smart Energy and EU/UK Regional Sub-GHz FSK PHY is 100 kbps, giving a symbol period of 10µS.
 14352 The values for the constants given below are given in both units of symbol periods and their corresponding time.

14353 **Table D-22. LBT MAC Sublayer Constants – Regulatory**

Constant	Description	Channel Page 28 (channels 0-26) and	Channel Page 30 (channels 35- 61)
----------	-------------	---	---

		Channel Page 29 (channels 27-34, 62)	and Channel Page 31 (channels 0-26)
EN300-220 Regulatory Limits (units are symbols unless otherwise stated)			
<i>aLBTtxMinOff</i>	The minimum permitted off time between a device's own transmissions	10,000 [100mS]	
<i>aLBTtxMaxPKT</i>	The maximum permitted transmission duration	100,000 [1S]	
<i>aLBtMinFree</i>	The minimum duration a channel SHOULD be free	500 [5mS]	16 [160µS]
<i>aLBtMaxRandom</i>	The maximum period of the backoff	500 [5mS]	500 [5mS]
<i>aLBtMinRandom</i>	The minimum period of the backoff	0	16 [160µS]
<i>aLBtGranularity</i>	The granularity in the random backoff	50 [500µS]	1 [10µS]
<i>aLBtMaxDlg</i>	The maximum Dialog period	400,000 [4S]	
<i>aLBtThresholdLevelLp</i>	The level (in dBm) at which the receiver determines there is activity in a low power channel (+14 dBm Tx).	-87 dBm	
<i>aLBtThresholdLevelHp</i>	The level (in dBm) at which the receiver determines there is activity in a high power channel (+27 dBm Tx).	-91 dBm	

14354 Note: The UK have adopted the same values for ALL channel pages as defined for pages 28 & 29. Other regions
14355 MAY use different values for pages 30 & 31 which as yet have not been defined or verified.

14356 By enabling LBT, as defined in EN 300-220 [B16], a device MAY increase its operational duty cycle from as low as
14357 0.1% to ~2.5-2.7% (depending on the channel selected), which allows for support of increased traffic (messaging)
14358 from each device on a network.

14359 EN 300-220 [B12] that, in order to transmit, a device SHALL WAIT until the channel is clear before monitoring the
14360 channel for at least *aLBtMinFree* and, if no traffic is seen, it MAY start transmitting. Otherwise it has to start the
14361 channel assessment again (with an additional random backoff).

14362 In practice this means taking the following steps to determine if a device can transmit:

- 14363 1. Wait until the channel becomes clear - the measured RSSI on the channel is below *aLBtThresholdLevelLp* or
14364 *aLBtThresholdLevelHp*, depending on whether the channel uses high power or low power devices (see).
- 14365 2. Wait for *aLBtMinRandom* duration and then select a RANDOM time between zero and *aLBtMaxRandom*, with
14366 a granularity of *aLBtGranularity*.
- 14367 3. Listen to channel for *aLBtMinFree* + RANDOM (as selected in step 2), if channel is not free in this period then
14368 go to step 1.
- 14369 4. If the channel was free for the period in step 3 then start the transmission and return with status *LBTrcOk*, *other-*
14370 *wise* go to step 1.

14371 Note(s):

- 14372 1. If the channel does not become free within the time-out period *aLBtTimeout*, the transmission will not be started
14373 and it will return with error *LBTrcLBTBsy*.
- 14374 2. During testing it has been decided to add a random backoff to the first attempt to access the channel to reduce the
14375 chance of collisions due to multiple devices and higher traffic than alternative implementations would see.

14376 LBT is an attempt to provide reasonable access to the channel. In addition the *aLBTTxMinOff* hold off ensures no
 14377 device can ever get 100% of the channel. A device may perform MAC retransmissions without waiting
 14378 *aLBTTxMinOff* in between the retransmission, as long as the retransmissions do not exceed the *aLBTTxMaxPKT*
 14379 duration.

14380 The following sections describe an LBT mechanism which meets the requirements for the 863-870 MHz, 870-876
 14381 MHz & 915-921MHz bands as defined in EN 300-220 [B13] and EN 303-204 [B14].

14382 **D.13.2.1 LBT Specific MAC Constants and PIB Attributes - Implementation**

14383 The MAC Sublayer Constants required to support LBT implementation are given in Table D-23. The data rate for the
 14384 GB SE and EU/UK Regional Sub-GHz FSK PHY is 100 kbps, giving a symbol period of 10µS. The values for the
 14385 constants given below are given in both units of symbol periods and their corresponding time.

14386 **Table D-23. LBT MAC Sublayer Constants – Implementation**

Constant*	Description	Channel Page 28 (channels 0-26) and Channel Page 29 (channels 27-34, 62)	Channel Page 30 (channels 35-61) and Channel Page 31 (channels 0-26)
Implementation (units are symbols unless otherwise stated)			
<i>aLBTDlgResponseTimeout</i>	The timeout if waiting for a reply during a Dialog sequence (SHALL be shorter than <i>aLBTTxMinFree</i>).	400 [4mS]	tbd
<i>aLBTTxMaxRetries</i>	The maximum number of retries allowed while looking for a clear channel. (The <i>aLBTTxTimeout</i> will probably mean 3 time retries will never be possible as each retry is 5-10mS, potentially 30mS + initial 5mS, i.e. up to 35mS.)	3	3
<i>aLBTTxAckWindowStart</i>	The minimum pause before acknowledging a received packet. This is to allow a transmitting device to change from transmit to receive mode. Starting an ACK before this time MAY result in the transmitter missing the ACK.	45 [450uS]	45 [450uS]
<i>aLBTTxAckWindow</i>	The maximum wait time before acknowledging a received packet (includes <i>aLBTTxAckWindowStart</i>). This time SHALL be shorter than <i>aLBTTxMinFree</i> otherwise other devices could interpret the quiet as an opportunity to transmit.	100 [1mS]	100 [1mS]
<i>aLBTTxTimeout</i>	Time before aborting LBT if it cannot find a free slot. [This value SHOULD be set to at least $aLBTTxMinFree + aLBTTxMaxRetries * (aLBTTxMinFree + aLBTTxMaxRandom) + aTxRxTurnAround$ to ensure that all retries can occur.]	6000 [60mS]	6000 [60mS]
<i>aLBTTxTurnAround</i>	Time for radio to switch between receive and transmit	100 [1000uS]	100 [1000uS]

Constant*	Description	Channel Page 28 (channels 0-26) and Channel Page 29 (channels 27-34, 62)	Channel Page 30 (channels 35-61) and Channel Page 31 (channels 0-26)
<i>aTxRxTurnAround</i>	Time for radio to switch between transmit and receive	45 [450uS]	45 [450uS]
<i>aLBTTickMax</i>	Ceiling at which Tick time base SHOULD be capped. This value SHALL be larger than <i>aLBTTxMinOff</i>	implementation decision	see description

14387 *NOTE: The UK have adopted the same values for ALL channel pages as defined for pages 28 & 29, Other regions*
 14388 *MAY use different values for pages 30 & 31 which as yet have not been defined or verified.*

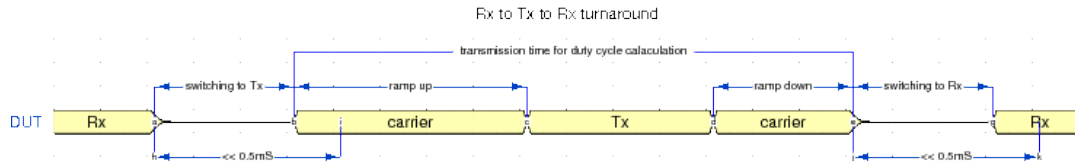
14389 The MAC PIB Attributes required to support LBT implementation are given in Table D-24.

14390 **Table D-24. LBT MAC PIB Attributes - Implementation**

Read/Write Attributes				
Attribute	Type	Range (symbols)	Description	Default (symbols)
<i>mibLBTTxEndStamp</i>	Integer	0-13,000 [130mS]	Time since the last transmission ceased.	0
<i>mibLBTRxEndStamp</i>	Integer	0-13,000 [130mS]	Time since the last transmission was received.	0
<i>mibLBTTsStartDlg</i>	Integer	0-1,400,000 [14S]	Time since the Dialog sequence started.	0
<i>mibLBTDlgTimestartElapsed</i>	Integer	0-400,000 [4S]	The elapsed time of the Dialog sequence.	0
<i>mibLBTDialogMode</i>	BOOLEAN	TRUE or FALSE	Set to TRUE when Dialog session is active	FALSE
<i>mibLBTRadioState</i>	Enum	OFF, Rx or Tx	Status of radio	OFF
<i>mibLBTRadioWait</i>	Integer	0 - 1000 [0-10mS]	Time left before a transmission MAY occur	0
<i>mibLBTTick</i>	Integer	0 - 99999999	LBT tick timer	0 or <i>aLBTTickMax</i>

14391 **D.13.2.2 LBT Compliance when Changing Between Transmit/Receive Mode**

14392 In order for devices to operate within the LBT regulatory parameters and operate in a manner that will be interoperable and minimize collisions it is required that strict timing requirements are met when changing from one mode to another, The following diagram details these requirements:
 14393
 14394



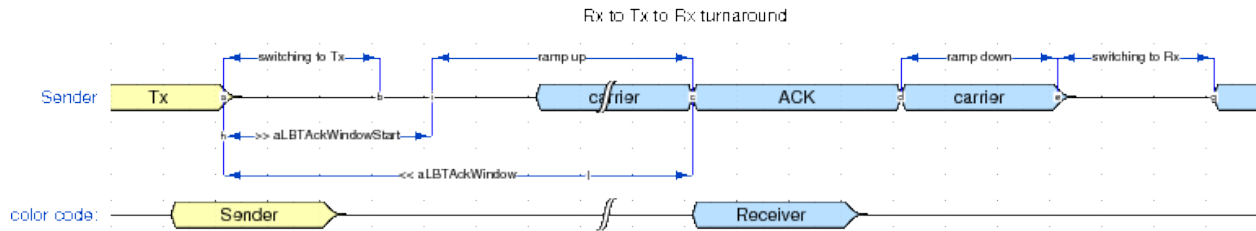
14395
14396

14397 **Rx to Tx** - When the device is in listening mode and wishes to transmit it SHOULD switch from Rx to Tx mode and
14398 start transmitting either the carrier or the data portion such that other devices will detect the device is transmitting
14399 within *aRxTxTurnAround*, and SHALL account for propagation delays and like.

14400 **Tx to Rx** - When the device is in transmit mode and switches to receive mode it SHOULD switch from Tx to Rx
14401 mode and start listening within *aTxRxTurnAround*, and SHALL account for propagation delays and like.

14402 **D.13.2.3 LBT and ACK Timing**

14403 In order to comply with LBT rules and minimize time and energy the ACK SHOULD be sent in accordance with the
14404 strict timing as shown in the following diagram:



14405
14406

14407 If the receiver is to ACKnowledge the packet at the end of the transmission the receiver changes its radio to transmit
14408 and transmits the ACK. It is essential that the receiver does not:

- 14409 • Attempt to transmit the ACK until at least *aLBTAckWindowStart* after receiving the request
- 14410 • SHALL start the ACK transmission before *aLBTAckWindow*
- 14411 • Return to Rx mode after transmitting the ACK

14412 **D.13.2.4 LBT Routines**

14413 The routines can be used for any of the LBT sub-bands and are used to illustrate how duty cycle and listen before talk
14414 (LBT) can be implemented.

14415 The return/error codes for all LBT routines are given in Table D-25.

14416 **Table D-25. LBT Routines Return Codes**

Error Code	#	Description of Code
<i>LBTrcOk</i>	0	Success
<i>LBTrcLBTBsy</i>	1	LBT timeout
<i>LBTrcLBTMax</i>	2	Maximum LBT retries limit reached
<i>LBTrcTxMax</i>	3	Maximum Tx retries limit reached
<i>LBTrcTxTo</i>	4	Tx timeout
<i>LBTrcRxTo</i>	5	Rx timeout
<i>LBTrcAck</i>	6	Valid ACK received

<i>LBTrcAckTo</i>	7	ACK transmission failed (missed the window to transmit)
<i>LBTrcRxInv</i>	8	Invalid message received
<i>LBTrcTxToPkt</i>	99	Single transmission exceeded
<i>LBTrcTxToMax</i>	100	Transmissions have exceeded the maximum rate in the last hour

14417 The following are the main and auxiliary routines required to support LBT.

14418 The **LBT_ACK** and **LBT_TX** routines describe how the transmit time, due to any type of transmission (ACK, MAC,
 14419 etc.), gets accumulated in *mibDUTYCYCLEUsed*. They do not however describe how transmit time more than an hour
 14420 old gets decremented from *mibDUTYCYCLEUsed*.

14421 **D.13.2.4.1 LBT_Tick()**

14422 This routine is used to read the LBT timebase whose resolution is symbols (ie 10uS units).

14423 Parameters:

- 14424 • NONE

14425 Returns

- 14426 • Current value of *mibLBTTick*

14427 Method:

- 14428 1. Return contents of *mibLBTTick*.

14429 **D.13.2.4.2 LBT_TickReset()**

14430 This routine resets the LBT timebase.

14431 Parameters:

- 14432 • NONE

14433 Returns

- 14434 • NONE

14435

14436 Method:

14437 1. Set *mibLBTTick* = 0.

14438 **D.13.2.4.3 LBT_TickIncrement()**

14439 This routine increments the LBT timebase and is typically invoked by an interrupt whose period is either a symbol or
14440 a multiple eg 8 for a byte (using higher increments can reduce interrupt overhead).

14441 Parameters:

14442 • *incr* – increment

14443 Returns

14444 • NONE

14445 Method:

14446 1. *mibLBTTick* += *incr*

14447 **D.13.2.4.4 LBT_TickExhaust()**

14448 This routine expires the LBT timebase and is typically called during the initialization of the stack. This ensures that
14449 the device does not have to wait (other than the *aLBTMinOff*) for the first transmission. Sleepy devices that have not
14450 transmitted for a significant time can also call this to ensure they are able to transmit asap.

14451 Parameters:

14452 • NONE

14453 Returns

14454 • NONE

14455 Method:

14456 1. Set *mibLBTTick* = *aLBTTickMax*

14457 **D.13.2.4.5 LBT_Backoff()**

14458 This routine addresses Requirements - EN 300 220-1 :: [9.2.2]

14459 Parameters:

14460 • NONE

14461 Returns:

14462 • A random time period in symbols

14463 Method:

14464 1. Generate a random period from *aLBTMinRandom* to *aLBTMaxRandom*, with a granularity of *aLBTGranu-*
14465 *larity*.

14466 **D.13.2.4.6 LBT_ACK()**

14467 This routine addresses Requirements - EN 300 220-1 :: [3.1], [7.10], [9.2], [9.2.4], [9.2.5], [9.2.5.2.3]

14468 Parameters:

14469 • NONE

14470 Returns:

14471 • Status

14472

14473 Local variables:

- 14474 • timeout - used in determining if ACK fails to be transmitted before the end of the ACK window
- 14475 • aPPDUs - number of symbols required to transmit ACK

14476 Method:

14477 To transmit an ACK it is necessary to:

- 14478 1. Set timeout = **LBT_Tick()** + *aLBTAckWindow*.
- 14479 2. Call **LBT_RadioTx(aLBTAckWindowStart)** to Switch radio to transmit and delay to start of ACK window.
- 14480 3. Calculate the number of symbols required to be transmitted, i.e. set aPPDUs = 8*packet size (bytes) + *aDUTYCYCLERampUp* + *aDUTYCYCLERampDown*.
- 14481 14482 4. Check the total hourly transmission time will not be exceeded, i.e. (*mibDUTYCYCLEUsed* plus aPPDUs) is less than *aLBTTxMaxPeriod*.
- 14483 14484 a. If it would exceed the hourly limit, then switch radio to Rx, and return error *LBTrcTxToMax*.
- 14485 5. Check that the transmitter will not be transmitting beyond the maximum time allowed for a single transmission, i.e. aPPDUs is less than *aLBTTxMaxPKT*.
- 14486 14487 a. If it would exceed the time allowed for a single transmission, then switch radio to Rx, i.e. call **LBT_RadioRx()** and return error *LBTrcTxToPkt*.
- 14488 14489 6. While (! **LBT_RadioReady()**){/*wait*/}//Wait for radio to be ready to transmit.
- 14490 7. If *aLBTAckWindow* time has elapsed since the last bit was received, i.e. if (*mibLBTRxEndStamp* + *aLBTAckWindow*) is less than **LBT_Tick()**:
- 14491 14492 a. Change radio to receive.
- 14493 b. Return with error code *LBTrcAckTo*.
- 14494 8. Transmit the ACK PDU - normal 802.15.4 raw transmit code.
- 14495 9. Change radio to receive.
- 14496 10. Increment *mibDUTYCYCLEUsed* by aPPDUs.
- 14497 11. Return *LBTrcOk*.

14498 **D.13.2.4.7 LBT_LBT()**

14499 This routine addresses Requirements - EN 300 220-1 :: [7.10], [8.2], [9.1], [9.2], [9.2.2]

14500 Parameters:

- 14501 • timeout

14502 Returns:

- 14503 • Error Code or Success Code

14504 Local variables:

- 14505 • timeout

14506 Method:

14507 To determine if a transmission can be initiated it is necessary to:

- 14508 1. Set timeout to **LBT_Tick()** + timeout.
- 14509 2. If the radio is not in Rx mode then set radio to Rx mode.

- 14510 3. Wait until the last transmission was at least $aLBTTxMinOff$ earlier,
 14511 i.e. $mibLBTTxEndStamp$ plus $aLBTTxMinOff$ minus $aLBTTMinFree$ is less than or equal to current tick time
 14512 **LBT_Tick()**.
- 14513 4. Set count to 0.
- 14514 5. Wait for radio to be ready to receive.
- 14515 6. While (count $\leq aLBTTMaxTxRetries$ AND $timeouttimer < aLBTTTimeout$):
- 14516 a. Check if channel is busy for ($aLBTTMinFree$ plus **LBT_Backoff()**) symbols.
- 14517 b. If channel has been quiet for the entire period, then turn on the transmitter and exit, i.e. call **LBT_Radi-**
 14518 **oTx(0)** and return with status *LBTrcOk*.
- 14519 c. Increment count by 1.
- 14520 d. Go back to Step 6.
- 14521 7. If $aLBTTMaxTxRetries$ is exceeded return status *LBTrcLBTTMax*.
- 14522 8. Return status *LBTrcLBTTBsy*.

14523 **D.13.2.4.8 LBT_TX() (to be included as part of regular TX operation)**

14524 This routine addresses Requirements - EN 300 220-1 :: [3.1], [7.10], [8.2], [9.1], [9.2], [9.2.2], [9.2.5]

14525 Parameters:

- 14526 • Message to be transmitted, PPDU
- 14527 • TxTimeOut – time period in which the transmitter SHOULD have been able to transmit a message

14528 Returns:

- 14529 • Error Code or Success Code

14530 Local variables:

- 14531 • count - error counter
- 14532 • dPPDUs - number of symbols required to transmit data

14533 Method:

14534 To transmit a data packet (PPDU) it is necessary to obey the LBT requirements, i.e.:

- 14535 1. If the radio is not on then switch it on in receive mode.
- 14536 2. Calculate the number of symbols required to transmit:
 14537 i.e. $dPPDUs = 8 * \text{packet size (bytes)} + aDUTYCYCLERampUp + aDUTYCYCLERampDown$.
- 14538 3. Ensure the total hourly transmission time will not be exceeded,
 14539 i.e. ($mibDUTYCYCLEUsed + dPPDUs$ less than $aLBTTxMaxPeriod$).
- 14540 a. If it would, then return error *LBTrcTxToMax*.
- 14541 4. Check that the transmitter will not be transmitting beyond the maximum time allowed for a single transmis-
 14542 sion, i.e. $dPPDUs$ less than $aLBTTxMaxPKT$:
- 14543 a. If it would, then return error *LBTrcTxToPkt*.
- 14544 *[When/if DIALOG mode is defined Check DIALOG .*
- 14545 5. Determine if channel is clear.
- 14546 a. Perform LBT assessment as described in **LBT_LBT()**.
- 14547 b. If channel is quiet, then exit returning *LBTrcBsy*.
- 14548 6. If TxTimeOut exceeded, return *LBTrcTxTo*.

- 14549 7. Recheck that the total hourly transmission time will not be exceeded,
14550 i.e. (*mibDUTYCYCLEUsed*+dPPDUs less than *aLBTTxMaxPeriod*).
- 14551 a. If it would, then switch radio back to Rx and return error *LBTrcTxToMax*.
- 14552 8. Wait for radio to stabilize.
- 14553 9. Transmit the data packet PDU - normal 802.15.4 raw transmit code.
- 14554 10. Turn off the transmitter and enable the receiver.
- 14555 11. Reset the counter for *mibLBTTxEndStamp* to zero.
- 14556 12. If not in Dialog mode reset the tick counter. All timing is relative to the start of transmission after LBT unless
14557 in Dialog mode, in which case it is relative to the first transmission in the Dialog sequence.
- 14558 13. Add dPPDUs to *mibDUTYCYCLEUsed*.
- 14559 14. Wait to see if an ACK is received (SHOULD start receiving ACK before *aLBTAckWindow*).
- 14560 15. If an ACK is returned then return *LBTrcOk*.

14561 **D.13.2.4.9 LBT_RX()**

14562 This routine addresses Requirements - EN 300 220-1 :: [9.2.4], [9.2.5]

14563 Parameters:

- 14564 • RxTimeOut – time period after which the receiver SHOULD abort if no message is being received

14565 Returns:

- 14566 • Status Code
- 14567 • A PDU message if successful

14568 Local variables:

- 14569 • timeout

14570 Method:

14571 Listens for valid messages and then returns either due to a RxTimeOut, valid ACK or message received.

- 14572 1. Ensure radio is in receive mode, i.e. call **LBT_RadioRx()**.
- 14573 2. Listen for message – normal 802.15.4 raw receive code.
 - 14574 a. If RxTimeOut exceeded and no message being received then return with *LBTrcRxTo*.
- 14575 3. Message received, reset the counter for *mibLBTRxEndStamp* to **LBT_Tick()**.
- 14576 4. Verify message, (crc, addressed to me or unicast or multicast).
- 14577 5. If message is a valid ACK then return *LBTrcAck*.
- 14578 6. If message is invalid and time still left to receive another message go to Step 2.
 - 14579 a. Otherwise return *LBTrcRxInv*.
- 14580 7. If packet SHOULD be acknowledged Call **LBT_ACK()** and return its return code, otherwise return
14581 *LBTrcOk*.

14582 **D.13.2.4.10 LBT_RadioRx()**

14583 This routine puts the radio in Receive mode. If the radio is off it's necessary to delay use till it switches on, if it needs
14584 to change from Tx to Rx then it will have to settle changing mode, additionally an alternative delay could be requested
14585 and this will be used if its larger than delay due to state change.

14586

14587 Parameters:

- 14588 • delay - alternative waiting time - pass 0 for no alternative delay

14589 Returns:

- 14590 • NONE

14591 Method:

- 14592 1. If radio is off:
 - 14593 a. Turn radio on.
 - 14594 b. Set *mibLBTRadioWait* to **LBT_Tick()**.
 - 14595 c. Add largest of *aRxOnTime* or delay to *mibLBTRadioWait*.
- 14596 2. Else if *mibLBTRadioState* is Tx:
 - 14597 a. Switch radio to Rx.
 - 14598 b. Set *mibLBTRadioWait* to **LBT_Tick()**.
 - 14599 c. Add largest of *aTxRxTurnAround* or delay to *mibLBTRadioWait*.
- 14600 3. Else if *mibLBTRadioState* is Rx:
 - 14601 a. If delay > 0 then set *mibLBTRadioWait* to **LBT_Tick()+delay**.
- 14602 4. Set *mibLBTRadioState* to Rx.

14603 **D.13.2.4.11 LBT_RadioTx()**

14604 This routine puts the radio in Transmit mode. If the radio is off its necessary to delay use till it switches on, if it needs
 14605 to change from Rx to Tx then it will have to settle changing mode, additionally an alternative delay could be requested
 14606 and this will be used if its larger than delay due to state change.

14607 Parameters:

- 14608 • delay - Alternative waiting time, e.g. LBT ACK window start, pass 0 for no alternative delay

14609 Returns:

- 14610 • NONE

14611 Method:

- 14612 1. If radio is off:
 - 14613 a. Turn radio on and to Tx.
 - 14614 b. Set *mibLBTRadioWait* to **LBT_TICK()**.
 - 14615 c. Add largest of *aRxOnTime* or delay to *mibLBTRadioWait*.
- 14616 2. Else if *mibLBTRadioState* is Rx:
 - 14617 a. Switch radio to Tx.
 - 14618 b. Set *mibLBTRadioWait* to **LBT_TICK()**.
 - 14619 c. Add largest of *aRxTxTurnAround* or delay to *mibLBTRadioWait*.
- 14620 3. Else if *mibLBTRadioState* is Tx:
 - 14621 a. If delay > 0 then set *mibLBTRadioWait* to **LBT_TICK() + delay**.
- 14622 4. Set *mibLBTRadioState* to Tx.

D.13.2.4.12 LBT_RadioReady()

14624 This routine checks the radio is ready after being powered on or/changed mode.

14625 Parameters:

- 14626 • NONE

14627 Returns:

- 14628 • 0 - not ready
- 14629 • 1 - Radio is ready to use

14630 Method:

- 14631 1. If *mibLBTRadioWait* is greater than or equal to **LBT_TICK()** then set *mibLBTRadioWait* to 0.
- 14632 2. If *mibLBTRadioWait* is equal to 0 then return 1.
- 14633 3. Return 0.

D.13.2.4.13 LBT_RadioOff()

14635 This routine turns the radio off.

14636 Parameters:

- 14637 • NONE

14638 Returns:

- 14639 • NONE

14640 Method:

- 14641 1. If radio is on then turn it off.
- 14642 2. Set *mibLBTRadioState* to OFF.

D.13.2.5 Consequence of LBT on Devices

14644 LBT and the *aLBTTxMinOff* have many side effects. The following describes some of the potential scenarios:

14645 Scenario1 - Normal sequence assuming non Dialog mode

- 14646 1. The initiator sends request after performing LBT on channel.
- 14647 2. The responder MAC ACK's the request.
- 14648 3. Depending on when the responder last transmitted it will not be able to respond until *aLBTMinFree* to
14649 *aLBTMinOff* symbols.
- 14650 4. The responder waits for a clear channel and then transmits to the initiator.
- 14651 5. The initiator immediately responds with a MAC ACK.
- 14652 6. If the initiator wishes to send another request it depends on when the initiator last transmitted as to when it
14653 will be able to send another request. The time in which the initiator will be able to send another request is
14654 somewhere between *aLBTMinFree* and *aLBTMinOff* symbols.
- 14655 7. If the initiator is sending another message go to step 1.

D.13.2.6 Notes on LBT

14657 On reception of a packet a device can immediately ACK the packet only delaying long enough to allow the originat-
14658 ing device to switch to receive mode (ie *aLBTAckWindowStart*).

14659 **D.14 Regional Sub-GHz FSK PHY Specification**14660 **D.14.1 Regional Sub-GHz PIB Attributes**

14661 In IEEE Std 802.15.4-2020 the SUN PHY specifications define physical layer parameters within the information fields
 14662 of the SUN Device Capabilities IE. In order to maximize use of the IEEE Std 802.15.4 specification the following
 14663 information fields have been mapped to PIB attributes with like names in Table D-26. PIB attribute types are also
 14664 defined in Table D-26. The Regional Sub-GHz as defined here deviates from 15.4 in that it uses the RS-GFSK MCS
 14665 mode 4 500 kbps RS-GFSK PHY for N.A. in lieu of the 100 kbps PHY defined by the SUN PHY specifications. This
 14666 is done in order to allow for a +30 dBm Tx power without requiring frequency hopping.

14667 **Table D-26. Information Field to Regional Band PHY PIB Attributes Mapping**

SUN PHY Information Field	Regional Band PIB Attribute	Type	Range
Enh-ACK	<i>phyEnhAck</i>	Integer	0,1
Data Whitening	<i>phyDataWhitening</i>	Integer	0,1
Interleaving	<i>phyInterleaving</i>	Integer	0,1
SFD G1	<i>phySfdG1</i>	Integer	0,1
NRNSC FEC	<i>phyNrnsxFec</i>	Integer	0,1
RSC FEC	<i>phyRscFec</i>	Integer	0,1
Mode Switch	<i>phyModeSwitch</i>	Integer	0,1
Extended Band Identifier	<i>phyExtendedBandIdentifier</i>	Integer	0,1
Frequency Bands Supported	<i>phyFrequencyBandsSupported</i>	Bitmap	4 octet
PHY Type	<i>phyPhyType</i>	Integer	0-15
All Frequency Bands	<i>phyAllFrequencyBands</i>	Integer	0,1
PHY Modes Supported	<i>phyPhyModesSupported</i>	Bitmap	11 bits
Specific Frequency Bands	<i>phySpecificFrequencyBands</i>	Bitmap	4 octet

14668 Descriptions for each of the Regional Band PHY PIB Attributes are the same as the SUN PHY Information Field from
 14669 which they are being mapped, unless defined differently below (those preceded by an *).

14670 *phyEnhAck* SHALL be set to 1 (signifying that Enh-ACK frames are supported).

14671 *phyDataWhitening* SHALL be set to 1 (signifying data whitening of the PSDU is always enabled).

14672 *phyInterleaving* SHALL be set to 0 (signifying interleaving is not supported).

14673 *phySfdG1* SHALL be set to 0 (signifying Group 0 SFD is supported).

14674 *phyNrnsxFec* SHALL be set to 0 (signifying NRNSC FEC is not supported).

14675 *phyRscFec* SHALL be set to 0 (signifying RSC FEC is not supported).

14676 *phyModeSwitch* SHALL be set to 0 (signifying that only a single data rate is used and that mode switching is not
 14677 supported).

14678 *phyExtendedBandIdentifier* SHALL be set to 1 (signifying extended frequency band identifier values are supported).

14679 *phyFrequencyBandsSupported* SHALL be set to all possible Frequency Band Identifiers the device is capable of sup-
 14680 porting.

14681 *phyPhyType* SHALL be set to 1 (signifying use of modulation scheme FSK-B).

14682 **phyAllFrequencyBands* SHALL be set to 0 (signifying the PHY Type is only supported in the frequency band de-
14683 clared in the Specific Frequency Bands field).

14684 *phyPhyModesSupported* SHALL be set to 0x080 (signifying PHY Mode 7, for 500 kb/s; 2-FSK; mod index = 0.76;
14685 channel spacing = 1 MHz in the N.A. Region, and for 100 kb/s; 2-FSK; mod index = 0.5; channel spacing = 200 kHz
14686 in Euro Region).

14687 **phySpecificFrequencyBands* is a bitmap that SHALL be set to indicate the specific Frequency Band Identifiers listed
14688 in *phyFrequencyBandsSupported* in which the device is currently operating in.

14689 The information fields in Table D-26 SHALL take the same value as their mapped PIB attributes.

14690 **D.14.2 Regional Sub-GHz FSK Frame Format**

14691 The reader is referred to section D.12.1 for the frame format that SHALL be used for Regional Sub-GHz FSK.

14692 **D.14.3 Regional Sub-GHz FSK PHY**

14693 The Regional Sub-GHz PHY's define sub-GHz PHYs for N.A. and European band use which provide a higher data
14694 rate than the PHY's defined by IEEE Std 802.15.4-2003, which are aligned with IEEE Std 802.15.4-2020. The Euro
14695 regional sub-GHz PHY is currently only specified by Zigbee for use in Europe.

14696 **D.14.3.1 Modulation Specification**

14697 N.A. Regional Sub-GHz FSK SHALL use the modulation requirements specified in Table D-27.

14698 **Table D-27. N.A. Regional Sub-GHz Modulation Requirements**

Parameter	Configuration
Modulation	2-Level GFSK
Data Rate	500 kbps
Tx Filter BT	0.5 (Gaussian)
Modulation Index	0.76
Channel Spacing	1.0 MHz

14699 Operation in the N.A. 902-928 MHz band is regulated by FCC Part 15.247 rules. Typically a modulation index of 0.5
14700 is used, unless there are other considerations. However, when this PHY was being developed in 802.15 the task group
14701 wanted to make sure that when including tolerances, drifts, etc. that the modulation would always meet the minimum
14702 500 kHz BW as specified by the FCC, thereby allowing single channel operation and not having to follow the hopping
14703 rule. Analysis/consideration of all factors led the task group to choose a Modulation Index 0.76.

14704 Euro Regional Sub-GHz FSK SHALL use the modulation requirements specified in Table D-28.

14705 **Table D-28. Euro Regional Sub-GHz Modulation Requirements**

Parameter	Configuration
Modulation	2-Level GFSK
Data Rate	100 kbps
Tx Filter BT	0.5 (Gaussian)
Modulation Index	0.5
Channel Spacing	200 kHz

14706 No other modulation parameters are supported.

14707 **D.14.3.2 Forward Error Correction (FEC)**

14708 Regional Sub-GHz FSK SHALL NOT use FEC coding.

14709 **D.14.3.3 Data Whitening**

14710 Regional Sub-GHz FSK devices SHALL support Data whitening as specified in 20.4 of IEEE Std 802.15.4-2018.

14711 **D.14.3.4 Channels and Frequencies**

14712 Regional Sub-GHz FSK devices SHALL be capable of operating on all the channels specified, in all of the bands
14713 specified in Table D-29.

14714 **Table D-29. Regional Sub-GHz FSK PHY Band Parameters**

<i>Frequency Band Identifier*</i>	<i>Frequency Band (MHz)</i>	<i>Region</i>	<i>ChanSpacing (MHz)</i>	<i>TotalNum-Chan</i>	<i>Channel #'s Used</i>	<i>1st ChanCenterFreq (MHz)</i>
16	902-928	North America and Mexico	1.0	25	0-24	903.0
19	915-921	Europe	0.2	21	56-76	915.2
4	863-870	Europe	0.2	35	0-34	863.1
15	870-876	Europe	0.2	21	35-55	870.2

14715 *The *FrequencyBandIdentifier* is defined by IEEE 802.15

14716 The exact Channel Plan used in a Regional Sub-GHz FSK deployment SHALL be specified using a channel mask.

14717 **D.14.3.4.1 Channel Numbering**

14718 **D.14.3.4.1.1 NA Regional Sub-GHz FSK PHY Channels**

14719 Channel center frequencies corresponding to the channel numbers for the N.A. Regional Sub-GHz FSK PHY are
14720 specified as follows:

14721 For the 902 MHz - 928 MHz band:

$$14722 \quad \text{ChanCenterFreq} = \text{ChanCenterFreq0} + \text{NumChan} * \text{ChanSpacing}$$

14723 where

$$14724 \quad \text{ChanCenterFreq0} = 903.0 \text{ MHz}$$

14725 where *ChanCenterFreq0* is the first channel center frequencies in MHz, *ChanSpacing* is the separation between adja-
14726 cent channels in MHz, and *NumChan* is the channel number.

14727 For the 902-928 MHz Band defined in Table D-29:

- 14728 • *ChanCenterFreq0* (MHz) = 903.0
- 14729 • *ChanSpacing* (MHz) = 1.0
- 14730 • *TotalNumChan* = 25
- 14731 • *NumChan* (Chan. #) goes from 0 to 24

14732 This results in the following channel numbers and center frequencies for the 902-928 MHz Band shown in Table D-
14733 30, which SHALL be used for the 902-928 MHz Band:

14734

Table D-30. Channels and Center Frequencies for 902-928 MHz Band Designation

Channel #	Fc (MHz)
0	903.0
1	904.0
...	...
23	926.0
24	927.0

14735 **D.14.3.4.1.2 Euro Regional Sub-GHz PHY Channels**

14736 Channel center frequencies corresponding to the channel numbers specified for the Euro Regional Sub-GHz FSK PHY
14737 are specified as follows:

14738 For the 863 MHz - 870 MHz band

$$14739 \quad \text{ChanCenterFreq} = \text{ChanCenterFreq0} + (\text{NumChan} * \text{ChanSpacing})$$

14740 where

$$14741 \quad \text{ChanCenterFreq0} = 863.1 \text{ MHz}$$

14742 For the 870 MHz - 876 MHz band

$$14743 \quad \text{ChanCenterFreq} = \text{ChanCenterFreq35} + (\text{NumChan} - 35) * \text{ChanSpacing}$$

14744 where

$$14745 \quad \text{ChanCenterFreq35} = 870.2 \text{ MHz}$$

14746 For the 915 MHz - 921 MHz band

$$14747 \quad \text{ChanCenterFreq} = \text{ChanCenterFreq56} + (\text{NumChan} - 56) * \text{ChanSpacing}$$

14748 where

$$14749 \quad \text{ChanCenterFreq56} = 915.2 \text{ MHz}$$

14750 where *ChanCenterFreq0*, *ChanCenterFreq35* and *ChanCenterFreq56* are the first channel center frequencies in MHz,
14751 *ChanSpacing* is the separation between adjacent channels in MHz, and *NumChan* is the channel number.

14752 For the 863-870 MHz Band defined in Table D-29:

- 14753 • *ChanCenterFreq0* (MHz) = 863.1
- 14754 • *ChanSpacing* (MHz) = 0.2
- 14755 • *TotalNumChan* = 35
- 14756 • *NumChan* (Chan. #) goes from 0 to 34

14757 This results in the following channel numbers and center frequencies for the 863-870 MHz Band shown in Table D-
14758 31, which SHALL be used for the 863-870 MHz Band.

14759

14760

Table D-31. Channels and Center Frequencies for 863-870 MHz Band Designation

Channel #	Fc (MHz)
0	863.1
1	863.3
...	...
33	869.7
34	869.9

14761

14762 For the 870-876 MHz Band defined in Table D-32:

- 14763 • $ChanCenterFreq35$ (MHz) = 870.2
- 14764 • $ChanSpacing$ (MHz) = 0.2
- 14765 • $TotalNumChan$ = 21
- 14766 • $NumChan$ (Chan. #) goes from 35 to 55

14767 This results in the following channel numbers and center frequencies for the 870-876 MHz Band shown in Table D-
 14768 32, which SHALL be used for the 870-876 MHz Band Designation:

14769

Table D-32. Channels and Center Frequencies for 870-876 MHz Band Designation

Channel #	Fc (MHz)
35	870.2
36	870.4
...	...
54	874.0
55	874.2

14770

14771 For the 915-921 MHz Band defined in

- 14772 • $ChanCenterFreq56$ (MHz) = 915.2
- 14773 • $ChanSpacing$ (MHz) = 0.2
- 14774 • $TotalNumChan$ = 21
- 14775 • $NumChan$ (Chan. #) goes from 56 to 76

14776 This results in the following channel numbers and center frequencies for the 915-921 MHz Band shown in Table D-
 14777 33, which SHALL be used for the 915-921 MHz Band.

14778

Table D-33. Channels and Center Frequencies for 915-921 MHz Band Designation

Channel #	Fc (MHz)
56	915.2
57	915.4
...	...

Channel #	Fc (MHz)
75	919.0
76	919.2

14779 D.14.3.4.2 Channel Pages

14780 Six channel pages are allocated for Regional Sub-GHz FSK, using the existing 32-bit mechanism (5-bits of channel-
14781 page, 27-bits of channel-mask), spreading the channels across the 6 pages as follows.

Channel Page	Description	Channels #'s Used
23	902-928 MHz band, channels 0-24	0-24
24	915-921 MHz band, channels 56-76	56-76
25	863-870 MHz band, channels 0-26	0-26
26	863-870 MHz band, channels 27-34	27-34
27	870-876 MHz band, channels 35-55	35-55

14782 D.14.3.5 Regional Sub-GHz FSK RF Requirements

14783 D.14.3.5.1 Receiver Requirements

14784 D.14.3.5.1.1 Standard Measurement Conditions

14785 The Standard Measurement Conditions for all receiver requirements SHALL be:

- 14786 • 139 byte packets (8 bytes Preamble + 2 byte SFD + 2 byte PHR + 127 byte PSDU)
- 14787 • Receiver power measurements made at the antenna connector
- 14788 • Packet Error Rate of less than 1%

14789 The PHY RF requirements, when measured under these conditions, are intended to apply to a typical device rather
14790 than the worst sample of a batch.

14791 D.14.3.5.1.2 Sensitivity Requirement

14792 Under the Standard Measurement Conditions, Euro Regional Sub-GHz FSK SHALL meet a Reference Sensitivity as
14793 specified in Table D-34.

14794 **Table D-34. Euro Regional Sub-GHz Receiver Reference Sensitivity Requirement**

Reference Sensitivity (dBm)
-99

14795 Under the Standard Measurement Conditions, N.A. Regional Sub-GHz FSK SHALL meet a Reference Sensitivity as
14796 specified in Table D-35.

14797 **Table D-35. N.A. Regional Sub-GHz Receiver Reference Sensitivity Requirement**

Reference Sensitivity (dBm)
-91

14798 **D.14.3.5.1.3 Co-Channel Rejection Requirement**

14799 Under the Standard Measurement Conditions, with the wanted signal at 20 dB above the respective regions Reference
 14800 Sensitivity level and with an interfering signal modulated with the same modulation as the wanted signal and on the
 14801 same channel, Regional Sub-GHz FSK receivers SHALL meet their respective regions Reference Sensitivity PSR
 14802 with the co-channel rejection requirement for the interferers level relative to the wanted signal level as specified in
 14803 Table D-36.

14804 **Table D-36. Receiver Co-channel Rejection Requirement**

Co-channel Rejection (dB)
-15

14805 **D.14.3.5.1.4 Selectivity Requirements**

14806 Under the Standard Measurement Conditions, with the wanted signal at 3 dB above the respective regions Reference
 14807 Sensitivity level and with an interfering signal modulated with the same modulation as the wanted signal and at the
 14808 frequency offsets specified in below, Regional Sub-GHz FSK receivers SHALL meet their respective regions Refer-
 14809 ence Sensitivity PSR with the selectivity requirement for the interferers level relative to the wanted signal level as
 14810 specified in Table D-37.

14811 **Table D-37. Receiver Selectivity Requirements**

Channel Offset	Level of Interferer relative to wanted (dB)
+/- 1 Channel	5
+/- 2 Channel	30

14812 **D.14.3.5.2 Receive Power Level (RSSI)**

14813 The receiver SHALL be capable of measuring the received power level (reported as the RSSI) of a packet (measured
 14814 over any portion of the received packet), on a packet by packet basis.

14815 Euro Regional Sub-GHz FSK operations SHALL be capable of measuring the received power over at least the range
 14816 defined in Table D-38.

14817 **Table D-38. Non N.A. Regions Receive Power Measurement Range**

Receive Power Measurement Lower Minimum	Receive Power Measurement Upper Minimum
-97 dBm	-50 dBm

14818 N.A. Regional Sub-GHz FSK operations SHALL be capable of measuring the received power over at least the range
 14819 defined in Table D-39.

14820 **Table D-39. N.A. Region Receive Power Measurement Range**

Receive Power Measurement Lower Minimum	Receive Power Measurement Upper Minimum
-89 dBm	-42 dBm

14821 The receiver SHALL be capable of measuring the received power with the step size and accuracy defined in Table D-
 14822 40.

14823

14824 **Table D-40. Receive Power Measurement Step Size and Accuracy**

Receive Power Measurement Step Size	Receive Power Measurement Accuracy
≤ 2 dB	≤ 3 dB

14825 **D.14.3.5.3 Transmitter Requirements**14826 **D.14.3.5.3.1 Maximum Transmit Power**

14827 The Regulated Maximum Allowable Transmit Power for European Regional Sub-GHz FSK devices at the transmitter
14828 SHALL be as specified below in Table D-41.

14829 **Table D-41. Maximum Transmit Power for Euro Regional Sub-GHz**

Maximum Transmit Power
+14 dBm

14830 The Regulated Maximum Allowable Transmit Power for NA Sub-GHz FSK devices at the transmitter SHALL be
14831 capable of transmitting the Maximum Transmit Power as specified below in Table D-42.

14832 **Table D-42. Maximum Transmit Power for N.A. Region**

Maximum Transmit Power
+30 dBm

14833 **D.14.3.5.3.2 Minimum Transmit Power**

14834 The Minimum Transmit Power for Regional Sub-GHz FSK operation, for all the channels, SHALL be as specified in
14835 Table D-43.

14836 **Table D-43. Minimum Transmit Power**

Minimum Transmit Power
-15 dBm

14837 **D.14.3.5.3.3 Transmit Power Step Size and Accuracy**

14838 The transmitter SHALL be capable of adjusting its transmit power over the range from the Minimum Transmit Power
14839 to the maximum power of the device or the maximum specified power, whichever is reached first, with the step size
14840 and accuracy specified in Table D-44.

14841 **Table D-44. Transmit Power Step Size and Accuracy**

Transmit Power Step Size	Transmit Power Accuracy
≤ 2 dB	≤ 3 dB

14842 **D.14.3.5.4 Link Quality Assessment (LQA)**

14843 The raw LQA value is calculated per incoming packet, as follows:

$$14844 \quad \text{[LQA]}_{\text{raw}}(c,r) = 255 \times (c - c_{\text{min}}) / (c_{\text{max}} - c_{\text{min}}) \times (r - r_{\text{min}}) / (r_{\text{max}} - r_{\text{min}})$$

14845 Here, c is the cross-correlation peak of the PHY preamble sequence, or the LQI value reported by the IEEE Std
14846 802.15.4-2015 MAC and PHY, or another suitable signal quality indicator in the range $[c_{\text{min}}, c_{\text{max}}]$, and r is the
14847 received signal strength indicator (RSSI) in the range $[r_{\text{min}}, r_{\text{max}}]$. The resulting raw LQA value in the range

14848 [0,255], where 0 denotes a critical link, 255 denotes an excellent link, incorporates both the received signal strength
14849 and the signal quality to assess the longer-term quality and stability of the link by taking receiver capabilities into
14850 account. This is meant to flatten the step-like MAC layer LQI function, which typically yields high values over 95%
14851 of the link-budget and drastically drops beyond this point. In contrast, LQA is designed to provide a linear quality
14852 estimate over the entire link-budget, so it can be used to better rank links to different peers. Specifically, if the re-
14853 ceived signal strength is close to receiver sensitivity but signal quality is still high (clear signal), LQA in contrast to
14854 MAC layer LQI would classify the link as critical.

14855 These bounds SHALL be determined on final production hardware as follows:

- 14856 • c_min is the lowest signal quality ever reported, i.e. for a packet that can barely be received,
- 14857 • c_max is the highest signal quality ever reported, i.e. for a packet received under ideal conditions,
- 14858 • r_min is the lowest signal strength ever reported, i.e. for a packet close to receiver sensitivity,
- 14859 • r_max is the highest signal strength ever reported, i.e. for a packet received from a strong, close-by transmitter.

14860 Values of c and r, as determined at runtime during normal operation of the device, SHALL be bound by their respec-
14861 tive minimum and maximum values prior to feeding them into the $[[LQA]]_{raw}(c,r)$ formula above.

14862 For links, which belong to entries in the neighbor table, implementations SHOULD apply filtering of per-packet
14863 LQA values as specified in section 3.6.4.3 in order to produce final LQA values prior to subsequent processing, e.g.
14864 routing cost calculations.

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ANNEX E OPERATING NETWORK MANAGER AS NETWORK CHANNEL MANAGER FOR INTERFERENCE REPORTING AND RESOLUTION

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14869 **Prerequisites:** Devices SHALL limit their operations to channels within their current PHY (i.e. 868/915 MHz or 2450
14870 MHz). Commands including channels outside the band shall be ignored.

14871 A single device can become the Network Channel Manager. This device acts as the central mechanism for reception
14872 of network interference reports and changing the channel of the network if interference is detected. The default address
14873 of the network manager is the coordinator, however this can be updated by sending a `Mgmt_NWK_Update_req` com-
14874 mand with a different short address for the network channel manager. The device that is the Network Channel Manager
14875 SHALL set the network manager bit in the server mask in the node descriptor and SHALL respond to `Sys-
14876 tem_Server_Discovery_req` commands.

14877 Each router or coordinator is responsible for tracking transmit failures using the `TransmitFailure` field in the neighbor
14878 table and also keeping a NIB counter for total transmissions attempted. A device that detects a significant number of
14879 transmission failures MAY take action to determine if interference is a cause. The following steps are an example of
14880 that procedure:

- 14881 1. Conduct an energy scan on all channels within the current PHY. If this energy scan does not indicate higher en-
14882 ergy on the current channel than other channels, no action is taken. The device SHOULD continue to operate as
14883 normal and the message counters are not reset. However, repeated energy scans are not desirable as the device
14884 is off the network during these scans and therefore implementations SHOULD limit how often a device with
14885 failures conducts energy scans.
- 14886 2. If the energy scan does indicate increased energy on the channel in use, a `Mgmt_NWK_Unsolicited_En-
14887 hanced_Update_notify` SHOULD be sent to the Network Manager to indicate interference is present. This report
14888 is sent as an APS Unicast with acknowledgement and once the acknowledgment is received the total transmit
14889 and transmit failure counters are reset to zero.
- 14890 3. To avoid a device with communication problems from constantly sending reports to the network manager, the
14891 device SHOULD NOT send a `Mgmt_NWK_Unsolicited_Enhanced_Update_notify` more than 4 times per hour.

14892 Upon receipt of a `Mgmt_NWK_Unsolicited_Enhanced_Update_notify` message, the network manager SHALL eval-
14893 uate if a channel change is required in the network. The specific mechanisms the network manager uses to decide
14894 upon a channel change are left to the implementers. It is EXPECTED that implementers will apply different methods
14895 to best determine when a channel change is required and how to select the most appropriate channel. The following is
14896 offered as guidance for implementation.

- 14897 1. The network manager MAY do the following:
- 14898 2. Wait and evaluate if other reports from other devices are received. This MAY be appropriate if there are no
14899 other failures reported. In this case the network manager SHOULD add the reporting device to a list of devices
14900 that have reported interference. The number of devices on such a list would depend on the size of the network.
14901 The network manager can age devices out of this list.
- 14902 3. Request other interference reports using the `Mgmt_NWK_Update_req` command. This MAY be done if other
14903 failures have been reported or the network manager device itself has failures and a channel change MAY be de-
14904 sired. The network manager MAY request data from the list of devices that have reported interference plus
14905 other randomly selected routers in the network. The network manager SHOULD NOT request an update from
14906 the device that has just reported interference since this data is fresh already.
- 14907 4. Upon receipt of the `Mgmt_NWK_Update_notify`, the network manager SHALL determine if a channel change
14908 is required using whatever implementation specific mechanisms are considered appropriate. The network man-
14909 ager device with just one channel allowed in the `apsChannelMask` parameter SHALL not issue the
14910 `Mgmt_Nwk_Update_req` command to request other devices to change the current channel. However, the net-
14911 work manager MAY report channel quality issues to the application.
- 14912 5. If the above data indicate a channel change SHOULD be considered, the network manager completed the fol-
14913 lowing:

- 14914 6. Select a single channel based on the `Mgmt_NWK_Update_notify` based on the lowest energy. This is the pro-
14915 posed new channel. If this new channel does not have an energy level below an acceptable threshold, a channel
14916 change SHOULD NOT be done. Additionally, a new channel SHALL NOT belong to a PHY different from the
14917 one on which a network manager is operating now.
- 14918 7. Prior to changing channels, the network manager SHOULD store the energy scan value as the last energy scan
14919 value and the failure rate from the existing channel as the last failure rate. These values are useful to allow com-
14920 parison of the failure rate and energy level on the previous channel to evaluate if the network is causing its own
14921 interference.
- 14922 8. The network manager SHOULD broadcast a `Mgmt_NWK_Update_req` notifying devices of the new channel.
14923 The broadcast shall be to all devices with `RxOnWhenIdle` equal to `TRUE`. The network manager is responsible
14924 for incrementing the `nwkUpdateId` parameter from the NIB and including it in the `Mgmt_NWK_Update_req`.
14925 The network manager SHALL set a timer based on the value of
14926 `apsChannelTimer` upon issue of a `Mgmt_NWK_Update_req` that changes channels and SHALL NOT issue an-
14927 other such command until this timer expires. However, during this period, the network manager can complete
14928 the above analysis. However, instead of changing channels, the network manager would report to the local ap-
14929 plication using `Mgmt_NWK_Update_notify` and the application can force a channel change using the
14930 `Mgmt_NWK_Update_req`.
- 14931 Upon receipt of a `Mgmt_NWK_Update_req` with a change of channels, the local network manager SHALL set a timer
14932 equal to the `nwkNetworkBroadcastDeliveryTime` and SHALL switch channels upon expiration of this timer. Each
14933 node SHALL reset the total transmit count and the transmit failure counters, and copy the value from the NWK Update
14934 ID of the message into the `nwkUpdateId` of the NIB.
- 14935 For devices with `RxOnWhenIdle` equals `FALSE`, any network channel change will not be received. On these devices
14936 or routers that have lost the network, an active scan SHALL be conducted on the `apsChannelMask` list in the APS IB
14937 using the extended PANID to find the network. If the extended PANID is found on different channels, the device
14938 SHOULD select the channel with the higher value in the `nwkUpdateId` parameter. If the extended PANID is not found
14939 using the `apsChannelMask` list, a scan SHOULD be completed using all channels within the current PHY.
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ANNEX F USAGE OF MULTIPLE FREQUENCY BANDS

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F.1 Introduction

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F.1.1 Scope

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This annex clarifies uncertainties arising with Zigbee compliant devices that support several frequency bands.

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F.1.2 Purpose

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The Zigbee specification is based on the IEEE Std 802.15.4-2020 ([B1]) standard that defines multiple PHYs. A compliant device SHALL support at least one of the following options: O-QPSK PHY at 2.4 GHz frequency band or the BPSK PHY at both 868 MHz and 915 MHz bands or the FSK PHY located at 863-876MHz and 915-921MHz. Each of the frequency bands incorporates its own set of channels through a combination of channel numbers and channel pages. Additionally the following apply:

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- A Zigbee compliant device declaring support of a frequency band SHALL support all the channels listed within the channel page for that frequency band.

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- A Zigbee compliant device declaring support of the 868/915 MHz PHY SHALL support both 868 MHz and 915 MHz frequency bands within this PHY.

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F.2 Channels and Channel Masks Management General Guideline

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F.2.1 Channel Selection During Network Establishment

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When there is a set of devices intended to be a part of the same Zigbee network, with devices of that set, potentially, supporting different frequency bands, the coordinator, during network establishment, MAY choose a channel from a frequency band that is not supported by some of the other devices.

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Since, before a network is established, there is no mechanism for the coordinator to dynamically collect information about frequency bands supported on each and every device in the network, this issue MAY be categorized as a network commissioning issue and has to be resolved in the layers above the Zigbee stack's core.

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In the case of multiband router interfaces that on network formation or joining do not join or form a network, these MAY be initialized using the NLME.NETWORK-ADD-INTERFACE command.

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F.2.2 The Frequency Agility Feature Related Points

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How a network manager or a device SHALL behave, considering the ability to support different frequency bands, is described in Annex E and in section 2.4.3.3.10 . Implementers of the frequency agility feature SHOULD take into account that it is prohibited for a network manager device to move a network from one PHY to another. This limitation is introduced in order to avoid the situations when a part of devices in the network cannot physically migrate to a channel from another PHY and therefore got lost. At the same time moving a network from one frequency band to another within 868/915 MHz PHY is allowed since support of both bands is mandatory in accordance with IEEE P802.15.4 (§C.7.2.3 [B1]). The application layer SHALL meet regional regulatory requirements by setting an appropriate value to the *apsChannelMaskList* parameter.

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F.2.3 Network Management Services and Client Services Affected by Multiple Frequency Bands Support

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The following Network Management Client Services and Network Management Services use the *ScanChannels* parameter and, therefore, have to be mentioned in regard of multiple frequency bands support: Mgmt_NWK_Disc_req, Mgmt_NWK_Update_req and NLME-JOIN.request. In case the *ScanChannels* bitmask includes a channel(s) from unsupported frequency band the INVALID_PARAMETER (see [B1]) error status is supposed to be raised from the MAC layer to the NWK layer. If the destination addressing mode in the Mgmt_NWK_Disc_req and

15003 Mgmt_NWK_Update_req commands was unicast then the Remote Device SHALL incorporate the error status into
 15004 the status field of the correspondent Mgmt_NWK_Disc_rsp and Mgmt_NWK_Update_notify commands. The same
 15005 error status shall be reported in NLME-JOIN.confirm primitive sent in response to an NLME-JOIN.request primitive
 15006 if the latter contains unsupported channels.

15007 In case the NLME-JOIN.request primitive is used by the application layer to request a device to switch to a new
 15008 channel (the *RejoinNetwork* parameter is equal to 0x03) then the application layer, by implementation-specific means,
 15009 has to ensure that the chosen channel is supported by all other devices in the network, to avoid the situation when
 15010 some of the devices might be lost from the network due to inability to switch to an unsupported channel.

15011 F.3 Timing Issues

15012 Different frequency bands declared in the IEEE Std 802.15.4 2015 standard provide different bit rates. Therefore the
 15013 Zigbee stack's time-related parameters have to be adjusted accordingly to achieve the stable operation on each of the
 15014 supported frequency bands. The Zigbee stack's time-related parameters can be divided in two groups in regard of
 15015 multiple frequency bands support: the first group includes time-related parameters that have a direct impact on the
 15016 Zigbee stack's core's functioning and that ensure that the core's functioning is correct; the second group consists of
 15017 the time-related parameters that have to be configured by an application. The Zigbee specification controls the first
 15018 group of parameters and declares them in a way that makes them dependent on the currently used frequency band.
 15019 These parameters are presented in Table F-1 and their values SHALL be updated automatically each time a device
 15020 migrates from one frequency band to another.

15021 **Table F-1. Internal Time-related Parameters**

Parameter	Reference
<i>:Config_NWK_Time_btwn_Scans</i>	Section 2.5.5.1, Table 2-135
<i>nwkcRouteDiscoveryTime</i>	Section 3.5.1, Table 3-61
<i>nwkcMaxBroadcastJitter</i>	Section 3.5.1, Table 3-61
<i>nwkcRREQRetryInterval</i>	Section 3.5.1, Table 3-61
<i>nwkcMinRREQJitter</i>	Section 3.5.1, Table 3-61
<i>nwkcMaxRREQJitter</i>	Section 3.5.1, Table 3-61
<i>nwkPassiveAckTimeout</i>	Section 3.5.2, Table 3-62
<i>nwkNetworkBroadcastDeliveryTime</i>	Section 3.5.2, Table 3-62
<i>apsSecurityTimeOutPeriod</i>	Section 4.4.12, Table 4-35

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ANNEX G INTER-PAN COMMUNICATIONS

15045

G.1 Scope and Purpose

15046 This annex defines a mechanism whereby Zigbee devices can perform exchanges of information with devices in their
 15047 local area without having to form or join the same Zigbee network. This capability is used in a number of Zigbee
 15048 functions from extending Smart Energy networks to simple low cost devices, for Green Power end devices, or for
 15049 Touchlink commissioning.

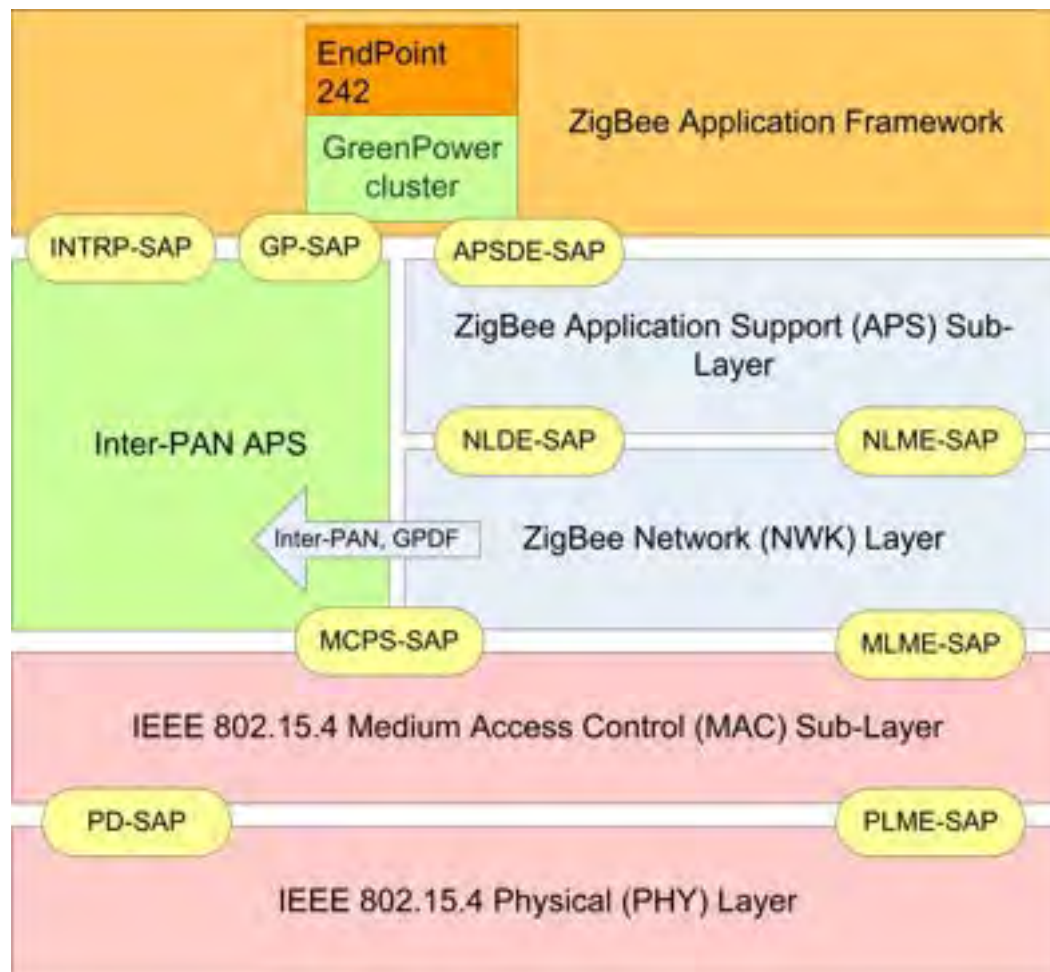
15050

G.2 General Description

15051

G.2.1 What Inter-PAN APS Does

15052 A schematic view of the Zigbee stack enabling Inter-PAN data and Green Power Device Frame exchange is shown in
 15053 Figure G-1.



15054

15055

Figure G-1. Zigbee Stack with Inter-PAN APS

15056 All features relating to Green Power have been removed from this section since that is now covered elsewhere. Refer
 15057 to [B5] for the relevant Green Power specification details.

15058 Inter-PAN data exchanges and Green Power Device Frame (GPDF) exchanges are handled by a special “stub” of the
 15059 Application Support Sub-Layer, which is accessible through a special Service Access Point (SAP), the INTRP-SAP,

15060 parallel to the APSDE-SAP. The Inter-PAN data exchange architecture is used by several different mechanisms within
15061 Zigbee devices.

15062 The same Inter-PAN APS is intended to be used for these different services even if how they use it varies slightly. In
15063 case of Inter-PAN data exchanges, the Inter-PAN APS performs just enough processing to pass application data frames
15064 to the MAC for transmission and to pass Inter-PAN application frames from the MAC to the application on receipt.
15065 In case of Green Power Device Frame exchanges, the Inter-PAN APS also performs security processing of incoming
15066 and outgoing GPDF, as well as buffering of outgoing GPDF (see [B5]). The incoming GPDF are delivered to the
15067 application on endpoint 242 and handled by that; see the specification of the Green Power cluster residing on endpoint
15068 242 [B4].

15069 **G.2.2 Service Specification**

15070 The INTRP-SAP is a data service comprising eight primitives.

- 15071 • INTRP-DATA.request - Provides a mechanism for a sending device to request transmission of an Inter-PAN
15072 message.
- 15073 • INTRP-DATA.confirm - Provides a mechanism for a sending device to understand the status of a previous request
15074 to send an Inter-PAN message.
- 15075 • INTRP-DATA.indication - Provides a mechanism for identifying and conveying an Inter-PAN message received
15076 from a sending device.

15077 **G.2.3 The INTRP-DATA.request Primitive**

15078 The INTRP-DATA.request primitive allows an application entity to request data transmission via the Inter-PAN APS.

15079 **G.2.3.1 Semantics of the Service Primitive**

15080	INTRP-DATA.request	{
15081		SrcAddrMode
15082		DstAddrMode
15083		DstPANId
15084		DstAddress
15085		ProfileId
15086		ClusterId
15087		ASDULength
15088		ASDU
15089		ASDUHandle
15090		}

15091 Table G-1 specifies the parameters of the INTRP-DATA.request primitive.

15092

Table G-1. Semantics of the INTRP-DATA.request Primitive

Name	Type	Valid Range	Description
SrcAddrMode	Integer	0x00 – 0x03	The source addressing mode for the MPDU to be sent. This value can take one of the following values: 0 x 00 = no address (SrcPANId and SrcAddress omitted). 0 x 01 = reserved. 0 x 02 = 16 bit short address. 0 x 03 = 64 bit extended address.
DstAddrMode	Integer	0x01 – 0x03	The addressing mode for the destination address used in this primitive. This parameter can take one of the values from the following list: 0x01 = 16-bit group address 0x02 = 16-bit NWK address, usually the broadcast address 0xffff 0x03 = 64-bit extended address
DstPANID	16-bit PAN ID	0x0000 – 0xFFFF	The 16-bit PAN identifier of the entity or entities to which the ASDU is being transferred or the broadcast PANID 0xffff.
DstAddress	16-bit or 64-bit address	As specified by the AddrMode parameter	The address of the entity or entities to which the ASDU is being transferred.
ProfileId	Integer	0x0000 – 0xffff	The identifier of the application profile for which this frame is intended.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster, within the profile specified by the ProfileId parameter, which defines the application semantics of the ASDU.
ASDULength	Integer	0x00 – (<i>aMax-MACFrameSize</i> - 9)	The number of octets in the ASDU to be transmitted.
ASDU	Set of octets	-	The set of octets forming the ASDU to be transmitted.
ASDUHandle	Integer	0x00 – 0xff	An integer handle associated with the ASDU to be transmitted.

15093

G.2.3.2 When Generated

15094

This primitive is generated by the local application entity when it wishes to address a frame to one or more peer application entities residing on neighboring devices using Inter-PAN data.

15095

15096 **G.2.3.3 Effect on Receipt**

15097 On receipt of the INTRP-DATA.request primitive by the Inter-PAN APS, the Inter-PAN APS will construct and
 15098 transmit an Inter-PAN frame. This frame SHALL have a Protocol Version sub-field and the Frame Type sub-field
 15099 of the NWK Frame Control field set to the values as specified in section G.3.2.1. The frame SHALL contain the given
 15100 ASDU and set the parameters using the MCPS-DATA.request primitive of the MAC sub-layer, as described in section
 15101 G.3.1.1. Once the corresponding MCPS-DATA.confirm primitive is received, the stack SHALL generate the INTRP-
 15102 DATA.confirm primitive with a status value reflecting the status value returned by the MAC.

15103 **G.2.4 The INTRP-DATA.indication Primitive**

15104 The INTRP-DATA.indication primitive allows the Inter-PAN APS to inform the next higher layer that it has received
 15105 a frame that was transmitted via the Inter-PAN APS on another device.

15106 **G.2.4.1 Semantics of the Service Primitive**

15107 The primitive interface is as follows:

15108	INTRP-DATA.indication	{
15109		SrcAddrMode
15110		SrcPANId
15111		SrcAddress
15112		DstAddrMode
15113		DstPANId
15114		DstAddress
15115		ProfileId
15116		ClusterId
15117		ASDULength
15118		ASDU
15119		LinkQuality
15120		}

15121 Table G-2 defines the parameters of the INTRP-DATA.indication primitive.

15122 **Table G-2. Parameters of the INTRP-DATA.indication Primitive**

Name	Type	Valid Range	Description
SrcAddrMode	Integer	0x00- 0x03	The source addressing mode for the MPDU to be sent. The following values are allowed: 0x00 – no address (SrcPANId and SrcAddress omitted) 0x01 = reserved 0x02 = 16 bit short address 0x03 = 64 bit extended address
SrcPANId	16-bit PAN Id	0x0000 – 0xffff	The 16-bit PAN identifier of the entity from which the ASDU is being transferred.
SrcAddress	64-bit address	As specified by the	The device address of the entity from which the ASDU is being transferred.

Name	Type	Valid Range	Description
		SrcAddrMode parameter	
DstAddrMode	Integer	0x00 – 0x03	The addressing mode for the destination address used in this primitive. This parameter can take one of the values from the following list: 0x00 = no address (DstPANId and DstAddr omitted) 0x01 = 16-bit group address 0x02 = 16-bit NWK address, usually the broadcast address 0xffff 0x03 = 64-bit extended address
DstPANID	16-bit PAN Id	0x0000 – 0xffff	The 16-bit PAN identifier of the entity or entities to which the ASDU is being transferred or the broadcast PAN ID 0xffff.
DstAddress	16-bit or 64-bit address	As specified by the DstAddrMode parameter	The address of the entity or entities to which the ASDU is being transferred.
ProfileId	Integer	0x0000 – 0xffff	The identifier of the application profile for which this frame is intended.
ClusterId	Integer	0x0000 – 0xffff	The identifier of the cluster, within the profile specified by the ProfileId parameter, which defines the application semantics of the ASDU.
ASDULength	Integer	0x00 – (<i>aMax-MACFrameSize</i> - 9)	The number of octets in the ASDU to be transmitted.
ASDU	Set of octets	-	The set of octets forming the ASDU to be transmitted.
LinkQuality	Integer	0x00 – 0xff	The link quality observed during the reception of the ASDU.

15123 G.2.4.2 When Generated

15124 This primitive is generated and passed to the application in the event of the receipt, by the Inter-PAN APS, of a MCPS-
15125 DATA.indication primitive from the MAC sub-layer, containing a frame that was generated by the Inter-PAN APS of
15126 a peer Zigbee device, and that was intended for the receiving device.

15127 **G.2.4.3 Effect on Receipt**

15128 Upon receipt of this primitive the application is informed of the receipt of an application frame transmitted, via the
 15129 Inter-PAN APS, by a peer device and intended for the receiving device. The values of the INTRP-DATA.indication
 15130 SHALL be copied into the matching field names of the APSDE-DATA.indication. Additionally these fields SHALL
 15131 be set as follows:

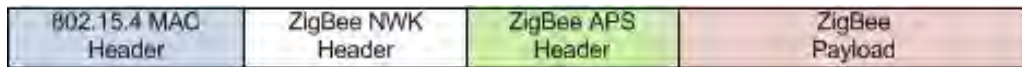
- 15132 1. The DstAddrMode SHALL be set to 0x04.
- 15133 2. The DstAddress SHALL be set to the DstAddress of the INTRP-DATA.indication primitive.
- 15134 3. The SrcAddrMode SHALL be set to 0x04.
- 15135 4. The SrcAddress SHALL be set to the SrcAddress of the INTRP-DATA.indication primitive.
- 15136 5. The SecurityStatus field enumeration SHALL be set to UNSECURED.
- 15137 6. The Inter-PAN field SHALL be set to TRUE.

15138 **G.2.5 Qualifying and Testing of Inter-PAN Messages**

15139 Support for Inter-PAN messages and Green Power is optional. If a device claims Inter-PAN communication support
 15140 then certification and application level testing SHALL ensure both the sending and receiving devices correctly react
 15141 and understand the INTRP-DATA.request and INTRP-DATA.indication primitives. Green Power certification and
 15142 application level testing SHALL also ensure the GP-DATA.request, GP-DATA.indication, GP-SEC.request, and GP-
 15143 SEC.response primitives are supported as mandated by the Green Power Specification [B4].

15144 **G.3 Frame Formats**

15145 The overall view of a Zigbee frame is as shown in Figure G-2.



15146 **Figure G-2. Zigbee Frame Format Overview**

15148 Briefly, the frame contains the familiar headers controlling the operation of the MAC sub-layer, the NWK layer and
 15149 the APS. Following these, there is a payload, formatted as specified in [B1].

15150 Since most of the information contained in the NWK header is not relevant for Inter-PAN transmission, the Inter-PAN
 15151 frame, shown in Figure G-3, contains only a stub of the NWK header. A Inter-PAN APS header is also used and is
 15152 described in section G.2.3.3.

Octets: 2	1	variable	2
Frame Control	Sequence Number	Addressing Fields	NWK Frame Control
802.15.4 MAC Header			NWK Header

15153 **Figure G-3. Inter-PAN Frame Format**

15154 For Green Power Device Frames there is a different set of MAC and NWK headers as shown in Figure G-4.

15155

Octets: 2	1	4/10/12/ Variable	1	0/1	0/4	0/4	Variable	0/2/4
Frame Control	Sequence Number	Addressing Fields	NWK Frame Control	Extended NWK Frame Control	GPD SrcID	Security Frame Counter	GPDF Application Payload	MIC
802.15.4 MAC Header			GPDF NWK Header			GPDF Application Payload	GPDF NWK Trailer	

15156

Figure G-4. Green Power Device Frame Format

15157

G.3.1 MAC Header

15158

The 802.15.4 MAC header has several options depending on how the frame is being used. The MAC header fields are shown in 3 with notes on their use.

15159

15160

Table G-3. MAC Header Fields for Inter-PAN APS Frames

Field Name	Octets	Usage
Frame Control	2	Varies by Inter-PAN APS frame
Sequence Number	1	Normally used as MAC sequence number, increasing for each frame sent. Green Power usage discussed in GreenPower Specification reference [B4].
Destination PAN ID	0/2	May be set as the PANID of the destination or 0xffff.
Destination Address	2/8	Normally either broadcast short address or a 64 bit long address of the destination. Green Power usage discussed in GreenPower Specification reference [B4].
Source PAN ID	0/2	Used in Inter-PAN messaging but not in Green Power Device Frames.
Source Address	2/8	Normally set to the 64 bit address of the source device. Green Power usage discussed in GreenPower Specification reference [B4].

15161

The MAC header usage varies by application using the Inter-PAN messaging.

15162

G.3.1.1 MAC Header Usage for Inter-PAN Messaging

15163

Because Inter-PAN messaging is used for devices not on the Zigbee network, short addressing is not normally used unless it is the broadcast short address such that any device within range can respond. Otherwise the 64 bit long addresses are used for source and destination addressing. Source and Destination PANID's MAY be used or MAY be omitted.

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G.3.2 Network Header

15168

G.3.2.1 Stub NWK Header for Inter-PAN Messages

15169

The stub NWK Header for Inter-PAN messages is shown below in Figure G-5.

Octets:2
NWK Frame Control

15170 **Figure G-5. Stub NWK Header for Inter-PAN messages**

15171 The NWK header Frame control field for the Inter-PAN messages is formatted exactly as the NWK header used by
15172 other Zigbee frames, see section 3.3.1.1 of the current specification.

15173 For Inter-PAN messages, the frame type 0b11 is used with the protocol version of the Zigbee stack. All other sub-
15174 fields SHALL have a value of 0.

15175 **G.3.2.1.1 Remaining Fields of the Stub NWK Header for GPDF**

15176 The GPDSrcID field is present if the FrameType sub-field is set to 0b00 and the ApplicationID sub-field of the Ex-
15177 tended NWK Frame Control field is set to 0b000 (or not present). It is also present if the FrameType sub-field is set
15178 to 0b01, the NWK Frame control Extension sub-field is set to 0b1, and the ApplicationID sub-field of the Extended
15179 NWK Frame Control field is set to 0b000. The GPDSrcID field carries the unique identifier of the GPD, to/by which
15180 this GPDF is sent. The value of 0x00000000 indicates unspecified. The value of 0xffffffff indicates all. The values
15181 0xfffffff9 – 0xfffffff0 are reserved. The GPDSrcID field is not present if the FrameType sub-field is set to 0b01 and
15182 the Extended NWK Frame control sub-field is set to 0b0. Unique identification of the GPD by an address is not
15183 required then. The GPDSrcID field is not present if the ApplicationID sub-field of the Extended NWK Frame Control
15184 field is set to 0b010. The GPD is then identified by its IEEE address, which is then carried in the corresponding MAC
15185 address field, source or destination for the GPDF sent by or to the GPD, respectively.

15186 The presence and length of the Security frame counter field is dependent on the value of ApplicationID and Secu-
15187 rityLevels sub-field, as described above.

15188 The MIC field carries the Message Integrity Code for this message, calculated as specified in section A.1.4 of the
15189 current GreenPower Specification [B4]. Its presence and length is dependent on the value of ApplicationID and Se-
15190 curityLevel sub-fields, as described above.

15191 The application payload of the GPDF is defined in [B4], section A.1.4.1.6.

15192 **G.3.3 Inter-PAN APS Header**

15193 The format of the Inter-PAN APS header is shown in Figure G-6. This is used in normal Inter-PAN messages and
15194 Touchlink messages but not in Green Power Device Frames.

15195

Octets: 1	0/2	2	2
APS frame control	Group address	Cluster identifier	Profile identifier
	Addressing fields		

15196 **Figure G-6. Inter-PAN APS Header Format**

15197 The Inter-PAN APS header contains only 4 fields totaling a maximum of 7 octets in length.

15198 The APS frame control field SHALL be 1 octet in length and is identical in format to the frame control field of the
15199 general APDU frame in [B3] (see Figure G-7).

15200

Bits: 0-1	2-3	4	5	6	7
Frame type	Delivery Mode	Reserved	Security	ACK request	Extended Header Present

15201 **Figure G-7. Format of the APS Frame Control Field for Inter-PAN Messages**

15202 The fields of the frame control field have the following values:

- 15203 • The frame type sub-field SHALL have a value of 0b11, which is the Inter-PAN APS frame type.
- 15204 • The delivery mode sub-field MAY have a value of 0b00, indicating unicast, 0b10, indicating broadcast or 0b11
15205 indicating group addressing.
- 15206 • Security is never enabled for Inter-PAN transmissions. This sub-field SHALL be a value of 0.
- 15207 • The ACK request sub-field SHALL have a value of 0, indicating no ACK request. No APS ACKs are to be used
15208 with Inter-PAN transmissions.
- 15209 • The extended header present sub-field SHALL always have a value of 0, indicating no extended header.

15210 The optional group address shall be present if and only if the delivery mode field has a value of 0x0b11. If present it
15211 SHALL contain the 16-bit identifier of the group to which the frame is addressed.

15212 The cluster identifier field is 2 octets in length and specifies the identifier of the cluster to which the frame relates and
15213 which SHALL be made available for filtering and interpretation of messages at each device that takes delivery of the
15214 frame. For touchlink this has a value of 0x1000.

15215 The profile identifier is two octets in length and specifies the Zigbee profile identifier for which the frame is intended
15216 and SHALL be used during the filtering of messages at each device that takes delivery of the frame. For touchlink this
15217 has the value of 0xc05e.

15218 **G.4 Frame Processing**

15219 Assuming the INTRP-SAP described above, frames transmitted using the Inter-PAN APS are processed as described
15220 here.

15221 **G.4.1 Inter-PAN Transmission (non Green Power Device Frames)**

15222 On receipt of the INTRP-DATA.request primitive, the Inter-PAN APS SHALL construct a Inter-PAN APS frame.
15223 The header of the Inter-PAN APS frame SHALL contain a NWK and an APS frame control field as described in
15224 section G.3, a cluster identifier field equal to the value of the ClusterId parameter of the INTRP-DATA.request and a
15225 profile identifier field equal to the value of the ProfileId parameter. If the DstAddrMode parameter of the INTRP-
15226 DATA.request has a value of 0x01, indicating group addressing, then the APS header SHALL also contain a group
15227 address field with a value corresponding to the value of the DstAddress parameter. The payload of the Inter-PAN APS
15228 frame SHALL contain the data payload to be transmitted.

15229 The Inter-PAN APS frame will then be transmitted using the MCPS-DATA.request primitive of the MAC sub-layer
15230 with key primitive parameters set as follows:

- 15231 • The value of the SrcAddrMode parameter of the MCPS-DATA.request SHALL always be set to a value of three,
15232 indicating the use of the 64-bit extended address.
- 15233 • The SrcPANId parameter SHALL be equal to the value of the macPANID attribute of the MAC PIB.
- 15234 • The SrcAddr parameter SHALL always be equal to the value of the MAC sub-layer constant aExtendedAddress.
- 15235 • If the DstAddrMode parameter of the INTRP-DATA.request primitive has a value of 0x01, then the DstAddr-
15236 Mode parameter of the MCPS-DATA.request SHALL have a value of 0x02. Otherwise, the DstAddrMode para-
15237 meter of the MCPS-DATA.request SHALL reflect the value of the DstAddrMode parameter of the INTRP-
15238 DATA.request.
- 15239 • The DstPANId parameter SHALL have the value given by the DstPANID parameter of the INTRP-DATA.request
15240 primitive.
- 15241 • If the DstAddrMode parameter of the INTRP-DATA.request has a value of 0x01, indicating group addressing,
15242 then the value of the DstAddr parameter of the MCPS-DATA.request shall be the broadcast address 0xffff.

15243 Otherwise, value of the DstAddr parameter SHALL reflect the value of the DstAddress parameter of the INTRP-
15244 DATA.request primitive.

15245 • The MsduLength parameter SHALL be the length, in octets, of the Inter-PAN APS frame.

15246 • The Msdu parameter SHALL be the Inter-PAN APS frame itself.

15247 • If the transmission is a unicast, then the value of the TxOptions parameter shall be 0x01, indicating a request for
15248 acknowledgement. Otherwise, the TxOptions parameter SHALL have a value of 0x00, indicating no options.

15249 On receipt of the MCPS-DATA.confirm primitive from the MAC sub-layer, the Inter-PAN APS will invoke the IN-
15250 TRP-DATA.confirm primitive with a status reflecting the status returned by the MAC.

15251 **G.4.2 Inter-PAN Reception (non Green Power Device Frames)**

15252 On receipt of the MCPS-DATA.indication primitive from the MAC sub-layer, the receiving entity - in case of a Zigbee
15253 device this is normally the NWK layer - SHALL determine whether the frame SHOULD be passed to the Inter-PAN
15254 APS or processed as specified in [B5]. For a frame that is to be processed by the Inter-PAN APS, the non- varying
15255 sub-fields of the NWK frame control field SHALL be set exactly as described in section G.3.2.1 and the APS frame
15256 control field SHALL be set exactly as described in section G.3.3. Any variation from this format SHALL trigger the
15257 message to be dropped and no further processing SHALL be done.

15258 If the delivery mode sub-field of the APS frame control field of the Inter-PAN APS header has a value of 0b11,
15259 indicating group addressing, then, if the device implements group addressing, the value of the group address field
15260 SHALL be checked against the NWK layer group table, and, if the received value is not present in the table, the
15261 frame SHALL be discarded with no further processing or action.

15262 On receipt of a frame for processing, the Inter-PAN APS SHALL generate an INTRP- DATA.indication with param-
15263 eter values as follows:

15264 • The value of the SrcAddrMode parameter of the INTRP-DATA.indication SHALL always be set to a value of
15265 three, indicating the use of the 64-bit extended address.

15266 • The value of the SrcPANId parameter SHALL reflect that of the SrcPANId parameter of the MCPS-DATA.indi-
15267 cation.

15268 • The SrcAddress parameter of the INTRP-DATA.indication SHALL always reflect the value of a 64-bit extended
15269 address.

15270 • Values for the DstAddrMode parameter SHALL be one of:

15271 o 0x03, if the DstAddrMode parameter of the INTRP-DATA.indication has a value of 0x03.

15272 o 0x02, if the DstAddrMode parameter of the INTRP-DATA.indication has a value of 0x02

15273 • The value of the DstPANId parameter of the INTRP-DATA.indication SHALL reflect the value of the DstPANId
15274 parameter of the MCPS-DATA.indication.

15275 • If the DstAddrMode parameter of the INTRP-DATA.indication has a value of 0x01, indicating group addressing
15276 then the DstAddress parameter of the INTRP-DATA.indication SHALL reflect the value of the group address
15277 field of the Inter-PAN APS header. Otherwise, the value of the DstAddress parameter of the INTRP-DATA.in-
15278 dication SHALL reflect the value of the DstAddr parameter of the MCPS-DATA.indication.

15279 • The value of the ProfileId parameter SHALL be the same as the value of the profile identifier field of the Inter-
15280 PAN APS header.

15281 • The value of the ClusterId parameter SHALL be the same as the value of the cluster identifier field of the Inter-
15282 PAN APS header.

15283 • The ASDULength field SHALL contain the number of octets in the Inter-PAN APS frame payload.

15284 • The ASDU SHALL be the Inter-PAN APS payload itself.

- 15285 • The value of the LinkQuality parameter SHALL reflect the value of the mpduLinkQuality parameter of the
15286 MCPS-DATA.indication.

15287 **G.5 Inter-PAN Best Practices**

15288 Network Channel Manager Inter-PAN support is not specified in Annex E of the core stack specification ([B3]). New
15289 channel notifications will not be broadcast Inter-PAN. Inter-PAN devices which do not receive the network channel
15290 change will need to perform the network discovery procedure described in section 3.6.1.5.1.

15291 It is recommended that devices that use Inter-PAN SHOULD implement an allow list of known accepted commands
15292 and constrain the list to only the necessary commands. Inter-PAN commands SHOULD carefully screened by the
15293 receiving device since they can be sent by devices that do not have network security credentials and are performing
15294 an active attack.

15295
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15298

ANNEX H SECURITY TEST VECTORS FOR GREEN POWER DEVICE FRAMES

This section has been entirely superseded by the Green Power Specification 14-0563-16 [B4].

ANNEX I ZIGBEE TLV DEFINITIONS AND FORMAT

15299
15300 This annex describes the definition and format of Zigbee Type-Length-Value data elements (TLVs). These defini-
15301 tions are specific to the Zigbee Core specification. The advantage of TLV formatted data is that new data fields can
15302 be packed into messages in a future proof way that allows a device to parse as much data as it understands regardless
15303 of where the unknown data appears. TLVs can be declared as mandatory or optional independent of the order they
15304 are in.

I.1 General Format

15305
15306 The general format of TLVs is defined in Table I-1.

15307 **Table I-1. General TLV Format**

Tag Field	Length Field	Value Field
1-byte	1-byte	Variable Length (Length Field Value + 1)

15308 The actual size of the Value field is always interpreted as the value in the received Length field + 1.

I.1.1 Reserved Fields

15309
15310 All reserved TLV fields SHALL be set to 0 on transmission and ignored on reception.

I.1.2 Tag Id Ranges

15311
15312 Zigbee TLV Tags are divided into locally and globally scoped IDs. Locally scoped tag IDs have a format specific to
15313 a particular message and MAY overlap with Local tag IDs of different messages. Request and response messages
15314 SHALL have separate local tag IDs. Local tag ID formats are defined in the section of the Zigbee spec where they
15315 are sent. They share the same general message overhead as described in this Annex.

15316 Global tag IDs have a single format across all messages and layers and are used in 2 or more different messages. In
15317 general, Global Tag IDs represent a global state and is not completely ephemeral in nature. However, not all global
15318 IDs have meaning for all messages and a known global tag ID MAY still trigger the receiving device to reject the
15319 message. This will be described in the handling of a particular message.

15320 The tag ID Ranges are defined in Table I-2.

15321 **Table I-2. Tag ID Ranges**

Tag Range	Scope
0 – 63	Local
64-255	Global

I.2 Rules for TLVs in Message

I.2.1 Order

15322
15323 When a message contains TLVs, order SHALL NOT matter. TLVs MAY be concatenated in any order by the sender.
15324 No functional behavior SHALL be required of the receiver based on the order of multiple TLVs in a single mes-
15325 sage. Duplication of Tag Ids.
15326

15327 Duplicate TLV Tag IDs in messages are NOT allowed with one exception, described below. When multiple TLVs
15328 with the same ID are found in a single message the message SHALL be rejected and dropped.

15329 The Manufacturer Specific Global TLV is the one exception and that TLV MAY occur multiple times in a message.
15330 Interpretation and behavior on receipt of any Manufacturer Specific Global TLV is outside the scope of this

15331 specification. Devices that receive a Manufacturer Specific Global TLV with content they do not understand
15332 SHALL treat this as an Unknown Tag as described in the rules for Unknown Tags.

15333 **I.2.2 Global Tags**

15334 In general, all Global Tags SHALL be accepted for all messages. If the message processing description in this sec-
15335 tion does not have specific rules for a Global TLV then the received Global TLVs are silently ignored. The pro-
15336 cessing rules of a message MAY override this behavior and describe what to do when one or more known Global
15337 TLVs are unexpectedly received.

15338 Global TLVs MAY be appended anywhere but are only actionable when the message processing description dictates
15339 how they are handled. NIB, AIB, or other state SHALL not be updated unless the local message processing explic-
15340 itly mentions that behavior.

15341 **I.2.3 Unknown Tags**

15342 An Unknown Tag is defined as one where the Tag ID is neither a defined global ID nor is the ID a Local ID defined
15343 for the specific command or datagram containing the TLV.

15344 Receiving devices SHALL ignore all unknown Tag IDs and no processing SHALL be done for them.

15345 **I.2.4 Extending Existing TLVs**

15346 Existing TLVs MAY be extended in a future version of this specification. By default, known TLVs that are longer
15347 than their defined minimum length SHALL NOT be dropped. Known elements in the TLV shall be interpreted and
15348 the unknown elements ignored.

15349 When a receiver SHALL store TLVs, the receiver SHALL also store the full extended TLV.

15350 **I.2.5 Malformed TLVs**

15351 When TLVs are smaller than the minimum size defined in the specification they SHALL be considered malformed.
15352 Malformed TLVs are also defined as the case where the size is within the minimum and maximum length, but the
15353 data contained has been truncated. For example, if the TLV defines a list of 2-byte node IDs and the length value
15354 causes the TLV to truncate in the middle of a 2-byte node ID, this is considered malformed.

15355 Malformed TLVs SHALL generate an error. Processing of the message SHALL terminate and all TLVs contained in
15356 the message SHALL NOT be processed, including all TLVs preceding the malformed TLV.

15357 **I.2.6 Encapsulation TLVs**

15358 A select few TLVs are allowed to contain other TLVs. They are called Encapsulation TLVs. Encapsulation TLVs
15359 SHALL NOT contain Encapsulation TLVs.

15360 To validate an Encapsulation TLV the General TLV Processing in Section I.4.8 SHALL be executed on the TLVs
15361 inside the Encapsulation TLV with one additional requirement. If an Encapsulation TLV contains another Encapsu-
15362 lation TLV the outer Encapsulation TLV SHALL be considered malformed and no further process SHALL be done.

15363 **I.2.7 General TLV Processing**

- 15364 1. Examine all TLVs in the message.
- 15365 2. Check for any duplicate TLV tag IDs.
- 15366 a. If any TLV ID is duplicated, except for the Manufacturing Specific TLV, do the following:
- 15367 i. The message shall be rejected. No further processing SHALL be done.
- 15368 b. Otherwise, continue processing.
- 15369 3. Determine if any TLVs are malformed.

- 15370 a. Examine all known TLVs.
- 15371 b. If any TLV is less than the minimum required length, then the message SHALL be rejected. A status of
15372 INVALID_TLV SHALL be returned to the calling routine and no further processing by this routine
15373 SHALL be done.
- 15374 c. If the TLV length is greater than the minimum but causes the known data to be truncated, then the message
15375 SHALL be rejected. A status of INVALID_TLV SHALL be returned to the calling routine and no further
15376 processing by this routine SHALL be done.
- 15377 d. If the TLV is an encapsulation TLV and is contained inside another Encapsulation TLV, the outer Encapsu-
15378 lation TLV is considered invalid. A status of INVALID_TLV SHALL be returned to the calling routine and
15379 no further processing by this routine SHALL be done.
- 15380 e. If the TLV is an Encapsulation TLV and is not inside another Encapsulation TLV, execute the rules in this
15381 section (I.4.8) to validate the TLVs contained inside.
- 15382 i. If the result indicates that the Encapsulation TLV is malformed then that TLV SHALL be discarded.
- 15383 f. Otherwise, continue processing.

15384 4. General TLV Processing has succeeded, return SUCCESS to the calling routine.

15385 Refer to the message specific processing rules to determine whether the message will be accepted. Known Data being
15386 truncated

15387 All TLVs MAY be extended in future versions of the spec. The minimum length of a TLV is the length for the TLV
15388 when it was first introduced in the specification. Extensions to the TLV in later versions of the specification SHALL
15389 NOT change the minimum length. However, a received TLV can be longer than the minimum length and still be
15390 considered invalid if the TLV has been extended with a new field of a defined length. For example, a TLV that has
15391 an EUI64 in a prior version of the spec and was extended to include a Node ID field. The minimum length of that
15392 TLV will always be 8 bytes. If the node knows about the newer version of the TLV that includes a Node ID but re-
15393 ceives only 9 bytes, it rejects the entire TLV. A node that does not know about the node ID field would end up ac-
15394 cepting the 9 bytes but only processing the EUI64 field.

15395 For TLVs with sets or lists of parameters the receiver is required to validate that the list is the appropriate length.
15396 For example if a TLV has a 1-byte count field indicating a number of Node IDs (2-bytes) that follow, if the overall
15397 length would cause it to truncate the last node ID the whole TLV is rejected. Additionally, if there is a count field
15398 within the TLV and the value of count is more than the number of items in the TLV the TLV SHALL be considered
15399 invalid. For example, a TLV with a value length of 7 containing a 1-byte count field that indicates 8 items of 1-byte
15400 each follow, would be considered invalid. The TLV value length SHOULD have been 9 (1-byte count field followed
15401 by 8-bytes of items).

15402 **I.3 Security**

15403 TLVs are secured by the mechanism that transports them. It is up to the commands and messages that transport them
15404 to dictate any special security processing required for transmission and reception.

15405 **I.4 Global TLV IDs**

15406 Table I-3 defines the global TLV IDs defined by the Zigbee specification.

15407

15408

Table I-3. Global TLV Definitions

TLV Tag ID	Minimum Length (Bytes)	Name
64	2	Manufacturer Specific Global TLV
65	2	Supported Key Negotiation Methods Global TLV
66	4	PAN ID Conflict Report Global TLV
67	2	Next PAN ID Global TLV
68	4	Next Channel Change Global TLV
69	16	Symmetric Passphrase Global TLV
70	2	Router Information Global TLV
71	2	Fragmentation Parameters Global TLV
72		Joiner Encapsulation Global TLV
73		Beacon Appendix Encapsulation Global TLV
74		Reserved
75		Configuration Parameters Global TLV
76		Device Capability Extension Global TLV (Refer to the Zigbee Direct specification for more details.)
77-255		Reserved

15409 **I.4.1 Manufacturer Specific Global TLV (ID 64)**

15410 The Manufacturing Specific Global TLV defines a TLV that is defined outside the Zigbee specification. Its interpretation and handling are outside the scope of this specification. The Manufacture Specific Global TLV SHALL be at
15411 least 2 bytes in length indicating the Zigbee Manufacturer ID. The format is specified in Figure I-1.
15412

15413 The Manufacturer associated with this Manufacture ID will define the additional data in the Manufacturing Specific
15414 Global TLV. Other manufacturers MAY make use of those TLVs with a different Manufacturer's ID.

15415 The Manufacturer Specific Global TLV MAY be appended on the end of any command frame.

Octets: 2	Varies
Zigbee Manufacturer ID	Additional Data

15416 **Figure I-1. Manufacturer Specific Global TLV Data**

15417 **I.4.2 Supported Key Negotiation Methods Global TLV (ID 65)**

15418 This TLV defines the set of Key Negotiation protocols that the sending device supports. When sent as part of an
15419 IEEE Std 802.15.4 Beacon it indicates the set of Key Negotiation Protocols that the Trust Center Supports.

15420 The format of this TLV is defined in Figure I-2.

Octets: 1	Octets: 1	Octets: 8
Key Negotiation Protocols Bitmask	Pre-shared Secrets Bitmask	Source Device EUI64

15421 **Figure I-2. Supported Key Negotiation Methods Global TLV Data**

15422 The Key Negotiation Protocols Bitmask is defined in Table I-4.

15423

Table I-4. Key Negotiation Protocols Bitmask

Bit	Description
0	Static Key Request (Zigbee 3.0 Mechanism)
1	SPEKE using Curve25519 with Hash AES-128
2	SPEKE using Curve25519 with Hash SHA-256
3 – 7	Reserved

15424 The Pre-shared Secrets Bitmask is defined in Table I-5.

15425

Table I-5. Supported Pre-shared Secrets Bitmask

Bit	Name	Description
0	Symmetric Authentication Token	This is a token unique to the Trust Center and network that the device is running on, and is assigned by the Trust center after joining. The token is used to renegotiate a link key using the Key Negotiation protocol and is good for the life of the device on the network.
1	Install Code Key	128-bit pre-configured link-key derived from install code
2	Passcode Key	A variable length passcode for PAKE protocols. This passcode can be shorter for easy entry by a user.
3	Basic Access Key	This key is used by other Zigbee specifications for joining with an alternate pre-shared secret. The definition and usage is defined by those specifications. The usage is optional by the core Zigbee specification.
4	Administrative Access Key	This key is used by other Zigbee specifications for joining with an alternate pre-shared secret. The definition and usage is defined by those specifications. The usage is optional by the core Zigbee specification.
5-7	Reserved	-

15426 **I.4.3 PAN ID Conflict Report Global TLV (ID 66)**

15427 This TLV is 2-bytes in length and indicates the next channel that will be used once a Network Update command is
 15428 received to change PAN IDs.

15429 This TLV defines information about PAN ID Conflicts detected by the coordinator and routers on the network. This
 15430 TLV contains the NIB value of *nwkPanIdConflictsCount* as formatted in Figure I-3.

Octets:2
nwkPanIdConflictCount

15431

Figure I-3. PAN ID Conflict Global TLV

15432 **I.4.4 Next PAN ID Change Global TLV (ID 67)**

15433 This TLV is 2-bytes in length and indicates the next channel that will be used once a Network Update command is
 15434 received to change PAN IDs.

15435 I.4.5 Next Channel Change Global TLV (ID 68)

15436 This TLV is 4-bytes in length and indicates the next channel that will be used once a start channel change command
15437 is received. The format is defined according to the Channels Field bitmap defined in Table 3-7. Only 1 channel page
15438 and channel bit SHALL be set.

15439 I.4.6 Symmetric Passphrase Global TLV (ID 69)

15440 This TLV is 16-bytes in length and indicates a 128-bit Symmetric Passphrase Global TLV.

15441 I.4.7 Router Information Global TLV (ID 70)

15442 This TLV is 2-bytes in length and is a bitmask indicating data about the local router. Table I-6 defines the bits.

15443

Table I-6. Router Information Global TLV Bitmap Definitions

Bit	Name	Description
0	Hub Connectivity	This bit indicates the state of <i>nwkHubConnectivity</i> from the NIB of the local device. It advertises whether the router has connectivity to a Hub device as defined by the higher-level application layer. A value of 1 means there is connectivity, and a value of 0 means there is no current Hub connectivity.
1	Uptime	This 1-bit value indicates the uptime of the router. A value of 1 indicates the router has been up for more than 24 hours. A value of 0 indicates the router has been up for less than 24 hours.
2	Preferred Parent	This bit indicates the state of <i>nwkPreferredParent</i> from the NIB of the local device. When supported, it extends Hub Connectivity, advertising the devices capacity to be the parent for an additional device. A value of 1 means that this device should be preferred. A value of 0 indicates that it should not be preferred. Devices that do not make this determination SHALL always report a value of 0.
3	Battery Backup	This bit indicates that the router has battery backup and thus will not be affected by temporary losses in power.
4	Enhanced Beacon Request Support	When this bit is set to 1, it indicates that the router supports responding to Enhanced beacon requests as defined by IEEE Std 802.15.4. A zero for this bit indicates the device has no support for responding to enhanced beacon requests.
5	MAC Data Poll Keepalive Support	This indicates that the device has support for the MAC Data Poll Keepalive method for End Device timeouts.
6	End Device Keepalive Support	This indicates that the device has support for the End Device Keepalive method for End Device timeouts.
7	Power Negotiation Support	This indicates the device has support for Power Negotiation with end devices.
8-15	Reserved	These bits SHALL be set to 0.

15444 I.4.8 Fragmentation Parameters Global TLV (ID 71)

15445 This TLV specifies the maximum reassembled input buffer size of the associated node. The Reassembled Buffer
15446 Size includes the fragmentation capabilities of the device and thus would be larger than the normal MPDU of the
15447 underlying NWK and MAC layers.

15448

Octets: 2	1	2
Node ID	Fragmentation Options	Maximum Incoming Transfer Unit

15449

Figure I-4. Fragmentation Parameters Global TLV

15450

Table I-7. Fields of the Fragmentation Parameters Global TLV

Field Name	Size	Description
Node ID	2	This indicates the node ID of the device that the subsequent fragmentation parameters apply to.
Fragmentation Options	1	This bitfield indicates what fragmentation options are supported by the device. It has the following enumerated bits: Bit 0 = APS Fragmentation Supported. Set to 1 to indicate support; 0 to indicate no support. If set to 1, the maximum reassembled message size is indicated by the Maximum Incoming Transfer Unit. Bit 1-7 = Reserved for future use
Maximum Incoming Transfer Unit	2	This is a copy of the local device’s <code>apsMaxSizeASDU</code> AIB value. This indicates the maximum reassembled message size at the application layer after fragmentation has been applied on the message at the lower layers. A device supporting fragmentation would set this field to be larger than the normal payload size of the underlying NWK and MAC layer.

15451

15452 When a device is communicating to other devices it can include this TLV in any message that supports TLVs as a
 15453 way to convey the size of the largest application layer message it can accept. Earlier versions of the specification do
 15454 not support TLVs and thus the `Node_Desc_rsp` is the only means to convey the fragmentation capabilities. By in-
 15455 cluding the short ID in this TLV it allows a router to relay the fragmentation capabilities of the Trust Center since a
 15456 joiner knows the trust center’s address is always 0x0000.

15457 **I.4.9 Joiner Encapsulation Global TLV (ID 72)**

15458 This TLV can contain one or more TLVs inside of it that are either local or Global. The same rules of TLVs in mes-
 15459 sages apply to TLVs inside the Encapsulation TLV with the exception that Encapsulation TLVs SHALL NOT con-
 15460 tain Encapsulation TLVs.

15461 The data put inside contains information that the joining or rejoining node wants to communicate to the Trust Cen-
 15462 ter.

Octets: Variable
Additional TLVs

15463

Figure I-5. Joiner Encapsulation Global TLV

15464 **I.4.10 Beacon Appendix Encapsulation Global TLV (ID 73)**

15465 This TLV can contain one or more TLVs inside of it that are either local or Global. The same rules of TLVs in mes-
 15466 sages apply to TLVs inside the Encapsulation TLV with the exception that Encapsulation TLVs SHALL NOT con-
 15467 tain Encapsulation TLVs.

15468 The data put inside contains TLVs that will be part of the Beacon Appendix. Devices will set the contents of their
 15469 `nwkGlobalBeaconAppendix` NIB value based on the contents of this TLV.

15470

Octets: Variable
Additional TLVs

15471

Figure I-6. Joiner Encapsulation Global TLV

15472

I.4.11 Configuration Parameters Global TLV (ID 75)

15473

The Configuration Parameters Global TLV is 2-bytes in length and indicates various parameters about how the stack SHALL behave. Each bit or bits corresponds to the internal NIB, AIB, or Device Security Policy values and how they are configured. Refer Section 2.4.3.4.4.

15474

15475

15476

Table I-8. Configuration Parameters Global TLV

Bit	Area	Affected Configuration Attribute
0	AIB	apsZdoRestrictedMode
1	Device Security Policy	requireLinkKeyEncryptionForApsTransportKey
2	NIB	nwkLeaveRequestAllowed
3–15	Reserved	Reserved

15477

15478

ANNEX J CRYPTOGRAPHIC PROCESSING FOR ECDHE

15479 This annex contains the cryptographic processing for Elliptic Curve Diffie-Hellman Ephemeral (ECDHE) key nego-
15480 tiation.

15481

J.1 ECDHE/SPEKE Using Curve25519

15482 This section covers SPEKE [B21][B22][B23][B24], a Password Authenticated Key Exchange (PAKE) using
15483 Curve25519 [B20] and [B21]. An anonymous (unauthenticated) version can be obtained by employing a well-
15484 known password, in which case SPEKE simplifies to ECDHE.

15485

Table J-1. Parameters for ECDHE and SPEKE using Curve25519

Item	Description
i	Initiator, the device initiating the key exchange (generally the joining device)
r	Responder, the device answering the key exchange (generally the Trust Center)
PSK	Pre-shared Key (see Table J-2)
H(x)	Hashing Function. This SHALL be AES-MMO-128 or SHA-256.
H*(x)	Cyclic extension of the hashing function to 256 bits, if the output size of H is less than 256 bit, or a truncated version of H if the output size of H is more than 256 bit. For example, if $H(x) = \text{AES-MMO-128}$, then $H^*(x) = H(x) \parallel H(x)$ If the output size is 256-bits, such as SHA-256, a bad generator point avoidance mechanism must be used. This is done by hard coding the first byte to 0x09.
KDF(key, instance)	Key Derivation Function with key, instance as input. This SHALL be either HMAC-AES-MMO-128 (the Specialized Keyed Hash Function for Message Authentication, as defined in section B.1.5), or HMAC-SHA-256-128 (HMAC-SHA-256 truncated to the first 128 bits).
A _i	Initiator Identity, IEEE EUI-64 of Initiator. For purposes of hashing, the IEEE EUI-64 is assumed to be stored in little-endian order.
A _r	Responder Identity, IEEE EUI-64 of Responder. For purposes of hashing, the IEEE EUI-64 is assumed to be stored in little-endian order.
G	Generator (base point)
I	Session Identifier
d _i	Initiator Private Key
d _r	Responder Private Key
Q _i	Initiator Public Key Point where $Q_i = d_i G$ (notice: only the x-coordinate is relevant)
Q _r	Responder Public Key Point where $Q_r = d_r G$ (notice: only the x-coordinate is relevant)
s	Shared Secret
DK	Derived Key

15486

15487

15488

Table J-2. PSK Items

n/a	Anonymous Key Exchange	“Value of <i>apscWellknownPSK</i> ” (no quotes)
PSK ID 0x00	Key Exchange with Installation Code	Pre-configured link-key derived from Device Installation Code
PSK ID 0x01	Key Exchange with Passcode	Variable-length Passcode, including low-entropy passcodes. Minimum recommended entropy: 20 bits
PSK ID 0x02	Basic Access Key (Zigbee Device)	Details defined in Zigbee Device specification
PSK ID 0x03	Administrative Access Key (Zigbee Device)	Details defined in Zigbee Device specification
PSK ID 0x04 – PSK ID 0x07	Reserved for future use	

15489

J.1.1 Recommendations for Variable-length Passcodes

15490 Variable-length passcodes MAY be used as alternative means of mutual authentication, next to 128-bit pre-configured trust center link-keys derived from installation codes. The purpose of a variable-length passcode is to provide a low entropy shared secret for the class of Password Authenticated Key Exchange (PAKE) protocols, in particular 15491 SPEKE over Curve25519. Low-entropy passcodes SHALL NOT be used in connection with protocols that are not 15492 designed to support low-entropy passwords, e.g. they are not suitable as pre-shared key for ECDHE-PSK. Low-entropy 15493 passcodes offer device manufacturers more flexibility to provide shorter setup codes in order to reduce the 15494 amount of data that needs to be typed, spoken or encoded on a barcode displayed on a product. Low-entropy 15495 passcodes SHOULD have a minimum entropy of at least 20 bits. This is a compromise between a convenient end-user 15496 experience (short codes preferred) and the probability that an active Man-in-the-Middle (MITM) attacker could 15497 guess the passcode (longer codes preferred). Given the recommended minimum of 20 bits, the probability of guessing 15498 the pre-shared secret would be $1 : 2^{20}$, i.e. less than 0.0001%. Implementations MAY further increase robustness 15499 against guessing attacks by adding exponential back-offs for each failed attempt, and by limiting the total number 15500 of attempts per device. 15501 15502

15503

J.1.2 Scalar Multiplications on Curve25519

15504 With respect to the Operation stated below, scalar multiplications on the elliptic curve, i.e. $Q = dG$, SHALL be performed 15505 by invoking $Q = X_{25519}(d, G)$ as defined in reference [B20]. The scalar d SHALL first be decoded using 15506 $d = \text{decodeScalar}_{25519}(k)$, as defined in reference [B20], where k is an octet string of 32 secret random bytes.

15507

J.1.3 Operation

- 15508 1. Initiator computes $G = H^*(\text{PSK})$, generates d_i at random, subject to private key post-processing as detailed below, 15509 and computes $Q_i = d_i G$.
- 15510 2. Initiator sends its Identity A_i and Q_i .
- 15511 3. Responder, when it receives A_i and Q_i , first checks if another key establishment session with the same Initiator 15512 is in progress. If so, it aborts the previous session with failure; it computes $G = H^*(\text{PSK})$, generates d_r at random, 15513 subject to private key post-processing as detailed below, and computes $Q_r = d_r G$.
- 15514 4. Responder sends its Identity A_r and Q_r back to initiator.

15515 The following operations occur in no guaranteed order, potentially concurrently at both ends:

- 15516 • Responder computes point $(x_k, y_k) = d_r Q_i$ and proceeds with step 5
- 15517 • Initiator generates point $(x_k, y_k) = d_i Q_r$ and proceeds with step 5

- 15518 5. Initiator/Responder determines the session identifier,
- 15519
$$I = \begin{cases} A_r \parallel Q_r \parallel A_i \parallel Q_i, A_r \leq A_i \\ A_i \parallel Q_i \parallel A_r \parallel Q_r, A_r > A_i \end{cases}$$
- 15520 Note: A_r and A_i are compared as 64-bit unsigned integers with the IEEE 802 OUI part being the most sig-
 15521 nificant bits, i.e. the EUI-64 00:AA:00:11:22:33:44:55 is less than 00:BB:00:11:22:33:44:FF. Notice that
 15522 while these are transferred in little-endian representation, the comparison above is done based on the actual
 15523 integer values.
- 15524 6. Initiator/Responder derives shared secret, $s = H(x_k \parallel I \parallel G)$
- 15525 7. Initiator/Responder derives APS link key = $KDF(s, 1)$, which is the outcome of executing the specialized
 15526 keyed hash function specified in section B.1.5 under the shared secret obtained in step 6 with the 1-octet
 15527 string '0x01' as the input string.
- 15528 8. Initiator sends APSME verify key message for the key derived in step 7.
- 15529 9. Responder sends APSME confirm key message using the key derived in step 7.

15530 J.1.4 Contributory Behavior

15531 There exist points of small order on curve 25519 and its twist, which relate to five unique x-coordinates:

- 15532 • $x = 0$
- 15533 • $x = 1$
- 15534 • $x = -1$
- 15535 • $x_1 =$
 15536 39382357235489614581723060781553021112529911719440698176882885853963445705823
- 15537 • $x_2 =$
 15538 325606250916557431795983626356110631294008115727848805560023387167927233504

15539 Considering a large integer size of 32 octets, which can represent values up to $2^{256} - 1$, and modulo-p arithmetics
 15540 with $p = 2^{255} - 19$, the following 12 points result in a (trivial) shared secret $x_k = 0$:

- 15541 $x_{0,1} = 0$
- 15542 $x_{0,2} = p \equiv x_{0,1}$
- 15543 $x_{0,3} = 2p \equiv x_{0,1}$
- 15544 $x_{0,4} = 1$
- 15545 $x_{0,5} = p + 1 \equiv x_{0,4}$
- 15546 $x_{0,6} = 2p + 1 \equiv x_{0,4}$
- 15547 $x_{0,7} = p - 1$
- 15548 $x_{0,8} = 2p - 1 \equiv x_{0,7}$
- 15549 $x_{0,9} = x_1$
- 15550 $x_{0,10} = p + x_1 \equiv x_{0,9}$
- 15551 $x_{0,11} = x_2$
- 15552 $x_{0,12} = p + x_2 \equiv x_{0,11}$
- 15553

15554 This is also referred to as non-contributory behavior because the private keys of initiator and responder don't con-
 15555 tribute to the shared secret.

15556 As a result the following 32-octet strings should be avoided as generator points (base points for SPEKE):

15570 The following defines the mechanism for bad generator point avoidance.

15571 $H(x) = \text{SHA-256}(x)$

15572 $H[0] = 0x09$

15573 $H^*(x) = H$

15574

15575
15576

ANNEX K ZIGBEE PROVISIONAL AND EXPERIMENTAL FEATURES

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This annex describes provisional and experimental features which have been drafted and will be included in future revisions of the specification, but have not undergone any testing for compliance and interoperability validation. Therefore the following features SHALL not be implemented in a platform undergoing formal certification. The purpose for including this text is to ensure implementers consider these features to avoid potential interoperability issues in future specification revisions. These features may include considerations such as ensuring all new and existing frames received are processed regardless of: being TLV extended (unless specified otherwise), supporting reserved TLV Tag Ids, etc.

15584

K.1 Routing Improvements

15585

K.1.1 NLME-ROUTE-DISCOVERY.request

15586

This primitive allows the next higher layer to initiate route discovery.

15587

K.1.1.1 Semantics of the Service Primitive

15588

The semantics of this primitive are as follows:

15589
15590
15591
15592
15593
15594
15595

```

NLME-ROUTE-DISCOVERY.request  {
                                DstAddrMode,
                                DstAddr,
                                Radius,
                                NoRouteCache,
                                SourceRoute
                                }

```

15596

Table K-1 specifies the parameters for this primitive.

15597

Table K-1. NLME-ROUTE-DISCOVERY.request Parameters

Name	Type	Valid Range	Description
DstAddrMode	Integer	0x00 – 0x02	A parameter specifying the kind of destination address provided. The DstAddrMode parameter MAY take one of the following three values: 0x00 = No destination address 0x01 = Reserved 0x02 = 16-bit network address of an individual device
DstAddr	16-bit network address	Any network address	The destination of the route discovery. If the DstAddrMode parameter has a value of 0x00 then no DstAddr will be supplied. This indicates that the route discovery will be a many-to-one discovery with the device issuing the discovery command as a target.

Name	Type	Valid Range	Description
			If the DstAddrMode parameter has a value of 0x02, this indicates unicast route discovery. The DstAddr will be the 16-bit network address of a device to be discovered.
Radius	Integer	0x00 – 0xff	This optional parameter describes the number of hops that the route request will travel through the network.
NoRouteCache	Boolean	TRUE or FALSE	In the case where DstAddrMode has a value of zero, indicating many-to-one route advertisement, this flag determines whether the NWK SHOULD establish a route record table. TRUE = no route record table SHOULD be established FALSE = establish a route record table
SourceRoute	Boolean	TRUE or FALSE	In the case where DstAddrMode has a value of 0x02, indicating unicast route discovery, and the device is operating as a concentrator, this flag determines whether an ad-hoc route is sought, or rather a source route. TRUE = a source route is sought FALSE = a normal ad-hoc route is sought Refer to section 3.6.4.5.1.

15598 **K.1.1.2 When Generated**

15599 This primitive is generated by the next higher layer of a Zigbee coordinator or router and issued to its NLME to request
15600 the initiation of route discovery.

15601 **K.1.1.3 Effect on Receipt**

15602 On receipt of this primitive by the NLME of a Zigbee end device, the NLME will issue the NLME-ROUTE-DIS-
15603 COVERY.confirm primitive to the next higher layer with a status value of INV_REQUESTTYPE.

15604 On receipt of this primitive by the NLME with the DstAddrMode parameter not equal to 0x00 and the DstAddr pa-
15605 rameter equal to a broadcast address, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm primitive to
15606 the next higher layer with a status value of INV_REQUESTTYPE.

15607 On receipt of this primitive by a Zigbee router or Zigbee coordinator that has routing capacity, with the DstAddrMode
15608 parameter equal to 0x02, the NLME will initiate discovery of a unicast route between the current device and the
15609 network device with the 16-bit network address given by the DstAddr parameter. The procedure for initiating discov-
15610 ery of a unicast route is outlined in section 3.6.4.5.1.

15611 On receipt of this primitive on a Zigbee router or Zigbee coordinator with concentrator capabilities, if the DstAddr-
15612 Mode parameter equal to 0x00, the NLME will initiate many-to-one route advertisement. The procedure for initiating
15613 many-to-one route advertisement is outlined in section 3.6.4.5.1.

15614 On receipt of this primitive on a Zigbee router or Zigbee coordinator with concentrator capabilities, if the DstAddr-
15615 Mode parameter equal to 0x02 and the SourceRoute flag equal to TRUE, the NLME will initiate many-to-one route
15616 advertisement with an appended Source Route Solicitation TLV, which includes DstAddr in the nwkAddressOfInter-
15617 est list. The procedure for initiating many-to-one route advertisement is outlined in section 3.6.4.5.1. In each of the
15618 three cases of actual route discovery described above, the NLME will initiate route discovery by attempting to transmit
15619 a route discovery command frame using the MCPS-DATA.request primitive of the MAC sub-layer. If a value has
15620 been supplied for the optional Radius parameter, that value will be placed in the Radius field of the NWK header of

15621 the outgoing frame. If a value has not been supplied then the radius field of the NWK header will be set to twice the
 15622 value of the *nwkcMaxDepth* attribute of the NIB as would be the case for data frame transmissions. If the MAC sub-
 15623 layer fails, for any reason, to transmit the route request command frame, the NLME will issue the NLME-ROUTE-
 15624 DISCOVERY.confirm primitive to the next higher layer with a Status parameter value equal to that returned by the
 15625 MCPS-DATA.confirm. If the route discovery command frame is sent successfully and if the DstAddrMode parameter
 15626 has a value of 0x00, indicating many-to-one route advertisement, the NLME will immediately issue the NLME-
 15627 ROUTE-DISCOVERY.confirm primitive with a value of SUCCESS. Otherwise, the NLME will wait until either a
 15628 route reply or route record command frame is received or a reactive many-to-one route request command originating
 15629 in the device identified by DstAddr is received or the route discovery operation times out as described in section
 15630 3.6.4.5. If a route reply or route record or matching reactive many-to-one route request command frame is received
 15631 before the route discovery operation times out, the NLME will issue the NLME-ROUTE-DISCOVERY.confirm prim-
 15632 itive to the next higher layer with a status value of SUCCESS. If the operation times out, it will issue the
 15633 NLME_ROUTE-DISCOVERY.confirm primitive with a Status of ROUTE_ERROR and with a NetworkStatusCode
 15634 value reflecting the reason for failure as described in Table 3-52.

15635 **K.2 Route Request Command**

15636 **K.2.1 TLVs**

15637 An optional list of tag-length-value records with context-specific information, which is relevant to the Route Request.
 15638 The following TLVs MAY be appended to the Route Request Command and when present SHALL be parsed and
 15639 processed by the recipient in context. The context is established by the values of other relevant fields within the same
 15640 Network Command frame, i.e. fields in the basic command frame and potentially leading TLVs.

15641 **K.2.1.1 Tag ID 0: Extended Route Information**

15642 The Extended Route Information TLV conveys additional route-specific information. It SHALL be included in all
 15643 Route Request Commands. Notice that devices built to earlier revisions of this specification did not support this TLV
 15644 and implementations SHALL tolerate the TLV being absent.

Octets: 2	1
Routing Sequence Number	Initial Radius

15645 **Figure K-1. Extended Route Information TLV Payload Format**

15646 **K.2.1.1.1 Routing Sequence Number Field**

15647 The Routing Sequence Number Field SHALL contain the value of *nwkRoutingSequenceNumber* after it has been
 15648 incremented by one. This field denotes the Routing Sequence Number of the originator of the frame.

15649 **K.2.1.1.2 Initial Radius Field**

15650 The Initial Radius Field SHALL contain the value of the Radius field in the NWK Header of the original route re-
 15651 quest, i.e. the first instance of any particular route request frame.

15652 **K.2.1.2 Tag ID 1: Concentrator Information**

15653 The Concentrator Information TLV conveys the settings of the concentrator device, which initiated the Route Request.
 15654 It SHALL be included when the Destination Address equals 0xffff (All Routers and the Coordinator). Notice that
 15655 devices built to earlier revisions of this specification did not support this TLV and implementations SHALL tolerate
 15656 the TLV being absent even if the Destination Address equals 0xffff. A device which has *nwksConcentrator* set as
 15657 TRUE, and which originates a many-to-one route request, MUST populate this TLV prior to broadcasting the route
 15658 request frame. Devices forwarding the route request MUST forward this TLV without modification.

15659

1	1
Concentrator Discovery Time	Max Source Route Length

15660 **Figure K-2. Concentrator Information TLV Payload Format**

15661 **K.2.1.2.1 Concentrator Discovery Time Field**

15662 The Concentrator Radius Field SHALL contain the value of nwkConcentratorDiscoveryTime.

15663 **K.2.1.2.2 Concentrator Max Source Route Length Field**

15664 The Concentrator Radius Field SHALL contain the value of nwkMaxSourceRoute.

15665 **K.2.1.3 Tag ID 2: Source Route Solicitation**

15666 The Source Route Solicitation TLV conveys a list of one or more network short addresses of devices the concentrator
 15667 is interested in establishing a source route to. It MAY be included when the Destination Address equals 0xffff (All
 15668 Routers and the Coordinator).

Octets: 2	2/0	...	2/0
nwkAddressOfInterest1	nwkAddressOfInterest 2	...	nwkAddressOfInterest n

15669 **Figure K 3. Source Route Solicitation TLV Payload Format**

15670 **K.2.1.3.1 nwkAddressOfInterest Fields**

15671 Each nwkAddressOfInterest field SHALL contain the value the network short address of a destination device which
 15672 the requesting device wants to establish a source route to.

15673 **K.3 Route Reply Command**

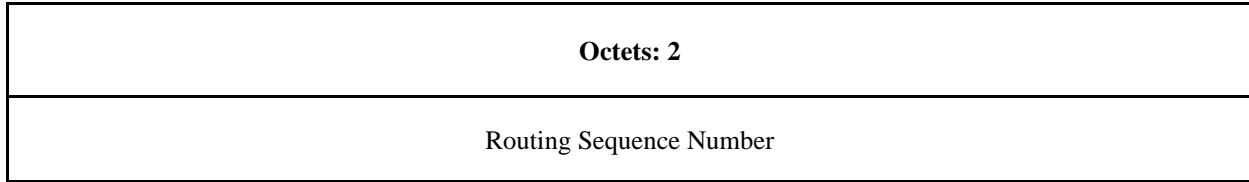
15674 **K.3.1 Local TLVs**

15675 An optional list of tag-length-value records with context-specific information, which is relevant to the Route Reply.
 15676 The following TLVs MAY be appended to the Route Reply Command and when present SHALL be parsed and
 15677 processed by the recipient in context. The context is established by the values of other relevant fields within the same
 15678 Network Command frame, i.e. fields in the basic command frame and potentially leading TLVs.

15679 **K.3.1.1 Tag ID 0: Extended Route Information**

15680 The Extended Route Information TLV conveys additional route-specific information. It SHALL be included in all
 15681 Route Reply Commands. Notice that devices built to earlier revisions of this specification did not support this TLV
 15682 and implementations SHALL tolerate the TLV being absent.

15683



15684 **Figure K-4. Extended Route Information TLV Payload Format**

15685 **K.3.1.1.1 Routing Sequence Number Field**

15686 The Routing Sequence Number Field SHALL contain the value of nwkRoutingSequenceNumber after it has been
15687 incremented by one. This field denotes the Routing Sequence Number of the Responder.

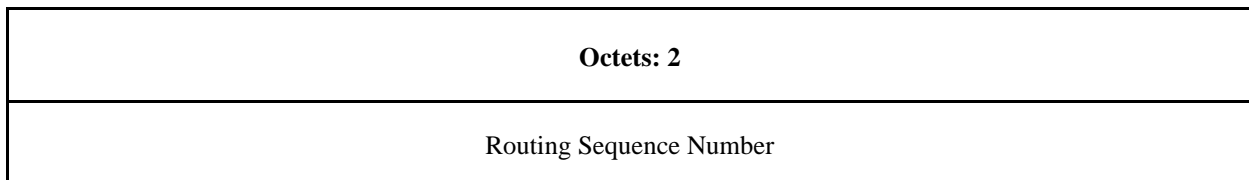
15688 **K.4 Network Status Command**

15689 **K.4.1 TLVs**

15690 An optional list of tag-length-value records with context-specific information, which is relevant to the Network Status
15691 command. The following TLVs MAY be appended to the Network Status Command and when present SHALL be
15692 parsed and processed by the recipient in context. The context is established by the values of other relevant fields within
15693 the same Network Command frame, i.e. fields in the basic command frame and potentially leading TLVs.

15694 **K.4.1.1 Tag ID 0: Extended Route Information**

15695 The Extended Route Information TLV conveys additional route-specific information. It SHALL be included in those
15696 Network Status commands, which convey route errors, specifically the Link Failure and Many-To-One Route Failure;
15697 it is not required for source route failures and statuses not related to routing. Notice that devices built to earlier revisions
15698 of this specification did not support this TLV and implementations SHALL tolerate the TLV being absent. If the
15699 related routing table entry, in its sequence number valid flag, indicates that the sequence number is unknown or invalid,
15700 the extended route information TLV SHALL NOT be included.



15701 **Figure K-5. Extended Route Information TLV Payload Format**

15702 **K.4.1.1.1 Routing Sequence Number Field**

15703 The Routing Sequence Number Field SHALL contain the value of sequence number field of the routing table entry
15704 that was utilized to forward a frame to its next hop and such attempt failed due to a link failure. This applies, both, to
15705 link failures along ad-hoc routes, as well as link failures along many-to-one routes.

15706 **K.5 Initiation of Route Discovery**

15707 If the device initiating route discovery is currently operating as a concentrator, as indicated by the nwkIsConcen-trator
15708 flag, and has not been specifically instructed by the NHLE to seek a normal ad-hoc route versus a source route, it
15709 SHOULD prefer discovery of source routes over discovery of ad-hoc routes. It still MAY perform normal ad-hoc
15710 route discovery, e.g. to avoid the per-frame source route overhead, unless specifically instructed to seek a source route
15711 by the SourceRoute parameter of the NLME-ROUTE-DISCOVERY.request.

15712 If the device initiating route discovery has no routing table entry corresponding to the routing address of the desti-
15713 nation device, and intends to perform a normal ad-hoc route discovery, it SHALL establish a routing table entry with
15714 status equal to DISCOVERY_UNDERWAY. If the device has an existing routing table entry corresponding to the
15715 routing address of the destination with status equal to ACTIVE, that entry SHALL be used and the status field of that
15716 entry SHALL retain its current value. If it has an existing routing table entry with a status value other than ACTIVE,

15717 that entry SHALL be used and the status of that entry SHALL be set to DISCOVERY_UNDERWAY. The device
15718 SHALL also establish the corresponding route discovery table entry if one with the same initiator and route request
15719 ID does not already exist.

15720 Each device issuing a route request command frame SHALL maintain a counter used to generate route request iden-
15721 tifiers. When a new route request command frame is created, the route request counter is incremented and the value
15722 is stored in the device's route discovery table in the Route request identifier field. The device SHALL incre-ment
15723 *nwkRoutingSequenceNumber* and append an Extended Route Information TLV to the route request com-mand frame
15724 with the Route Sequence Number field set to the resulting value. Other fields in the routing table and route discovery
15725 table are set as described in section 3.6.4.2.

15726 Each device issuing a route request command frame SHALL maintain a counter used to generate route request iden-
15727 tifiers. When a new route request command frame is created, the route request counter is incremented and the value
15728 is stored in the device's route discovery table in the Route request identifier field. The device SHALL increment
15729 *nwkRoutingSequenceNumber* and append an Extended Route Information TLV to the route request command frame
15730 with the Route Sequence Number field set to the resulting value. Other fields in the routing table and route discovery
15731 table are set as described in section 3.6.4.2.

15732 The many-to-one route advertisement procedure SHALL be initiated by the NWK layer of a Zigbee router or coordi-
15733 nator on receipt of an NLME-ROUTE-DISCOVERY.request primitive from the next higher layer where the DstAddr-
15734 Mode parameter has a value of 0x00, or where the DstAddrMode parameter has a value of 0x02 and the SourceRoute
15735 parameter is set as TRUE. A many-to-one route request command frame is not retried; however, a discovery table
15736 entry is still created to provide loop detection during the *nwkRouteDiscoveryTime* period. If the NoRouteCache pa-
15737 rameter of the NLME-ROUTE-DISCOVERY.request primitive is TRUE, the many-to-one sub-field of the command
15738 options field of the command frame payload SHALL be set to 2. Otherwise, the many-to-one sub-field SHALL be set
15739 to 1. Note that in this case, the NWK layer should maintain a route record table. The destination address field of the
15740 NWK header SHALL be set to 0xffff, the all-router broadcast address. The broadcast radius SHALL be set to the
15741 value in *nwkConcentratorRadius*. A source device that initiates a many-to-one route advertisement is designated as a
15742 concentrator and referred to as such in this document and the NIB attribute *nwkIsConcentrator* should be set to TRUE.
15743 If a device has *nwkIsConcentrator* equal to TRUE and there is a non-zero value in *nwkConcentratorDiscoveryTime*,
15744 the network layer should issue a route request command frame each *nwkConcentratorDiscoveryTime*, making sure
15745 that any two consecutive many-to-one route request commands with different route request identifier are separated in
15746 time by at least *nwkConcentratorDiscoverySeparation*.

15747 If the DstAddrMode parameter has a value of 0x02 and the SourceRoute parameter is set as TRUE, the device SHALL
15748 include the Source Route Solicitation TLV in the route request command frame, and include DstAddr in the nwkAd-
15749 dressOfInterest list in that TLV. In order to improve interoperability with legacy routers built to earlier revisions of
15750 this specification, the device MAY additionally instigate an IEEE_addr_req with the NWKAddressOfInterest param-
15751 eter set as DstAddr and RequestType either set as 0 – 'Single device response' or 1 – 'Extended response'; the latter
15752 allows the router to learn about source routes to end-devices in a single step in case the device denoted by DstAddr is
15753 also a router. This additional IEEE_addr_req SHALL be subject to the same throttling provided by *nwkConcentra-
15754 torDiscoverySeparation*. Upon Receipt of a Route Request Command Frame.

15755 **K.5.1 Initiating a Route Reply or Reactive Many-to-One Route Request**

15756 The device SHALL check if it is the intended destination. If it is the intended destination and the device is currently
15757 operating as a concentrator, it SHALL determine whether the originator of the route request is within the concentrator
15758 radius by calculating the distance to the originating router according to section 3.6.4.5.5; if the calculated distance is
15759 less than or equal to the concentrator radius, it SHALL issue a reactive many-to-one route request, instead of respond-
15760 ing with a route reply command frame making sure that any two consecutive many-to-one route request commands
15761 with different route request identifiers are separated in time by at least *nwkConcentratorDiscoverySeparation*. It
15762 SHALL also check if the destination of the command frame is one of its end device children by comparing the desti-
15763 nation address field of the route request command frame payload with the address of each of its end device children,
15764 if any. If either the device or one of its end device children is the destination of the route request command frame, and
15765 it is not issuing a reactive many-to-one route request, it SHALL reply with a route reply command frame.

15766 When replying to a route request with a route reply command frame, the device SHALL construct a frame with the
15767 frame type field set to 0x01. The route reply's source address SHALL be set to the 16-bit network address of the

15768 device creating the route reply and the destination address SHALL be set to the calculated next hop address, consid-
15769 ering the originator of the route request as the destination. The link cost from the next hop device to the current device
15770 shall be computed as described in section 3.6.4.1 and inserted into the path cost field of the route reply command
15771 frame. The device SHALL increment *nwkRoutingSequenceNumber* and the resulting value is appended to the route
15772 reply command frame using the Routing Sequence Number TLV The route reply command frame SHALL be unicast
15773 to the next hop device by issuing an MCPS-DATA.request primitive.

15774 **K.5.2 Routing and Route Discovery Table Maintenance, Route Request For-** 15775 **warding**

15776 When a device with routing capacity is not the destination of the received route request command frame, it SHALL
15777 determine if a route discovery table entry (see Table 3-75) exists with the same route request identifier and source
15778 address field. If no such entry exists, one SHALL be created. The route request timer SHALL be set to expire in
15779 *nwkRouteDiscoveryTime* OctetDurations. If a routing table entry corresponding to the routing address of the desti-
15780 nation exists and its status is not ACTIVE, the status SHALL be set to DISCOVERY_UNDERWAY. If no such entry
15781 exists and the frame is a unicast route request, an entry SHALL be created and its status set to DISCOVERY_UN-
15782 DERWAY. If the frame is a many-to-one route request, the device SHALL also create a routing table entry with the
15783 destination address field equal to the source address of the route request command frame by setting the next hop field
15784 to the address of the previous device that transmitted the command frame. If the frame is a many-to-one route request
15785 (*i.e.* the many-to-one sub-field of the command options field of the command frame payload has a non-zero value),
15786 the many-to-one field in the routing table entry SHALL be set to TRUE, the route record required field SHALL be set
15787 to TRUE if the coordinator is within source route range or FALSE otherwise, and the no route cache flag SHALL be
15788 set to TRUE if the many-to-one sub-field of the command options field of the command frame payload has a value of
15789 2 or to FALSE if it has a value of 1. If the routing table entry is new, or if the no route cache flag is set to TRUE, or
15790 if the next hop field changed, the route record required field SHALL be set to TRUE, if the coordinator is within
15791 source route range otherwise it remains unchanged. The coordinator is deemed within source route range, if the dis-
15792 tance as calculated section 3.6.4.5.4 yields a value less than or equal to the Max Source Route Length field in the
15793 Concentrator Information TLV of the received route request command frame; for backwards compatibility with legacy
15794 implementations, it is also deemed within source route range if no Concentrator Information TLV is present at all.
15795 The status field SHALL be set to ACTIVE.

15796 **K.5.3 Transmitting Reactive Many-To-One Route Requests**

15797 If a concentrator determines that the route request has originated from a router, which is operating within its *nwkCon-*
15798 *centratorRadius*, as calculated according to section 3.6.4.5.5, it SHALL, instead of sending a route reply, initiate route
15799 discovery as outlined in section 3.6.4.5.1 by instigating an NLME-ROUTE-DISCOVERY.request primitive with the
15800 DstAddr set to the source address field of the network header of the route request, the DstAddrMode parameter set as
15801 0x02, and the SourceRoute parameter set as TRUE.

15802 **K.5.4 Calculating the Distance Between Routers and Concentrators**

15803 A concentrator can determine the distance to a router in the network by subtracting the value conveyed in the radius
15804 field of the network header of a route request command, which it received from that router, from either (i) the Initial
15805 Radius field of the Extended Route Information TLV, or, (ii) twice the value of the *nwkMaxDepth* NIB attribute, if
15806 such a TLV was not included in the route request command frame. The result of this calculation is the number of hops,
15807 minus one, a packet travels along the current route between router and concentrator. A message sent from the concen-
15808 trator with the initial radius set to the calculated distance can be assumed to reach the router device unless the network
15809 topology changed.

15810 **K.5.5 Initiation and Processing of a Route Record Command Frame**

15811 If the NWK layer of a Zigbee router or Zigbee coordinator is forwarding a unicast data frame on behalf of one of its
15812 end device children and the many-to-one field of the destination's routing table entry has a value of TRUE, then the
15813 device SHALL unicast a route record command to the destination before relaying the data frame, which already
15814 contains the network short address of the Zigbee router or Zigbee coordinator in the relay list.

- 15815 If the NWK layer of a Zigbee router or Zigbee coordinator receives a many-to-one route request, which includes the
15816 Source Route Solicitation TLV and the receiving device's network short address appears in the nwkAddressOfInter-
15817 est list of that TLV and the receiving device is within source route range it SHALL set the route record required flag
15818 in the corresponding many-to-one routing table entry; it SHALL then also unicast a route record command to the
15819 originator of the many-to-one route request, with the relay list being empty; similarly, if the network short address of
15820 any of its end device children appears in nwkAddressOfInterest and the receiving device is within source route range
15821 minus one (accounting for the additional hop to the end device child), the NWK SHALL set the route record re-quired
15822 flag in the corresponding many-to-one routing table entry and then also unicast a route record command to the origi-
15823 nator of the many-to-one route request for each matching network short address, with the relay list already containing
15824 the network short address of the Zigbee router or Zigbee coordinator.
- 15825 The coordinator is deemed within source route range, if the distance as calculated section 3.6.4.5.5 yields a value less
15826 than or equal to the Max Source Route Length field in the Concentrator Information TLV of the received route request
15827 command frame; for backwards compatibility with legacy implementations, it is also deemed within source route
15828 range if no Concentrator Information TLV is present at all.
- 15829 An optional optimization is possible in which the router or coordinator MAY keep track of which of its end device
15830 children have received source routed data frames from a particular concentrator device and can thereby reduce the
15831 number of route record commands it transmits to that concentrator on behalf of its end device children.